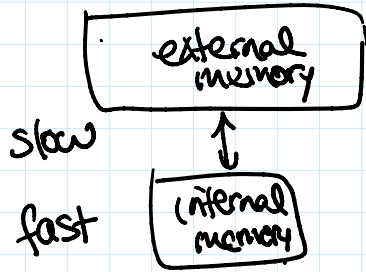


# External-Memory Data Structures

## EM Model



$M$  = internal memory size.

$B$  = block size

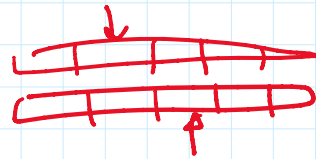
Cost = # I/O ops  
(read/write a block)

assume  $M \geq B^{1+\epsilon}$

e.g. min  $O(N/B)$  cost.

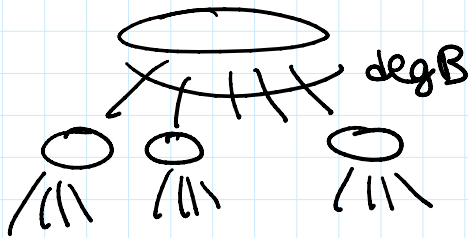
Sort: heapsort X  
mergesort

$O(\frac{N}{B} \log_2 N)$



## Ex Pred Search

### Method 1: B-tree



space  $O(N)$  (or  $O(\frac{N}{B})$  blocks)

Query cost  $O(\log_B N)$

$(Q(N) \leq Q(\frac{N}{B}) + \frac{O(1)}{I/O})$

dynamic  $\text{deg}(\frac{B}{4}, B) \Rightarrow$  update cost  $O(\log_B N)$

better?

e.g. if we use B-trees to sort,

$\Rightarrow O(N \log_B N)$   
 but standard mergesort  $O(\frac{N}{B} \log_2 N)$   
 best sorting algn  $O(\frac{N}{B} \log_{M/B} N)$

## Method 2: Buffer Tree (Ape '03)

update cost  
amort.

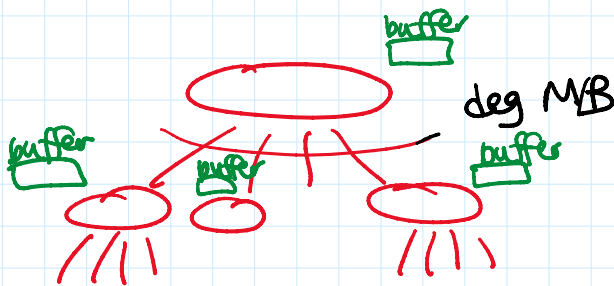
$$O\left(\frac{1}{B} \cdot \log_{M/B} N\right)$$

sub-const.

i.e.  $N$  updates take  $O\left(\frac{N}{B} \log_{M/B} N\right)$  total cost.

idea - be lazy!

for each node, keep a buffer of up to  $M$   
not-yet-processed updates



Update algn:

put request in root buffer

when buffer is full,

empty it by pushing to children

need  $O(\frac{M}{B})$  I/Os

but done once after every  $M$  updates per level

$\Rightarrow O(\frac{1}{B})$  amort. per level

$\Rightarrow O\left(\frac{1}{B} \cdot \log_{M/B} N\right)$

$$\Rightarrow \boxed{O\left(\frac{1}{B} \cdot \log_{M/B} N\right)}$$

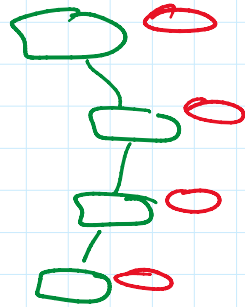
Query alg'm:

empty buffers along search path

amort.  $O\left(\log_{M/B} N \cdot \log_B \frac{M}{B}\right)$

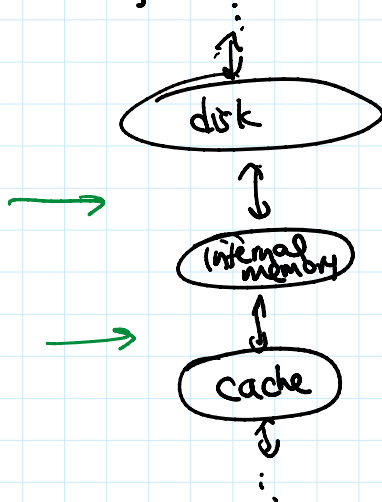
↑ search among  $\frac{M}{B}$  children

$$= \boxed{O(\log_B N)}$$



Rmk. other problems e.g. point location in 2D ...  
 $O(\log_B N)$  --

Rmk. works for other levels of memory hierarchy



Cache-Oblivious Model:

algs not given value of  $B$ .

just assume reasonable paging strategy (e.g. LRU)

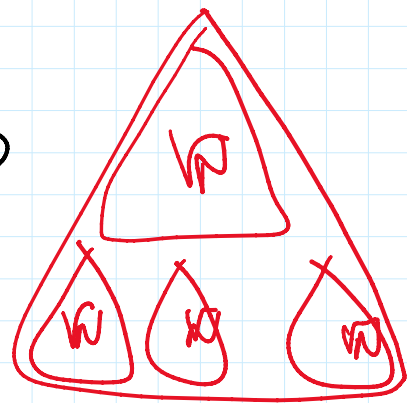
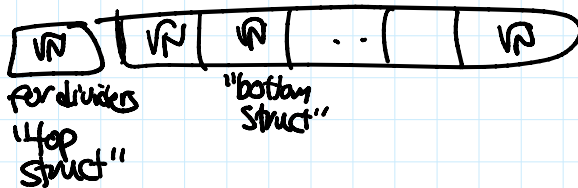
**Pros:** all levels of memory hierarchy simultaneously!

e.g. min merge in  $O(N/B)$  cost

Sort  $O(\frac{N}{B} \log_{\frac{N}{B}} N)$

Ex <sup>Static</sup> Pred Search

idea - van Ende Boas recursion  
divide  $\sqrt{N}$ -way



query:

1. recurse top
2. recurse in 1 bottom struct.

$\Rightarrow$  2 recursive calls: bad?

query cost:

$$Q(N) = \begin{cases} 2Q(\sqrt{N}) + O(1) & \text{if } N > B \\ O(1) & \text{if } N \leq B \end{cases}$$

$\uparrow$   
algn not need  
to know  
when to switch

expand  
levels  
 $\Rightarrow$

$$Q(N) \leq 2^l \left( Q(N^{\frac{1}{2^l}}) + O(1) \right)$$

$$\dots \dots \dots \leq O\left(\frac{\log N}{\log 2}\right) \cdot O(1)$$

set  $l$  st.  $N^{\frac{1}{2^l}} = B.$

$$\frac{1}{2^l} \log N = \log B$$
$$2^l = \frac{\log N}{\log B}$$

$$\leq O\left(\frac{\log N}{\log B}\right) \cdot O(1)$$

$$= \boxed{O(\log_B N)}$$

Rmk - can be made dynamic  
point location, ...

(Bender, Demaine, Farach-Cotton<sup>(op)</sup>)

- o
- o
- o