

# Lecture 6: Optical Flow

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ECE 417: Multimedia Signal Processing, Fall 2021

- 1 Image Gradient
- 2 Optical Flow
- 3 The Lucas-Kanade Algorithm
- 4 Pseudo-Inverse
- 5 Summary

# Outline

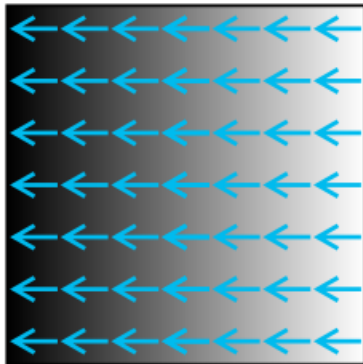
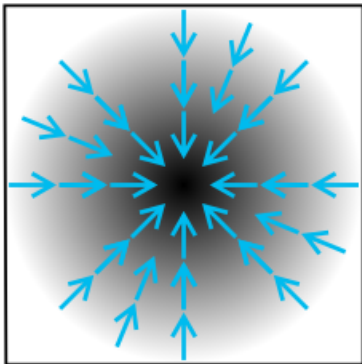
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# Image gradient

The image gradient is a way of characterizing the distribution of light and dark pixels in an image. Suppose the image intensity is  $f(t, r, c)$ . The image gradient is:

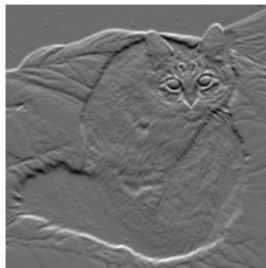
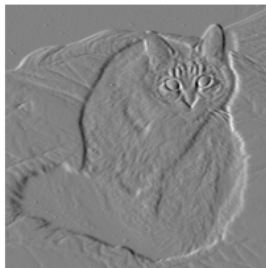
$$\nabla f == \begin{bmatrix} \frac{\partial f(t,r,c)}{\partial c} \\ \frac{\partial f(t,r,c)}{\partial r} \end{bmatrix}$$

# Image gradient



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# Image gradient



Public domain image, Njw00, 2010

# How do you calculate the image gradient?

Basically, use one of the standard numerical estimates of a derivative. For example, the central-difference operator:

$$\nabla f = \begin{bmatrix} \frac{\partial f(t,r,c)}{\partial c} \\ \frac{\partial f(t,r,c)}{\partial r} \end{bmatrix} = \begin{bmatrix} \frac{f[t,r,c+1]-f[t,r,c-1]}{2} \\ \frac{f[t,r+1,c]-f[t,r-1,c]}{2} \end{bmatrix}$$

[Wikipedia](#) has a good listing of other methods you can use.

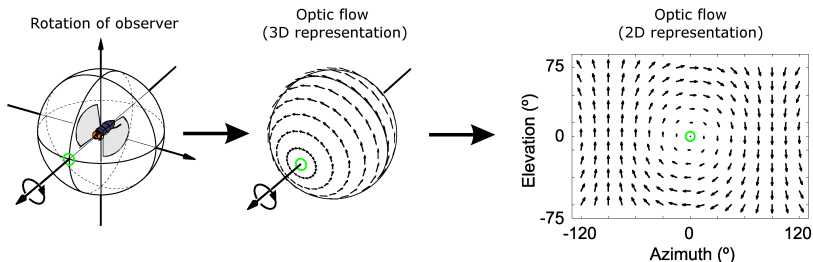
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# Optical Flow

Definition: **optical flow** is the vector field  $\vec{v}(t, r, c)$  specifying the current apparent velocity of the pixel at position  $(r, c)$ . It depends on motion of (1) the object observed, and (2) the observer.



CC-BY 2.5, Huston SJ, Krapp HG, 2008 Visuomotor Transformation in the Fly Gaze Stabilization System. PLoS Biol 6(7): e173. doi:10.1371/journal.pbio.006017

# Optical Flow

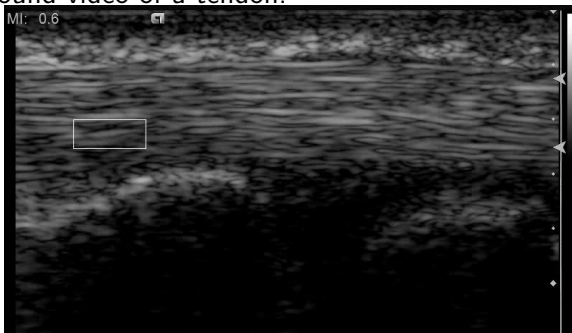
Definition: **optical flow** is the vector field  $\vec{v}(t, r, c)$  specifying the current apparent velocity of the pixel at position  $(r, c)$ . It depends on motion of (1) the object observed, and (2) the observer.



