

# Power Electronics

## Day 4 – Equivalent Sources, “Power Filtering” Analysis, Dc Conversion

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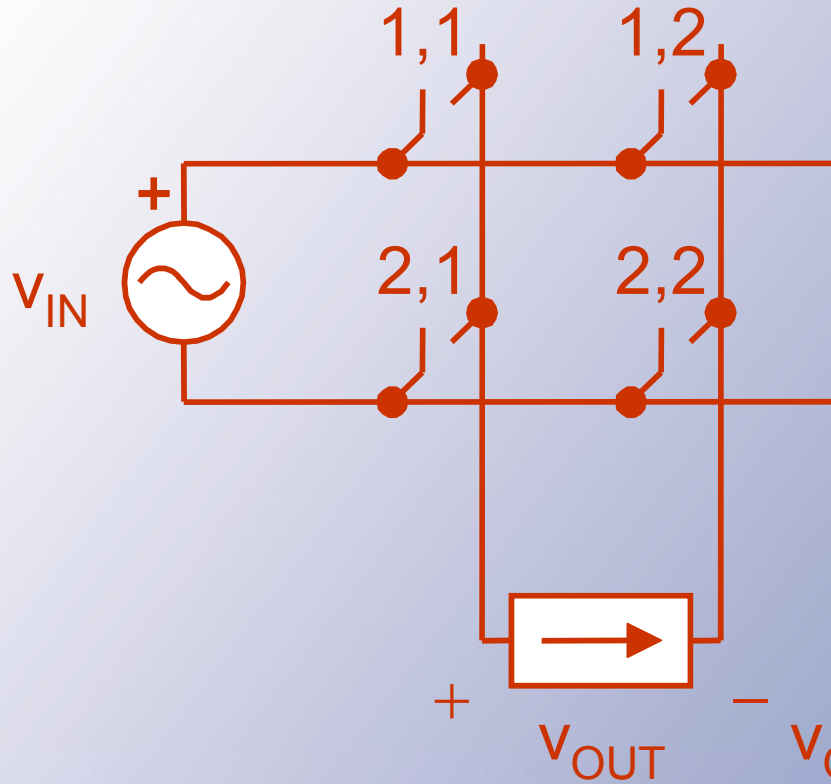


## Equivalent Sources

When a switch matrix operates to satisfy KVL and KCL, many of the waveforms become well defined.

Example: Matrix 2x2 ac voltage to dc current converter.  
The output must be  $+V_{in}$ ,  $-V_{in}$ , or zero.

## Equivalent Sources



$$V_{OUT} = \begin{cases} +v_{in} & \text{1,1 + 2,2 on} \\ -v_{in} & \text{2,1 + 1,2 on} \\ 0 & \begin{cases} \text{1,1 + 1,2 on} \\ \text{2,1 + 2,2 on} \end{cases} \end{cases}$$

## Equivalent Sources

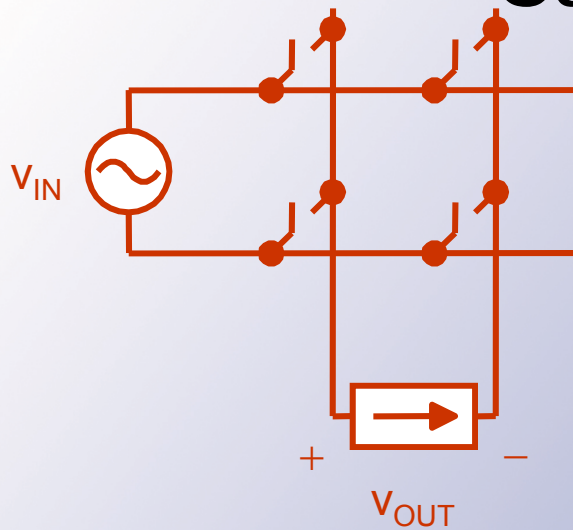
- If switch action is specified, the output waveform becomes fully determined.
- We can treat the waveform as an ideal source (with an unusual shape).



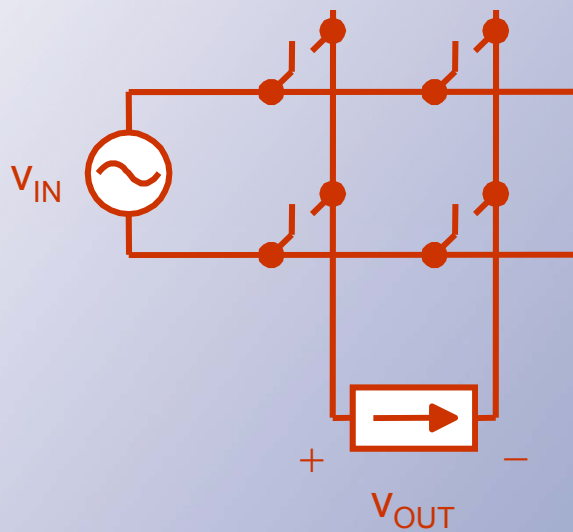
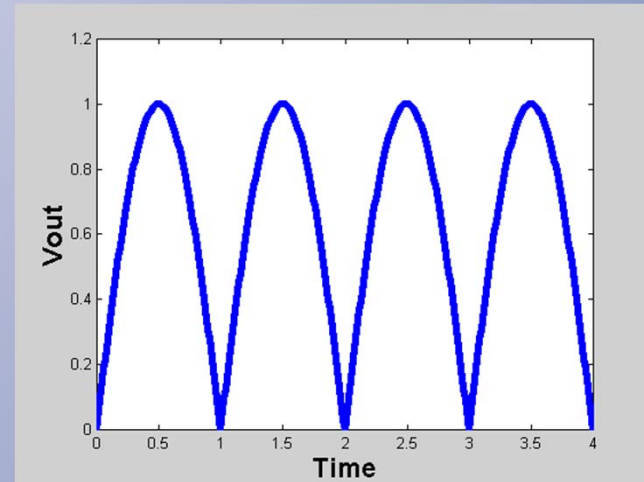
## Sample Cases

- Full-wave rectifier (Fig. 2.33)
- Phase-delayed rectifier (Fig. 2.17)
- Inverter into an ac current source (Fig. 3.5)
- 60 Hz  $3\phi$  to 60 Hz  $1\phi$  conversion
- Fig. 2.19, 60 Hz to 180 Hz

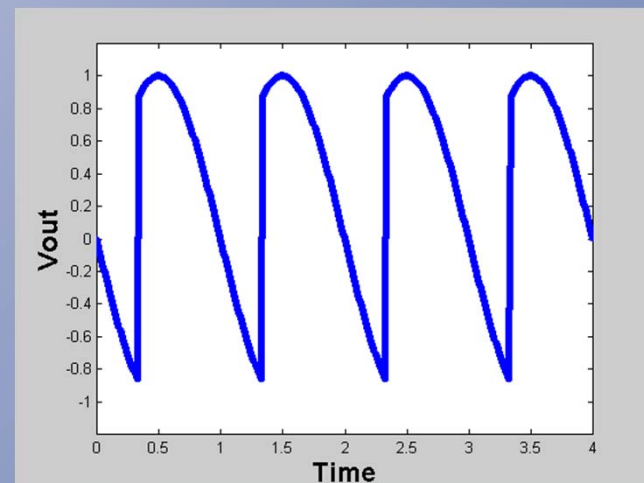
## Sample Cases



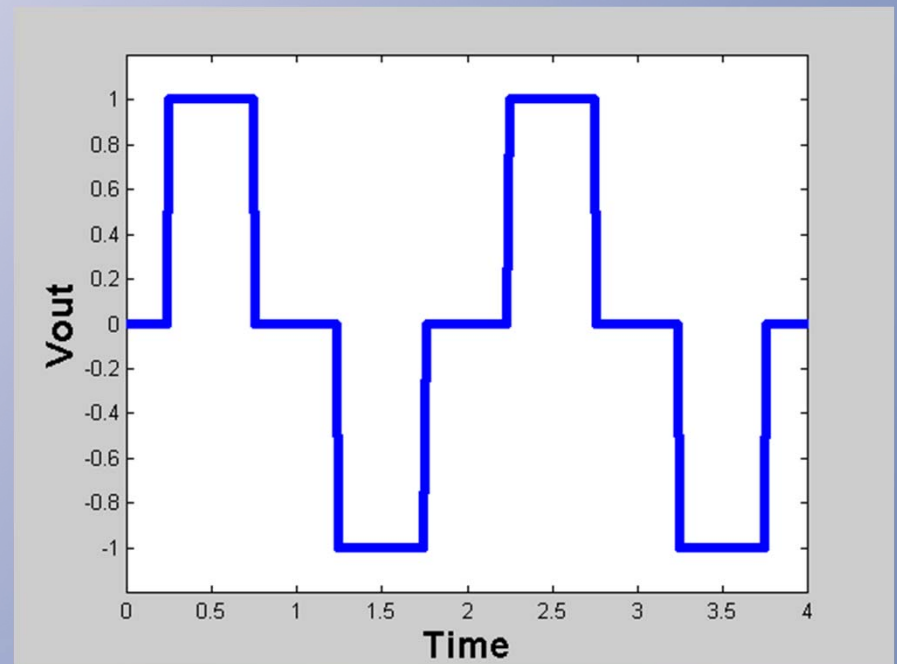
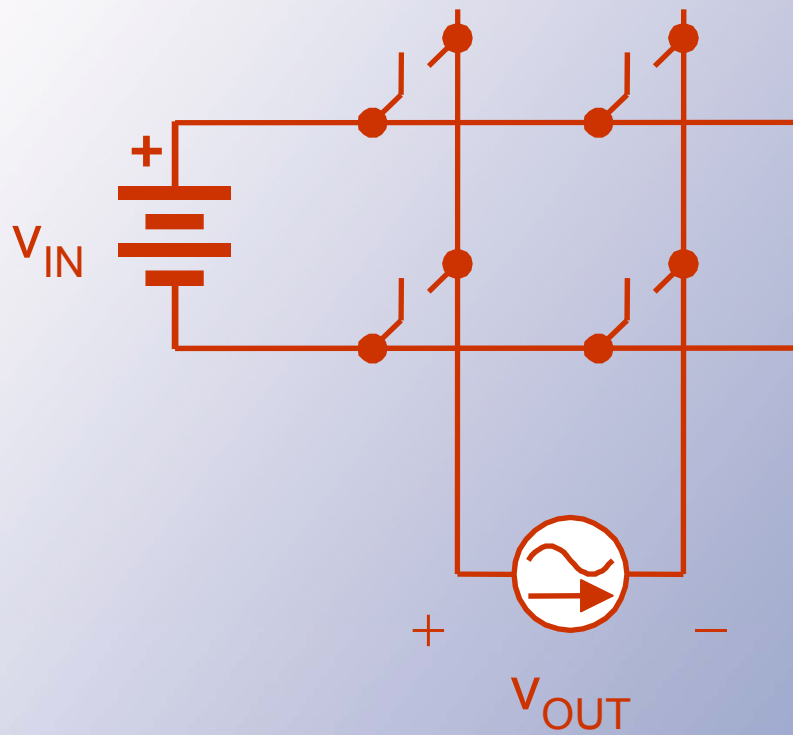
$0^\circ$  delay



$60^\circ$  delay

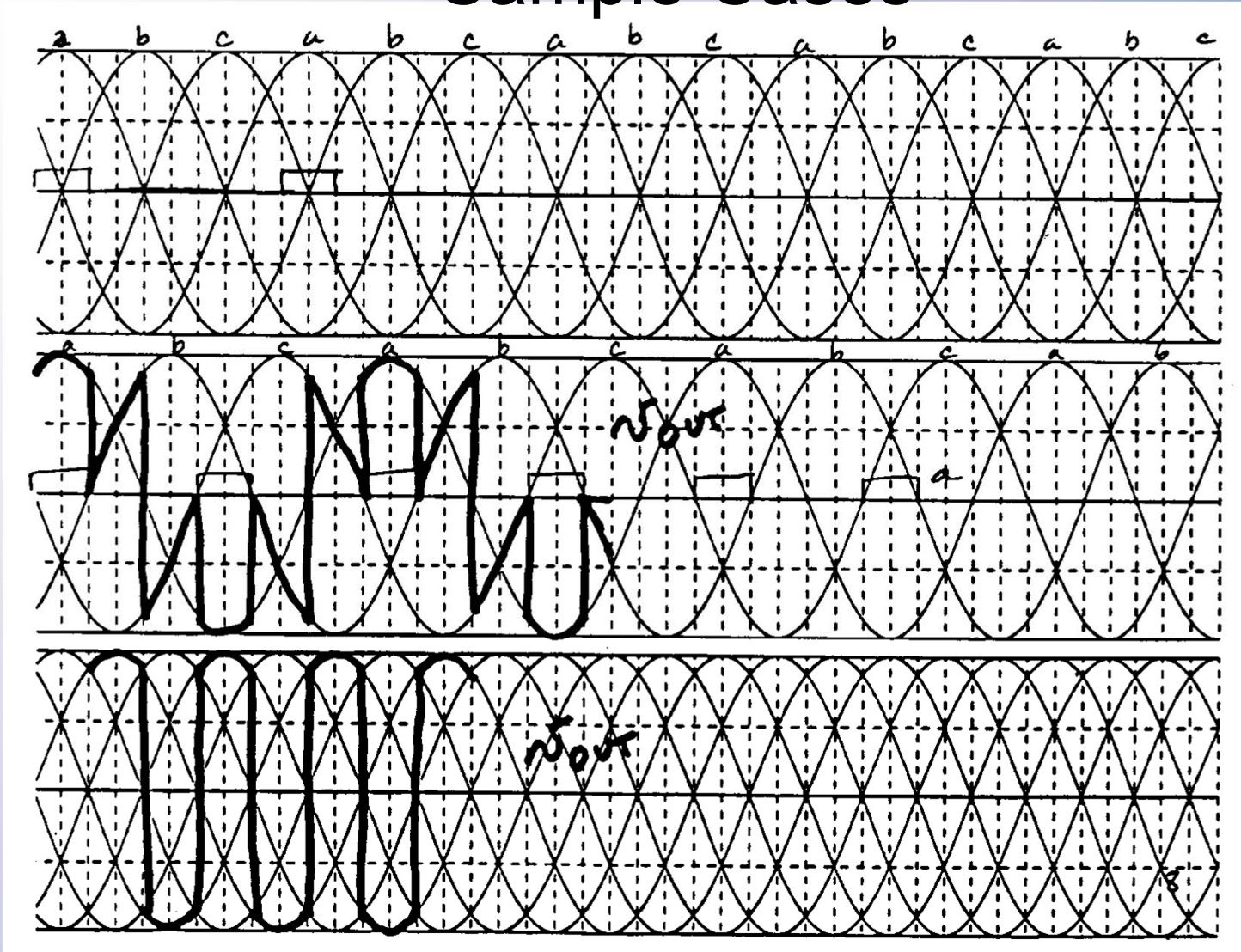


# Sample Cases





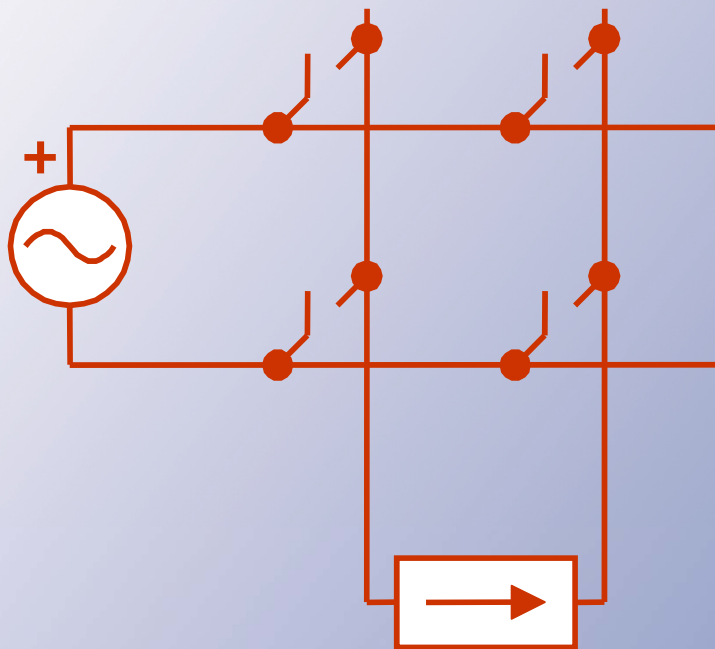
# Sample Cases





## Equivalent Sources

Any of those waveforms can be a source.





## Equivalent Sources

- Equivalent sources can be a powerful tool:
  - Many converters act like an equivalent source in a linear circuit
  - We can represent a source as a combination of Fourier components



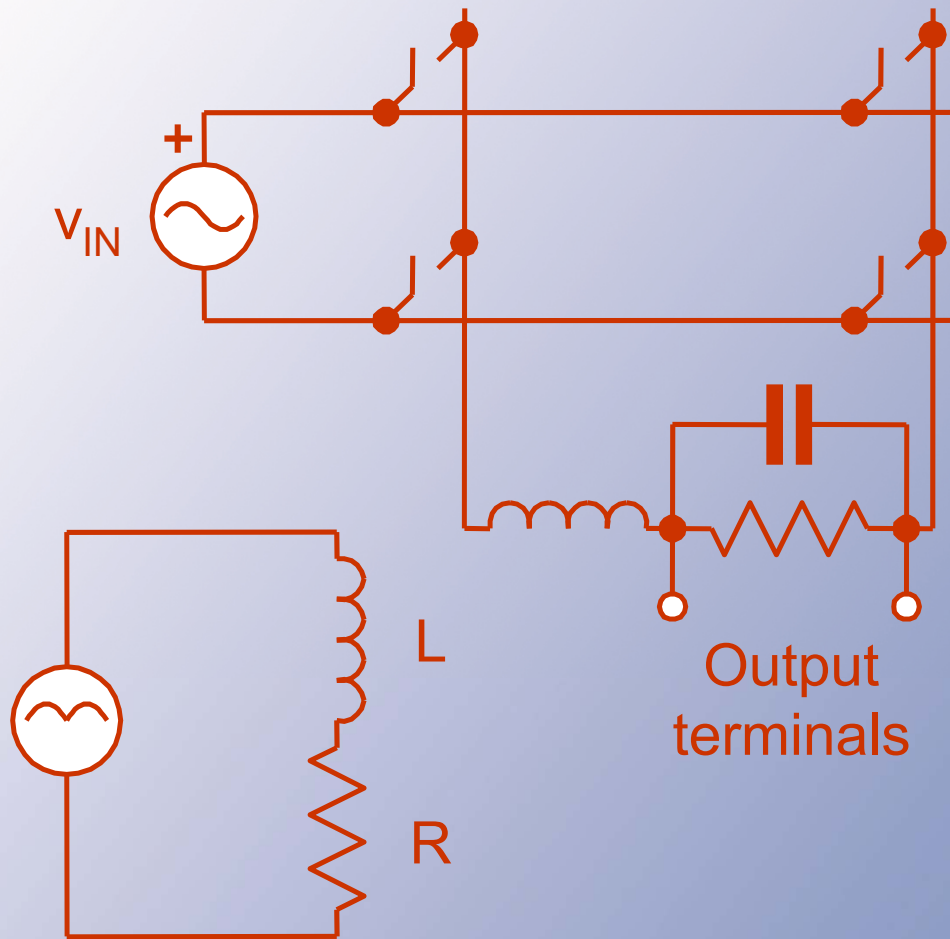
## Equivalent Sources

- With a source in a linear circuit, analysis, filter design, etc. can proceed along familiar lines.
- This is a common way to design interfaces for rectifiers and inverters.

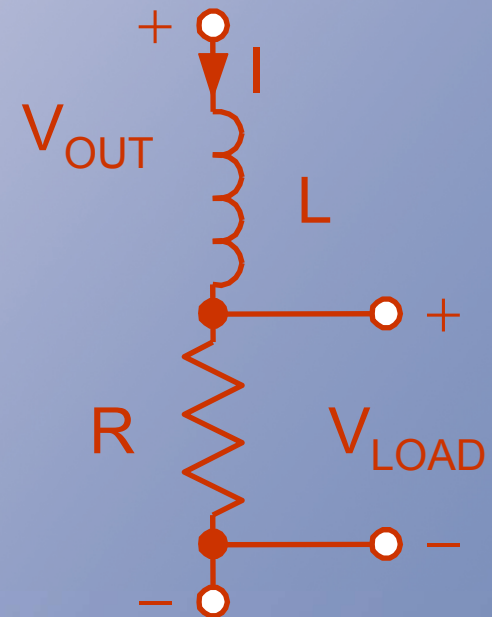


## Equivalent Sources

Example:

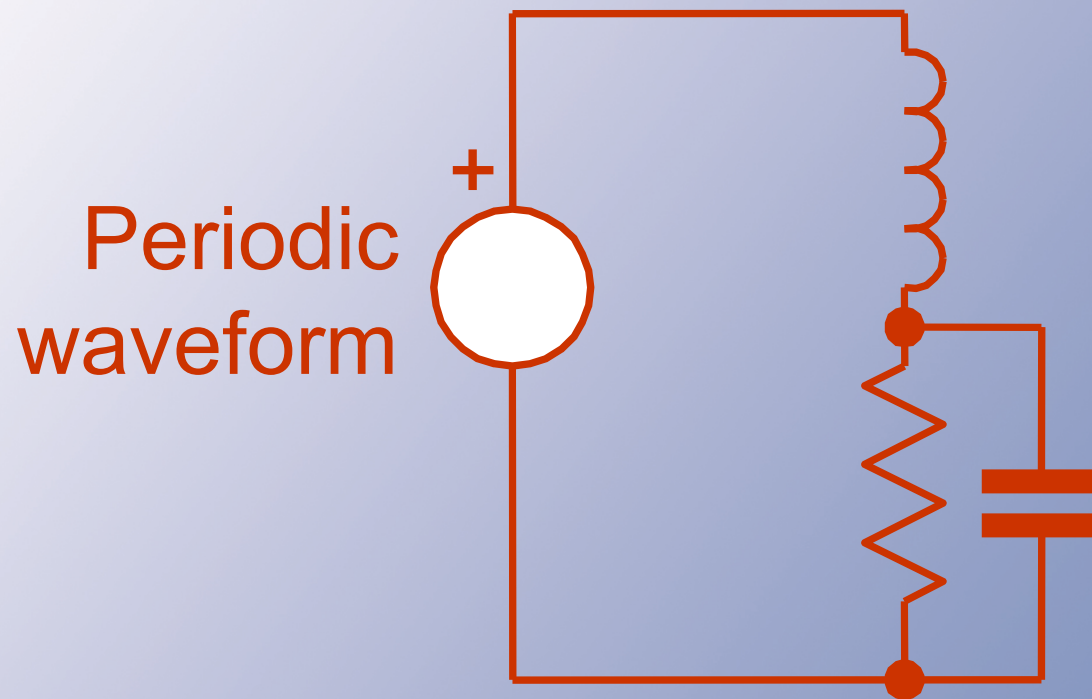


Ignore capacitor  
for a moment:



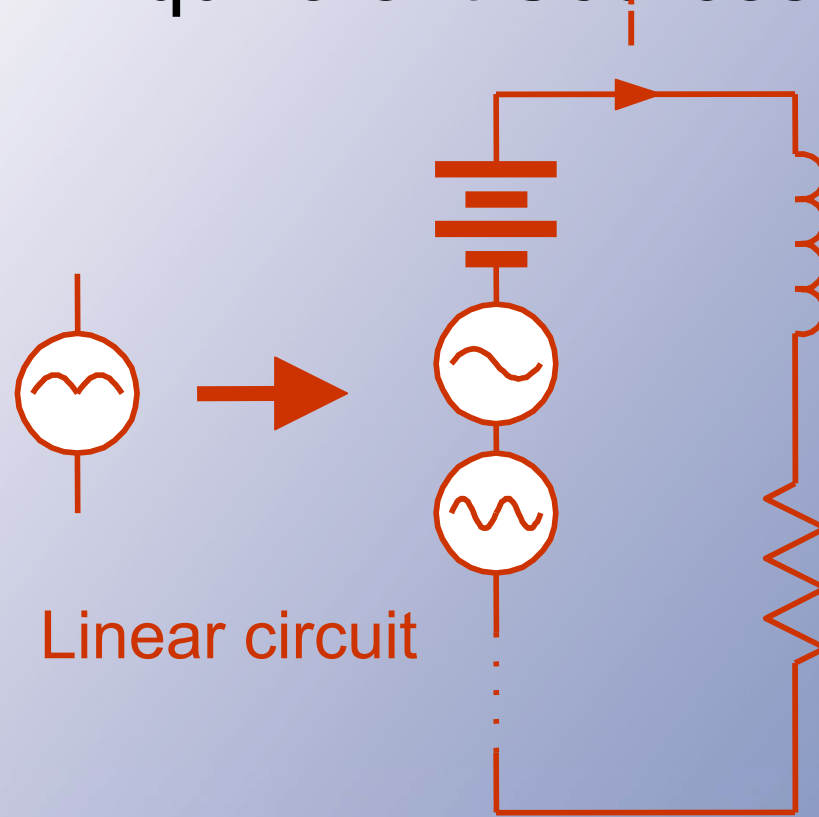
We know  $V_{OUT}$

## Equivalent Sources



We can represent the periodic waveform with a Fourier series.

