cs579: Computational Complexity

Assigned: Mon., Mar. 26, 2018

Problem Set #5

Prof. Michael A. Forbes

Due: Mon., Apr. 9, 2018 (3:30pm)

1. Let  $\mathbb{F}$  be a field (such as the real or complex numbers), and let  $\mathbb{F}[x_1,\ldots,x_n]$  be the ring of n-variate polynomials. A monomial  $\overline{x}^{\overline{a}} = x_1^{a_1} \cdots x_n^{a_n}$  has (total) degree  $a_1 + \cdots + a_n$  (denoted deg) and individual degree  $\max_i a_i$  (denoted ideg). The (total) degree and individual degree of a polynomial  $f(\overline{x}) = \sum_{\overline{a}} \alpha_{\overline{a}} \overline{x}^{\overline{a}}$  (with  $\alpha_{\overline{a}} \in \mathbb{F}$ ) are the maximum of the respective degrees over all monomials  $\overline{x}^{\overline{a}}$  where  $\alpha_{\overline{a}} \neq 0$ .

It is a basic fact in algebra that a non-zero univariate polynomial f(x) of degree  $\leq d$  has at most d roots, that is, points  $\alpha$  in  $\mathbb{F}$  where  $f(\alpha) = 0$ . The Schwartz-Zippel Lemma is a generalization to non-zero multivariate polynomials  $f \in \mathbb{F}[x_1, \ldots, x_n]$ , showing that for any set  $S \subseteq \mathbb{F}$ , the number of roots in  $S^n = \{(\alpha_1, \ldots, \alpha_n) : \alpha_i \in S\}$  is small. One can phrase this result about the probability that a uniformly random point in  $S^n$  is a root of f.

(a) (Schwartz version) Show that for non-zero  $f \in \mathbb{F}[x_1, \dots, x_n]$ 

$$\Pr_{\overline{\alpha} \leftarrow S^n}[f(\overline{\alpha}) = 0] \le \frac{\deg f}{|S|} \ .$$

Find a polynomial where this bound is tight.

(b) (Zippel version) Show that for non-zero  $f \in \mathbb{F}[x_1, \dots, x_n]$ ,

$$\Pr_{\overline{\alpha} \leftarrow S^n} [f(\overline{\alpha}) = 0] \le 1 - \left(1 - \frac{\text{ideg } f}{|S|}\right)^n.$$

Find a polynomial where this bound is tight.

- 2. (Arora-Barak 12.7) Let  $f: \{0,1\}^n \to \{0,1\}$  be a boolean function. The degree of f over a field  $\mathbb{F}$  (denoted  $\deg_{\mathbb{F}} f$ ) is the minimum degree of a polynomial  $p \in \mathbb{F}[x_1, \ldots, x_n]$  such that  $f(\overline{x}) = p(\overline{x})$  for all  $\overline{x} \in \{0,1\}^n$ . Show that for any field  $\mathbb{F}$ ,  $\deg_{\mathbb{F}} f \leq D(f)$ , where D(f) is the deterministic decision-tree complexity of f. Conclude that  $\deg_{\mathbb{F}} f \leq n$  for any n-variate boolean function.
- 3. (Arora-Barak 12.5) Let  $f: \{0,1\}^n \to \{0,1\}$  be a boolean function. For any field  $\mathbb{F}$ , show that there is a *unique* polynomial  $p \in \mathbb{F}[x_1, \dots, x_n]$  with ideg  $p \leq 1$ , such that  $f(\overline{x}) = p(\overline{x})$  for all  $\overline{x} \in \{0,1\}^n$ .
- 4. (Arora-Barak 13.13) Let G = (V, E) be an undirected graph. Consider the following communication problem. Alice receives a clique  $C \subseteq V$  in G, while Bob receives an independent set  $I \subseteq V$ . They must then communicate to compute  $|C \cap I|$  (note that this is either 0 or 1). Prove a  $O(\log^2 |V|)$  upper bound on the deterministic communication complexity of this problem.

1

## Some hints.

- 1. Induction on the number of variables. Split  $\overline{x} = (y, \overline{z})$ , and decompose  $f(y, \overline{z}) = \sum_{i=0}^d f_i(\overline{z}) y^i$ , where  $f_d(\overline{z})$  is a non-zero polynomial. When picking  $\overline{\alpha} = (\beta, \overline{\gamma})$  at random, condition on whether  $f_d(\overline{\gamma})$  is zero or non-zero.
- 3. Use the solutions and ideas of problems 1 and 2.
- 4. Proceed in  $O(\log |V|)$  rounds of  $O(\log |V|)$  communication. Suppose  $v \in C \cap I$ , condition on the degree of this vertex (large vs small).