Pengcheng Han et. al. "An Object Detection Method Using Wavelet Optical Flow and Hybrid Linear-Nonlinear Classifier", Mathematical Problems in Engineering doi:10.1155/2013/96541

# Optical Flow

For example, you can use it to track a user-specified rectangle in the ultrasound video of a tendon.



CC-BY 4.0 Chuang B, Hsu J, Kuo L, Jou I, Su F, Sun Y (2017). "Tendon-motion tracking in an ultrasound image sequence using optical-flow-based block matching". BioMedical Engineering OnLine

# How to calculate optical flow

General idea:

- Treat the image as a function of continuous time and space,  $f(t, r, c)$ .
- If the image intensity is changing, as a function of time, then try to explain it by moving pixels around.

# Calculating optical flow

More formally, let's treat local variation of  $f(t, r, c)$  using a first-order Taylor series:

$$f(t + \Delta t, r + \Delta r, c + \Delta c) \approx f(t, r, c) + \Delta t \frac{\partial f}{\partial t} + \Delta r \frac{\partial f}{\partial r} + \Delta c \frac{\partial f}{\partial c}$$

Hypothesize that all intensity variations are caused by pixels moving around. Then

$$f(t + \Delta t, r + \Delta r, c + \Delta c) - f(t, r, c) = 0$$

# Calculating optical flow

$$\begin{aligned} 0 &= f(t + \Delta t, r + \Delta r, c + \Delta c) - f(t, r, c) \\ &\approx \Delta t \frac{\partial f}{\partial t} + \Delta r \frac{\partial f}{\partial r} + \Delta c \frac{\partial f}{\partial c} \end{aligned}$$

# Calculating optical flow

$$0 \approx \Delta t \frac{\partial f}{\partial t} + \Delta r \frac{\partial f}{\partial r} + \Delta c \frac{\partial f}{\partial c}$$

Dividing through by  $\Delta t$ , and taking the limit as  $\Delta t \rightarrow 0$ , we get

$$0 \approx \frac{\partial f}{\partial t} + \left( \frac{\partial r}{\partial t} \right) \frac{\partial f}{\partial r} + \left( \frac{\partial c}{\partial t} \right) \frac{\partial f}{\partial c}$$

# Calculating optical flow

Re-arranging gives us the optical flow equation:

$$-\frac{\partial f}{\partial t} \approx \left(\frac{\partial r}{\partial t}\right) \frac{\partial f}{\partial r} + \left(\frac{\partial c}{\partial t}\right) \frac{\partial f}{\partial c}$$



# How to calculate optical flow

Define the optical flow vector,  $\vec{v}(t, r, c)$ , and image gradient,  $\nabla f(t, r, c)$ :

$$\vec{v} = \begin{bmatrix} \frac{\partial c}{\partial t} \\ \frac{\partial r}{\partial t} \end{bmatrix}, \quad \nabla f = \begin{bmatrix} \frac{\partial f}{\partial c} \\ \frac{\partial f}{\partial r} \end{bmatrix}$$

Then the optical flow equation is:

$$-\frac{\partial f}{\partial t} = (\nabla f)^T \vec{v}$$

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# How to calculate optical flow

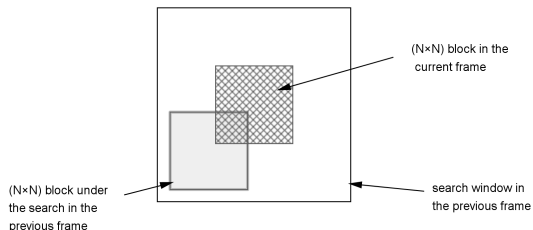
So we have this optical flow equation:

$$-\frac{\partial f}{\partial t} = (\nabla f)^T \vec{v}$$

Assume that we can calculate  $\partial f / \partial t$  and  $\nabla f$ , using standard image gradient methods. Now we just need to find  $\vec{v}$ . But  $\vec{v} = [v_r, v_c]^T$  is a vector of two unknowns, so the equation above is one equation in two unknowns!

# How to calculate optical flow

The solution is to assume that a small block of pixels all move together:



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# The Lucas-Kanade Algorithm

The Lucas-Kanade Algorithm replaces this equation

$$-\frac{\partial f}{\partial t} = (\nabla f)^T \vec{v}$$

with this equation:

$$-\begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial t} \\ \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial t} \end{bmatrix} = \begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\ \vdots & \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r} \end{bmatrix} \begin{bmatrix} v_c \\ v_r \end{bmatrix}$$

so that we are averaging over a block of size  $(2W + 1) \times (2W + 1)$  pixels.

