# Alignment and Object Instance Recognition 

Computer Vision<br>CS 543 / ECE 549<br>University of Illinois

Derek Hoiem

## Today's class

- Fitting/Alignment (continued)
- Object instance recognition
- Example of alignment-based category recognition


## Methods discussed last class

- Global optimization / Search for parameters
- Least squares fit
- Robust least squares
- Iterative closest point (ICP)
- Hypothesize and test
- Generalized Hough transform
- RANSAC


## RANSAC

(RANdom SAmple Consensus) :
Fischler \& Bolles in '81.


## Algorithm:

1. Sample (randomly) the number of points required to fit the model
2. Solve for model parameters using samples
3. Score by the fraction of inliers within a preset threshold of the model

Repeat 1-3 until the best model is found with high confidence

## RANSAC

Line fitting example


## Algorithm:

1. Sample (randomly) the number of points required to fit the model (\#=2)
2. Solve for model parameters using samples
3. Score by the fraction of inliers within a preset threshold of the model

Repeat 1-3 until the best model is found with high confidence

## RANSAC

Line fitting example


## Algorithm:

1. Sample (randomly) the number of points required to fit the model (\#=2)
2. Solve for model parameters using samples
3. Score by the fraction of inliers within a preset threshold of the model

Repeat 1-3 until the best model is found with high confidence

## RANSAC

Line fitting example

$$
N_{I}=6
$$



Algorithm:

1. Sample (randomly) the number of points required to fit the model (\#=2)
2. Solve for model parameters using samples
3. Score by the fraction of inliers within a preset threshold of the model

Repeat 1-3 until the best model is found with high confidence

## Algorithm:



1. Sample (randomly) the number of points required to fit the model (\#=2)
2. Solve for model parameters using samples
3. Score by the fraction of inliers within a preset threshold of the model

Repeat 1-3 until the best model is found with high confidence

## How to choose parameters?

- Number of sampled points $s$
- Minimum number needed to fit the model
- Number of samples $N$
- Choose $N$ so that, with probability $p$, at least one random sample is free from outliers (e.g. $p=0.99$ ) (outlier ratio: e)
- Distance threshold $\delta$
- Choose $\delta$ so that a good point with noise is likely (e.g., prob=0.95) within threshold
- Zero-mean Gaussian noise with std. dev. $\sigma$ : $\mathrm{t}^{2}=3.84 \sigma^{2}$

$$
\mathrm{N}=\log (1-\mathrm{p}) / \log \left(1-(1-\mathrm{e})^{\mathrm{s}}\right)
$$

| proportion of outliers $e$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $s$ | $5 \%$ | $10 \%$ | $20 \%$ | $25 \%$ | $30 \%$ | $40 \%$ | $50 \%$ |  |
| 2 | 2 | 3 | 5 | 6 | 7 | 11 | 17 |  |
| 3 | 3 | 4 | 7 | 9 | 11 | 19 | 35 |  |
| 4 | 3 | 5 | 9 | 13 | 17 | 34 | 72 |  |
| 5 | 4 | 6 | 12 | 17 | 26 | 57 | 146 |  |
| 6 | 4 | 7 | 16 | 24 | 37 | 97 | 293 |  |
| 7 | 4 | 8 | 20 | 33 | 54 | 163 | 588 |  |
| 8 | 5 | 9 | 26 | 44 | 78 | 272 | 1177 |  |

## RANSAC conclusions

## Good

- Robust to outliers
- Applicable for larger number of objective function parameters than Hough transform
- Optimization parameters are easier to choose than Hough transform


## Bad

- Computational time grows quickly with fraction of outliers and number of parameters
- Sensitive to noise (with high noise might not be able to estimate parameters from any sample)
- Not as good for getting multiple fits (though one solution is to remove inliers after each fit and repeat)

Common applications

- Computing a homography (e.g., image stitching)
- Estimating fundamental matrix (relating two views)