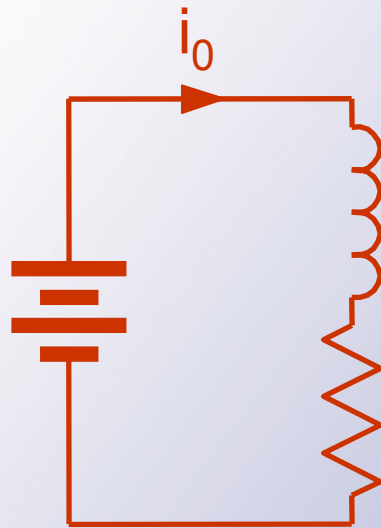
## Equivalent Sources



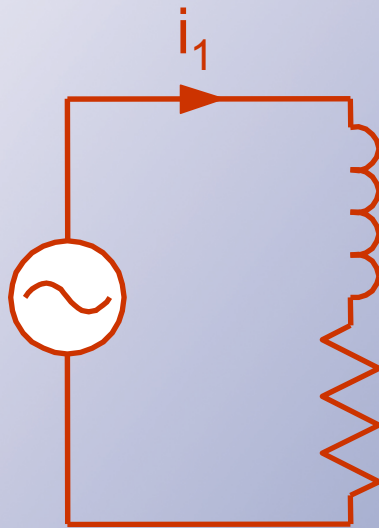
$i$  is the sum of the contributions from each of the sources. We can break up the circuit.



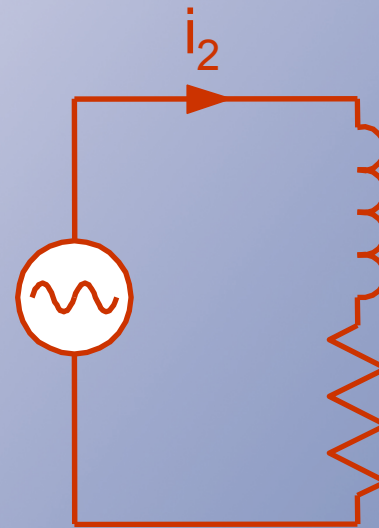
## Equivalent Sources



DC



1<sup>st</sup> harmonic



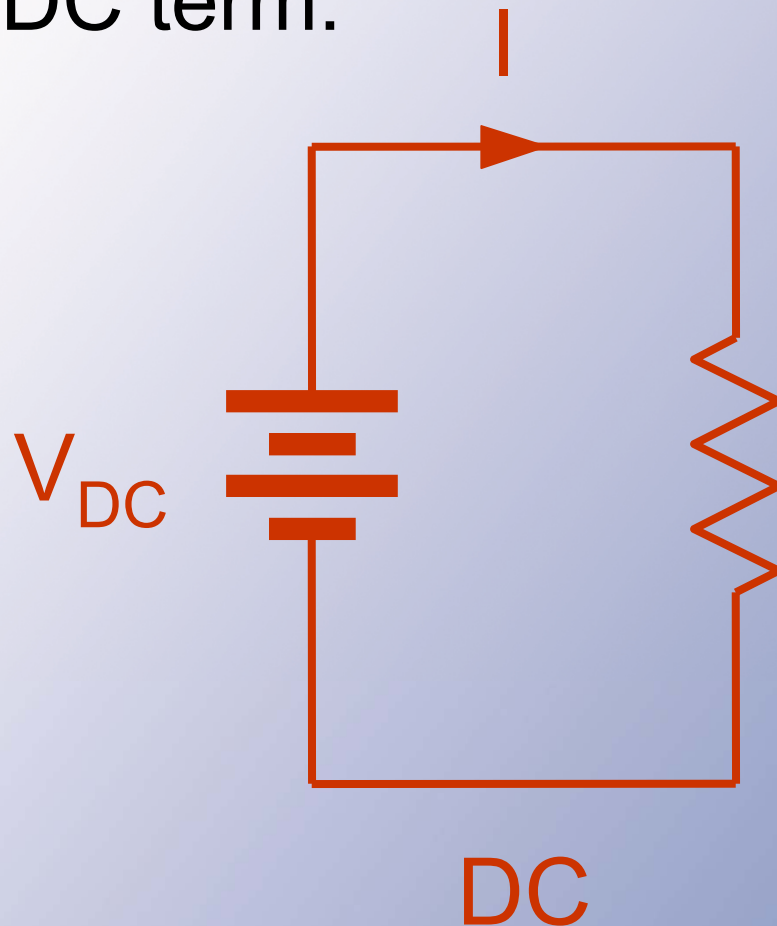
2<sup>nd</sup> harmonic

.....

$$i = \sum_{n=0}^{\infty} i_n$$

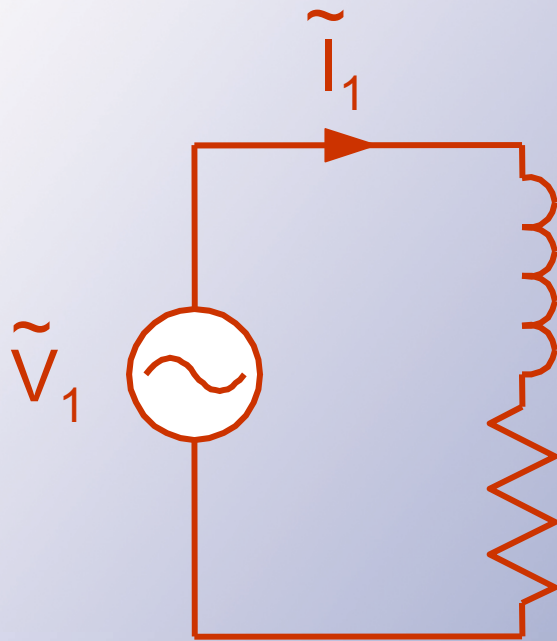
## Equivalent Sources

DC term:



$$I_{dc} = \frac{V_{dc}}{R}$$

## Equivalent Sources AC terms, based on phasor analysis.



$$\tilde{I}_1 = \frac{\tilde{V}_1}{R + j\omega_1 L}$$

Want low ripple  
→ e.g., want  $|\tilde{I}_1|$  low

Usually, Fourier terms decrease in amplitude as  $1/n$ . The fundamental is the largest.



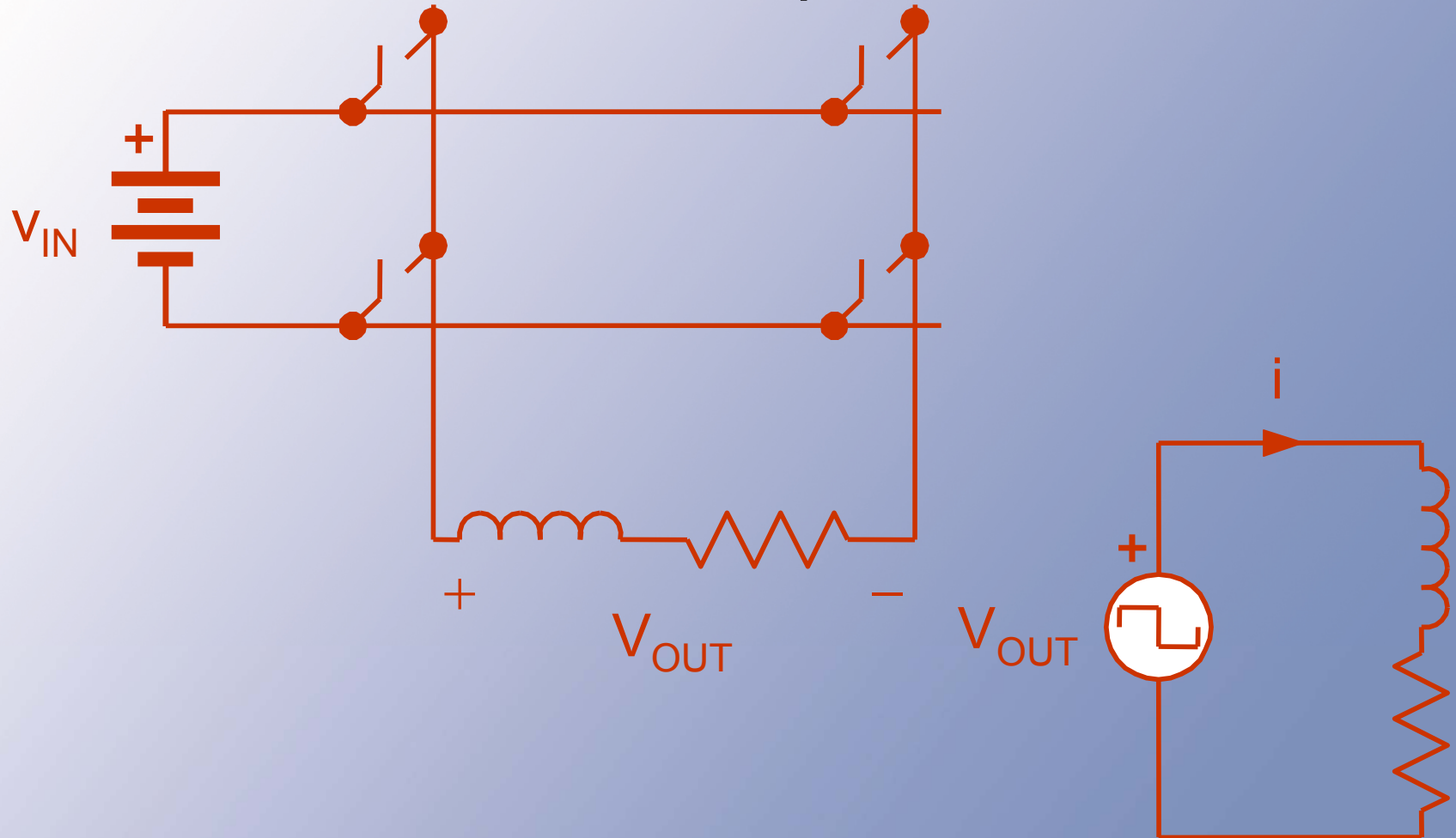
## DAY 4 START Power Filtering

- Filters (or interfaces) for converters have needs distinct from those in signal applications.
- Filters must be lossless, and impedances of sources and loads are unknown.

## Power Filtering

- Two common methods of analysis
  - Equivalent sources
  - “Ideal action” assumption

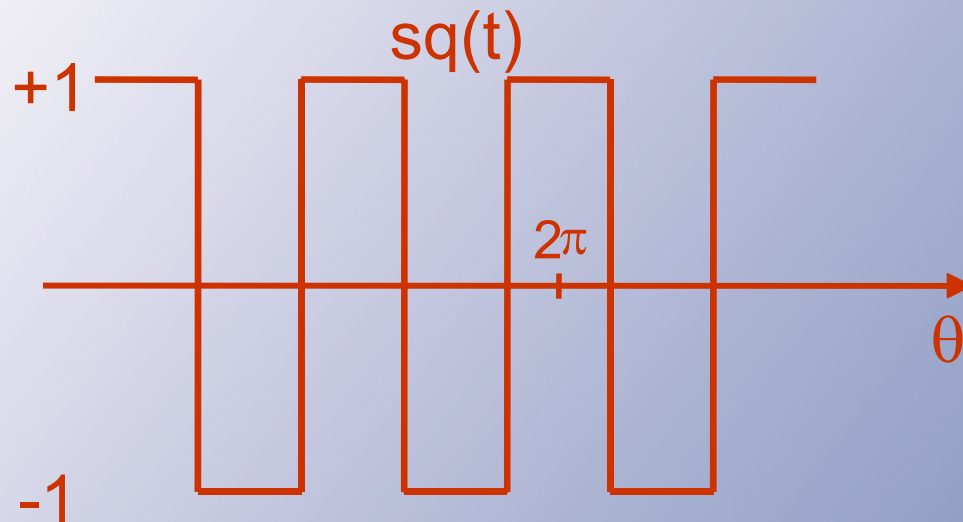
# Filter Examples





## Filter Examples

$$V_{\text{OUT}} = V_{\text{in}} \text{sq}(t)$$



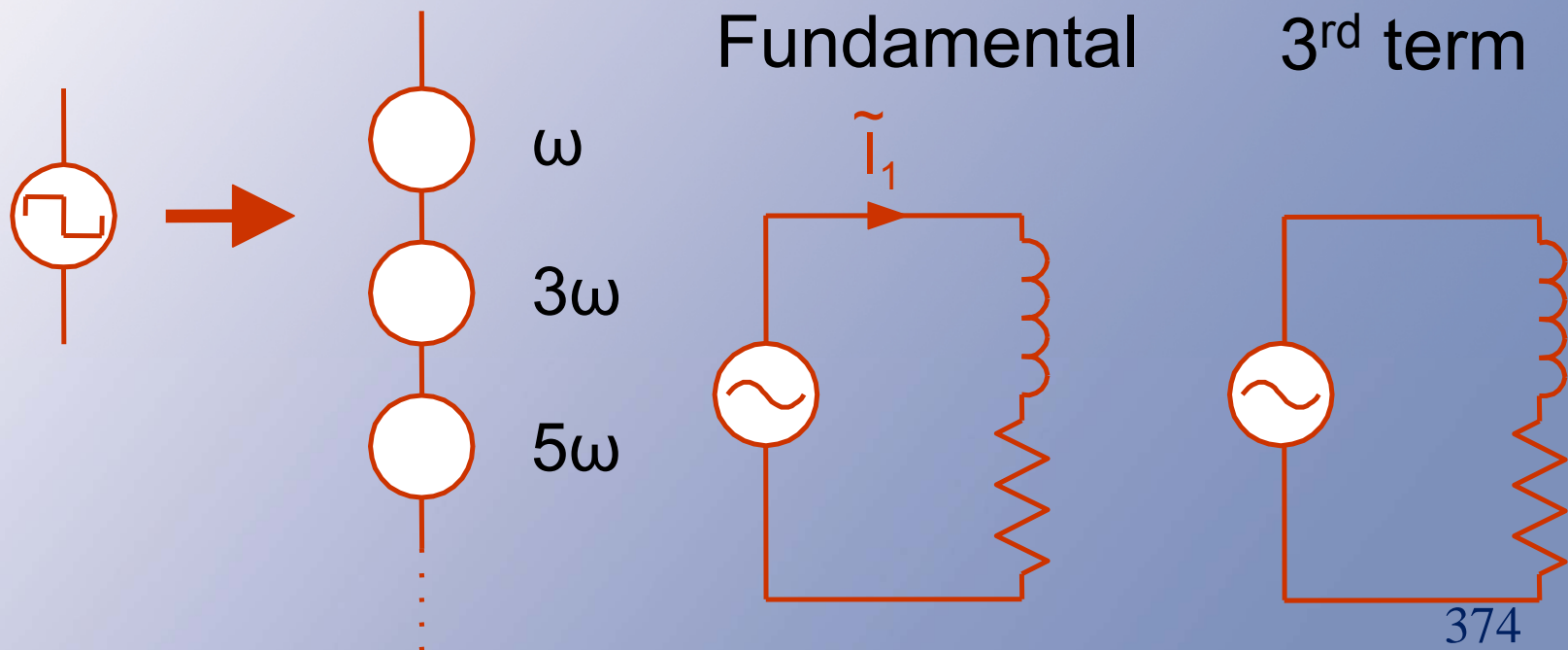
$$f = 100\text{HZ}$$

$$\text{sq}(t) = \frac{4}{\pi} \sum_{n=1}^{\infty} \frac{\sin\left(\frac{n\pi}{2}\right)}{n} \cos(n\omega t)$$

## Filter Examples

$$v_{\text{out}}(t) = \frac{4V_{\text{in}}}{\pi} \sum_{n=1}^{\infty} \frac{\sin\left(\frac{n\pi}{2}\right)}{n} \cos(n\omega t)$$

$$\omega = 2\pi 100 \text{ rad/s}$$



## Filter Examples

Look at examples based on the equivalent source method (such as Example 3.6.1).



## Ideal Action Assumption

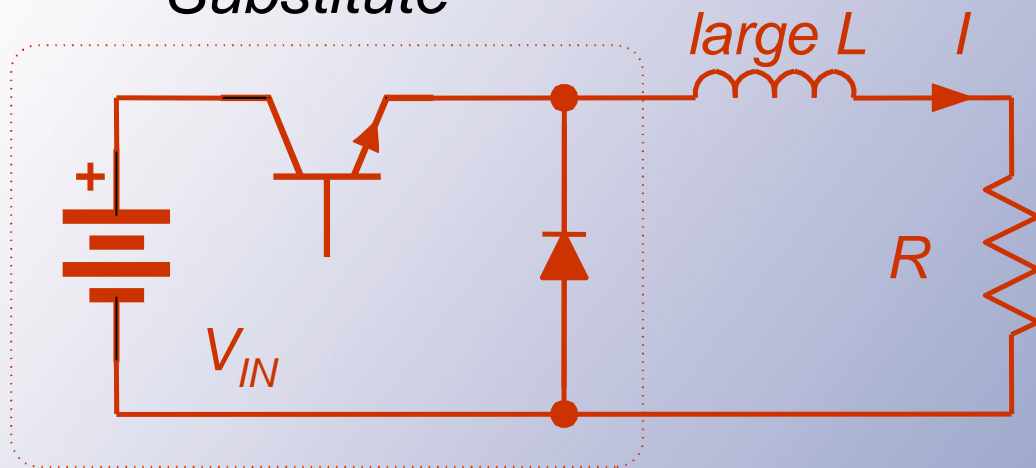
- In a power converter, we know what a filter is trying to achieve.
- Examples: low-ripple dc, ideal ac sine wave, etc.
- In general: give a large *wanted* component and small *unwanted* components.

## Ideal Action Assumption

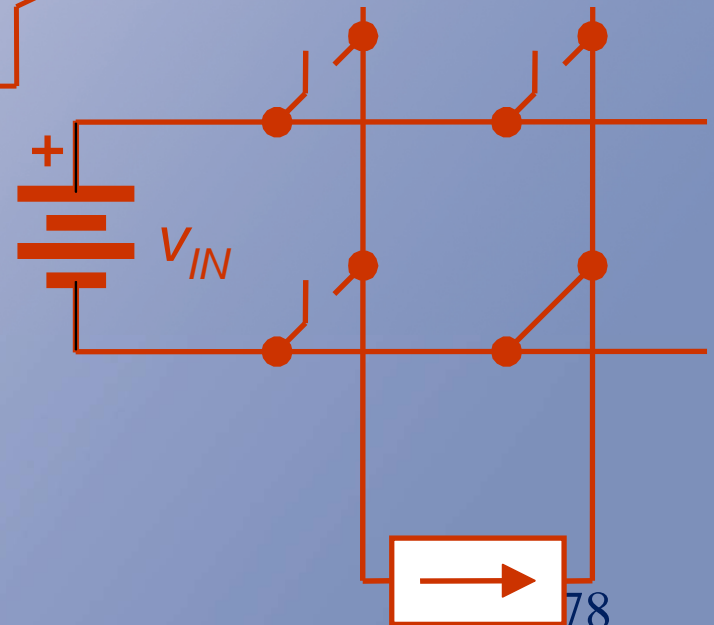
- If the filter is well-designed, it ought to work.
- If it works, we know its output.
- Now, use the “known” output with the known input to compute values.

