

Computational

CSS79 Complexity: Lecture II

admin: out of town 02-21 → office has cancelled
→ Zander will lecture

last time: - time/space hierarchy

- $\text{TIME}(o(\frac{t}{\log t})) \neq \text{TIME}(t)$, for "reasonable" t
- $P \neq \text{EXP}$

today: - barrier results

- oracle reductions
- relativization barrier

barrier results \square resolved P vs EXP , what else is possible?

Q: is P vs NP ^{possible} ~~hard~~ to resolve?
 \square provable \square true in world \square as leprechauns \square
 \square provable vs truth

thm: $\text{HALT} = \{ \langle M, x \rangle : M \text{ halts on } x \}$ is undecidable [nor solvable by TM]

Cor [Gödel's incompleteness thm]: in any "reasonable" ^{logical} proof system \square

exists some $\langle M, x \rangle$ - M does not halt on x

- no proof of $\langle \rangle$ inside the proof system \square

Sketch: suppose not:

if M halts on x : can prove $\langle M \text{ halts on } x \rangle$ in \square by running M until it halts
if M does not halt on x : some proof in \square that $\langle M \text{ does not halt on } x \rangle$
to decide HALT : on input $\langle M, x \rangle$:

- enumerate all proofs in \square until find $\langle M \text{ halts on } x \rangle$
- accept / reject $\langle M \text{ does not halt on } x \rangle$

\square contradicts undecidability

Cor [Gödel's incompleteness thm]: any "reasonable" proof system is incomplete \square if one exists by \square above

Q: natural examples? P vs NP ?

ex: Euclidean Geometry =

1. —
2. —
3. —
4. —
5. parallel postulate = given \square parallel line

 \square true but unprovable

Q: prove #5 from #1-4
less obvious obvious

A (Bolyai, Gauss, Beltrami): no

Sketch: real world / model satisfies #1-#4, #5



non-standard world / model #1-#4 satisfied



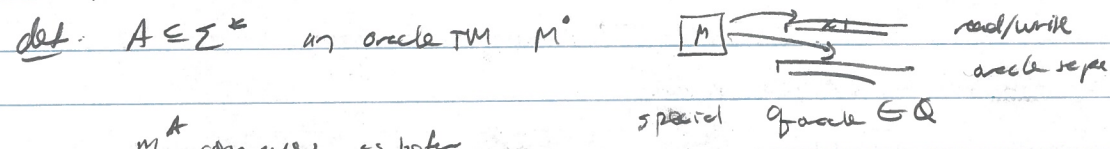
\square sums to > 180 degree \Rightarrow #5 false

\Rightarrow #5 cannot be proved from #1-#4, is independent

Q: is P vs NP independent? of known techniques?

barrier result: - formalize "known" techniques
- show \square cannot resolve P vs NP

oracle reduction



M^A computes as before
 ↳ if reach oracle; $y =$ oracle tape content. If can ask any question
 $y \in A \Rightarrow$ oracle tape replaced w/ 1
 \neq 0

$TIME^A(t(n)) = \{ L = L(M^A) : M^A \text{ computes } Q(t(n)) \text{ steps} \}$

$P^A = \bigcup_n TIME^A(n^*)$

also $NTIME^A, NP^A$

□ complexity class $P^E = \bigcup_{L \in E} P^L$
 $NP^E = \bigcup_{L \in E} NP^L$

def. $A \leq_{\text{oracle}} B$ if $A \in P^B$

prop. - $A \leq_p B \Rightarrow A \leq_{\text{oracle}} B$ || converse is false, probably!

- $A \leq_{\text{oracle}} B, B \in P \Rightarrow A \in P$ || NP not closed under complement
 $B \in NP \Rightarrow A \in P^{NP} \cong NP, \text{GMP}$ || not so useful here!

- $P^{NP} = P^{SAT}$ || completeness

Q: how does P^A compare w/ NP^A ?

thm any oracle $A, TIME^A(\cdot \uparrow \frac{t}{19t}) \not\subseteq TIME^A(t)$, time constructible t .