Line Fitting Demo (Part 2)

## Alignment

- Alignment: find parameters of model that maps one set of points to another
- Typically want to solve for a global transformation that accounts for most true correspondences
- Difficulties
- Noise (typically 1-3 pixels)
- Outliers (often 30-50\%)
- Many-to-one matches or multiple objects


## Parametric (global) warping


$\mathbf{p}=(x, y)$


$$
\mathbf{p}^{\prime}=\left(x^{\prime}, y^{\prime}\right)
$$

Transformation T is a coordinate-changing machine:

$$
\mathrm{p}^{\prime}=T(\mathrm{p})
$$

What does it mean that $T$ is global?

- Is the same for any point p
- can be described by just a few numbers (parameters)

For linear transformations, we can represent $T$ as a matrix

$$
\begin{array}{r}
\mathrm{p}^{\prime}=\mathrm{Tp} \\
{\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\mathbf{T}\left[\begin{array}{l}
x \\
y
\end{array}\right]}
\end{array}
$$

## Common transformations



## Scaling

- Scaling a coordinate means multiplying each of its components by a scalar
- Uniform scaling means this scalar is the same for all components:



## Scaling

- Non-uniform scaling: different scalars per component:




## Scaling

- Scaling operation: $x^{\prime}=a x$

$$
y^{\prime}=b y
$$

- Or, in matrix form:

$$
\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\underbrace{\left[\begin{array}{ll}
a & 0 \\
0 & b
\end{array}\right]}_{\text {scaling matrix } S}\left[\begin{array}{l}
x \\
y
\end{array}\right]
$$

## 2-D Rotation



## 2-D Rotation



```
Polar coordinates...
\(x=r \cos (\phi)\)
\(y=r \sin (\phi)\)
\(x^{\prime}=r \cos (\phi+\theta)\)
\(y^{\prime}=r \sin (\phi+\theta)\)
Trig Identity...
\(x^{\prime}=r \cos (\phi) \cos (\theta)-r \sin (\phi) \sin (\theta)\)
\(y^{\prime}=r \sin (\phi) \cos (\theta)+r \cos (\phi) \sin (\theta)\)
Substitute...
\(x^{\prime}=x \boldsymbol{\operatorname { c o s }}(\theta)-y \boldsymbol{\operatorname { s i n }}(\theta)\)
\(y^{\prime}=x \boldsymbol{\operatorname { s i n }}(\theta)+y \boldsymbol{\operatorname { c o s }}(\theta)\)
```


## 2-D Rotation

This is easy to capture in matrix form:

$$
\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\underbrace{\left[\begin{array}{cc}
\cos (\theta) & -\sin (\theta) \\
\sin (\theta) & \cos (\theta)
\end{array}\right]}_{\mathbf{R}}\left[\begin{array}{l}
x \\
y
\end{array}\right]
$$

Even though $\sin (\theta)$ and $\cos (\theta)$ are nonlinear functions of $\theta$,
$-x^{\prime}$ is a linear combination of $x$ and $y$
$-y^{\prime}$ is a linear combination of $x$ and $y$

What is the inverse transformation?

- Rotation by $-\theta$
- For rotation matrices $\quad \mathbf{R}^{-1}=\mathbf{R}^{T}$


## Basic 2D transformations

$$
\begin{array}{cc}
{\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\underset{\text { Scale }}{\left[\begin{array}{cc}
s_{x} & 0 \\
0 & s_{y}
\end{array}\right]}\left[\begin{array}{l}
x \\
y
\end{array}\right]} & {\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\left[\begin{array}{cc}
1 & \alpha_{x} \\
\alpha_{y} & 1
\end{array}\right]\left[\begin{array}{l}
x \\
y
\end{array}\right]} \\
\text { Shear } \\
\left.\left[\begin{array}{c}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\begin{array}{cc}
{\left[\begin{array}{cc}
\cos \Theta & -\sin \Theta \\
\sin \Theta & \cos \Theta
\end{array}\right]\left[\begin{array}{l}
x \\
y
\end{array}\right]} & {\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\left[\begin{array}{ccc}
1 & 0 & t_{x} \\
0 & 1 & t_{y}
\end{array}\right]\left[\begin{array}{l}
x \\
y \\
1
\end{array}\right]} \\
\text { Rotate } & \text { Translate }
\end{array}\right]
\end{array}
$$

