

# Complexity Homework 5

Released: April 7, 2009

Due: April 21, 2009

## Problem 1: 2-Universal Hash Function Family.

The first couple of problems deal with 2-Universal Hash Function Families.

Define a *hash function family* as a function  $\mathcal{H}$  of the form  $\mathcal{H} : H \times X \rightarrow R$ , where  $H$  is the set of “hash functions” in the family,  $X$  is the input space and  $R$  the output space of the hash functions.  $H, X, R$  are all finite sets. When the family is understood,  $\mathcal{H}(h, x) = y$  is often abbreviated as  $h(x) = y$ . Given an input  $x \in X$  we will be interested in hashing it using a *random*  $h \in H$ .

Call a hash function family *uniform* if for all  $x \in X$  and  $y \in R$ ,  $\Pr_{h \leftarrow H}[h(x) = y] = \frac{1}{|R|}$ . Call a hash function family *pairwise independent* if for all  $x_1 \neq x_2 \in X$  and  $y_1, y_2 \in R$ ,  $\Pr_{h \leftarrow H}[h(x_1) = y_1 \wedge h(x_2) = y_2] = \Pr_{h \leftarrow H}[h(x_1) = y_1] \Pr_{h \leftarrow H}[h(x_2) = y_2]$ . Call a hash function family *2-universal* if for all  $x_1 \neq x_2 \in X$  and  $y_1, y_2 \in R$ ,  $\Pr_{h \leftarrow H}[h(x_1) = y_1 \wedge h(x_2) = y_2] = \frac{1}{|R|^2}$ .

Define *maximum collision probability* of a hash function family as  $\max_{x_1 \neq x_2 \in X} \Pr_{h \leftarrow H}[h(x_1) = h(x_2)]$ .

1. Show a trivial example of a uniform hash function family (use  $H = R$ ) and a trivial example of a pairwise independent hash function family (use  $X = R$ ). Show that a hash function family is uniform *and* pairwise independent if and only if it is 2-universal. Also show that for such a hash function family, the maximum collision probability is  $\frac{1}{|R|}$ .
2. If  $\mathcal{H} : H \times X \rightarrow R$ , is a uniform hash function family what can you say about the size of  $H$ , in terms of  $|R|$ ? What if  $\mathcal{H}$  is a 2-universal hash function family?
3. A function  $f : R \rightarrow R'$  is called *regular* if for each  $y' \in R'$ ,  $|\{y : f(y) = y'\}| = |R|/|R'|$ . Suppose  $\mathcal{H} : H \times X \rightarrow R$  is a 2-universal hash function family and  $f : R \rightarrow R'$  is regular. Show that  $\mathcal{H}' : H \times X \rightarrow R'$ , where  $\mathcal{H}'(h, x) = f(\mathcal{H}(h, x))$  is 2-universal. Note that this can be used to shrink the output space of a hash function family without affecting the other parameters.
4. A function  $f : X' \rightarrow X$  is called *one-to-one* if for each  $x \in X$ ,  $|\{x' : f(x') = x\}| \leq 1$ . Suppose  $\mathcal{H} : H \times X \rightarrow R$  is a 2-universal hash function family and  $f : X' \rightarrow X$  is one-to-one. Show that  $\mathcal{H}' : H \times X \rightarrow R$ , where  $\mathcal{H}'(h, x) = \mathcal{H}(h, f(x))$  is 2-universal. Note that this can be used to shrink the input space of a hash function family without affecting the other parameters.

## Problem 2:

This problem shows why 2-universal hash function families are useful for the (public-coin) set lower-bound protocol. (See Lecture 15.)

For  $S \subseteq X$  and  $h : X \rightarrow R$ , define  $h(S) \subseteq R$  as  $h(S) = \{h(x) : x \in S\}$ . Define  $\mathbf{shrink}(h, S) = |S| - |h(S)|$ . Note that  $\mathbf{shrink}(h, S) \geq 0$ . Let  $\mathbf{collision}(h, S) = |\{x_1, x_2 \in S : x_1 < x_2 \text{ and } h(x_1) = h(x_2)\}|$ .

1. Show that  $\mathbf{shrink}(h, S) \leq \mathbf{collision}(h, S)$ .
2. Suppose  $\mathcal{H} : H \times X \rightarrow R$  has a maximum collision probability  $p$ . Show that  $\mathbf{E}_{h \leftarrow H}[\mathbf{collision}(h, S)] \leq p|S|^2$ . Using part (1) conclude that  $\mathbf{E}_{h \leftarrow H}[\mathbf{shrink}(h, S)] \leq p|S|^2$ .
3. Suppose  $\mathcal{H} : H \times X \rightarrow R$  is a 2-universal hash function family, then show that for any  $T \subseteq X$  such that  $|T| = |R|/4$ ,  $\mathbf{E}_{h \leftarrow H}[\mathbf{shrink}(h, T)] \leq \frac{|R|}{16}$ .
4. Use this to argue soundness and completeness of the set lower-bound protocol shown in class. Consider for completeness  $S \subseteq X$  such that  $|S| \geq |R|/4$  and, for soundness  $S \subseteq X$  such that  $|S| \leq |R|/8$ . (Explain clearly what completeness and soundness mean in this context.)