# The Lucas-Kanade Algorithm

The Lucas-Kanade algorithm solves the equation

$$\vec{b} = A\vec{v}$$

where

$$\vec{b} = - \begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial t} \\ \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial t} \end{bmatrix}, \quad A = \begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\ \vdots & \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r} \end{bmatrix}$$
$$\vec{v} = \begin{bmatrix} v_c[t, r, c] \\ v_r[t, r, c] \end{bmatrix}$$

# The Lucas-Kanade Algorithm

The Lucas-Kanade algorithm solves the equation

$$\vec{b} = A\vec{v}$$

... but now  $A$  is a matrix of size  $(2W + 1) \times 2$ , so it's still not invertible! How do we solve that?

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# Pseudo-Inverse

The pseudo-inverse,  $A^\dagger$ , of any matrix  $A$ , is a matrix that acts like  $A^{-1}$  in many ways, but it doesn't require  $A$  to be square. Here are some of its properties:

$$AA^\dagger A = A$$

$$A^\dagger AA^\dagger = A^\dagger$$

# Pseudo-Inverse

Of particular interest to us, the vector  $\vec{v} = A^\dagger \vec{b}$  “pseudo-solves” the equation  $\vec{b} = A\vec{v}$ . By pseudo-solve, we mean that

- If  $A$  is a short fat matrix, then there are an infinite number of different vectors  $\vec{v}$  that solve  $\vec{b} = A\vec{v}$ .  $\vec{v} = A^\dagger \vec{b}$  is one of those; specifically, it's the one that minimizes  $\|\vec{v}\|^2$ .
- If  $A$  is a tall thin matrix, then there is usually no vector  $\vec{v}$  that solves  $\vec{b} = A\vec{v}$ , but  $\vec{v} = A^\dagger \vec{b}$  is the vector that comes closest, in the sense that

$$A^\dagger \vec{b} = \operatorname{argmin}_{\vec{v}} \|\vec{b} - A\vec{v}\|^2$$

# Solving for the Pseudo-Inverse

Let's use this equation:

$$v^* = A^\dagger \vec{b} = \operatorname{argmin}_v \|\vec{b} - A\vec{v}\|^2$$

to solve for the pseudo-inverse.

# Solving for the Pseudo-Inverse

$$\begin{aligned}A^\dagger \vec{b} &= \operatorname{argmin}_v \|\vec{b} - A\vec{v}\|^2 \\ &= \operatorname{argmin}_v (\vec{b} - A\vec{v})^T (\vec{b} - A\vec{v}) \\ &= \operatorname{argmin}_v (\vec{b}^T \vec{b} - 2\vec{v}^T A^T \vec{b} + \vec{v}^T A^T A \vec{v})\end{aligned}$$

# Solving for the Pseudo-Inverse

$$A^\dagger \vec{b} = \operatorname{argmin}_{\vec{v}} \left( \vec{b}^T \vec{b} - 2\vec{v}^T A^T \vec{b} + \vec{v}^T A^T A \vec{v} \right)$$

If we differentiate the quantity in parentheses, and set the derivative to zero, we get

$$\vec{0} = -2A^T \vec{b} + 2A^T A \vec{v}$$

Assume that the columns of  $A$  are linearly independent; then  $A^T A$  is invertible, and so the solution is

$$\vec{v} = (A^T A)^{-1} A^T \vec{b}$$

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# Summary: Optical Flow

- Optical flow is the vector field,  $\vec{v}(t, r, c)$ , as a function of pixel position and frame number.
- It is computed by assuming that the only changes to an image are the ones caused by motion, so that

$$f(t + \Delta t, r + \Delta r, c + \Delta c) = f(t, r, c)$$

- From that assumption, we get the optical flow equation:

$$-\frac{\partial f}{\partial t} = \vec{v}^T \nabla f$$

# The Lucas-Kanade Algorithm

Lucas-Kanade assumes that there is a  $(2W + 1) \times (2W + 1)$  block of pixels that all move together, so that  $\vec{b} = A\vec{v}$ , where

$$\vec{b} = - \begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial t} \\ \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial t} \end{bmatrix}, \quad A = \begin{bmatrix} \frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\ \vdots & \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r} \end{bmatrix}$$
$$\vec{v} = \begin{bmatrix} v_c[t, r, c] \\ v_r[t, r, c] \end{bmatrix}$$



# Pseudo-Inverse

The Lucas-Kanade equation cannot be solved exactly, because it is  $(2W + 1)$  equations in only two unknowns. But we can find the minimum-squared error solution, which is

$$v^*[t, r, c] = A^\dagger \vec{b} = (A^T A)^{-1} A^T \vec{b}$$