# Filter Examples

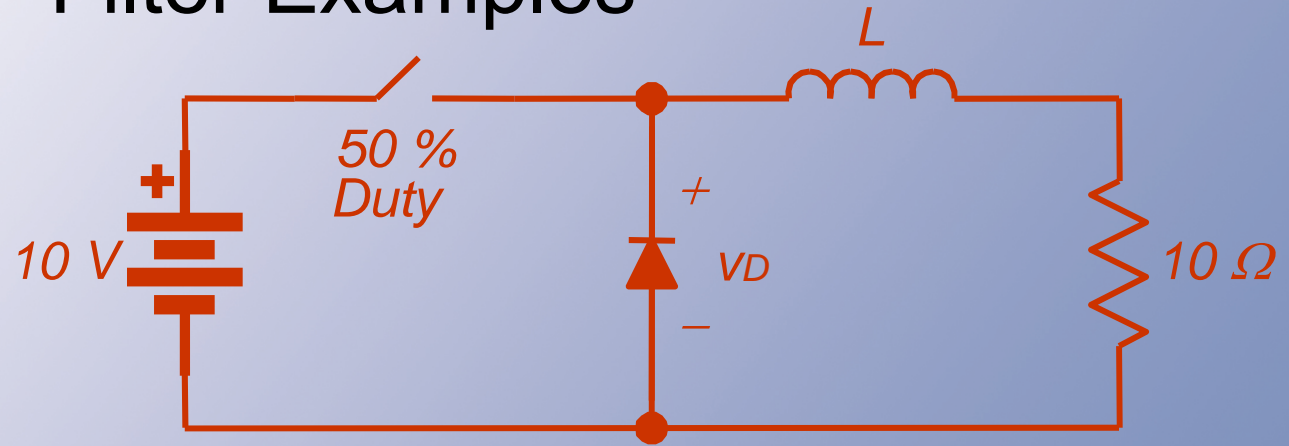
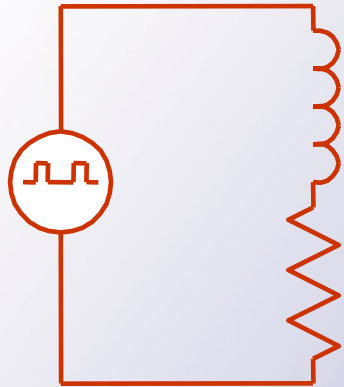
*Substitute*



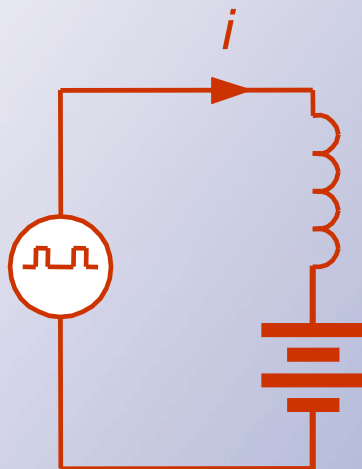
*If L is large, then I is just dc.*



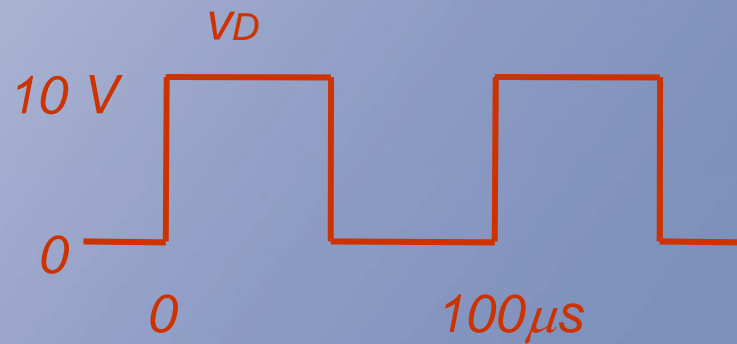
# Filter Examples



$f = 10 \text{ kHz}$

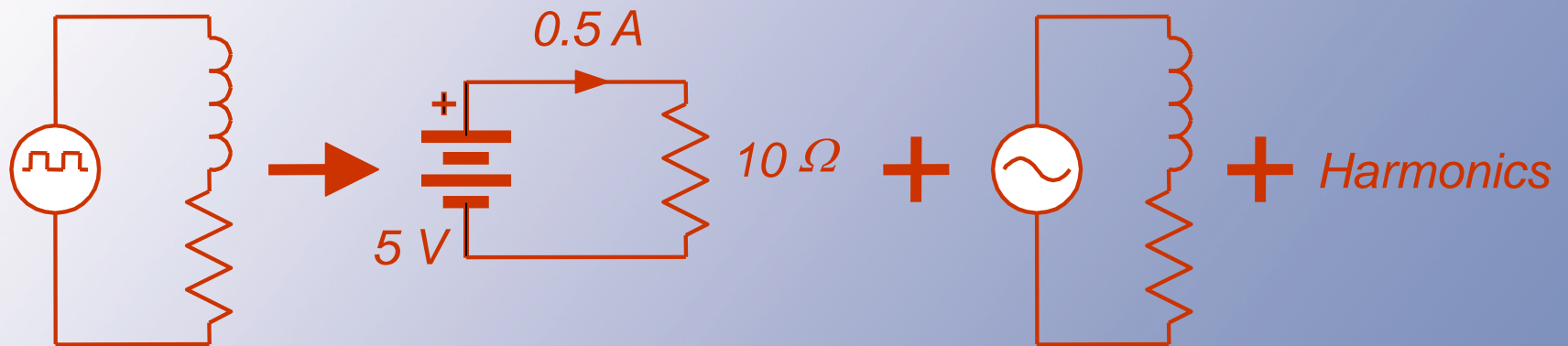


*Ideal action assumption*





## Filter Examples



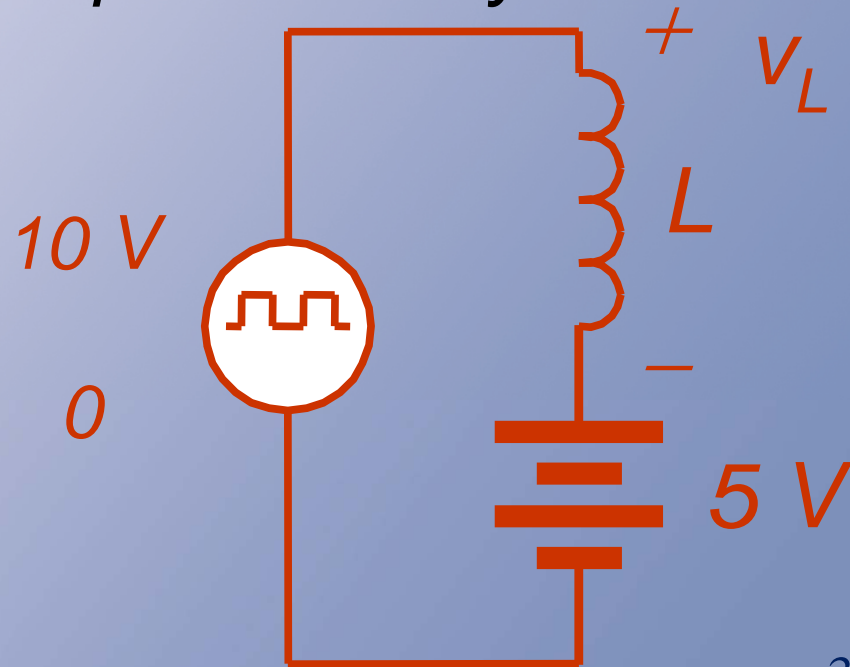
Choose  $L$  to make  $|\tilde{I}_1| < \text{Limit}$ .  
Too much work!

## Filter Examples

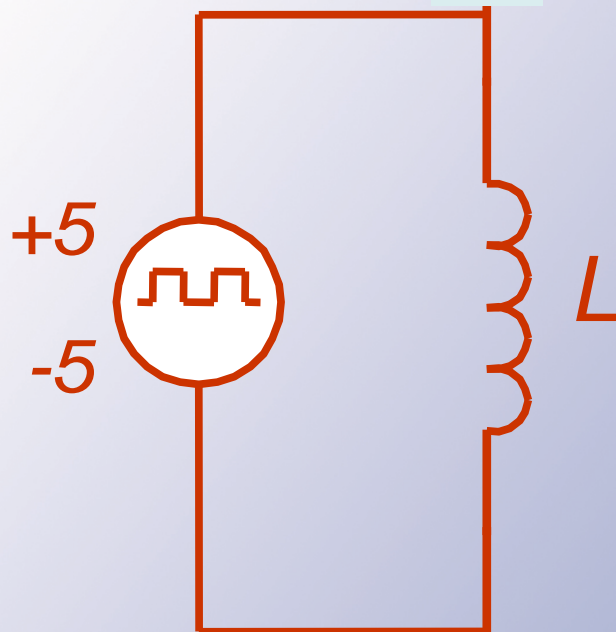
If  $L$  is large and the circuit works, the inductor current is almost constant and so is the voltage across the load resistor.

*This voltage can be represented by a constant voltage source.*

Switch on:  $V_L = 5\text{ V}$   
Switch off:  $V_L = -5\text{ V}$



## Filter Examples



$$V_L = L \, di/dt$$

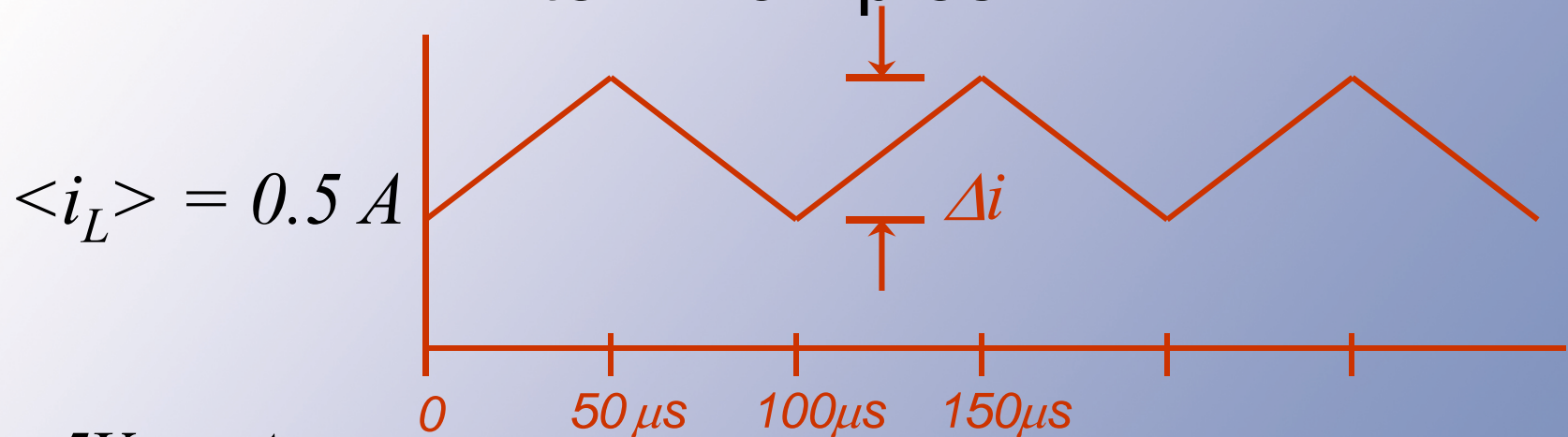
If  $V_L = 5V = L \, di/dt$

$$\begin{aligned} \frac{5V}{L} &= di/dt \\ &= \frac{\Delta i}{\Delta t} \end{aligned}$$

If  $V_L = -5V = L \, di/dt$

$$\begin{aligned} \frac{-5V}{L} &= di/dt \\ &= \frac{-\Delta i}{\Delta t} \end{aligned}$$

## Filter Examples



$$\frac{5V}{L} = \frac{\Delta i}{\Delta t}$$

$$= \frac{\Delta i}{50 \mu\text{s}}$$

$$\Delta i = \frac{5V}{L} \times 50 \mu\text{s}$$

Choose  $L$  to make  $\Delta i = 0.005 \text{ A}$



## Filter Examples

$$0.005 A = \frac{5V}{L} \times 50 \times 10^{-6}$$

$$L = \frac{250 \times 10^{-6}}{5 \times 10^{-3}}$$

$$L = 0.005 H$$

$L \geq 5 \text{ mH}$  makes  $\Delta i \leq 0.005 A$

## Results and Comments

- Since we know the objective of our filters, it is reasonable to design them based on the assumption that the objective is met!
- This simple expedient is a very effective simplifying step.

## Results and Comments

- The *ideal action assumption* works better than one might expect.
- We will analyze this as we build up converter designs.

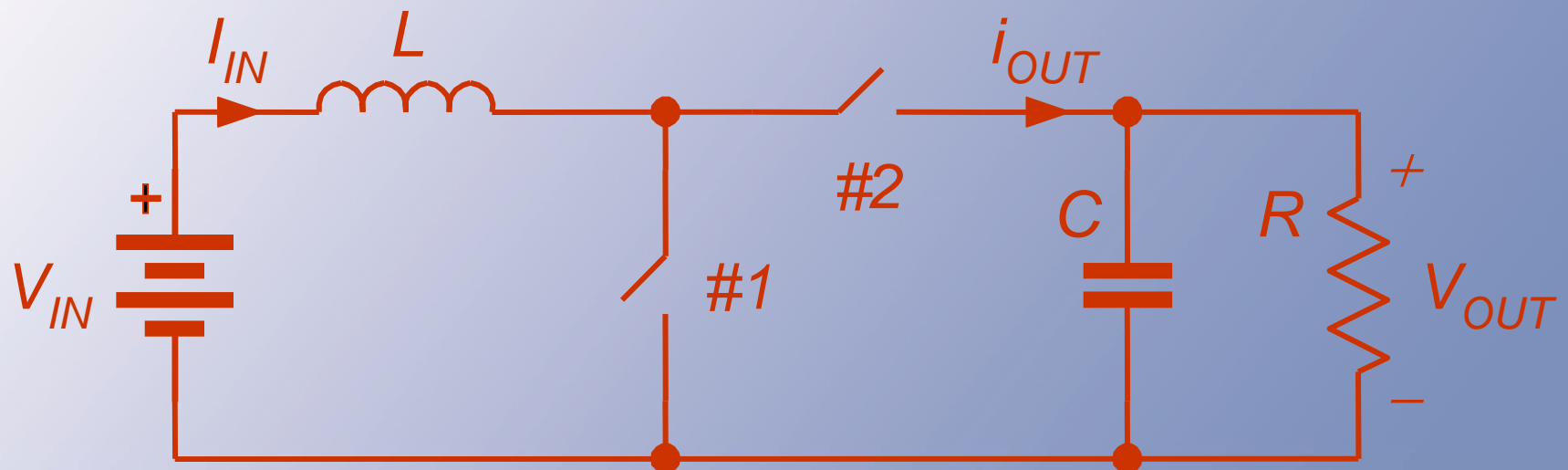
## Summary So Far

- We can analyze the quality of a converter output.
- **Equivalent sources** give us a way to deal with the **interface problem**.
- The **ideal action assumption** helps considerably with **design**.



## Filter Example

- Consider a converter, shown, with switch #1 duty ratio at 3/4.



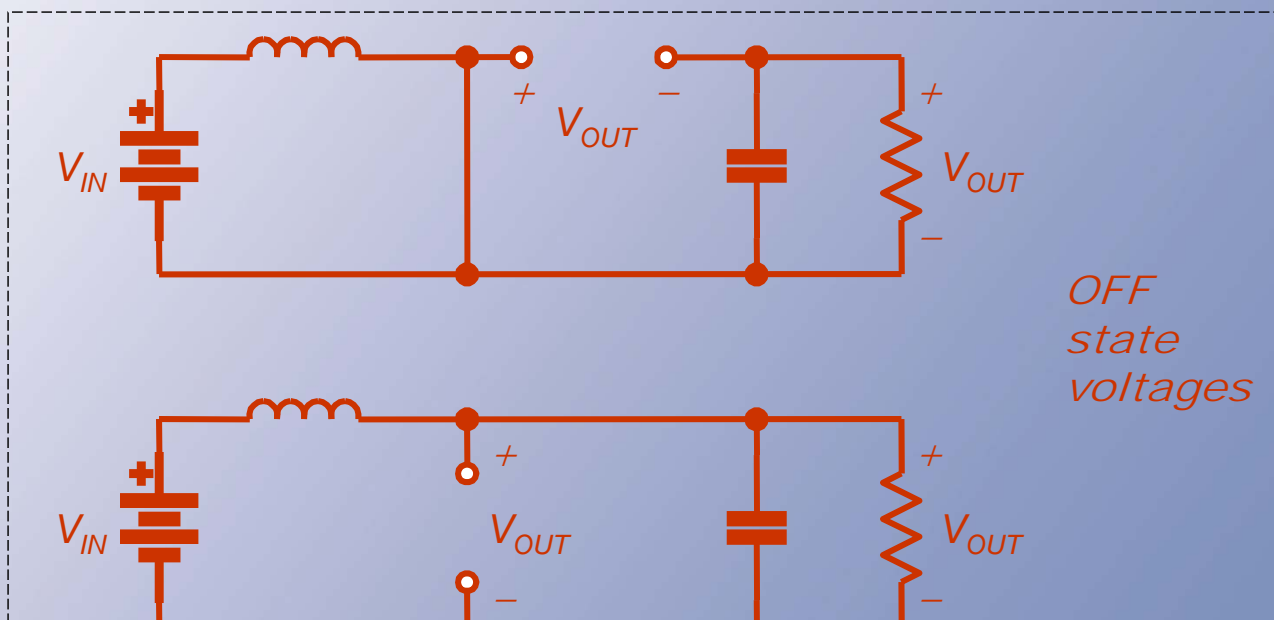
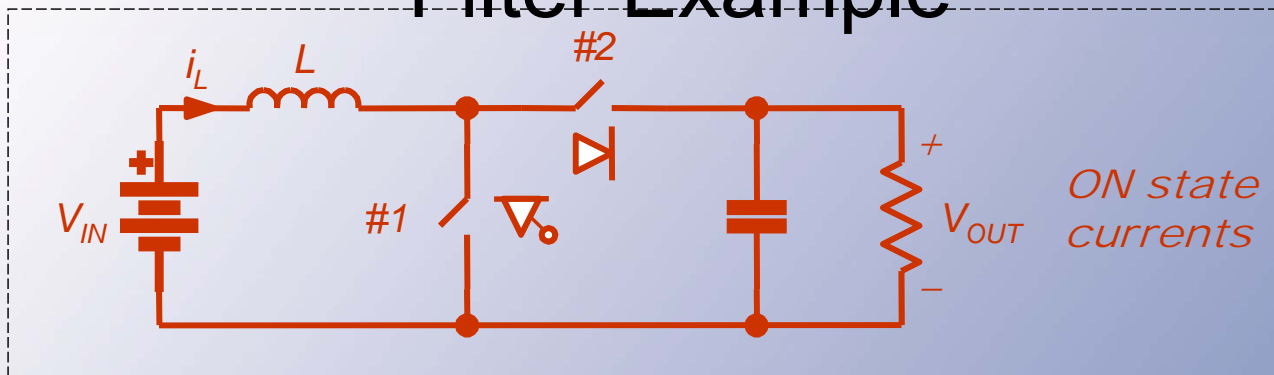


## Filter Example

- Let the switching frequency be 200 kHz,  $L = 1 \text{ mH}$ ,  $C = 10 \text{ } \mu\text{F}$ ,  $R = 10 \text{ } \Omega$ ,  $V_{\text{in}} = 5 \text{ V}$ .
- By KVL and KCL, the switches need to alternate.
- We can determine the device types.

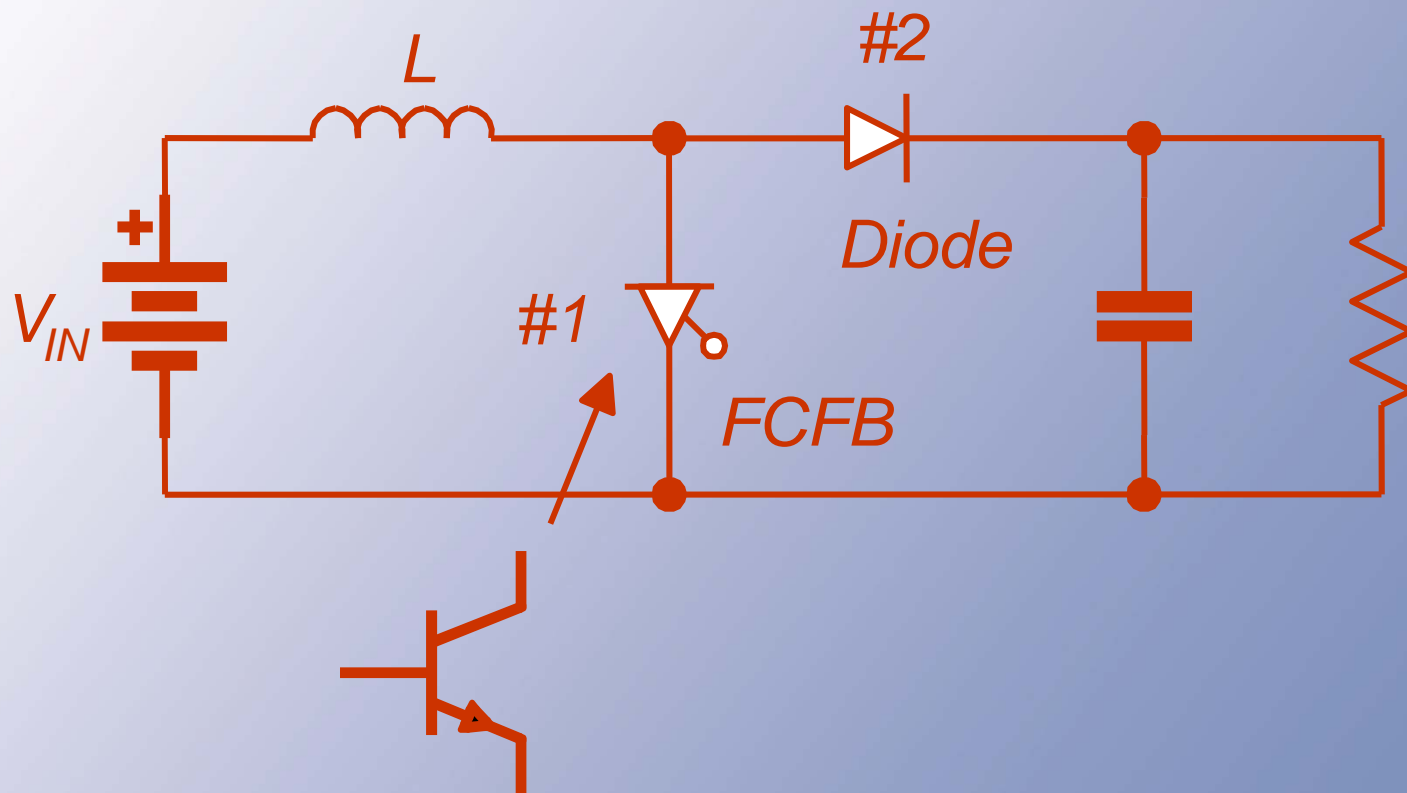


# Filter Example





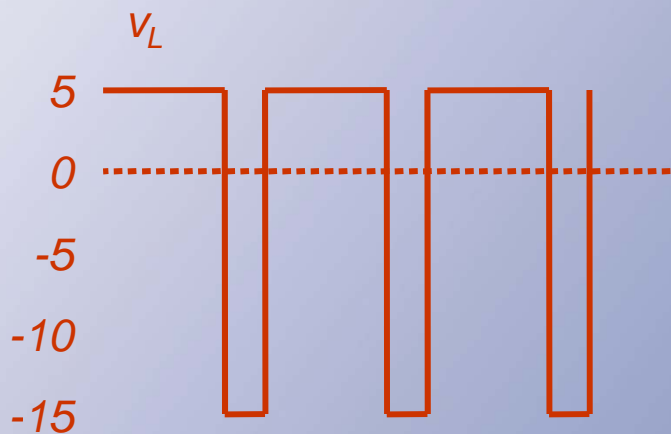
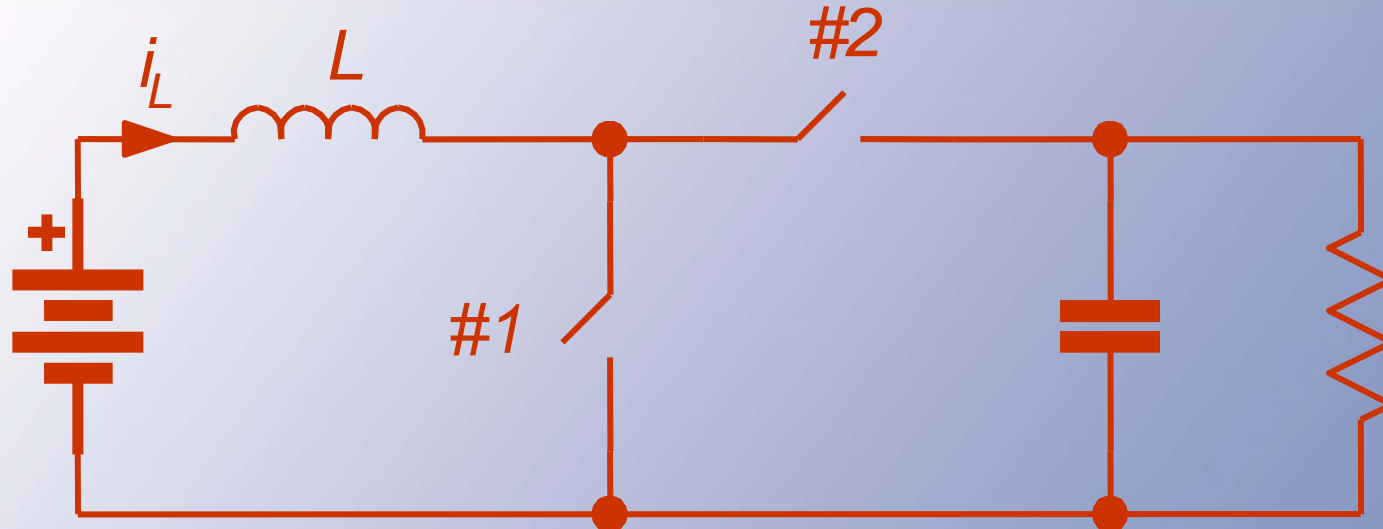
## Filter Example







## Load Current



$$\langle v_L \rangle = 0$$



## Energy Balance

- With switch #1 on, the input energy to the inductor is  $(V_{in})(i_L)(3T/4)$ . With switch #2 on, the input is  $(V_{in} - V_{out})(i_L)(T/4)$ .
- The total must be zero. This requires  $V_{out} = 4 V_{in} = 20 \text{ V}$ .



## Load Current

- The load current is 2 A, and the load power is 40 W.
- The average input current must be  $(40 \text{ W})/(5 \text{ V}) = 8 \text{ A}$ . This is  $i_L$ .