PF has before! ↳ no A ↳ in the previous reduction

$D = \{ \text{on input } \langle M \rangle \geq 10^k$

- 1) simulate M^A on $\langle M \rangle \geq 10^k$ for $\frac{t(n)}{19t(n)}$ steps
- 2) accept iff \uparrow rejects

Ch: $L(D) \in TIME^A(t)$

PF: use simulation, if M^A calls A, D does too

Ch: $L(D) \notin TIME^A(\cdot \uparrow \frac{t}{19t})$

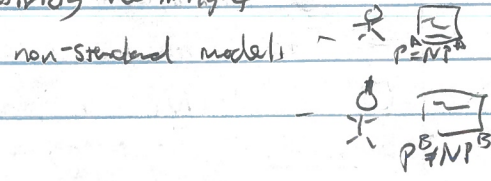
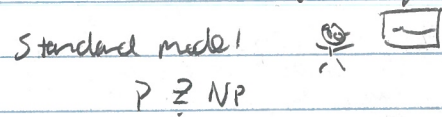
PF: as before

def: a complexity result $\left\{ \begin{array}{l} C \in L \\ C \notin L \end{array} \right\}$ relativizes if it holds for any oracle A

↳ eg: simulation techniques

$C^A \in L^A$
 $C^A \notin L^A$

goal: show that P vs NP requires non-relativizing techniques



2019-02-19.2 → 2019-02-19.3
2019-02-19.4 ← CS 579

thm [Baker Gill Solovay 1975]: exists oracle A where $P^A = NP^A$
B $P^B \neq NP^B$

pf. A: idea = make P^A "so big" that $P \cup NP$ is tiny
"TQBF"

Clm: $P^A = PSPACE$

pf. - \exists : TQBF is PSPACE-complete

\subseteq : all oracle questions are \in poly (in) long TQBF questions
 $\in PSPACE$.

Clm: $NP^A = NPSPACE = PSPACE$

pf. \exists : $NP^A \supseteq P^A \supseteq PSPACE$

\subseteq : nondeterminism $\in NPSPACE$

$\Rightarrow P^A = NP^A$

B: idea create "world" from scratch, by diagonalization

define $OR^L = \{1^n : \exists x \in \{0,1\}^n, x \in L\}$

Clm: $OR^L \in NP^L$ all L

pf: guess x, check $x \in L$

Clm: exists B, $OR^B \notin P^B$ [want to show can't put $OR^B \in P^B$]

pf: $M_1, M_2, \dots, M_i, \dots$ all TM [M_i may never halt]

$M_{i,j} :=$ run M_i for $n^i + j$ steps

$\Rightarrow \exists A \in P^B$ if $L = \bigcup (M_{i,j}^B)$ some i,j

idea: define B in stages, $OR^B \neq L(M_{i,j}^B)$

stage 0: all strings x are undecided wrt B

stage i,j: a) pick n large enough st

- $2^n > n^i + j$ [exp vs poly]

- all of $\{0,1\}^n$ is undecided wrt B

↳ each stage decides finite # strings

b) run $M_{i,j}^B$ on 1^n until it halts $\leftarrow n^i + j$ steps

if asks "y $\in B$?" : y $\in B$ decided: answer "yes" [might ask 101 always]

undecided: "no"

c) all y $\in \{0,1\}^n$ - undecided [not queried] if chosen n large enough
- decided y $\notin B$

$2^n > n^i + j \Rightarrow$ some y $\in \{0,1\}^n$ undecided [$M_{i,j}^B$ hasn't read this, or is wrong]

CS 579

if M_{ij}^B accepted = decide ^{undecided} all $y \in \{0,1\}^n$ $\wedge y \notin B$
 \Rightarrow all $y \in \{0,1\}^n$ have $y \in B$
 $\Rightarrow OR^B(1^n) = 0$ but $M_{ij}^B(1^n) = 1$
 $\Rightarrow OR^B \neq L(M_{ij}^B)$

if M_{ij}^B rejected. $y_0 \in \{0,1\}^n$ undecided
decide $y_0 \in B \Rightarrow OR^B(1^n) = 1$ but $M_{ij}^B(1^n) = 0$
 $\Rightarrow OR^B \neq L(M_{ij}^B)$

If only decided finitely many strings \mathbb{Z}

\Rightarrow all i, j $L(M_{ij}^B) \neq OR^B$
 $\Rightarrow OR^B \notin P^B$

- Rmk :
- B is computable if \mathbb{Z} is explicit \mathbb{Z}
 - any resolution to P vs NP must notice lack of oracle
 - Most known results relativize
 - most ~~known~~ problems require non-relativizing techniques
 - we'll see some \rightarrow
- \hookrightarrow still not enough for P vs NP

next time: Bounded or circuit