## Lecture 6: Optical Flow

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ECE 417: Multimedia Signal Processing, Fall 2021
(1) Image Gradient
(2) Optical Flow
(3) The Lucas-Kanade Algorithm
(4) Pseudo-Inverse
(5) Summary

## Outline

## (1) Image Gradient

## (2) Optical Flow

(3) The Lucas-Kanade Algorithm

4 Pseudo-Inverse
(5) Summary

## Image gradient

The image gradient is a way of characterizing the distribution of light and dark pixels in an image. Suppose the image intensity is $f(t, r, c)$. The image gradient is:

$$
\nabla f==\left[\begin{array}{c}
\frac{\partial f(t, r, c)}{\partial c} \\
\frac{\partial f(t, r, c)}{\partial r}
\end{array}\right]
$$

## Image gradient



CC-BY 2.5, Gufosawa, 2021

## Image gradient



Public domain image, Njw00, 2010

## How do you calculate the image gradient?

Basically, use one of the standard numerical estimates of a derivative. For example, the central-difference operator:

$$
\nabla f=\left[\begin{array}{c}
\frac{\partial f(t, r, c)}{\partial c} \\
\frac{\partial f(t, r, c)}{\partial r}
\end{array}\right]=\left[\begin{array}{c}
\frac{f[t, r, c+1]-f[t, r, c-1]}{2} \\
\frac{f[t, r+1, c]-f[t, r-1, c]}{2}
\end{array}\right]
$$

Wikipedia has a good listing of other methods you can use.

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## Optical Flow

Definition: optical flow is the vector field $\vec{v}(t, r, c)$ specifying the current apparent velocity of the pixel at position $(r, c)$. It depends on motion of (1) the object observed, and (2) the observer.


CC-BY 2.5, Huston SJ, Krapp HG, 2008 Visuomotor Transformation in the Fly Gaze Stabilization System. PLoS
Biol 6(7): e173. doi:10.1371/journal.pbio. 006017

## Optical Flow

Definition: optical flow is the vector field $\vec{v}(t, r, c)$ specifying the current apparent velocity of the pixel at position $(r, c)$. It depends on motion of (1) the object observed, and (2) the observer.


Pengcheng Han et. al. "An Object Detection Method Using Wavelet Optical Flow and Hybrid Linear-Nonlinear Classifier", Mathematical Problems in Engineering doi:10.1155/2013/96541

## Optical Flow

For example, you can use it to track a user-specified rectangle in the ultrasound video of a tendon.


CC-BY 4.0 Chuang B, Hsu J, Kuo L, Jou I, Su F, Sun Y (2017). "Tendon-motion tracking in an ultrasound image sequence using optical-flow-based block matching". BioMedical Engineering OnLine

## How to calculate optical flow

General idea:

- Treat the image as a function of continuous time and space, $f(t, r, c)$.
- If the image intensity is changing, as a function of time, then try to explain it by moving pixels around.


## Calculating optical flow

More formally, let's treat local variation of $f(t, r, c)$ using a first-order Taylor series:

$$
f(t+\Delta t, r+\Delta r, c+\Delta c) \approx f(t, r, c)+\Delta t \frac{\partial f}{\partial t}+\Delta r \frac{\partial f}{\partial r}+\Delta c \frac{\partial f}{\partial c}
$$

Hypothesize that all intensity variations are caused by pixels moving around. Then

$$
f(t+\Delta t, r+\Delta r, c+\Delta c)-f(t, r, c)=0
$$

## Calculating optical flow

$$
\begin{aligned}
0 & =f(t+\Delta t, r+\Delta r, c+\Delta c)-f(t, r, c) \\
& \approx \Delta t \frac{\partial f}{\partial t}+\Delta r \frac{\partial f}{\partial r}+\Delta c \frac{\partial f}{\partial c}
\end{aligned}
$$

## Calculating optical flow

$$
0 \approx \Delta t \frac{\partial f}{\partial t}+\Delta r \frac{\partial f}{\partial r}+\Delta c \frac{\partial f}{\partial c}
$$