## Current Ripple

- If the inductor and capacitor are large (we will check this), then  $i_L$  and  $V_{out}$  are nearly constant.
- The inductor sees 5 V when #1 is on, so its current increases for 3.75  $\mu$ s.





## Current Ripple

- The inductor sees  $5\text{ V} - 20\text{ V} = -15\text{ V}$  when switch #1 is off, and the current falls for  $1.25\text{ }\mu\text{s}$ .
- During the rise,  $v_L = 5\text{ V} = L\text{ di}/\text{dt}$ , but the rise is linear over  $3.75\text{ }\mu\text{s}$ , so  $(5\text{ V})/L = \Delta i/\Delta t$ ,  $\Delta t = 3.75\text{ }\mu\text{s}$ .



## Current ripple

With a 1 mH inductor, this means

$$\Delta i = (5 \text{ V})(3.75 \text{ us}) / (1 \text{ mH}),$$

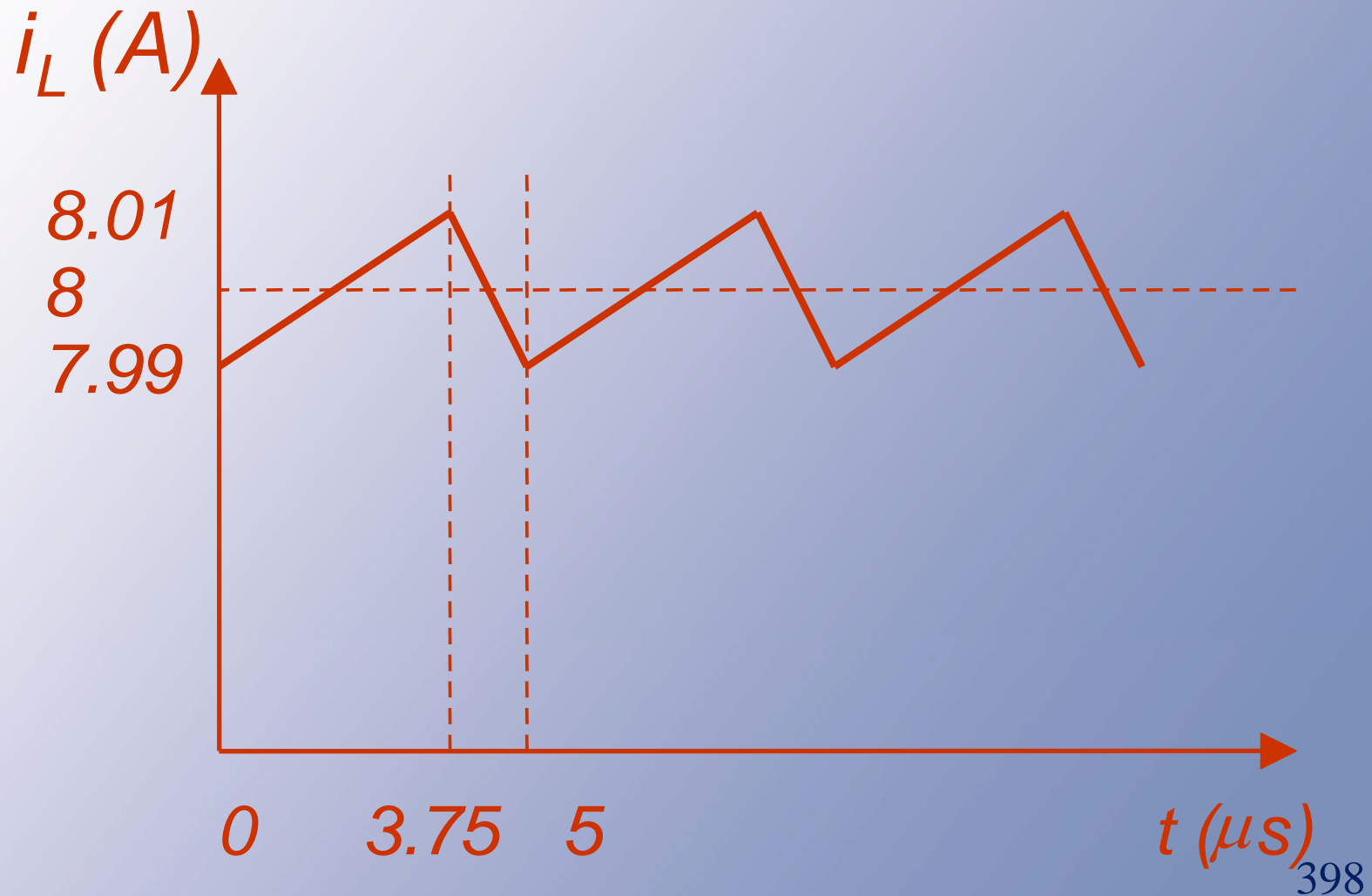
$$\Delta i = 0.0188 \text{ A}.$$

This is less than 0.25% of  $i_L$ .

Check the current fall. Does it match?

Why?

# Current ripple

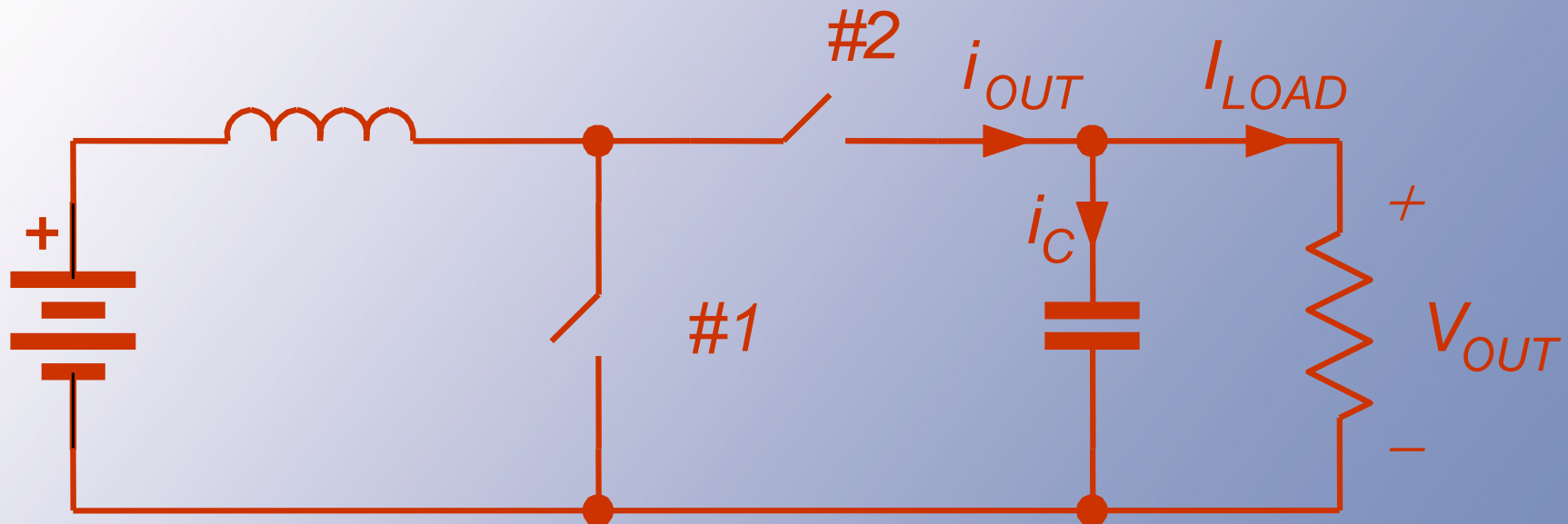


## Voltage Ripple

- We can do the same thing to find ripple on the output capacitor.
- The capacitor current is known:  
With switch #2 off, the resistor draws out 2 A. With switch #2 on, the current is  $8\text{ A} - 2\text{ A} = 6\text{ A}$ .



## Voltage Ripple



- $i_C$  is fully determined.
- #2 off :  $i_C = -2$  A  $v_C$  decreases
- #2 on :  $i_C = i_L - 2 = 8 - 2 = 6$  A  $v_C$  increases

## Voltage Ripple

- Thus  $i_C = 6 \text{ A}$  for  $1.25 \text{ us}$ , and  $-2 \text{ A}$  for  $3.75 \text{ us}$ .
- Since  $i_C = C \, dv/dt$  gives linear voltage ramps, the voltage rises when  $i_C = 6 \text{ A}$ :  
 $(6 \text{ A})/C = \Delta v/\Delta t$ .
- The time involved is  $1.25 \text{ us}$ .

## Voltage Ripple

- $(6 \text{ A})(1.25 \text{ } \mu\text{s}) / (10 \text{ } \mu\text{F}) = \Delta v = 0.75 \text{ V}.$
- This is 3.75% of the 20 V dc level.
- Not perfect, but still very nearly constant.
- Thus with switching frequency of 200 kHz,  $L = 1 \text{ mH}$ ,  $C = 10 \text{ } \mu\text{F}$ ,  $R = 10 \text{ } \Omega$ ,  $V_{\text{in}} = 5 \text{ V}$ , we get 20 V out and 3.75% peak-to-peak output ripple.

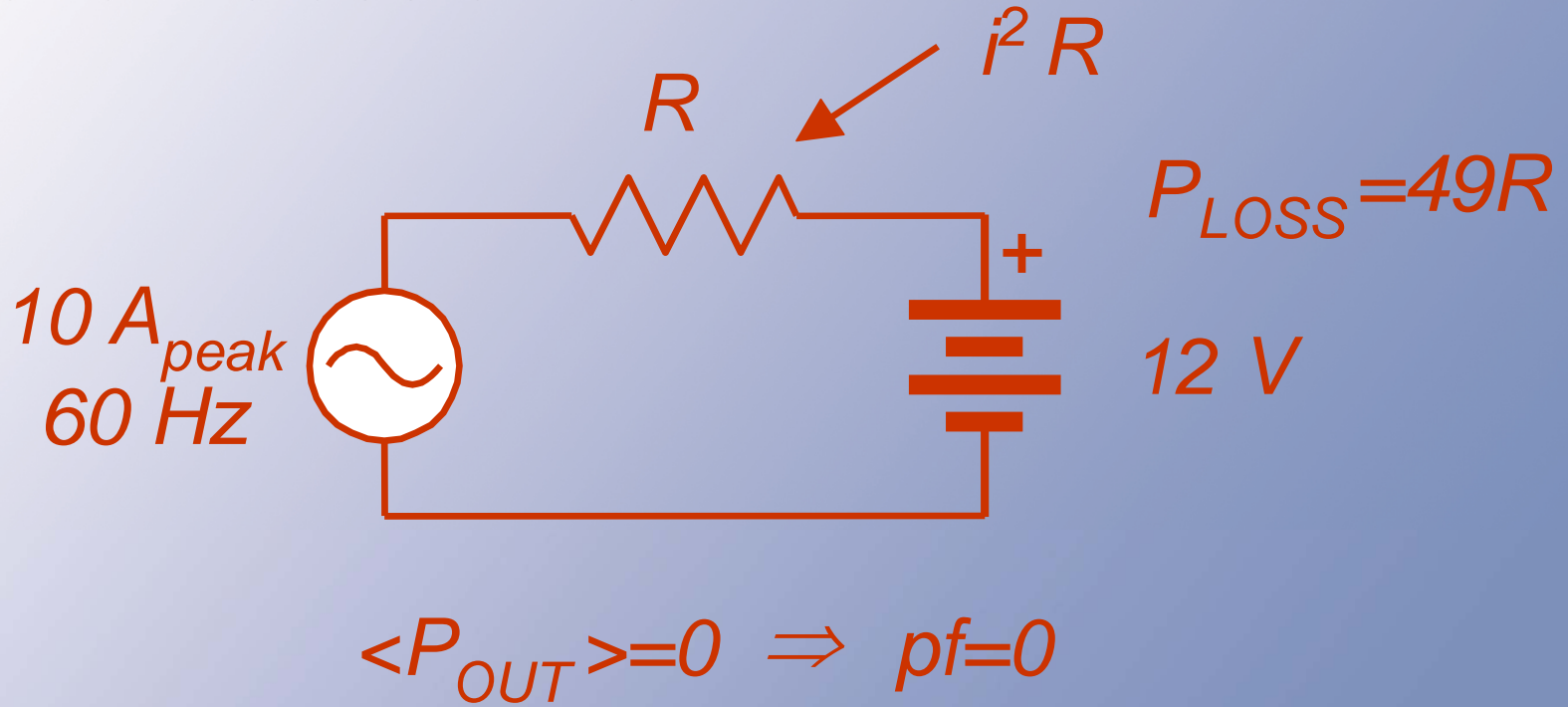
## Power Factor

- A conventional measure in utility systems is *power factor* -- the fraction of energy flow that does useful work.
- Recall that cross-frequency terms do not contribute  $\langle P \rangle$ .
- But, the cross terms *do* require current and voltage.
- The extra current means extra  $I^2R$  loss, and should be avoided is possible.



## Power Factor

Capture fraction of energy flow that performs useful work.



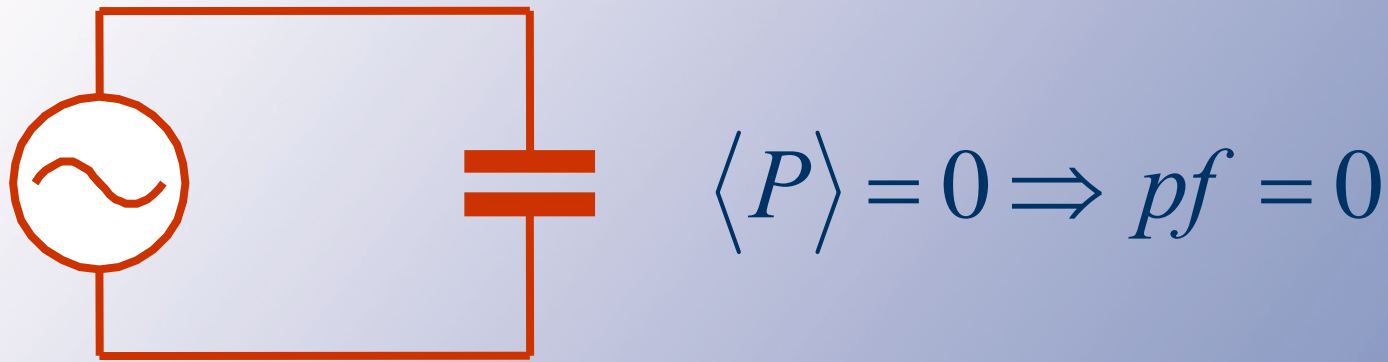
## Power Factor

- Power factor is defined by

$$pf = \frac{\langle P \rangle}{V_{RMS} I_{RMS}} \leq 1$$

- Ideally, this is 1. When harmonics or phase shifts are present, it is less than 1.
- $pf$  can be less than 1 even in a linear circuit, but it is never greater than 1.

## Power Factor Example



Two contributions to the pf : “Distortion power” and “Displacement power.” The “*displacement factor*.”

$$df = \frac{\langle P \rangle}{V_{RMS1} I_{RMS1}} = \cos(\theta_1)$$



## Power Factor Issues

- $pf$  is often divided into a phase effect at the wanted frequency (*displacement power*, with a *displacement factor*), and a distortion effect at unwanted frequencies.
- $pf < 1$  causes extra loss, and limits flow capabilities.





## Power Factor Issues

Why do we want  $pf = 1$  ?

1) Minimizes system loss. Maximizes “device utilization.”

2) Gives more available power.

120 V,                      12 A

$pf = 1 \quad \rightarrow \quad 1440 \text{ W}$

$pf = 0.5 \quad \rightarrow \quad 720 \text{ W}$

3) Examples

Rectifiers can have  $pf \sim \underline{0.3}$



## Dc-Dc Converters

- We would like to have a dc transformer -- a device with  $P_{in} = P_{out}$  and  $V_{out}/V_{in} = a$ .
- Magnetic transformers cannot handle dc, but the dc transformer is still a valid concept.
- Our objective in dc-dc converter design is to approach a dc transformer as best we can.



## Dc Transformers

- We would like to have a box like this, for DC.



$$P_{in} = V_{in} I_{in} = P_{out} = V_{out} I_{out}$$

$$\frac{V_{out}}{V_{in}} = a \quad \frac{I_{in}}{I_{out}} = a$$



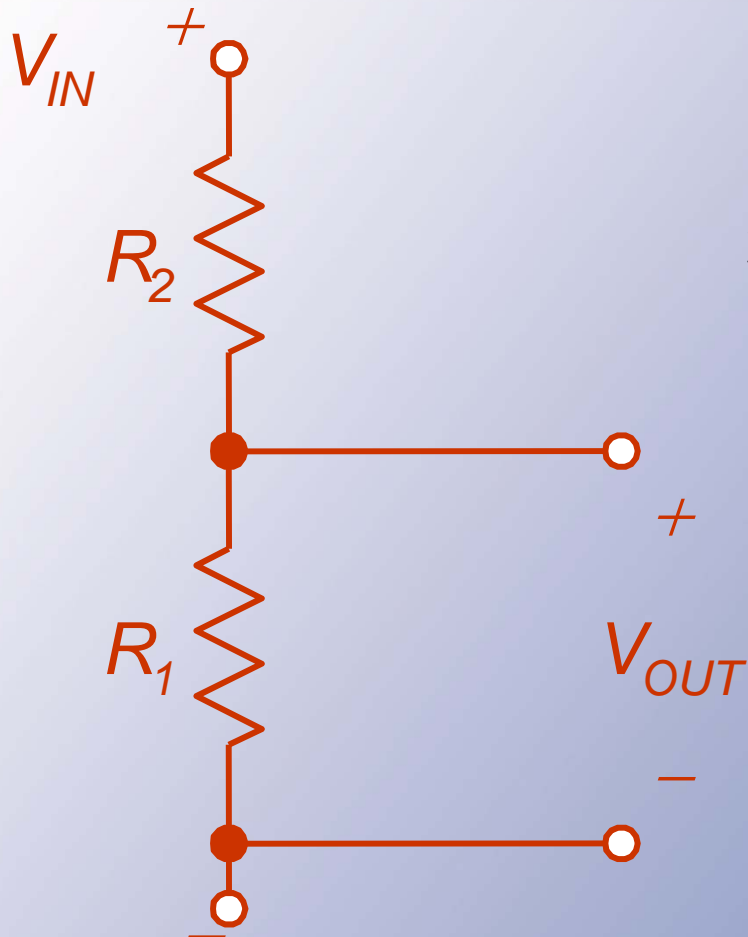
## Dividers?

- We might try a voltage divider.
- Two problems:
  - No regulation
  - Losses within the “converter”





## Dividers?



$$\eta = \frac{P_{out}}{P_{in}}$$

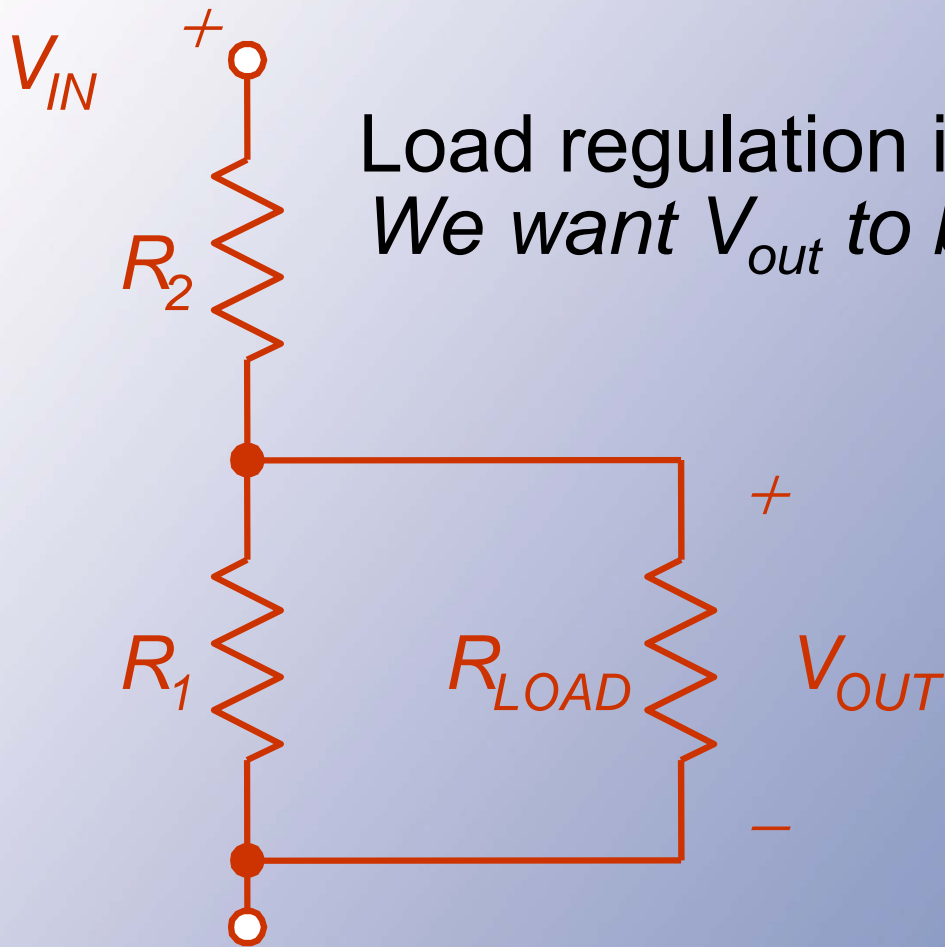
$$+ \text{ No load: } V_{out} = \frac{R_1}{R_1 + R_2} V_{in}$$

$$- \text{ If } P_{out} = 0, \text{ then } \eta = 0$$



## Dividers?

Load regulation issue.  
*We want  $V_{out}$  to be almost constant.*





## Dividers?

- The load regulation problem can be addressed through **excess loading**:
- Make the divider input draw so much power that the load power causes no change.



## Divider Efficiency

- Instead, if somehow all output power is delivered to the load (best possible case), the efficiency is  $V_{\text{out}}/V_{\text{in}}$ .
- This occurs only at a single load value, if designed in advance. The design has no load regulation.
- **Reality is always worse.**



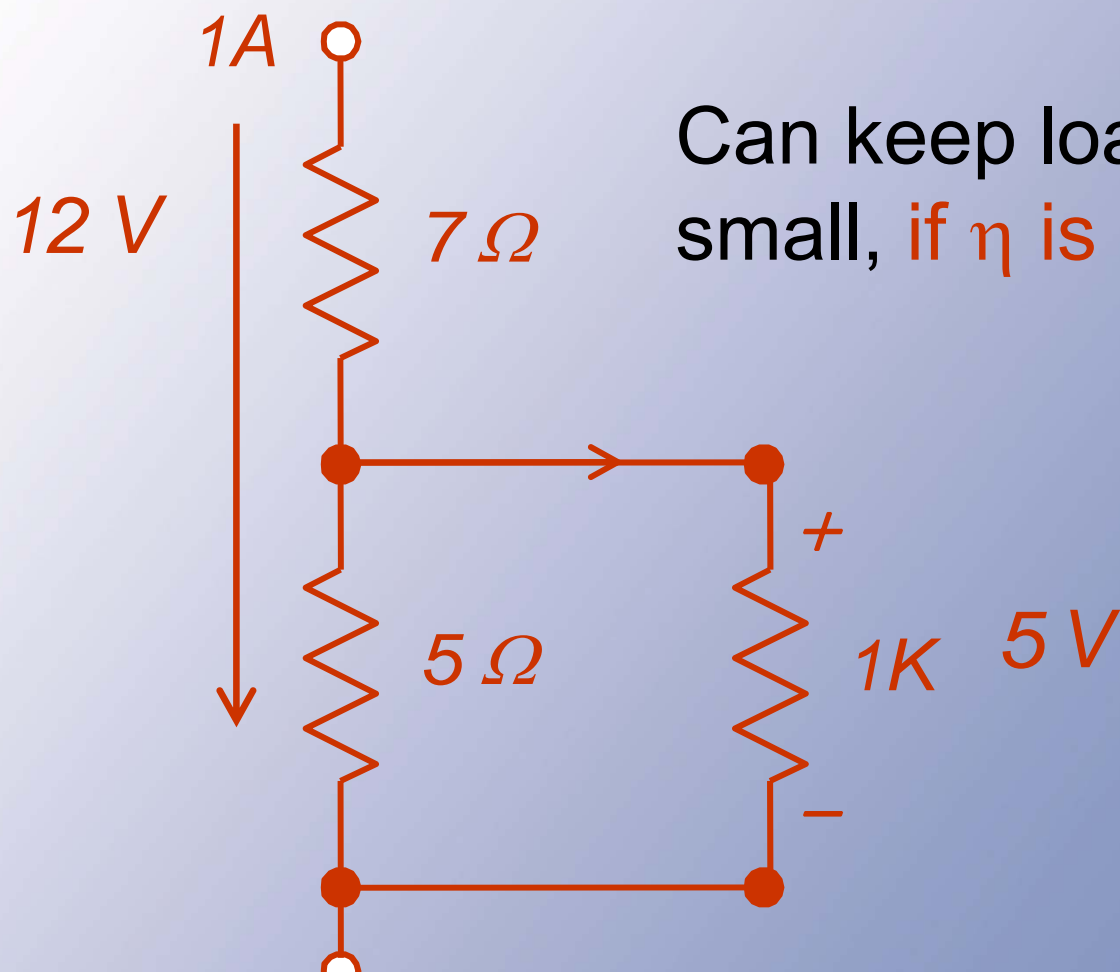


## Dividers -- Conclusion

- Voltage dividers are useful for sensing applications when the load power is intended to be zero.
- A voltage divider is *not* useful for dc-dc conversion.
- It is not a power electronic circuit, since the efficiency cannot be 100%.



