

## Phys 487 Discussion 14 – Selection Rules

Given •  $H(t) = H^{(0)} + H'(t)$ , •  $\{E_n^{(0)}, |n^{(0)}\rangle\}$  = the eigen-\* of  $H^{(0)}$  • initial state  $|\psi(t=0)\rangle = |i^{(0)}\rangle$

then  $\boxed{|\psi(t)\rangle = \sum_n c_n(t) e^{-i\omega_n t} |n^{(0)}\rangle}$  with  $\boxed{i\hbar \dot{c}_f(t) = \sum_n H'_{fn} e^{i\omega_{fn} t} c_n(t)}$  •  $\omega_{fn} \equiv (E_f^{(0)} - E_n^{(0)})/\hbar$   
 •  $H'_{fn} \equiv \langle f^{(0)} | H' | n^{(0)} \rangle$

& to 1<sup>st</sup> order in  $H' \ll H^{(0)}$ ,  $\boxed{c_f(t) \approx \delta_{fi} + \frac{1}{i\hbar} \int_0^t H'_{fi}(t') e^{i\omega_{fi} t'} dt'}$  →  $\boxed{P_{i \rightarrow f} = |c_f(t)|^2}$

• Fermi's Golden Rule :  $\boxed{R_{i \rightarrow f} \equiv \frac{P_{i \rightarrow f}}{t} = \frac{2\pi}{\hbar} |V_{fi}|^2 \rho(E_f)}$

### Problem 1 : E1 Transitions

As we saw in class, the time-dependent perturbation  $H'(\vec{r}, t)$  that is produced by an

EM wave at lowest order in the small parameter  $\vec{k} \cdot \vec{r} \approx r/\lambda \ll 1$  (typical situation in atomic physics) is the “**E1**” or “Electric Dipole” term, which comes from approximating the EM wave’s electric field as constant over space, i.e.  $\vec{E}(\vec{r}) \approx \vec{E}_0$ . This is clearly reasonable when the E-field’s wavelength is enormous compared to the tiny size of the atom! For such a constant field, using the Hermitian perturbation form

$$H'(\vec{r}, t) = V(\vec{r}) e^{i\omega t} + V^*(\vec{r}) e^{-i\omega t}$$

that we used to derive Fermi’s Golden Rule, we obtained

$$V^{E1}(\vec{r}) = -q \frac{\vec{E}_0}{2} \cdot \vec{r} = -\frac{q}{2} (E_{0x}x + E_{0y}y + E_{0z}z).$$

Our study of time-dependent perturbation theory has taught us that a transition between atomic states  $i$  and  $f$  can only occur if  $V_{fi} = \langle n_f l_f m_f | V(\vec{r}) | n_i l_i m_i \rangle$  is not zero. For an **E1 transition** to be **allowed**, this transition matrix element must be non-zero for  $V(\vec{r}) \sim x$  and/or  $y$  and/or  $z$  (corresponding to the 3 possible linear-polarization directions from which any EM wave can be built).

(a) As we said in class, we would *really* like to avoid integrating  $V_{fi} = \langle n_f l_f m_f | V(\vec{r}) | n_i l_i m_i \rangle$  ... which we can do by expressing  $x$ ,  $y$ , and  $z$  in terms of different operators, specifically those for which the  $|nlm\rangle$  states are *eigenstates*. Those operators are  $L^2$ ,  $L_z$ , and the atom’s Hamiltonian. These fabulous commutators will save us :

$$[L_z, x] = i\hbar y, \quad [L_z, y] = -i\hbar x, \quad [L_z, z] = 0.$$

These are not the usual commutators for angular momentum! You have to go back to the definition  $\vec{L} = \vec{r} \times \vec{p}$  and evaluate  $L_z$  in cartesian coordinates. First, prove this:

$$[AB, C] = A[B, C] + [A, C]B \quad \odot \text{ USEFUL! } \odot$$

(It is incredibly useful, but not worth memorizing since it takes 1 line to derive!) Then prove the three commutation relations above.

(b) Using one of those commutators to replace  $z$ , show that for  $\vec{E}_0 \parallel \hat{z}$ ,  $V_{fi} \sim \langle n_f l_f m_f | z | n_i l_i m_i \rangle = 0$  — i.e. no E1 transition is possible from  $i \rightarrow f$  — unless  $m_f - m_i \equiv \Delta m = 0$ . This is our first E1 selection rule!

**IMPORTANT:** Remember that  $x, y, z, p_x, p_y, p_z, L_x, L_y, L_z, \dots$  are all **Hermitian operators**, which basically allows them to “act to the left” as long as you watch out for \*complex conjugates\*. We did a partial selection-rule calculation in class, the steps we completed may help you. If this is unclear, great time to review Hermitian operators  $\rightarrow$  ask your instructor!

(c) Repeat this exercise for  $\vec{E}_0 \parallel \hat{x}$  and  $\vec{E}_0 \parallel \hat{y}$ . Combine your results to show that waves with such polarizations can only produce E1 transitions between states with  $\Delta m = \pm 1$ . That’s our second selection rule. NOTE: This is the one we started deriving in class but didn’t finish, you might find our partial work helpful.

(d) Finally, what about the  $l$  quantum number? Take this rather extraordinary commutator as given :

$$[L^2, [L^2, \vec{r}]] = 2\hbar^2 (\vec{r} L^2 + L^2 \vec{r})$$

(I’m sure you can derive it, but not now!) Evaluate the transition matrix element

$$\langle n' l' m' | [L^2, [L^2, \vec{r}]] | n l m \rangle$$

and deduce that allowed E1 transitions — i.e., those with  $\langle n' l' m' | \vec{r} | n l m \rangle \neq 0$  — require

$$2[l(l+1) + l'(l'+1)] = [l'(l'+1) - l(l+1)]^2.$$

Finally, show that E1 transitions require  $\Delta l = \pm 1$ . This is our best evidence so far that **photons have spin 1**.

## Problem 2 : Higher Order Transitions

(a) Starting with the electric field of a plane wave,  $\vec{E}(\vec{r}, t) = E_0 \cos(\vec{k} \cdot \vec{r} - \omega t)$ , make the atomic-scale approximation  $\vec{k} \cdot \vec{r} \ll 1$  to one more order than before, so that  $\vec{E}(\vec{r})$  is not purely constant. The answer is in the footnote<sup>1</sup> as a check.

(b) Construct the corresponding time-dependent perturbation  $H'(\vec{r}, t) = V(\vec{r})e^{i\omega t} + V^*(\vec{r})e^{-i\omega t}$  for this new order of position-dependence, and show that transitions of this order (**E2 = Electric Quadrupole**) require

$$V_{fi}^{E2} \sim \langle n_f l_f m_f | (\hat{k} \cdot \vec{r}) (\hat{E}_0 \cdot \vec{r}) | n_i l_i m_i \rangle \neq 0$$

i.e. we need  $\langle n_f l_f m_f | x_a x_b | n_i l_i m_i \rangle \neq 0$  for at least one choice of components  $x_a$  and  $x_b$ .

**FYI:** Do you remember the form of the **quadrupole moment** of a charge distribution from E&M? It is a tensor with exactly such terms  $x_a x_b$  as weighting factors :  $Q_{ab} = \int dq (3x_a x_b - r^2 \delta_{ab})$  where  $r^2 = x_1^2 + x_2^2 + x_3^2$ .

<sup>1</sup>  $\vec{E}(\vec{r}, t) \approx \vec{E}_0 [\cos(\omega t) + (\vec{k} \cdot \vec{r}) \sin(\omega t)]$