Dividing through by $\Delta t$, and taking the limit as $\Delta t \rightarrow 0$, we get

$$
0 \approx \frac{\partial f}{\partial t}+\left(\frac{\partial r}{\partial t}\right) \frac{\partial f}{\partial r}+\left(\frac{\partial c}{\partial t}\right) \frac{\partial f}{\partial c}
$$

## Calculating optical flow

Re-arranging gives us the optical flow equation:

$$
-\frac{\partial f}{\partial t} \approx\left(\frac{\partial r}{\partial t}\right) \frac{\partial f}{\partial r}+\left(\frac{\partial c}{\partial t}\right) \frac{\partial f}{\partial c}
$$

## How to calculate optical flow

Define the optical flow vector, $\vec{v}(t, r, c)$, and image gradient, $\nabla f(t, r, c)$ :

$$
\vec{v}=\left[\begin{array}{c}
\frac{\partial c}{\partial t} \\
\frac{\partial r}{\partial t}
\end{array}\right], \quad \nabla f=\left[\begin{array}{l}
\frac{\partial f}{\partial c} \\
\frac{\partial f}{\partial r}
\end{array}\right]
$$

Then the optical flow equation is:

$$
-\frac{\partial f}{\partial t}=(\nabla f)^{T} \vec{v}
$$

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## How to calculate optical flow

So we have this optical flow equation:

$$
-\frac{\partial f}{\partial t}=(\nabla f)^{T} \vec{v}
$$

Assume that we can calculate $\partial f / \partial t$ and $\nabla f$, using standard image gradient methods. Now we just need to find $\vec{v}$. But $\vec{v}=\left[v_{r}, v_{c}\right]^{T}$ is a vector of two unknowns, so the equation above is one equation in two unknowns!

## How to calculate optical flow

The solution is to assume that a small block of pixels all move together:


CC-SA 4.0 by German iris, 2017

## The Lucas-Kanade Algorithm

The Lucas-Kanade Algorithm replaces this equation

$$
-\frac{\partial f}{\partial t}=(\nabla f)^{T} \vec{v}
$$

with this equation:
$-\left[\begin{array}{c}\frac{\partial f[t, r-W, c-W]}{\partial t} \\ \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial t}\end{array}\right]=\left[\begin{array}{cl}\frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\ \vdots & \\ \frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r}\end{array}\right]\left[\begin{array}{c}v_{c} \\ v_{r}\end{array}\right]$
so that we are averaging over a block of size $(2 W+1) \times(2 W+1)$ pixels.

## The Lucas-Kanade Algorithm

The Lucas-Kanade algorithm solves the equation

$$
\vec{b}=A \vec{v}
$$

where
$\vec{b}=-\left[\begin{array}{c}\frac{\partial f[t, r-W, c-W]}{\partial t} \\ \vdots \\ \frac{\partial f[t, r+W, c+W]}{\partial t}\end{array}\right], A=\left[\begin{array}{cc}\frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\ \vdots & \\ \frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r}\end{array}\right]$
$\vec{v}=\left[\begin{array}{c}v_{c}[t, r, c] \\ v_{r}[t, r, c]\end{array}\right]$

## The Lucas-Kanade Algorithm

The Lucas-Kanade algorithm solves the equation

$$
\vec{b}=A \vec{v}
$$

... but now $A$ is a matrix of size $(2 W+1) \times 2$, so it's still not invertible! How do we solve that?

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## Pseudo-Inverse

The pseudo-inverse, $A^{\dagger}$, of any matrix $A$, is a matrix that acts like $A^{-1}$ in many ways, but it doesn't require $A$ to be square. Here are some of its properties:

$$
\begin{aligned}
A A^{\dagger} A & =A \\
A^{\dagger} A A^{\dagger} & =A
\end{aligned}
$$

## Pseudo-Inverse

Of particular interest to us, the vector $\vec{v}=A^{\dagger} \vec{b}$ "pseudo-solves" the equation $\vec{b}=A \vec{v}$. By pseudo-solve, we mean that