## Sensing application





## Sensing application

$$P_{in} = V_{in} I_{in}$$

$$P_{out} = V_{out} I_{out}$$

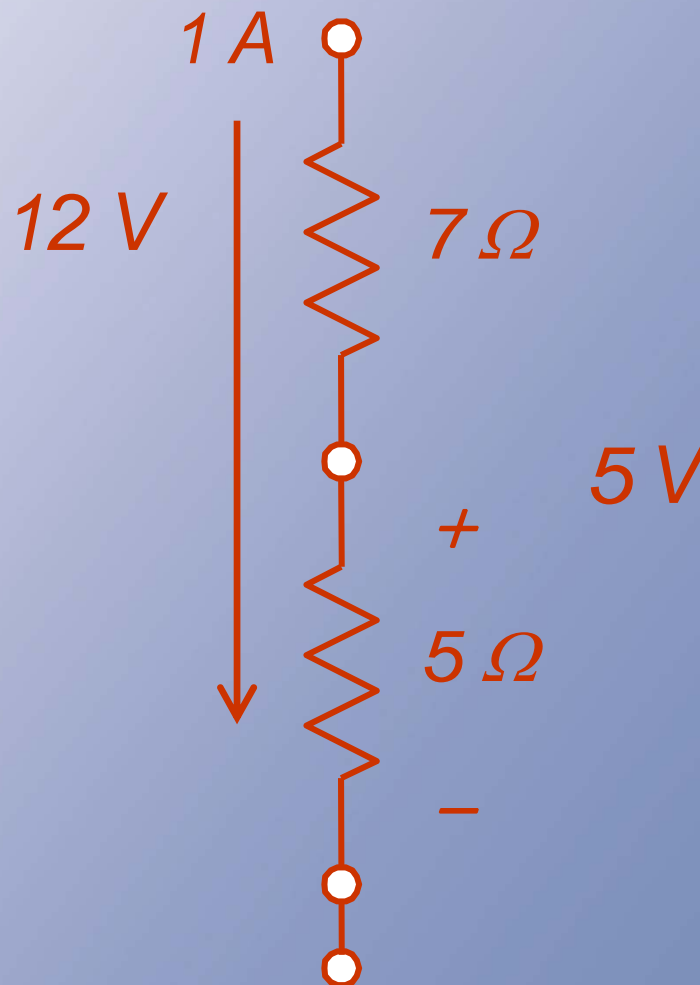
$$I_{in} = I_{out}$$

$$\frac{P_{out}}{P} = \frac{V_{out} I_{in}}{V_{in} I_{out}}$$

$$= \frac{V_{out}}{V_{in}}$$

$$= \frac{V_{out}}{V_{in}}$$

$$\eta = 5/12$$





## Dc Regulators

- Since a divider has no regulation, it motivates new types of circuits.
- In these types of “converters,” the output is independent (within limits) of the input and of the load.
- They perform a regulation function rather than energy conversion.
- We call them “dc regulators.”





## Amplifiers

- It is also possible to use **amplifier methods** for dc-dc conversion.
- These are common, because they have **excellent regulation** properties.
- In general, **efficiency is poor**.



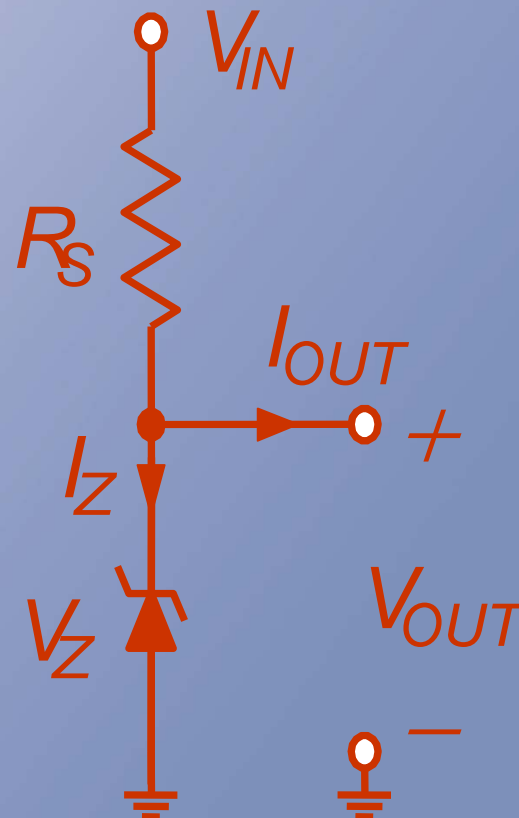
## Shunt Regulator

Voltage divider, 12 V to 5 V, 1 W.

- With exact values, best efficiency is  $5/12$ .
- To provide regulation, the divider current path must carry much more than the load current.
- Problems: line regulation, load regulation, loss even if  $P_{out} = 0$ , low  $\eta$ .

Shunt regulator.

- Zener diode in place of low-side resistor.
- Requires  $I_Z > 0$ .
- For 12 V to 5 V, 1 W,  $R_1 < 35 \Omega$ .
- Solves the line and load regulation challenges, but not the others.





## Example

12 V to 5 V regulation at up to 0.2 A.

At 0.2 A load, the input current must be at least 0.2 A to ensure  $I_Z > 0$ .

This current flows through a drop of 7 V, so  $R_s < 35 \Omega$ .

Try it . . .



## Example

- Test a load of 0.1 A. The input current, if the regulator works, is  $(12\text{ V} - 5\text{ V}) / (35\ \Omega) = 0.2\text{ A}$ . The load current is 0.1 A, so the **zener current must be 0.1 A**.
- This is wasteful, but it works.
- Useful for generating low-power reference voltages.





## Example

$$\begin{aligned}P_{\text{OUT}} &= (0.1 \text{ A})(5 \text{ V}) \\ &= 0.5 \text{ W}\end{aligned}$$

$$\begin{aligned}P_{\text{IN}} &= (12 \text{ V})(0.2 \text{ A}) \\ &= 2.4 \text{ W}\end{aligned}$$

$$\eta = \frac{P_{\text{out}}}{P_{\text{in}}}$$

$$= 20.8\%$$

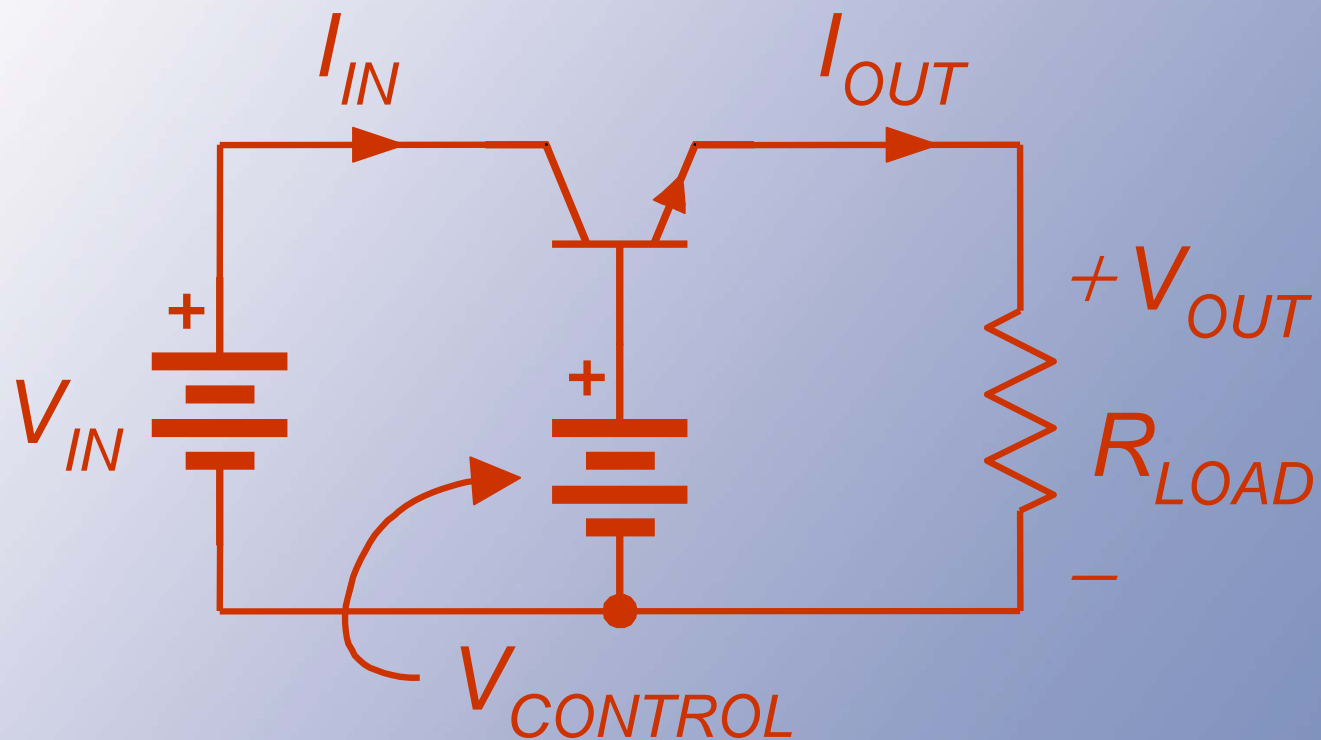


## Series Regulator

- Instead find a series device that can provide an output that is approximately independent of the input.
- A **bipolar transistor** can do the job – in its linear operating region.
- With proper bias, the output depends on the base voltage.
- **Not** a switching method.



## Series Pass Arrangement

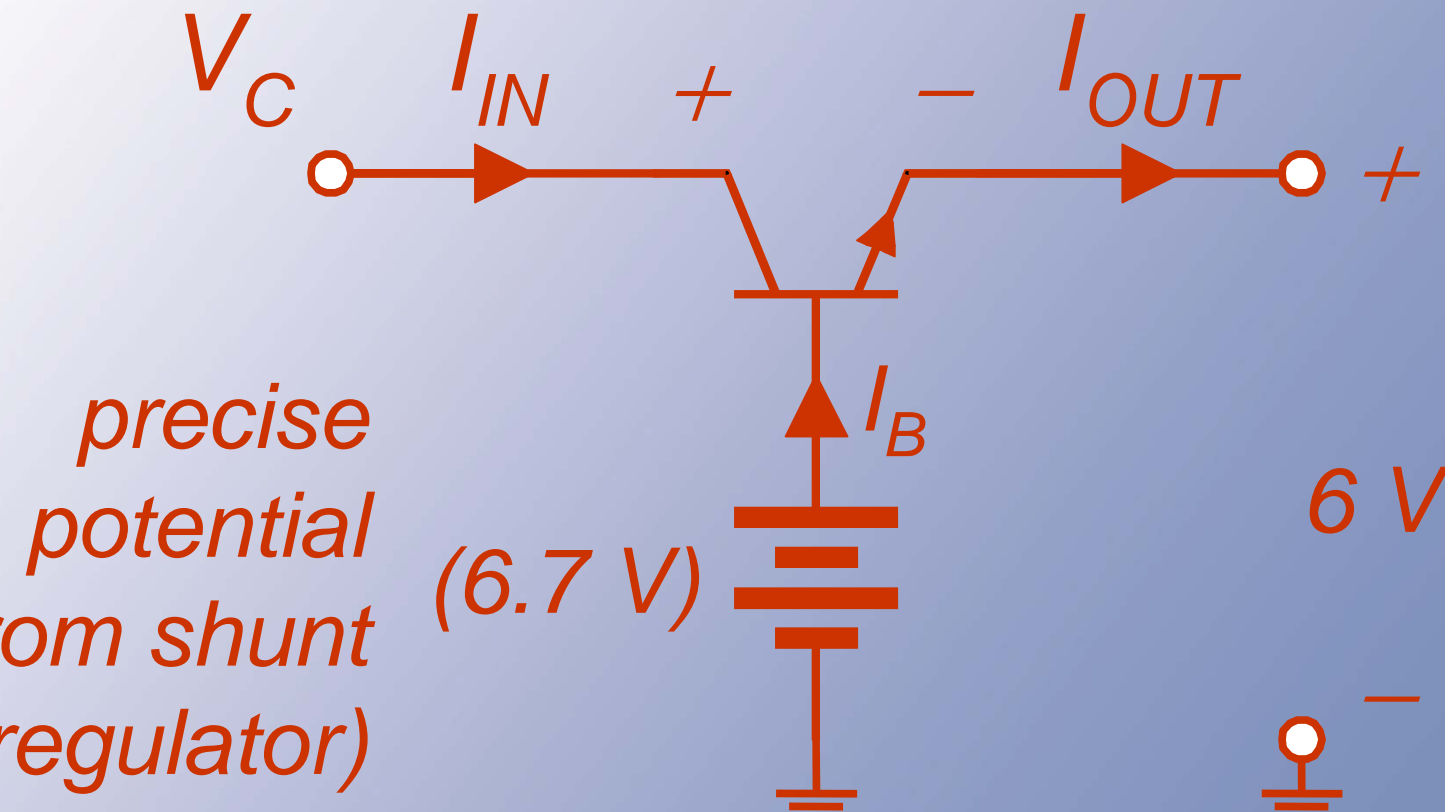


The emitter voltage follows the (low-power) base voltage.



## Series Pass Arrangement

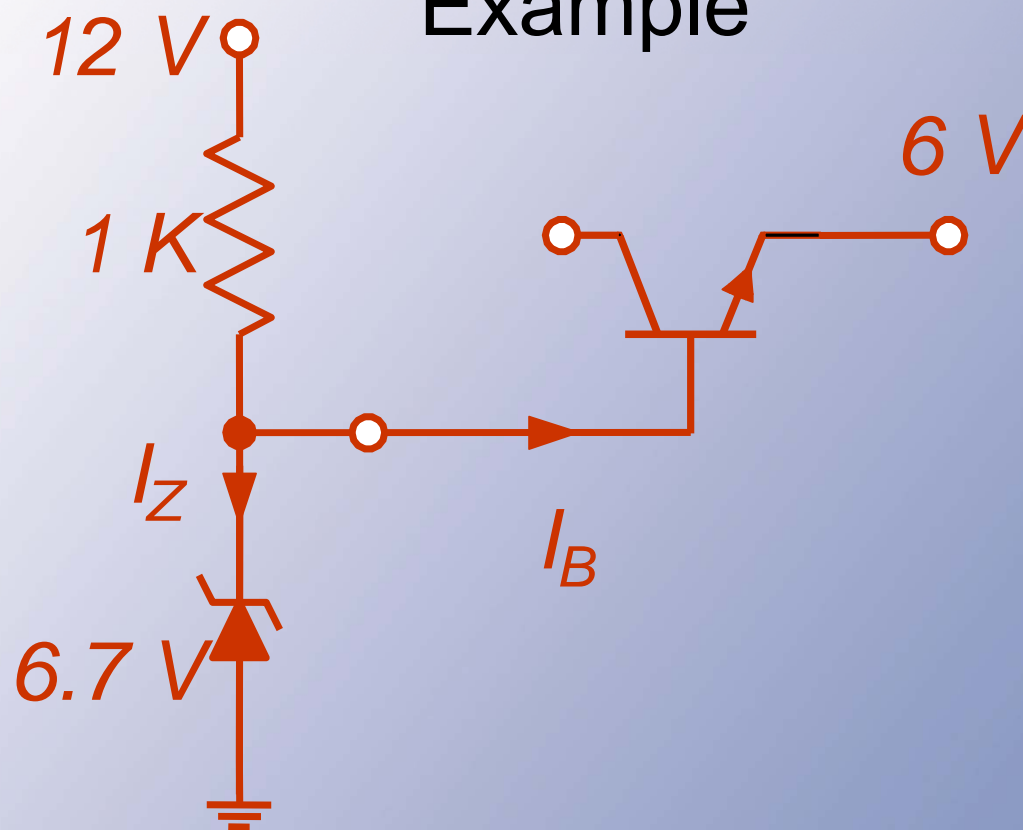
Suppose a 6 V output is needed.







## Example



Here, a shunt regulator provides the reference voltage for a series regulator.



## Series Pass Arrangement

- In the bipolar case, if there is high gain, the base current is very low.
- The emitter voltage will be roughly 0.7 V below the base voltage.
- This works provided the collector input is high enough.



## Series Pass Arrangement

$I_{IN} = I_C$  If  $I_B$  is small (high gain), then

$$\begin{aligned} I_{OUT} &= I_E & I_C &= I_E \\ &= I_B + I_C & I_{IN} &= I_{OUT} \end{aligned}$$

$$\eta = \frac{P_{out}}{P_{in}} = \frac{V_{out} I_{out}}{V_{in} I_{in}} = \frac{V_{out}}{V_{in}}$$



## Series Pass Comments

- Common for local dc power, e.g., 12 V in, 5 V out, but extremely inefficient unless voltages are nearly the same.
- Notice that  $I_{in} \approx I_{out}$ .
- Best-case efficiency is  $V_{out}/V_{in}$  since current is conserved.
- Requires  $V_{in} > V_{out} + \sim 2 V$





## More Comments

- Although this is common, it is only acceptable when voltages are close.
- Useful example: 14 V to 12 V regulator for automotive application. Efficiency could be 86%.
- Poor example: 48 V to 5 V regulator for telephone application. Efficiency is only 10%.



## Key Advantage

- $V_{\text{out}} = V_{\text{control}} - V_{\text{be}}$  --- entirely independent of input, load, etc.
- This is a “**linear regulator,**” since  $V_{\text{out}}$  is a linear function of a control potential.



## Parting Comments

Series linear regulators make good filters -- if we can keep the input and output close together.

Shunt regulators provide fine fixed reference voltages but are not so useful for power.



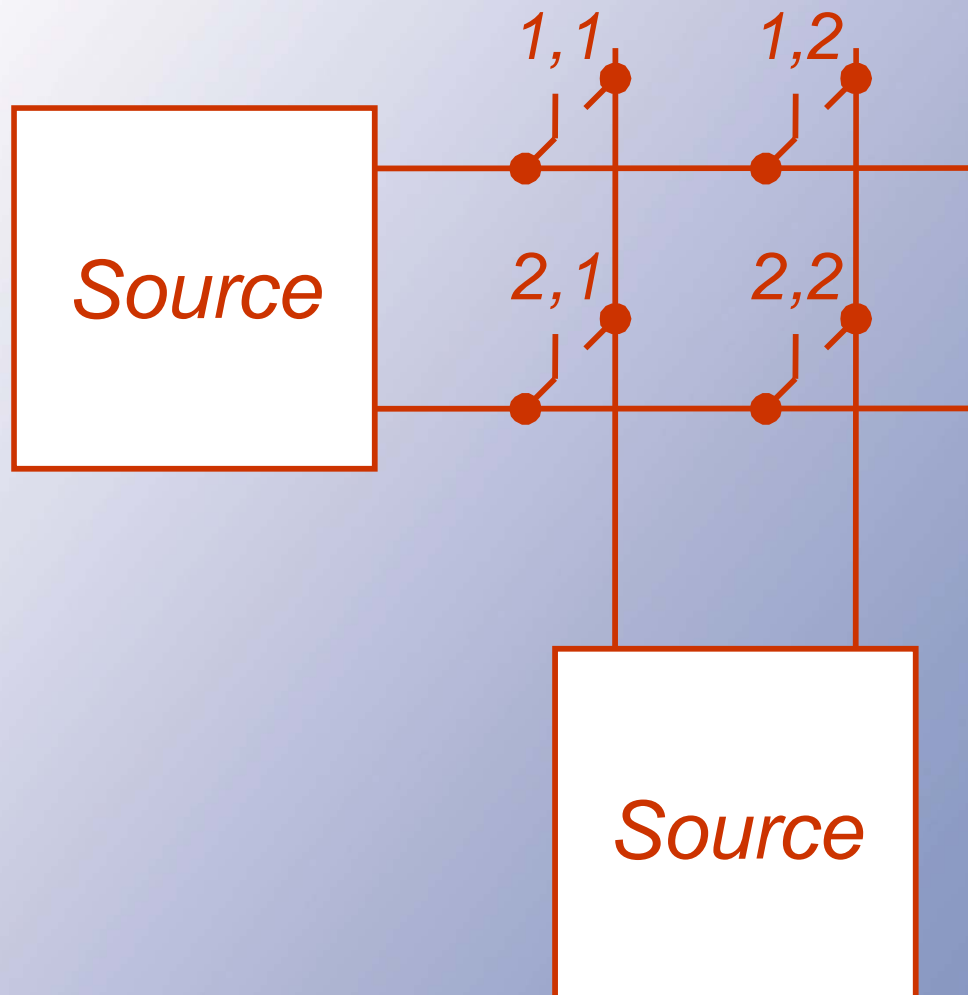
## Now, Switching

- The circuits so far cannot provide 100% efficiency. *We need switching.*
- Two possibilities of general dc-dc conversion:
  - $2 \times 2$  matrix, voltage in, current out
  - $2 \times 2$  matrix, current in, voltage out.
- These are the direct dc-dc converters.





