

Problem Set #3

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Due: *Mon., Mar. 5, 2018 (3:30pm)*

1. Define $\text{FACTORING} = \{\langle a, b, n \rangle : n \text{ has a prime factor in the interval } [a, b]\}$. For this problem you may assume that there is a deterministic polynomial time primality test, that is, $\text{PRIMES} \in \text{P}$, so that primality of a number n can be decided in $\text{poly}(\lg n)$ time as a number n is represented by $O(\lg n)$ bits. Show that
 - (a) If you can factor integers deterministically in polynomial time, then $\text{FACTORING} \in \text{P}$.
 - (b) If $\text{FACTORING} \in \text{P}$, then you can factor numbers deterministically in polynomial time.
 - (c) Show that $\text{FACTORING} \in \text{NP} \cap \text{coNP}$.
 - (d) Show that if FACTORING is NP-hard then $\text{NP} = \text{coNP}$ and hence the polynomial hierarchy collapses to $\text{PH} = \text{NP}$.

Thus, the above suggests that even though factoring integers is not known to be in P, it is also not expected to be NP-hard. As such, FACTORING is a candidate NP-intermediate problem (which unconditionally (under $\text{P} \neq \text{NP}$) exist due to Ladner's Theorem).

2. Show that if $\text{NP} \subseteq \text{BPP}$ then $\text{NP} = \text{RP}$.
3. (Multiplicative Chernoff Bound). Let X_1, \dots, X_n be independent random variables taking values over $[0, 1]$. Let $X = \sum_i X_i$. Show that
 - (a) For $r \in (-\infty, \ln 2]$, prove that $\mathbb{E}[e^{rX}] \leq e^{r\mathbb{E}[X] + r^2\mathbb{E}[X]}$, where you may use-without-proof that $1 + x \leq e^x \leq 1 + x + x^2$ for such r .
 - (b) Explain how the above used the independence of the X_i .
 - (c) Apply Markov's inequality ($\Pr[Y \geq a] \leq \mathbb{E}[Y]/a$) to e^{rX} , and optimize over r , to conclude that
 - i. For $0 \leq \epsilon \leq \ln 4$, $\Pr[X \geq (1 + \epsilon)\mathbb{E}[X]] \leq e^{-\epsilon^2\mathbb{E}[X]/4}$
 - ii. For $\epsilon \geq \ln 4$, $\Pr[X \geq (1 + \epsilon)\mathbb{E}[X]] \leq 2^{-\epsilon\mathbb{E}[X]/2}$
 - iii. For $0 \leq \epsilon \leq 1$, $\Pr[X \leq (1 - \epsilon)\mathbb{E}[X]] \leq e^{-\epsilon^2\mathbb{E}[X]/4}$
 - iv. (Additive Chernoff Bound) For $\epsilon \geq 0$, $\Pr[|X - \mathbb{E}[X]| \geq \epsilon \cdot n] \leq 2e^{-\epsilon^2 n/4}$

Note that the additive Chernoff bound suffices for BPP amplification, but the multiplicative bound is in general stronger and sometimes needed (e.g. consider $\mathbb{E}[X] = \lg n$ and the resulting bound for $\Pr[X \geq 2\mathbb{E}[X]]$).

4. (Arora-Barak Problem 6.5) Show that for every constant $c \geq 1$ there is a language in PH that requires circuits of size $\Omega(n^c)$.

Some hints.

4. Where have we seen languages that require large circuits? How can I debate you to prove I am computing such a language? What if there are multiple such languages? Obtain such a language in $\Sigma^4\text{P}$.