

# Complexity Homework 1

Released: January 25, 2011

Due: February 8, 2011

For problems that involve nondeterministic complexity classes, the solutions may be simpler when phrased in terms of “certificates” (instead of non-determinism).

## Problem 1:

- (a) Let  $L_1, L_2$  be languages in **NP**. Are  $L_1 \cup L_2$  and  $L_1 \cap L_2$  necessarily in **NP**?
- (b) Let  $L_1, L_2$  be languages in **NP**. Show that  $L_1 L_2$  and  $L_1^*$  are in **NP**.
- (c) Let  $L_1, L_2$  be languages in **P**. Show that  $L_1 L_2$  and  $L_1^*$  are in **P**.
- (d) Let  $L_1, L_2$  be languages in  $\mathbf{NP} \cap \mathbf{co-NP}$ . Show that their symmetric difference

$$L_1 \oplus L_2 \stackrel{\text{def}}{=} \{x \mid x \text{ is in exactly one of } L_1, L_2\}$$

is also in  $\mathbf{NP} \cap \mathbf{co-NP}$ .

## Problem 2:

- (a) Show that the halting problem is **NP**-hard. Is it **NP**-complete?  
(The halting problem is given by the language  $H = \{\langle M \rangle, x \mid M \text{ is a TM that halts on input } x\}$ . You may recall that  $H$  is *undecidable*.)
- (b) Show that  $\overline{\text{SAT}}$  (the complement of **SAT**) is **NP**-hard *under Cook reductions*. That is, every language in **NP** reduces to  $\overline{\text{SAT}}$  via a Cook reduction. (On the other hand, we believe  $\overline{\text{SAT}}$  is not **NP**-hard (under Karp reductions). If it were, then  $\mathbf{NP} = \mathbf{co-NP}$ .)

## Problem 3:

Show that the following two statements are equivalent (we don't know if they are true):

- (a) Every unary<sup>1</sup> language in **NP** is also in **P**.
- (b)  $\mathbf{DTIME}(2^{O(n)}) = \mathbf{NTIME}(2^{O(n)})$  (these classes are called **E** and **NE**, respectively).

*Hint: It takes  $\Theta(\log n)$  bits to encode the number “ $n$ ” in binary.*

## Problem 4:

Give a parsimonious Karp reduction from **SAT** to **3SAT**.

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<sup>1</sup>A language is *unary* if it is a subset of  $\{1\}^*$  — that is, it only uses one symbol of the alphabet.

**Problem 5:**

In this problem, we analyze a reduction from 3SAT to the following language:

$$\text{MAX-2SAT} = \{(\phi, k) \mid \phi \text{ is a 2-CNF formula, and there is an assignment that satisfies at least } k \text{ clauses}\}$$

Our reduction is the following: Given a 3SAT instance  $\phi$ , we will output a MAX-2SAT instance  $(\phi', k)$ , where  $\phi'$  is a 2-CNF formula. To construct  $\phi'$ , do the following: for each clause  $(x \vee y \vee z)$  in  $\phi$ , add the following 10 clauses to  $\phi'$  (where  $w$  is a fresh variable for each clause):

$$(x), (y), (z), (\neg x \vee \neg y), (\neg y \vee \neg z), (\neg x \vee \neg z), (w), (x \vee, \neg w), (y \vee \neg w), (z \vee \neg w)$$

Find a value of  $k$  such that  $(\phi', k) \in \text{MAX-2SAT}$  if and only if  $\phi \in \text{3SAT}$ . Prove the correctness of the reduction.

**Problem 6 (Extra credit):**

Show that 2SAT is in **P**.

*Hint: Consider a directed graph with all the literals as nodes, and edges as implications ( $(x \vee y)$  corresponds to  $(\neg x \Rightarrow y)$  and  $(\neg y \Rightarrow x)$ ). Look to derive contradictions of the form  $(\neg x \Rightarrow x)$  and  $(x \Rightarrow \neg x)$ . What do such contradictions tell you about a possible satisfying assignment?*

**Problem 7 (Extra credit) [See Arora-Barak (web-draft) Chapter 2, Exercise #13]:**

Show that if there is a unary language that is **NP**-complete, then **P** = **NP**.

**Problem 8 (Extra credit):**

Consider the following language:

$$\text{MAX-CUT} = \{(G, k) \mid G \text{ is a multigraph with a cut of size at least } k\}$$

A *cut* in a graph is a partition of its vertices into two parts. The size of the cut is the number of edges which “cross” the cut (whose endpoints are in opposite parts). A multigraph means we allow duplicate edges.

We now analyze a reduction from MAX-2SAT to MAX-CUT. Given an instance  $(\phi, k)$  of MAX-2SAT, let  $n$  be the number of variables occurring in  $\phi$ , and  $m$  the number of clauses. Consider the following graph:

$G_\phi$  is a graph with a vertices labeled  $x_i$  and  $\neg x_i$  for each variable  $x$  occurring in  $\phi$ , and two special vertices labeled  $T$  and  $F$ . We add  $5m$  edges between  $T$  and  $F$ , and  $5m$  edges between each pair  $(x_i, \neg x_i)$  — see Figure 1. Then, for each clause  $(x \vee y) \in \phi$ , where  $x$  and  $y$  are literals, we add the following 7 edges (see Figure 2):

- $(x, y), (T, x), (T, y)$ .
- Two copies of the edges  $(x, F)$  and  $(y, F)$ .

- (a) Show that in the largest cut in  $G_\phi$ ,  $T$  and  $F$  must be in opposite parts.
- (b) Show that in the largest cut in  $G_\phi$ , the vertices corresponding to  $x$  and  $\neg x$  must be in opposite parts.
- (c) Argue that  $(\phi, k) \in \text{MAX-2SAT}$  if and only if  $(G_\phi, 5m + 5mn + 4k + 2(m - k)) \in \text{MAX-CUT}$ .

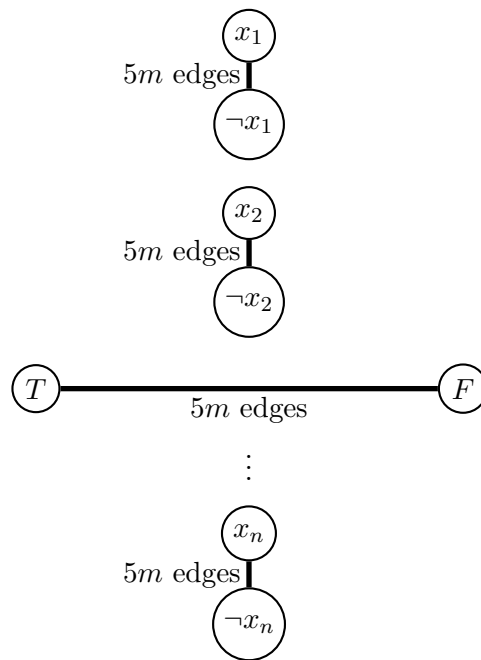


Figure 1: Starting graph for  $G_\phi$ , where  $\phi$  has  $n$  variables,  $x_1, \dots, x_n$ .

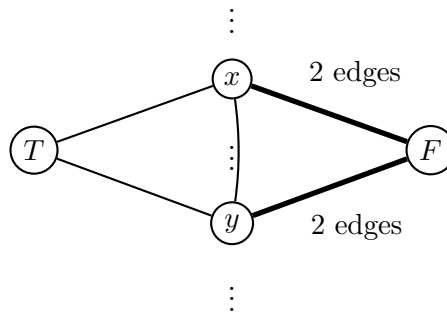


Figure 2: Edges to add for a clause of the form  $(x \vee y)$