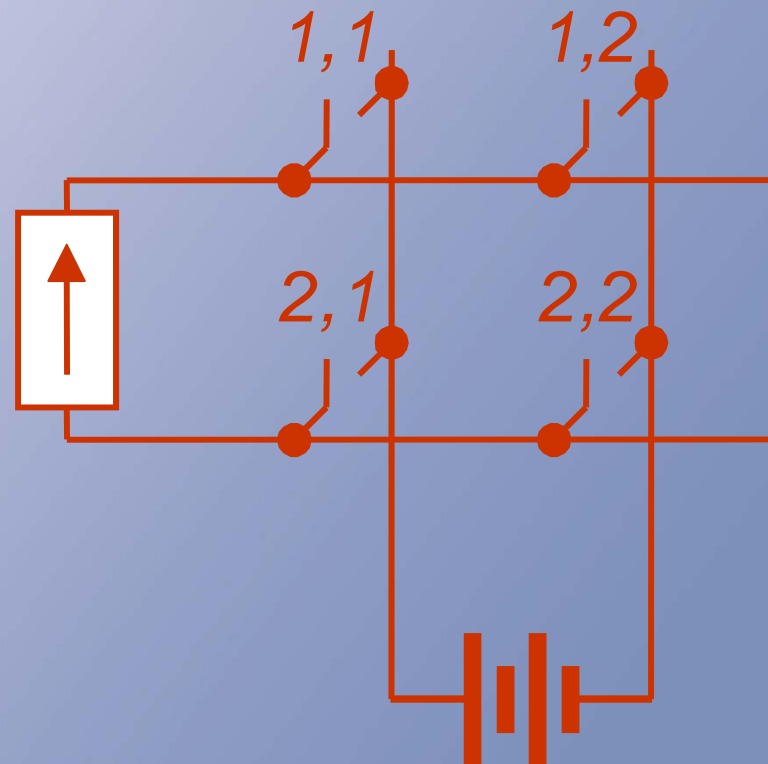
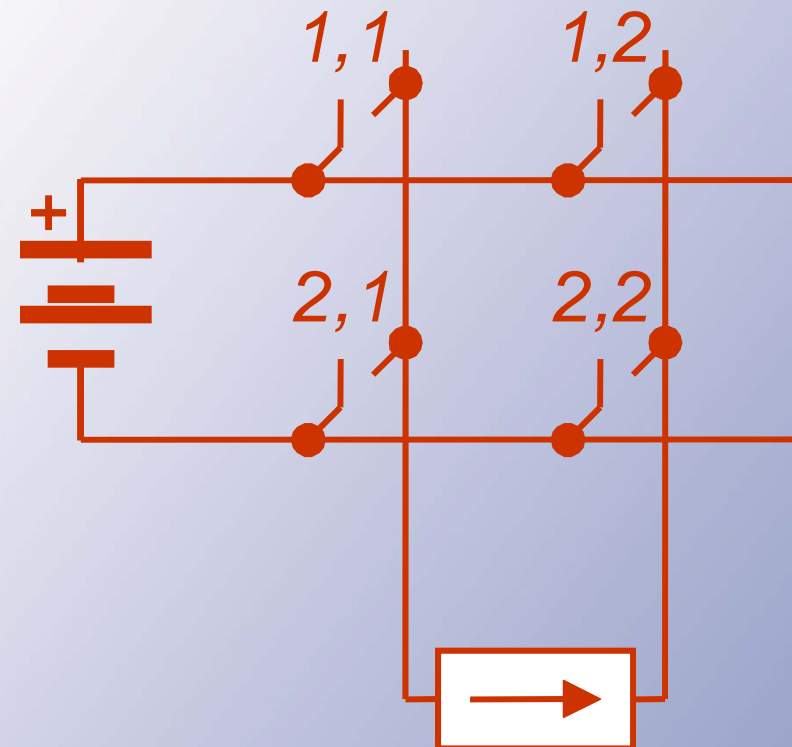
## Direct DC-DC Converters





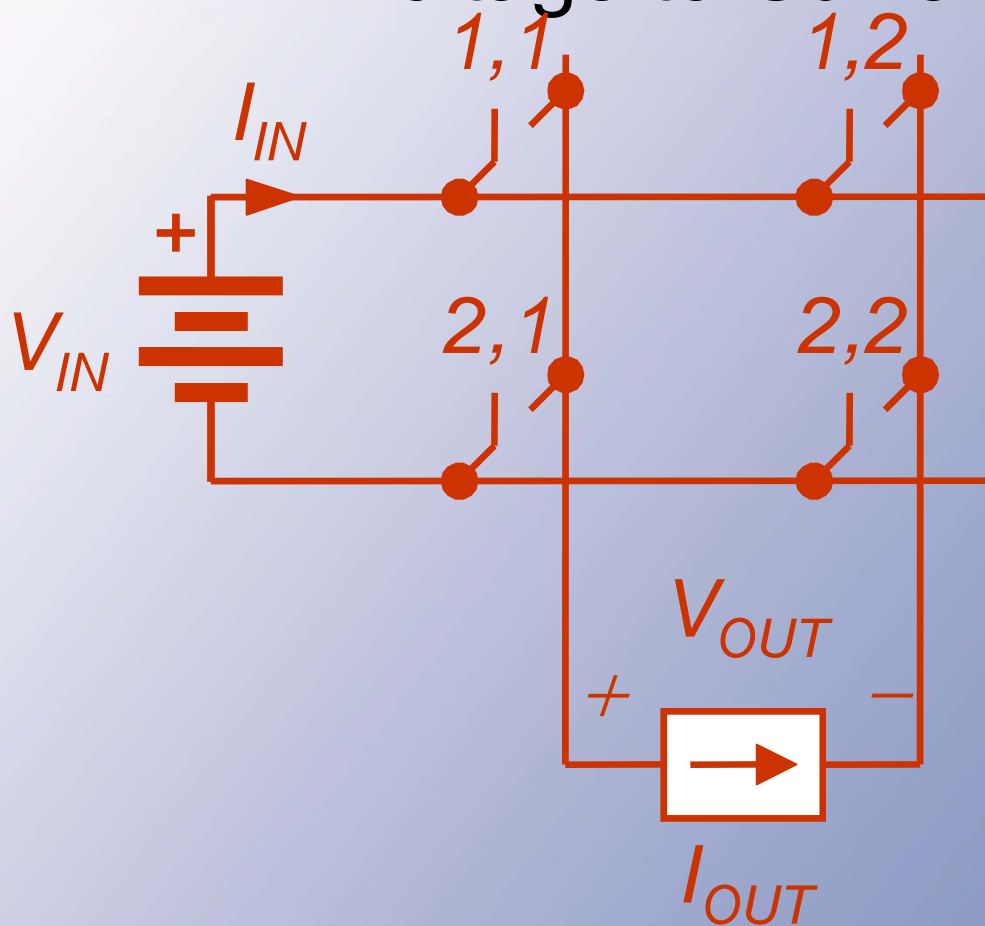
## Direct DC-DC Converters

Two direct converters for DC-DC:





# Voltage to Current



Output voltage is  $+V$ ,  $0$ , or  $-V$



## Switch Relations

- Output is  $+V_{in}$  if 1,1 and 2,2 are on together, etc.
- A switching function representation is  $v_{out}(t) = q_{11} q_{22} V_{in} - q_{12} q_{21} V_{in}$
- But KVL, KCL require  $q_{11} + q_{21} = 1$ ,  $q_{12} + q_{22} = 1$ .



## Switch Relations

In switching function form:

$$v_{out}(t) = q_{11}q_{22}V_{in} - q_{21}q_{12}V_{in}$$

$$i_{in}(t) = q_{11}q_{22}I_{out} - q_{21}q_{12}I_{out}$$

$$KVL+KCL: \quad q_{11} + q_{21} = 1$$

$$q_{12} + q_{22} = 1$$

$$v_{out}(t) = q_{11}q_{22}V_{in} - (1 - q_{11})(1 - q_{22})V_{in}$$





## Switch Relations

$$v_{out}(t) = (q_{11} + q_{22} - 1)V_{in}$$

*In this dc application, we are interested in  $\langle v_{out}(t) \rangle$ . The switching function averages are the duty ratios, and*

$$\langle v_{out}(t) \rangle = (D_{11} + D_{22} - 1)V_{in}$$

*We can choose duty ratios  $D_{11}$  and  $D_{22}$  to provide a desired  $\langle v_{OUT} \rangle$ .*



## Switch Relations

$$0 \leq D_{ii} \leq 1 \quad \Rightarrow \quad 0 \leq D_{11} + D_{22} \leq 2$$

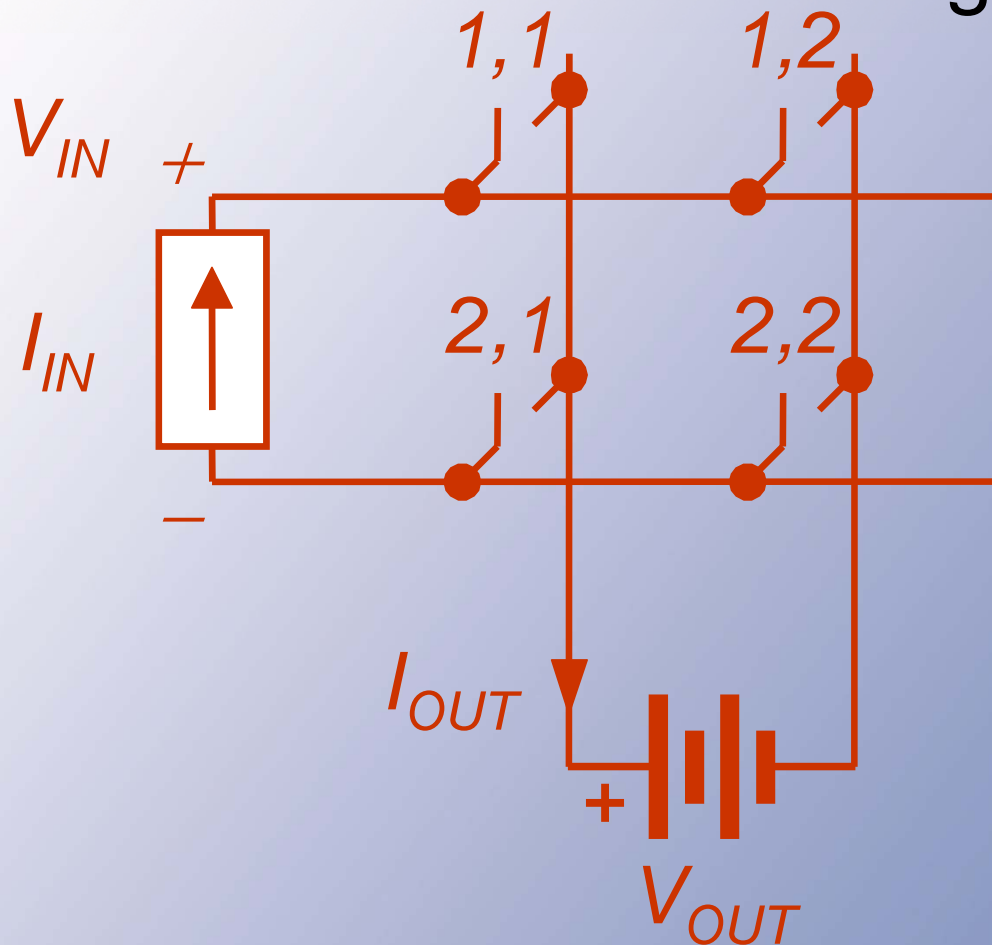
$$\Rightarrow \quad -V_{in} \leq \langle v_{out} \rangle \leq V_{in} \quad \Rightarrow \quad \left| \langle v_{out} \rangle \right| \leq V_{in}$$

*“Buck Converter” or  
“Step-Down Converter”*

$$\langle i_{in} \rangle = (D_{11} + D_{22} - 1)I_{out}$$



# Current to Voltage



Output current is  $+I_{IN}$ ,  $0$ , or  $-I_{IN}$ .



## Switch Relations

$$\langle i_{out} \rangle = (D_{11} + D_{22} - 1) I_{in}$$

$$\langle v_{in} \rangle = (D_{11} + D_{22} - 1) V_{out}$$

$$V_{out} = \frac{\langle v_{in} \rangle}{(D_{11} + D_{22} - 1)}$$

$$0 \leq D_{ii} \leq 1 \quad \Rightarrow \quad 0 \leq D_{11} + D_{22} \leq 2$$

$$\Rightarrow \quad \left| \langle v_{out} \rangle \right| \geq V_{in} \quad \text{Boost Converter}$$



## Summary

- The dc transformer is an important practical function.
- Non-switching methods, such as voltage dividers and dc regulators, are not really suitable for power conversion.
- We considered two switching circuits that accomplish buck and boost dc-dc conversion functions – types of dc transformers.





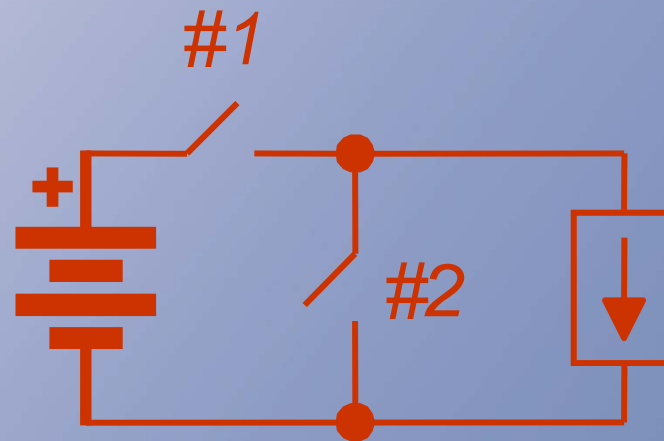
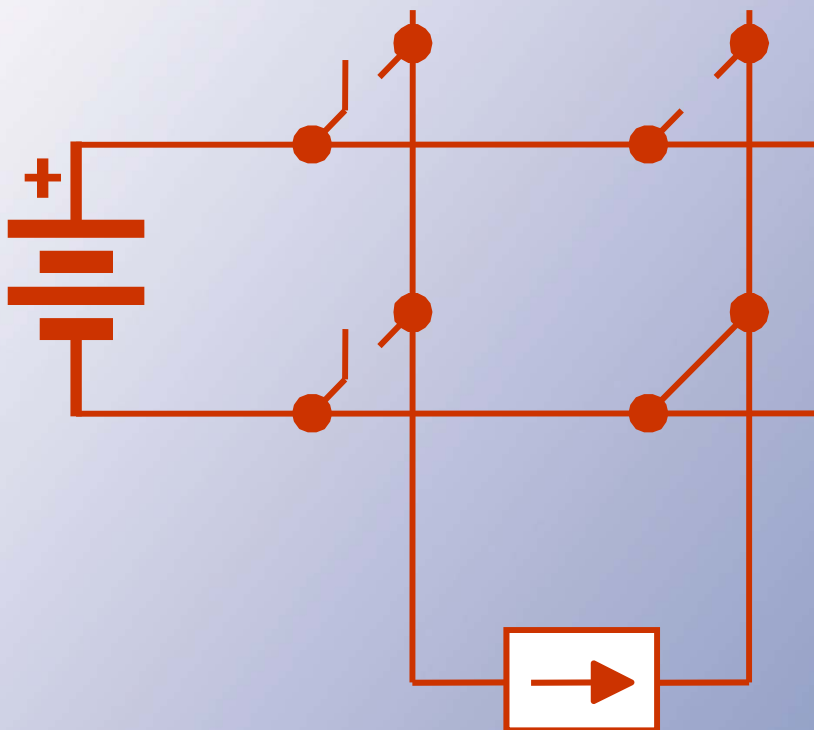
## Simplifications

- In many applications, it is desirable to share a common input-output node (**ground reference**).
- This requires **one switch always on** and **one always off**.



## Common-Ground Dc-Dc

Example: 2x2 switch matrix, with common input-output ground

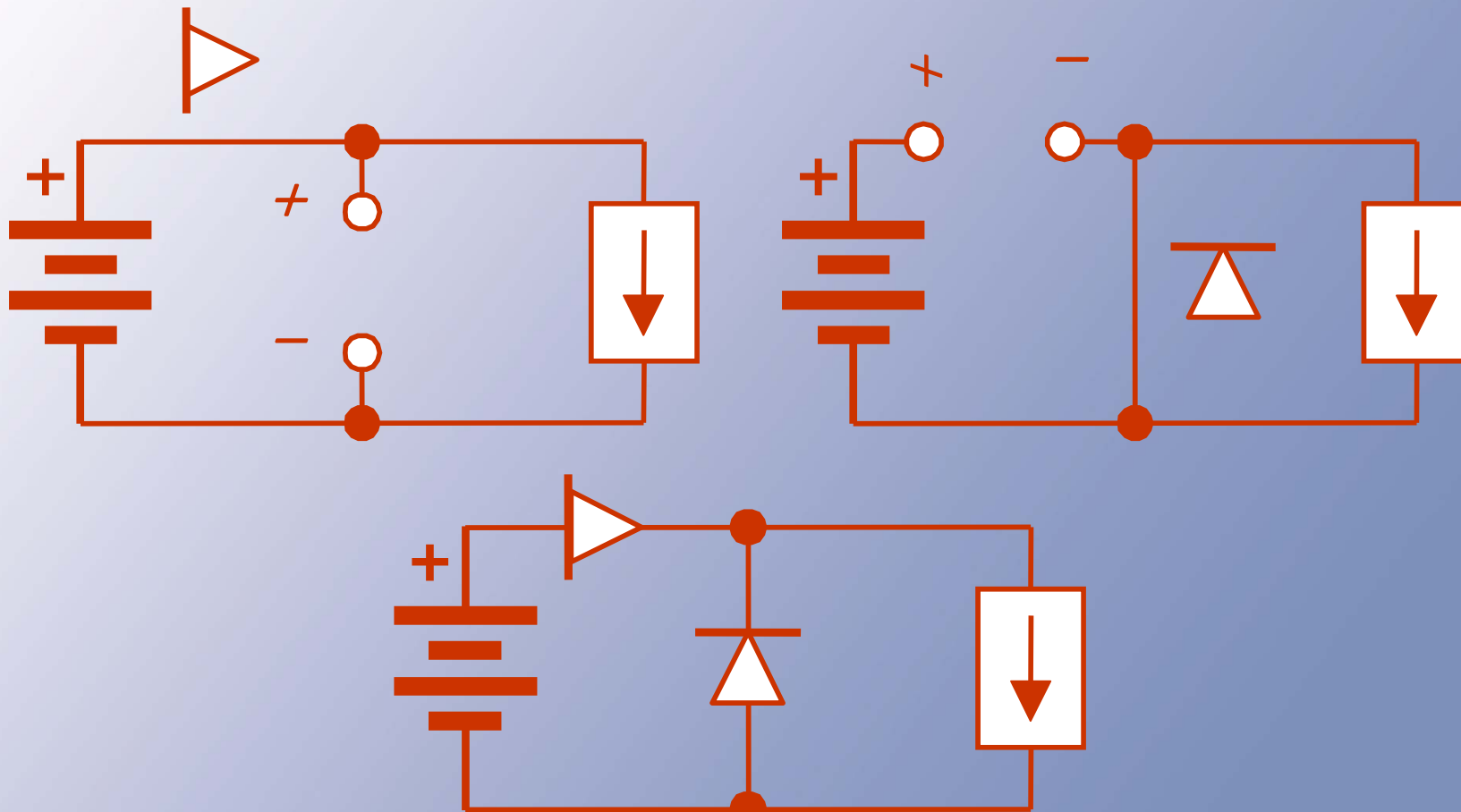




# Common-Ground Dc-Dc

#1 ON

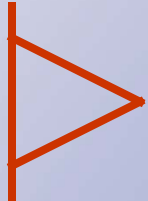
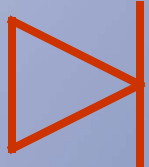
#2 ON





## Common-Ground Dc-Dc

With two switches left, label them  
**#1** and **#2**.

One becomes  and one 

This can be checked by testing current  
(on) polarity and voltage (off) polarity.



## Switching Functions

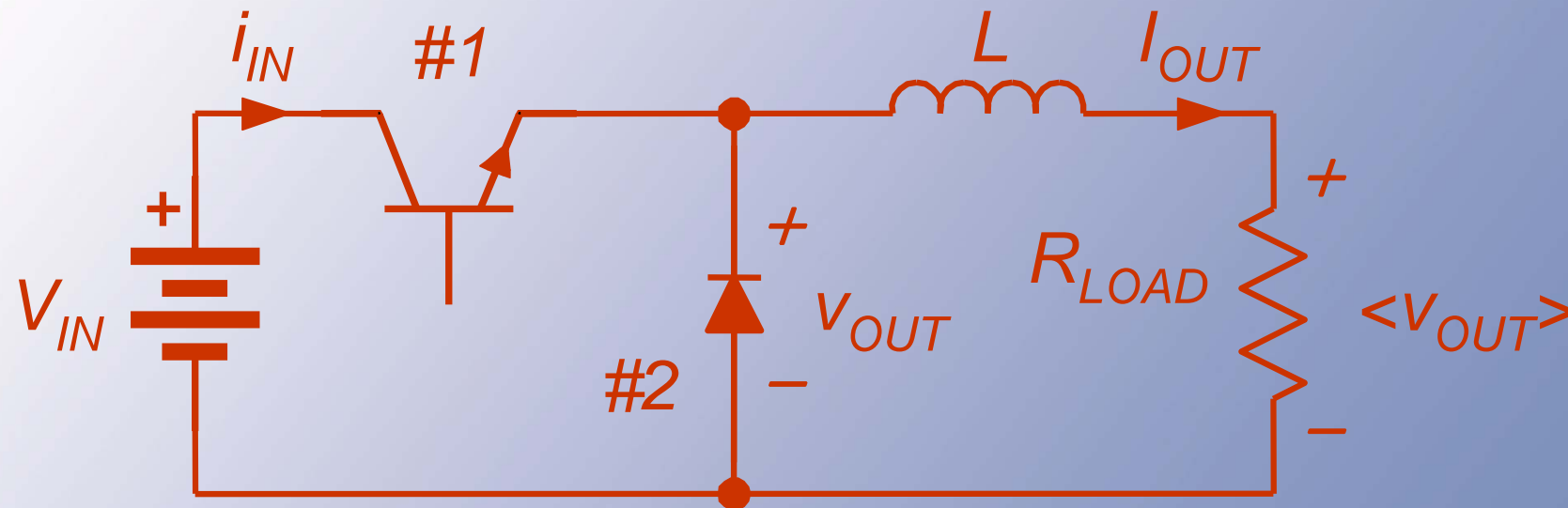
With ideal, or near-ideal, current and voltage sources, KVL and KCL require  $q_1 + q_2 = 1$ .

The buck converter:





## Buck Converter



- The voltage  $v_{out}$  is the “switch matrix output.”
- The load voltage is  $\langle v_{out} \rangle$  since  $\langle v_i \rangle = 0$ .



## Relationships

$$V_{out} = q_1 V_{in} \quad \langle V_{out} \rangle = D_1 V_{in}$$

$$I_{in} = q_1 I_{out} \quad \langle I_{in} \rangle = D_1 I_{out}$$

There is *no loss*.