$$
\left[\begin{array}{l}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\underset{\text { Affine }}{\left[\begin{array}{lll}
a & b & c \\
d & e & f
\end{array}\right]}\left[\begin{array}{l}
x \\
y \\
1
\end{array}\right]
$$

Affine is any combination of translation, scale, rotation, shear

## Affine Transformations

Affine transformations are combinations of

- Linear transformations, and
- Translations

$$
\left[\begin{array}{c}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\left[\begin{array}{lll}
a & b & c \\
d & e & f
\end{array}\right]\left[\begin{array}{l}
x \\
y \\
1
\end{array}\right]
$$

Properties of affine transformations:
or

- Lines map to lines
- Parallel lines remain parallel
- Ratios are preserved
- Closed under composition

$$
\left[\begin{array}{c}
x^{\prime} \\
y^{\prime} \\
1
\end{array}\right]=\left[\begin{array}{lll}
a & b & c \\
d & e & f \\
0 & 0 & 1
\end{array}\right]\left[\begin{array}{l}
x \\
y \\
1
\end{array}\right]
$$

## Projective Transformations

Projective transformations are combos of

- Affine transformations, and
- Projective warps

$$
\left[\begin{array}{c}
x^{\prime} \\
y^{\prime} \\
w^{\prime}
\end{array}\right]=\left[\begin{array}{lll}
a & b & c \\
d & e & f \\
g & h & i
\end{array}\right]\left[\begin{array}{c}
x \\
y \\
w
\end{array}\right]
$$

Properties of projective transformations:

- Lines map to lines
- Parallel lines do not necessarily remain parallel
- Ratios are not preserved
- Closed under composition
- Models change of basis
- Projective matrix is defined up to a scale (8 DOF)


## 2D image transformations (reference table)



| Name | Matrix | \# D.O.F. | Preserves: | Icon |
| :--- | :---: | :---: | :--- | :---: |
| translation | $[\boldsymbol{I} \mid \boldsymbol{t}]_{2 \times 3}$ | 2 | orientation $+\cdots$ | $\square$ |
| rigid (Euclidean) | $[\boldsymbol{R} \mid \boldsymbol{t}]_{2 \times 3}$ | 3 | lengths $+\cdots$ | $\square$ |
| similarity | $[s \boldsymbol{R} \mid \boldsymbol{t}]_{2 \times 3}$ | 4 | angles $+\cdots$ | $\square$ |
| affine | $[\boldsymbol{A}]_{2 \times 3}$ | 6 | parallelism $+\cdots$ | $\square$ |
| projective | $[\tilde{\boldsymbol{H}}]_{3 \times 3}$ | 8 | straight lines | $\square$ |

## Example: solving for translation



Given matched points in $\{A\}$ and $\{B\}$, estimate the translation of the object

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$

## Example: solving for translation



## Least squares solution

1. Write down objective function
2. Derived solution
a) Compute derivative
b) Compute solution
3. Computational solution
a) Write in form $A x=b$
b) Solve using pseudo-inverse or eigenvalue decomposition

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$

$$
\left[\begin{array}{cc}
1 & 0 \\
0 & 1 \\
\vdots & \vdots \\
1 & 0 \\
0 & 1
\end{array}\right]\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]=\left[\begin{array}{c}
x_{1}^{B}-x_{1}^{A} \\
y_{1}^{B}-y_{1}^{A} \\
\vdots \\
x_{n}^{B}-x_{n}^{A} \\
y_{n}^{B}-y_{n}^{A}
\end{array}\right]
$$