## Problem 3:

Show that  $\mathbf{FP} \subseteq \#\mathbf{P}$ . (*Hint: Associate a count with the output of a function, such that the count when written in binary is identical to the original output.*)

**Problem 4:**

In this problem you will show that  $\#\mathbf{P} \subseteq \mathbf{FP}^{\mathbf{PP}}$ .

An *implicit representation* of a binary string  $\chi$  of length  $2^m$  is a polynomial sized (in  $m$ ) circuit  $A^\chi$  such that  $A^\chi(i) = \chi_i$ , the  $i$ -th bit of  $\chi$ .

1. Consider a binary string  $\chi$  of length  $2^m$ . Your task is to count the number of 1s in the string, in polynomial time (in  $m$ ). Show how to do this if you are given an oracle  $T_\chi$ , which when given a threshold  $\tau$  tells you whether the string has more than  $\tau$  fraction of 1s or not. (That is  $T_\chi(\tau) = 1$  iff  $\chi$  has more than  $\tau|\chi|$  1s.)
2. Suppose you are given an oracle  $H_\chi$  which can only answer with respect to the threshold  $\tau = \frac{1}{2}$ , but allows you to give an implicit description of another string  $\theta$  of length  $2^m$  and answers whether the string  $\chi\theta$  has more than  $\frac{1}{2}$  1s in it. (That is  $H_\chi(A^\theta) = 1$  iff the string  $\chi\theta$  has more than  $\frac{1}{2}|\chi\theta|$  1s.) Show how to implement the oracle  $T_\chi$  using access to the oracle  $H_\chi$ .
3. Consider the language  $L$ , such that  $L(A^\chi, A^\theta) = H_\chi(\theta)$ . Show that  $L$  is in  $\mathbf{PP}$ .
4. Conclude that given oracle access to the  $\mathbf{PP}$  language  $L$ , any function in  $\#\mathbf{P}$  can be computed in polynomial time. i.e.,  $\#\mathbf{P} \subseteq \mathbf{FP}^L$ .

**Problem 5 (Extra Credit):**

Recall the definition of *alternating threshold Turing Machines* from class (Lecture 17). Given  $M_+ = \text{ATTM}[k, (\exists_{\geq r}, \exists), R]$  (i.e. an ATTM with  $k$  alternations between thresholds  $\exists_{\geq r}$  and  $\exists$ , and a relation  $R$  at the leaves; the degrees of the different  $\exists_{\geq r}$  and  $\exists$  configuration nodes are left out of the notation for clarity), with  $r > \frac{1}{2}$ , define its complementary ATTM  $M_- = \text{ATTM}[k, (\exists_{\geq r}, \forall), \bar{R}]$ . Such a pair  $(M_+, M_-)$  is said to decide a language  $L$  if  $x \in L \iff M_+(x) = 1, M_-(x) = 0$  and  $x \notin L \iff M_+(x) = 0, M_-(x) = 1$ .

Also recall the definition of an  $\text{AM}[k]$  protocol defined by a verification procedure for Arthur,  $A$  (and the lengths of the  $k$  messages, alternating between random strings from Arthur and messages from Merlin, starting with one from Arthur). an  $\text{AM}$  protocol  $A$  is said to decide a language  $L$  with error probability at most  $\epsilon$  if  $x \in L \iff \max_M \Pr[A \text{ accepts } x \text{ after interacting with } M] \geq 1 - \epsilon$  and  $x \notin L \iff \max_M \Pr[A \text{ accepts } x \text{ after interacting with } M] \leq \epsilon$ .

1. Given an  $\text{AM}[k]$  protocol  $A$ , define a pair of complementary ATTMs  $(M_+, M_-)$  as  $M_+ = \text{ATTM}[k, (\exists_{\geq r}, \exists), R]$  and  $M_- = \text{ATTM}[k, (\exists_{\geq r}, \forall), \bar{R}]$ , (with degrees of the configuration nodes being the message lengths of the protocol to the power of 2) with  $R = A$  and  $r = \frac{3}{4}$ . Show that if  $A$  is an  $\text{AM}$  protocol that decides a language  $L$  with error probability at most  $2^{-(k+3)}$ , then  $(M_+, M_-)$  decides  $L$ .

*Hint: First try  $k = 2$ . Consider the protocol's tree, and define the maximum-average acceptance probability for each node (as shown in class). For  $x \in L$ , using completeness guarantee, what can you say about the fraction of first messages that lead to a node with acceptance probability greater than  $1 - 4\epsilon$ ? For  $x \notin L$  use soundness guarantee.*

2. Given a pair of complementary ATTMs  $(M_+, M_-) = (\text{ATTM}[k, (\exists_{\geq r}, \exists), R], \text{ATTM}[k, (\exists_{\geq r}, \forall), \bar{R}])$ , (with degrees of the configuration nodes being powers of 2) define an  $\text{AM}[k]$  protocol with  $A = R$  (and lengths of the messages being logarithms (base 2) of the degrees of the ATTM pair). Show that if  $(M_+, M_-)$  decides a language  $L$  and if  $r \geq 1 - \frac{1}{4k}$ , then  $A_R$  is an  $\text{AM}$  protocol that decides  $L$  with error probability at most  $1/4$ .

*Hint: For  $x \in L$ , using  $M_+$ , what can you say about the maximum-acceptance probability of nodes of the constructed protocol's tree. First try  $k = 2$ . To extend to general  $k$ , consider two levels at a time, and use the "union-bound" inequality  $(1 - p)^t \geq 1 - pt$ .*