*Instantaneous power:*  $p_{in}(t) = q_1 V_{in} I_{out}$   
 $= p_{out}(t)$

*Average power:*  $\langle p_{out} \rangle = \langle p_{in} \rangle$   
 $= D_1 V_{in} I_{out}$



## Relationships

$v_{out}$  is the switching matrix output.

$$v_{out} = q_1 V_{in} \quad \langle v_{out} \rangle = \langle q_1 V_{in} \rangle$$

$$= V_{in} \langle q_1 \rangle \rightarrow$$

*load voltage*

$$\rightarrow V_{out} = D_1 V_{in} \quad \langle i_{in} \rangle = \langle q_1 I_{out} \rangle$$

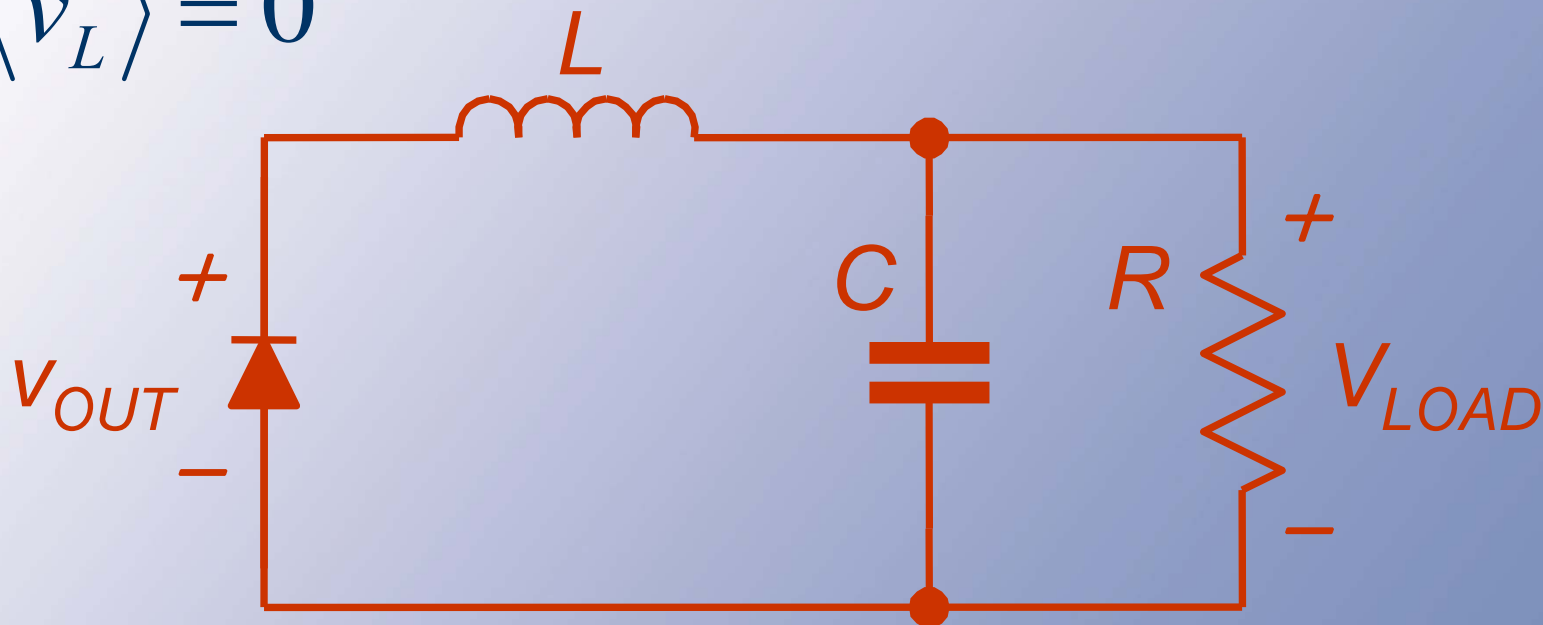
$$= D_1 I_{out}$$

$$\langle v_{out} \rangle = V_{out} \rightarrow \text{load voltage}$$



## Relationships

$$\langle v_L \rangle = 0$$



$$V_{out} = \langle v_{out} \rangle = \langle v_{load} \rangle$$

*$I$  big  $\Rightarrow V_{load} \approx \text{constant}$*



## Relationships

$$\begin{aligned} p_{in}(t) &= V_{in} i_{in}(t) & p_{in}(t) &= p_{out}(t) \\ &= V_{in} q_1 I_{out} \\ \\ p_{out}(t) &= v_{out} I_{out} & \langle p_{in} \rangle &= \langle p_{out} \rangle \\ &= q_1 V_{in} I_{out} & &= D_1 V_{in} I_{out} \end{aligned}$$





The RMS “output”

The voltage  $v_{\text{out}}$  has an RMS value of

$$\sqrt{\frac{1}{T} \int_0^T q_1(t)^2 V_{in}^2 dt} = V_{in} \sqrt{D_1}$$

*Is this relevant?*

Notice that  $q^2(t) = q(t)$

$$q_{RMS} = \sqrt{D}$$



## A Design

- A 24 V to 5 V converter, switching at 100 kHz. The nominal load is 25 W, and the ripple is to be less than 1% peak-to-peak.
- This could be met with a buck converter, since  $V_{\text{out}} < V_{\text{in}}$ .



## A Design

- The duty ratio will need to be  
 $V_{\text{out}}/V_{\text{in}} = (5 \text{ V})/(24 \text{ V}) = 0.208$
- The output current is  $(25 \text{ W})/(5 \text{ V}) = 5 \text{ A}$ .
- When switch #1 is on, the inductor sees  
 $24 \text{ V} - 5 \text{ V} = 19 \text{ V}$ .



## A Design

- With #1 off, the inductor sees  $-5V$
- So, since  $v_L = L di/dt$ , with #1 on,  
$$19 V = L di/dt$$
$$= L \Delta i/\Delta t$$
- The time involved is  $0.208 T$ , or  $2.08 \mu s$ .  
We want  $\Delta i < 0.01(5 A)$ .
- Thus  $(19 V)(2.08 \mu s)/L < 0.05 A$ ,  
and  $L > 0.792 mH$



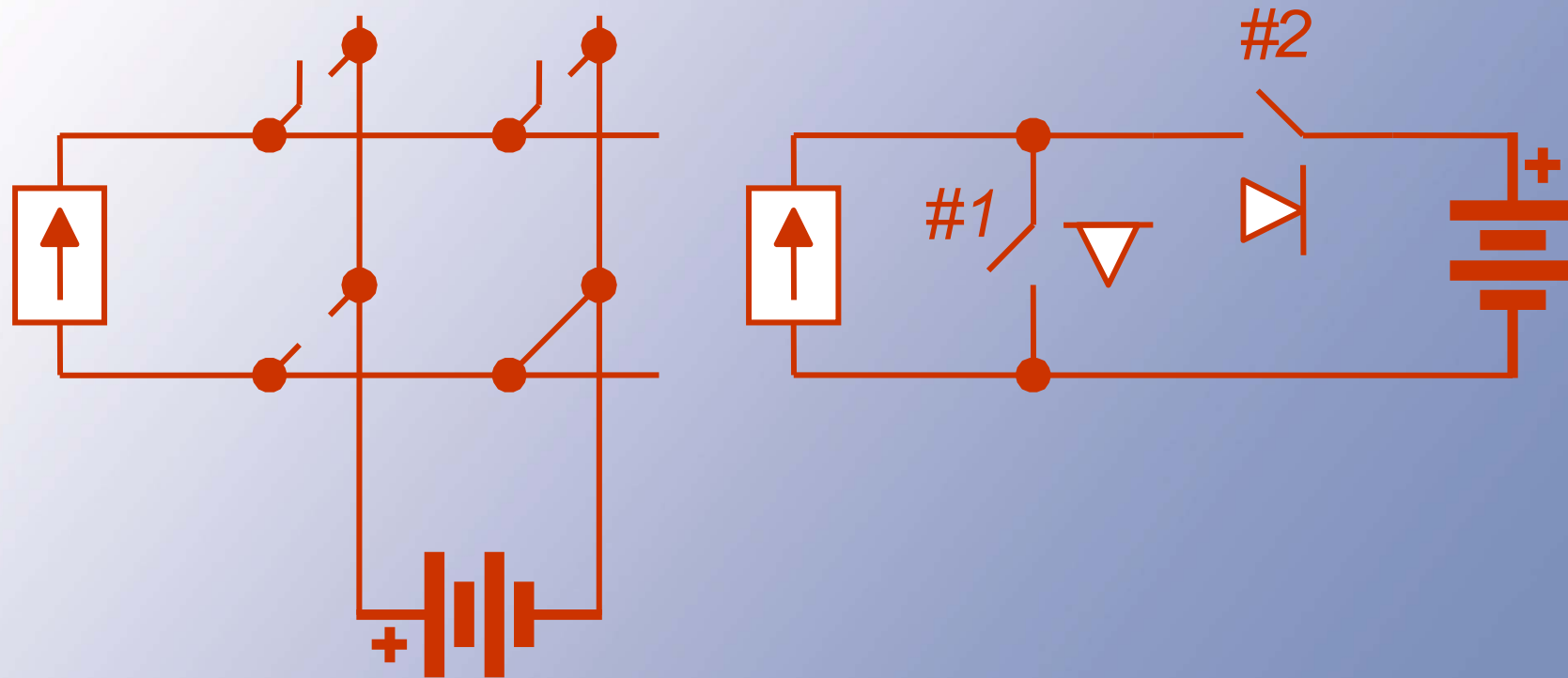
## A Design

- We expect that  $D_1 = 0.208$ ,  
 $f_{\text{switch}} = 100 \text{ kHz}$ ,  $L = 0.8 \text{ mH}$ ,  
and  $R = 1 \ \Omega$  will meet the need.
- Practice: **What is the peak-to-peak ripple if  $L = 8 \ \mu\text{H}$ ?  $\rightarrow$  it will be 100x as big**





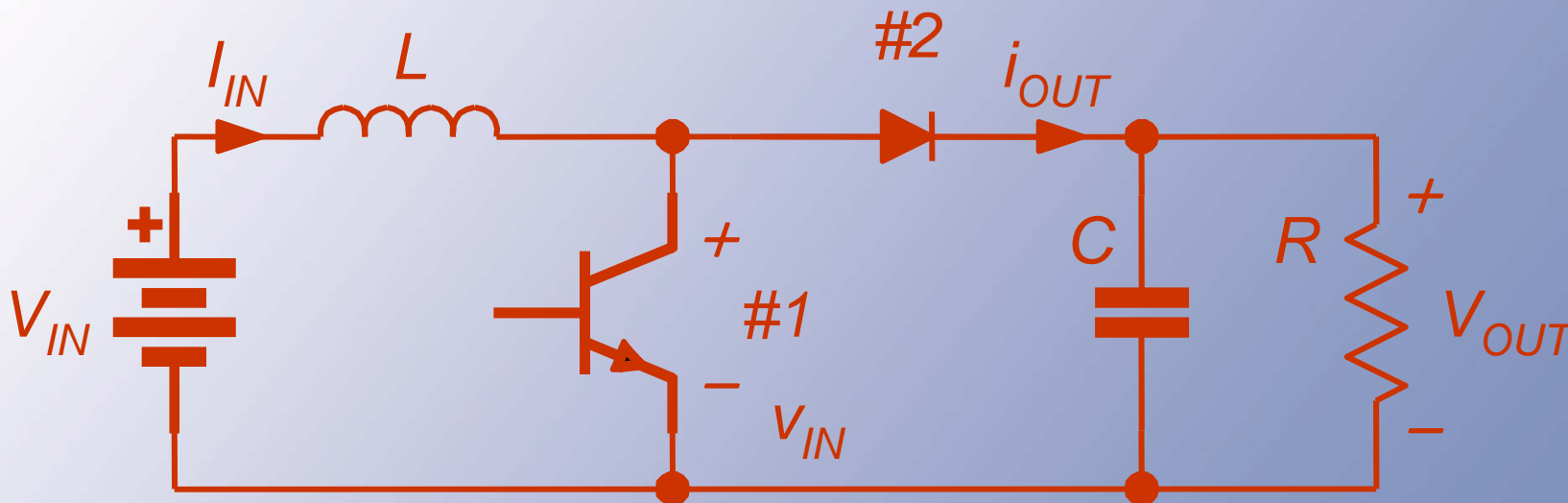
## Boost Converter



A **boost** converter is a **buck** converter flipped horizontally.



## Boost Converter



With common ground, the matrix reduces to two switches.

$I_{in}$  is formed as a voltage in series with  $L$ .

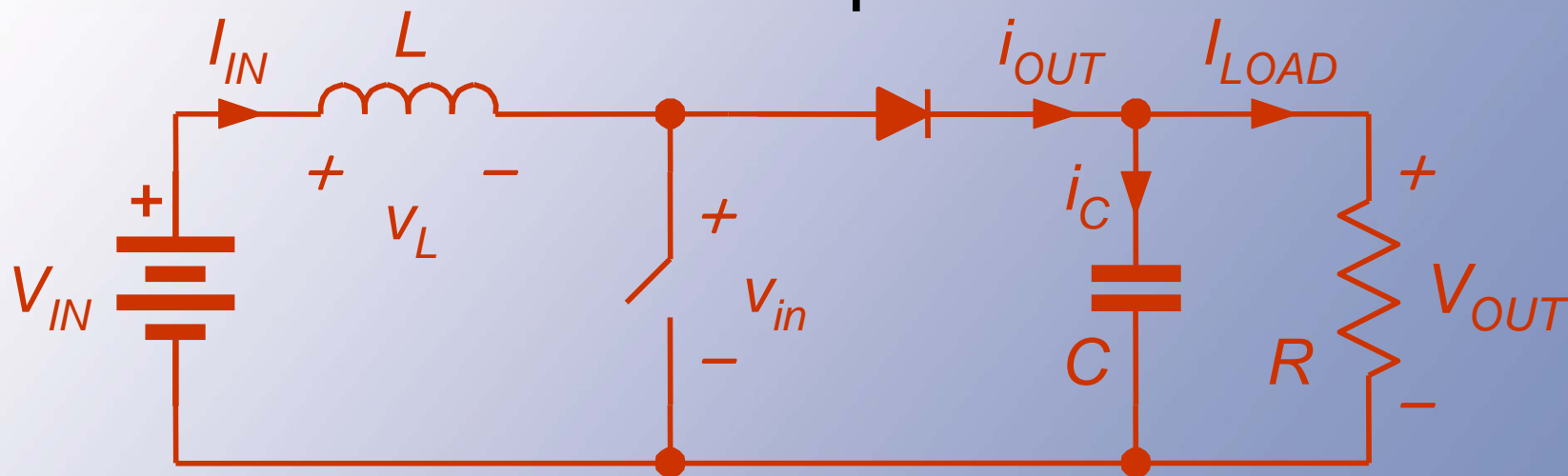


## Relationships

- The input voltage to the switch matrix is  $v_{in}$ , the voltage across the transistor.
- Since  $\langle v_L \rangle = 0$ , the average transistor voltage matches  $V_{in}$ .



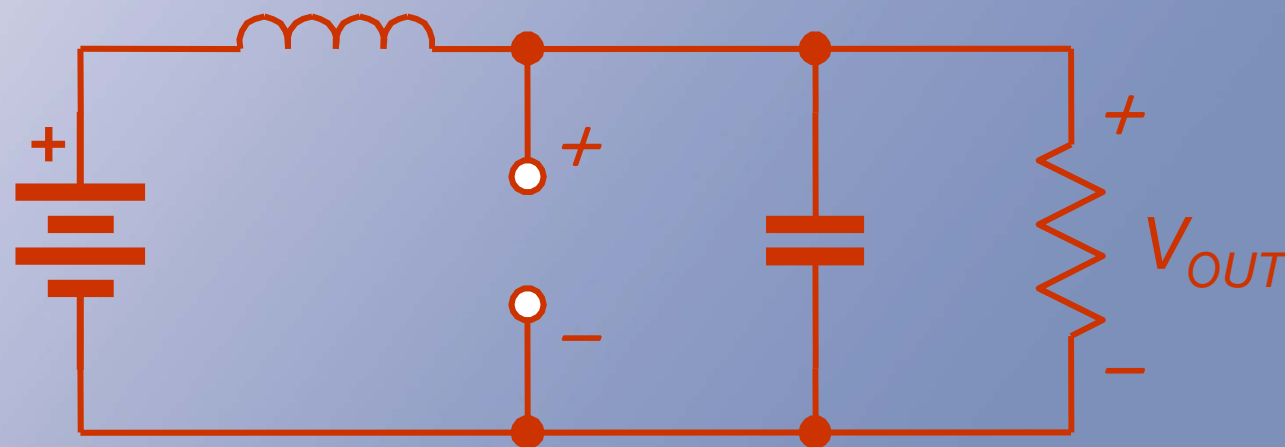
# Relationships



$$\langle v_L \rangle = 0$$

$$V_{in} = \langle v_{in} \rangle$$

$$\langle i_C \rangle = 0$$





## Relationships

- By KVL and KCL, **sources require**  
 $q_1 + q_2 = 1.$
- Then  $v_{in} = q_2 V_{out}$   
 $= (1 - q_1) V_{out},$   
 $i_{out} = q_2 I_{in}$   
 $= (1 - q_1) I_{in}.$
- The **averages require**  $\langle v_{in} \rangle = V_{in},$  and  
 $V_{out} = V_{in} / (1 - D_1)$





## Relationships

$$i_{out} = q_2 I_{in}$$

$$v_{in} = (1 - q_1) V_{out}$$

$$= (1 - q_1) I_{in}$$

$$\langle v_{in} \rangle = V_{in}$$

$$\langle i_{out} \rangle = I_{in} (1 - D_1)$$

$$= \langle (1 - q_1) V_{out} \rangle$$

$$= I_{load}$$

$$V_{in} = V_{out} (1 - D_1)$$

$$= I_{out}$$



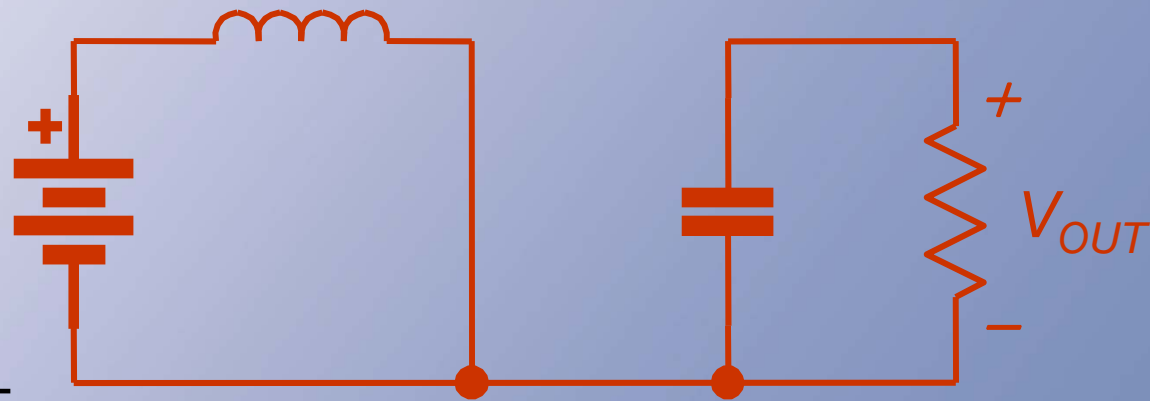
## Relationships

*If  $D_1 = 1$ :*

*If  $D_1 \neq 1$*

$$V_{out} = \frac{V_{in}}{1 - D_1}$$

$$= \frac{V_{in}}{D} > V_{in}$$



*$V_{out} = 0$*



## Example

2 V to 5 V boost (input might be one Li-ion cell, for instance, with 2 V as its lowest value).

Switching: 80 kHz. Load: 5 W. Input ripple:  $\pm 10$  mA. Output ripple:  $\pm 1\%$ .

This gives a period of 12.5  $\mu$ s.



## Boost Example

With 2 V input and 5 V output, the load current at 5 W is 1 A, but the input current must be  $(5 \text{ W})/(2 \text{ V}) = 2.5 \text{ A}$ .

With  $\pm 10 \text{ mA}$  input ripple, the peak-to-peak value is 20 mA.



## Boost Example

- When switch #1 is on, the inductor voltage is 2 V, and current increases.
- The duty ratios:  $D_2 = V_{in}/V_{out} = 0.40$ , and  $D_1 = 1 - D_2 = 0.60$
- Switch #1 is on  $0.60 T = 7.5 \text{ us}$ .





## Boost Example

$v_L = L \, di/dt = 2 \, \text{V}$  with #1 on.

Thus  $(2 \, \text{V})/L = \Delta i/\Delta t$ ,

$$\Delta t = 7.5 \, \mu\text{s}.$$

To get  $\Delta i < 0.02 \, \text{A}$ , we need

$$L > (2 \, \text{V})(7.5 \, \mu\text{s})/(0.02 \, \text{A}), \text{ or}$$

$$L > 0.75 \, \text{mH}.$$



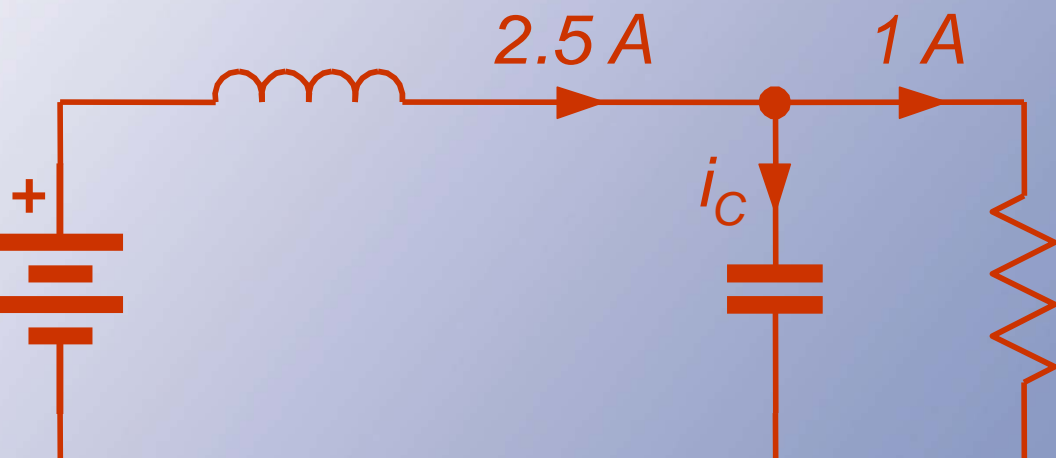
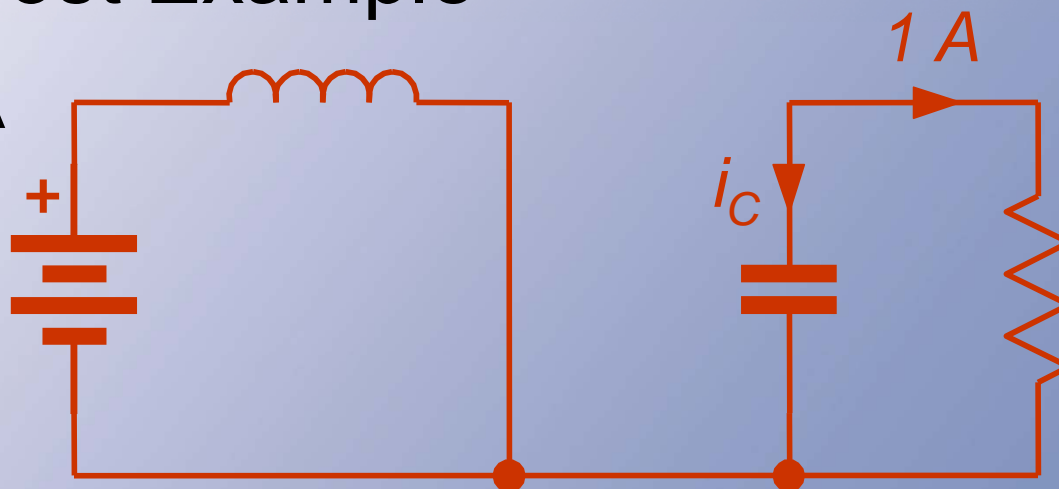
## Boost Example

- What about  $V_{\text{out}}$ ?
- The capacitor current is  
 $I_{\text{in}} - I_{\text{load}} = 2.5 \text{ A} - 1 \text{ A}$   
when switch #2 is on, and  
 $-1 \text{ A}$  when switch #1 is on.
- We want  $\pm 1\%$  of 5 V, or a peak-to-peak change below 0.1 V.