## Example: solving for translation



Problem: outliers

## RANSAC solution

1. Sample a set of matching points (1 pair)
2. Solve for transformation parameters
3. Score parameters with number of inliers
4. Repeat steps 1-3 N times

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$

## Example: solving for translation



Problem: outliers, multiple objects, and/or many-to-one matches

## Hough transform solution

1. Initialize a grid of parameter values
2. Each matched pair casts a vote for

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$ consistent values

3. Find the parameters with the most votes
4. Solve using least squares with inliers

## Example: solving for translation



Problem: no initial guesses for correspondence

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$

## What if you want to align but have no prior matched pairs?

- Hough transform and RANSAC not applicable
- Important applications


Medical imaging: match brain scans or contours


Robotics: match point clouds

## Iterative Closest Points (ICP) Algorithm

Goal: estimate transform between two dense sets of points

1. Initialize transformation (e.g., compute difference in means and scale)
2. Assign each point in $\{$ Set 1$\}$ to its nearest neighbor in $\{$ Set 2$\}$
3. Estimate transformation parameters

- e.g., least squares or robust least squares

4. Transform the points in $\{$ Set 1\} using estimated parameters
5. Repeat steps $2-4$ until change is very small

## Example: solving for translation



Problem: no initial guesses for correspondence

## ICP solution

1. Find nearest neighbors for each point
2. Compute transform using matches

$$
\left[\begin{array}{c}
x_{i}^{B} \\
y_{i}^{B}
\end{array}\right]=\left[\begin{array}{c}
x_{i}^{A} \\
y_{i}^{A}
\end{array}\right]+\left[\begin{array}{c}
t_{x} \\
t_{y}
\end{array}\right]
$$

3. Move points using transform
4. Repeat steps 1-3 until convergence

## Example: aligning boundaries

1. Extract edge pixels $p_{1} . . p_{n}$ and $q_{1} . . q_{m}$
2. Compute initial transformation (e.g., compute translation and scaling by center of mass, variance within each image)
3. Get nearest neighbors: for each point $p_{i}$ find corresponding $\operatorname{match}(\mathrm{i})=\operatorname{argmin} \operatorname{dist}(p i, q j)$
j
4. Compute transformation $\boldsymbol{T}$ based on matches
5. Warp points $\boldsymbol{p}$ according to $\boldsymbol{T}$
6. Repeat 3-5 until convergence

q


## Algorithm Summary

- Least Squares Fit
- closed form solution
- robust to noise
- not robust to outliers
- Robust Least Squares
- improves robustness to noise
- requires iterative optimization
- Hough transform
- robust to noise and outliers
- can fit multiple models
- only works for a few parameters (1-4 typically)
- RANSAC
- robust to noise and outliers
- works with a moderate number of parameters (e.g, 1-8)
- Iterative Closest Point (ICP)
- For local alignment only: does not require initial correspondences


## Object Instance Recognition

1. Match keypoints to object model
2. Solve for affine transformation parameters
3. Score by inliers and choose solutions with score above threshold


## Overview of Keypoint Matching


5. Match local descriptors

## Finding the objects (overview)



Stored Image

1. Match interest points from input image to database image
2. Matched points vote for rough position/orientation/scale of object
3. Find position/orientation/scales that have at least three votes
4. Compute affine registration and matches using iterative least squares with outlier check
5. Report object if there are at least T matched points

## Matching Keypoints

- Want to match keypoints between:

1. Query image
2. Stored image containing the object

- Given descriptor $x_{0}$, find two nearest neighbors $x_{1}, x_{2}$ with distances $d_{1}, d_{2}$
- $\mathrm{x}_{1}$ matches $\mathrm{x}_{0}$ if $\mathrm{d}_{1} / \mathrm{d}_{2}<0.8$
- This gets rid of $90 \%$ false matches, $5 \%$ of true matches in Lowe's study