- If $A$ is a short fat matrix, then there are an infinite number of different vectors $\vec{v}$ that solve $\vec{b}=A \vec{v} . \vec{v}=A^{\dagger} \vec{b}$ is one of those; specifically, it's the one that minimizes $\|\vec{v}\|^{2}$.
- If $A$ is a tall thin matrix, then there is usually no vector $\vec{v}$ that solves $\vec{b}=A \vec{v}$, but $\vec{v}=A^{\dagger} \vec{b}$ is the vector that comes closest, in the sense that

$$
A^{\dagger} \vec{b}=\operatorname{argmin}_{\vec{v}}\|\vec{b}-A \vec{v}\|^{2}
$$

## Solving for the Pseudo-Inverse

Let's use this equation:

$$
v^{*}=A^{\dagger} \vec{b}=\operatorname{argmin}_{v}\|\vec{b}-A \vec{v}\|^{2}
$$

to solve for the pseudo-inverse.

## Solving for the Pseudo-Inverse

$$
\begin{aligned}
A^{\dagger} \vec{b} & =\operatorname{argmin}_{v}\|\vec{b}-A \vec{v}\|^{2} \\
& =\operatorname{argmin}_{v}(\vec{b}-A \vec{v})^{T}(\vec{b}-A \vec{v}) \\
& =\operatorname{argmin}_{v}\left(\vec{b}^{\top} \vec{b}-2 \vec{v}^{\top} A^{\top} \vec{b}+\vec{v}^{\top} A^{\top} A \vec{v}\right)
\end{aligned}
$$

## Solving for the Pseudo-Inverse

$$
A^{\dagger} \vec{b}=\operatorname{argmin}_{v}\left(\vec{b}^{\top} \vec{b}-2 \vec{v}^{\top} A^{\top} \vec{b}+\vec{v}^{\top} A^{\top} A \vec{v}\right)
$$

If we differentiate the quantity in parentheses, and set the derivative to zero, we get

$$
\overrightarrow{0}=-2 A^{T} \vec{b}+2 A^{\top} A \vec{v}
$$

Assume that the columns of $A$ are linearly independent; then $A^{T} A$ is invertible, and so the solution is

$$
\vec{v}=\left(A^{T} A\right)^{-1} A^{T} \vec{b}
$$

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## Summary: Optical Flow

- Optical flow is the vector field, $\vec{v}(t, r, c)$, as a function of pixel position and frame number.
- It is computed by assuming that the only changes to an image are the ones caused by motion, so that

$$
f(t+\Delta t, r+\Delta r, c+\Delta c)=f(t, r, c)
$$

- From that assumption, we get the optical flow equation:

$$
-\frac{\partial f}{\partial t}=\vec{v}^{T} \nabla f
$$

## The Lucas-Kanade Algorithm

Lucas-Kanade assumes that there is a $(2 W+1) \times(2 W+1)$ block of pixels that all move together, so that $\vec{b}=A \vec{v}$, where

$$
\begin{gathered}
\vec{b}=-\left[\begin{array}{c}
\frac{\partial f[t, r-W, c-W]}{\partial t} \\
\vdots \\
\frac{\partial f[t, r+W, c+W]}{\partial t}
\end{array}\right], \quad A=\left[\begin{array}{cc}
\frac{\partial f[t, r-W, c-W]}{\partial c} & \frac{\partial f[t, r-W, c-W]}{\partial r} \\
\vdots & \\
\frac{\partial f[t, r+W, c+W]}{\partial c} & \frac{\partial f[t, r+W, c+W]}{\partial r}
\end{array}\right] \\
\vec{v}=\left[\begin{array}{c}
v_{c}[t, r, c] \\
v_{r}[t, r, c]
\end{array}\right]
\end{gathered}
$$

## Pseudo-Inverse

The Lucas-Kanade equation cannot be solved exactly, because it is $(2 W+1)$ equations in only two unknowns. But we can find the minimum-squared error solution, which is

$$
v^{*}[t, r, c]=A^{\dagger} \vec{b}=\left(A^{T} A\right)^{-1} A^{T} \vec{b}
$$