## Boost Example

#1 ON:  $i_C = -1 \text{ A}$



#2 ON:  $i_C = 1.5 \text{ A}$

$2.5 \text{ A}$

$1 \text{ A}$



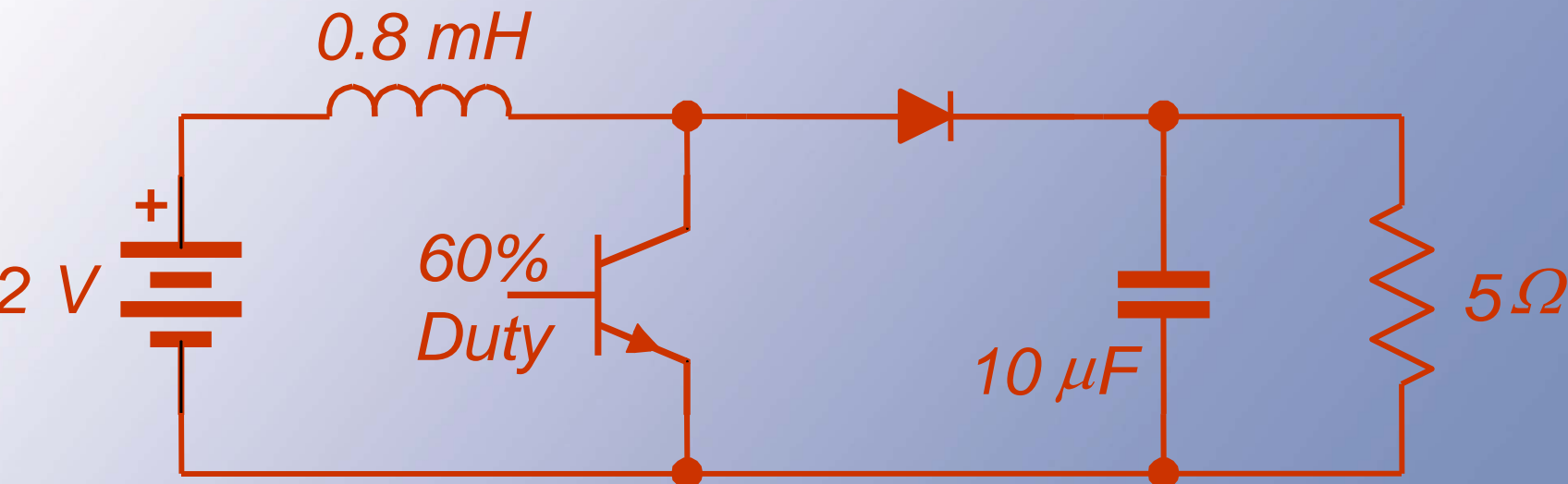
## Boost Example

- With switch #2 on (duty ratio was found to be 0.4, so time is 5  $\mu$ s),  
$$i_C = 1.5 \text{ A}$$
$$= C \, dv/dt$$
$$= C \, \Delta v/\Delta t.$$
- $(1.5 \text{ A})(5 \mu\text{s})/C = \Delta v < 0.1 \text{ V}.$
- This requires  $C > 75 \mu\text{F}.$



## Boost Example

*2 to 5 V, 80 kHz boost converter:*



Practice: What if  $f_s$  is changed to 40 kHz?  $\rightarrow$   
average values are the same, ripple 3x



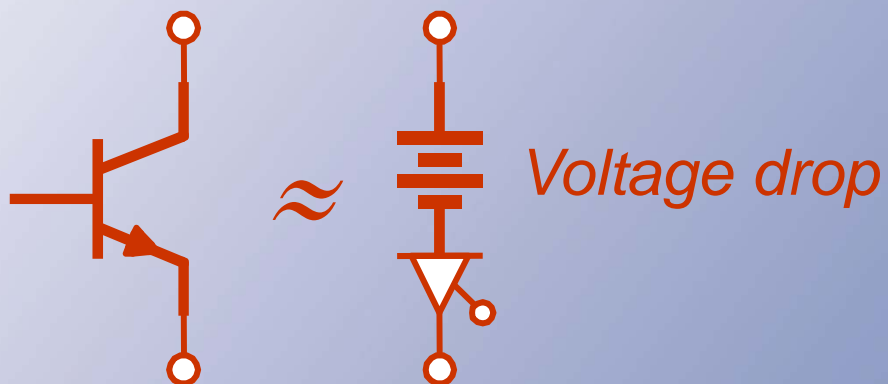
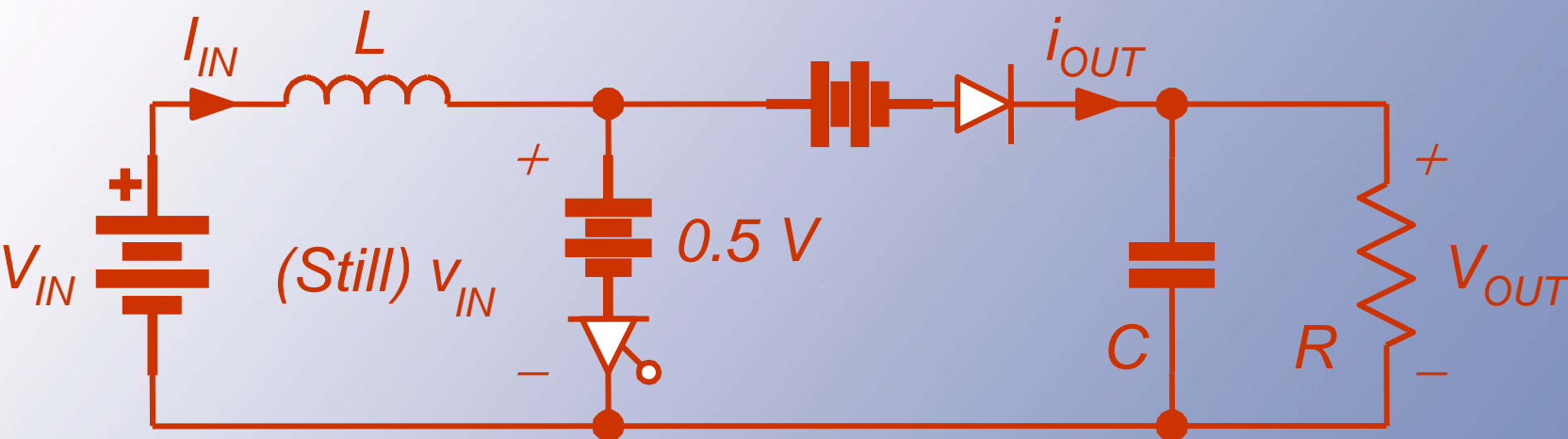


## Comments

- With a few practice examples, **you should be able to design a common-ground buck or boost converter.**
- Challenge: Think about effects of nonideal switching.
- It is not so difficult to include some basic nonideal effects, such as switching device voltage drops and resistances.
- Consider an example with switch and diode voltage drop.

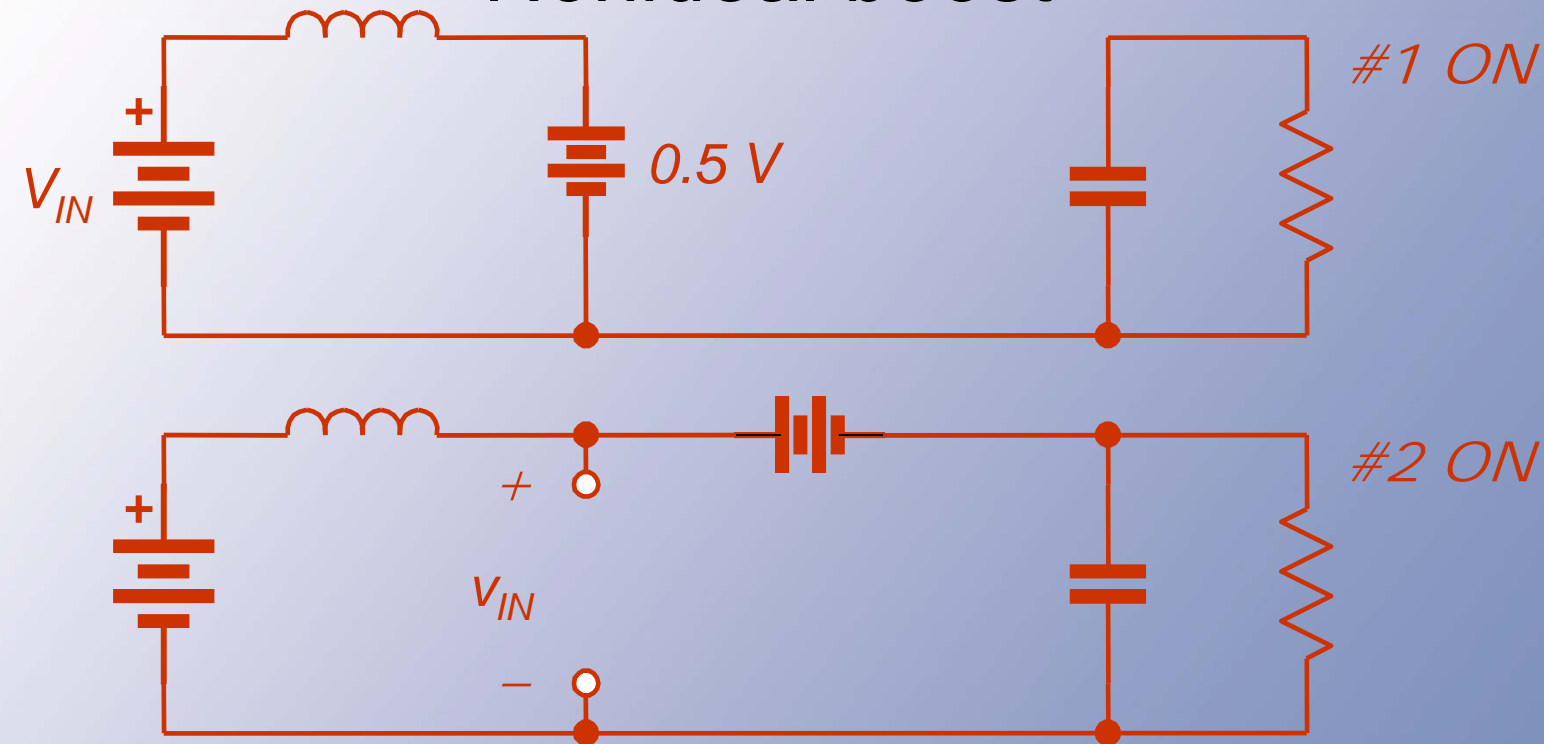


# Nonideal boost





## Nonideal boost



$$v_{in} = q_1(0.5\text{ V}) + q_2(V_{out} + 1)$$

$$V = \langle v \rangle = D(0.5\text{ V}) + (1 - D)(V_{out} + 1)$$



## Nonideal boost

- Switching function expressions still apply.

- Boost:  $v_{in} = q_1(0.5 V) + q_2(V_{out} + 1 V)$ .

- On average,

$$\begin{aligned} \langle v_{in} \rangle &= V_{in} \\ &= D_1(0.5V) + (1-D_1)(V_{out} + 1 V), \text{ and} \end{aligned}$$

$$V_{out} = (V_{in} + 0.5D_1 - 1)/(1 - D_1)$$

- For current,  $i_{out} = q_2 I_L$ ,  $\langle i_{out} \rangle = D_2 I_L$ .

- Since  $\langle i_{out} \rangle$  is the load current  $I_{load}$ , we have  $I_1 = I_{load}/D_2 = I_{load}/(1 - D_1)$ .



## Nonideal boost

- The efficiency:  $P_{in} = V_{in} I_L$ ,  $P_{out} = V_{out} I_{load}$ .
- So  $P_{in} = V_{in} I_{load} / (1 - D_1)$  and
$$P_{out} = (V_{in} + 0.5D_1 - 1) I_{load} / (1 - D_1)$$
- The efficiency ratio  $\eta = (V_{in} + D_1/2 - 1) / V_{in}$ ,  
and  $\eta = 1 - (1 - D_1/2) / V_{in}$ .
- This is less than 100%, reflecting the losses in the switch forward drops.
- Switching functions support analysis of converters even with these extra parts.



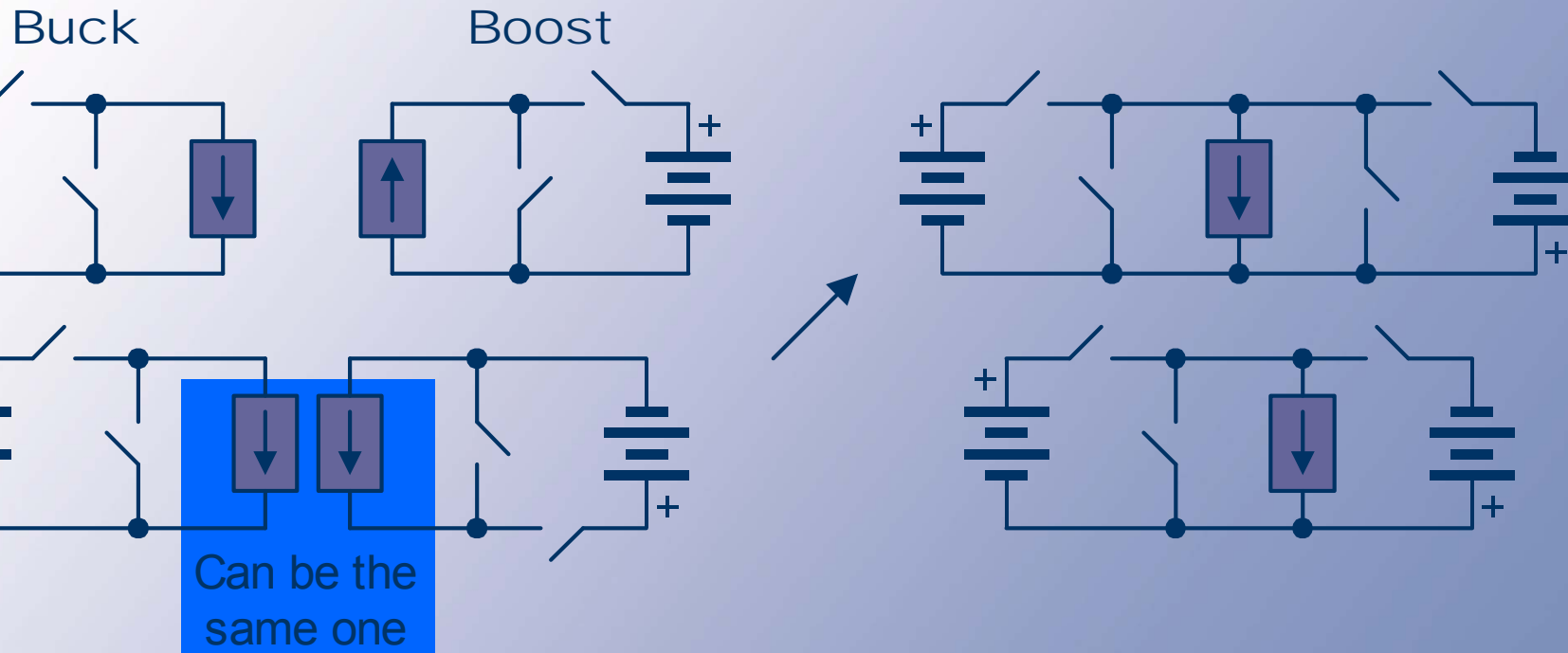


## Indirect Dc-Dc Converters

- The buck is a dc transformer with  $V_{\text{out}} < V_{\text{in}}$ .
- The boost gives  $V_{\text{out}} > V_{\text{in}}$ .
- How can we give full range? *Use a buck as the input for a boost.*
- That is, use the current source output of a buck to provide the input source for a boost.
- Remove redundant or unnecessary switches. Result is the polarity reverser: buck-boost.



# Buck-Boost Development





## Final Simplification

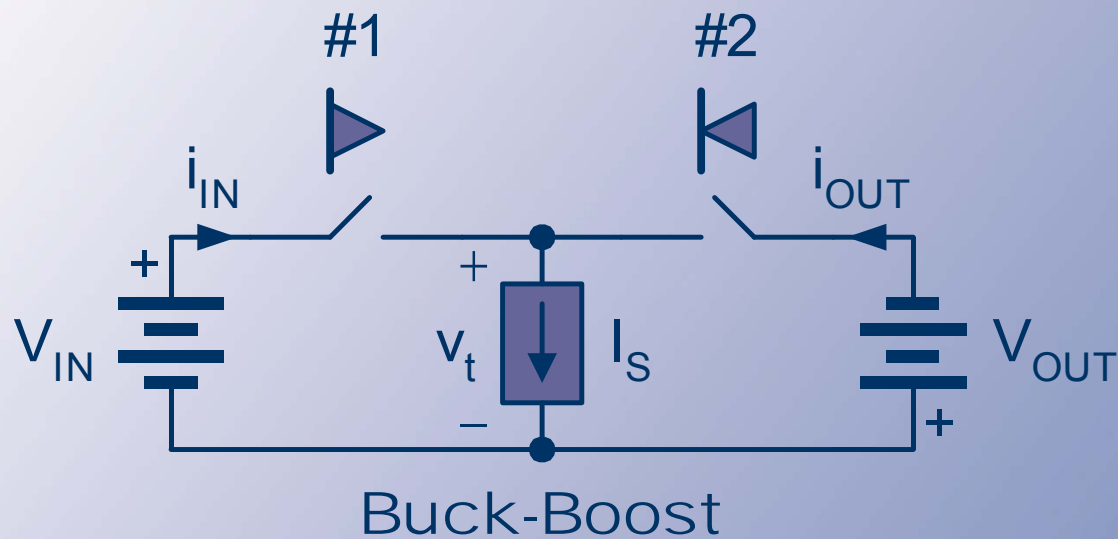
The switch across the current source is not necessary for KCL.

Try removing it.

The current source is a *transfer source*.



# Buck-Boost Converter



Left switch is FCFB. Right switch is FCRB.



## Relationships

- To meet KVL and KCL,  $q_1 + q_2 = 1$ .
- There are really two matrices now. Let us consider the transfer source, which is manipulated by both matrices.
- Transfer voltage is subject to control.
- Transfer voltage  $v_t = q_1 V_{in} - q_2 V_{out}$ .
- Transfer source power is  $v_t I_s = q_1 V_{in} I_s - q_2 V_{out} I_s$ .
- We want the average power in the transfer source to be zero -- no loss.





## Relationships

*KVL + KCL:*

$$q_1 + q_2 = 1$$

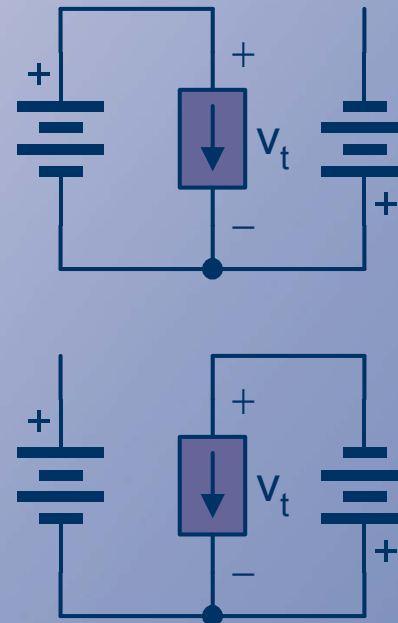
$$v_t = q_1 V_{in} - q_2 V_{out}$$

$$v_t I_s = q_1 V_{in} I_s - q_2 V_{out} I_s$$

$$\langle v_t \rangle = D_1 V_{in} - D_2 V_{out}$$

$$\langle v_t I_s \rangle = I_s \langle v_t \rangle = I_s (D_1 V_{in} - D_2 V_{out})$$

$\langle v_t I_s \rangle$  must be zero, not to have losses in the transfer source.





## Relationships

This can be done if  $D_1 V_{in} = D_2 V_{out}$ .

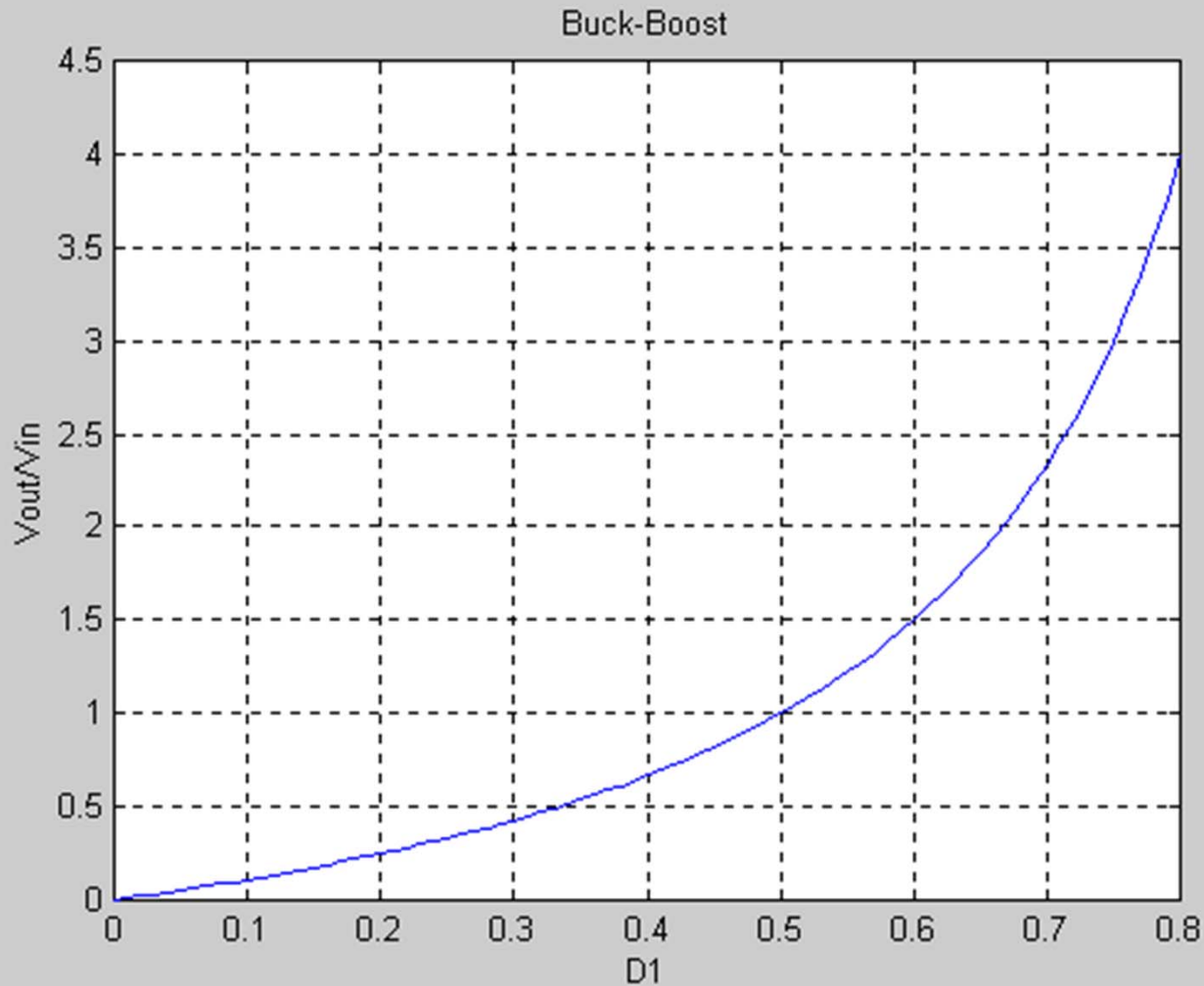
Since  $D_1 + D_2 = 1$ , we have  $D_1 V_{in} = (1 - D_1) V_{out}$ .

This becomes  $V_{out} = D_1 V_{in} / (1 - D_1)$ .

The polarity reversal comes from the cascade process.



# Buck-Boost





## Relationships

The buck-boost allows outputs both higher and lower than the input, but a polarity shift is present.

The transfer source can be an inductor alone to avoid loss.



## Relationships



Consumes no average power.  
Maintains fixed  $I$ .

Can be approximated by an inductor.



This will be our transfer  
current source.





## What About Currents?

The input current:  $i_{in} = q_1 I_s$ ,

The output current:  $i_{out} = q_2 I_s$ ,

Average input:  $I_{in} = D_1 I_s$ ,

Average output:  $I_{out} = D_2 I_s$ .

We do not really know  $I_s$ . Add the above:

$$I_{in} + I_{out} = (D_1 + D_2)I_s = I_s.$$



## Currents and Stresses

- The transfer source sees a current equal to the sum of input and output average currents.
- Each switch must carry  $I_s$ , and each must block  $V_{in} + V_{out}$ .
- All device ratings are higher than either the input or output needs.