## Affine Object Model

- Accounts for 3D rotation of a surface under orthographic projection



## Affine Object Model

$$
\begin{gathered}
{\left[\begin{array}{c}
x^{\prime} \\
y^{\prime}
\end{array}\right]=\left[\begin{array}{lll}
a & b & c \\
d & e & f
\end{array}\right]\left[\begin{array}{c}
x \\
y \\
1
\end{array}\right]} \\
{\left[\begin{array}{cccccc}
x_{1} & y_{1} & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & x_{1} & y_{1} & 1 \\
x_{2} & y_{2} & 1 & 0 & 0 & 0
\end{array}\right]\left[\begin{array}{c}
a \\
b \\
c \\
d \\
e \\
f
\end{array}\right]=\left[\begin{array}{c}
x_{1}^{\prime} \\
y_{1}^{\prime} \\
x_{2}^{\prime} \\
\vdots
\end{array}\right] \quad \mathrm{x}=\left[\mathrm{A}^{\mathrm{T}} \mathbf{A}\right]^{-1} \mathrm{~A}^{\mathrm{T}} \mathrm{~b}}
\end{gathered}
$$

What is the minimum number of matched points that we need?

## Finding the objects (in detail)

1. Match interest points from input image to database image
2. Get location/scale/orientation using Hough voting

- In training, each point has known position/scale/orientation wrt whole object
- Matched points vote for the position, scale, and orientation of the entire object
- Bins for $x, y$, scale, orientation
- Wide bins ( 0.25 object length in position, $2 x$ scale, 30 degrees orientation)
- Vote for two closest bin centers in each direction (16 votes total)

3. Geometric verification

- For each bin with at least 3 keypoints
- Iterate between least squares fit and checking for inliers and outliers

4. Report object if $>\mathrm{T}$ inliers ( $T$ is typically 3 , can be computed to match some probabilistic threshold)

## Examples of recognized objects



## View interpolation

- Training
- Given images of different viewpoints
- Cluster similar viewpoints using feature matches
- Link features in adjacent views
- Recognition
- Feature matches may be spread over several training viewpoints
$\Rightarrow$ Use the known links to "transfer votes" to other viewpoints



## Applications

- Sony Aibo
(Evolution Robotics)
- SIFT usage
- Recognize docking station
- Communicate with visual cards
- Other uses
- Place recognition
- Loop closure in SLAM


## AIBO® Entertainment Robot

Official U.S. Resources and Online Destinations


## Location Recognition


[Lowe04]
Slide credit: David Lowe

## Another application: category recognition

- Goal: identify what type of object is in the image
- Approach: align to known objects and choose category with best match

"Shape matching and object recognition using low distortion correspondence", Berg et al., CVPR 2005: http://www.cnbc.cmu.edu/cns/papers/berg-cvpr05.pdf


## Summary of algorithm

- Input: query $q$ and exemplar $e$
- For each: sample edge points and create "geometric blur" descriptor
- Compute match cost c to match points in $q$ to each point in $e$
- Compute deformation cost $\mathbf{H}$ that penalizes change in orientation and scale for pairs of matched points
- Solve a binary quadratic program to get correspondence that minimizes $\mathbf{c}$ and $\mathbf{H}$, using thin-plate spline deformation


Input, Edge Maps

Geometric Blur


Feature Points

- Record total cost for $e$, repeat for all exemplars, choose exemplar with minimum cost



## Examples of Matches



## Examples of Matches



## Other ideas worth being aware of

- Thin-plate splines: combines global affine warp with smooth local deformation
- Robust non-rigid point matching: http://noodle.med.yale.edu/~chui/tps-rpm.html (includes code, demo, paper)


## Key concepts

- Alignment
- Hough transform
- RANSAC
- ICP

- Object instance recognition
- Find keypoints, compute descriptors
- Match descriptors
- Vote for / fit affine parameters
- Return object if \# inliers > T


