

# Descriptive Complexity II

Logic capturing complexity class.

Logic  $L$  is said to capture complexity class  $\mathcal{C}$  if

(a)  $\forall \varphi \in L$  over signature  $\tau$ , the problem of determining if  $A \models \varphi$  for a given  $\tau$ -structure  $A$  is in  $\mathcal{C}$

(b) For any signature  $\tau$  and any collection of  $\tau$ -structures  $\mathcal{K}$  that is

closed under isomorphism,

$\forall A, B, A \cong B \Rightarrow A \in \mathcal{K} \Leftrightarrow B \in \mathcal{K}$   
 $\text{encode}(\mathcal{K}) = \{ \text{encode}(A, \langle \cdot \rangle) \mid A \in \mathcal{K} \}$

and  $\mathcal{K} \in \mathcal{C}$ , there is a  $\tau$ -sentence  $\varphi \in L$  such that

$$\mathcal{K} = \{ A \mid A \models \varphi \}.$$

Existential Second Order Logic

Second order logic formulas of the form

$$\exists x_1 \exists x_2 \dots \exists x_m \psi$$

where  $\psi$  is a first order logic formula.

Fagin's Theorem Existential second order logic captures NP.

Proof Complexity of the problem of checking finite  $A \models \varphi$ ,  $\varphi \in \text{ExSO}$ .

$$\varphi = \exists x_1, \exists x_2 \dots \exists x_m \psi$$

WLOG  $|u(A)| = n$ .

— Guess relational interpretations for  $x_1, x_2 \dots x_m$ .  $\rightarrow$  poly time

— Check if  $\psi$  holds  $(A, x_1, \dots, x_m)$  FO.

If max arity  $x_1, \dots, x_m$  is  $k$  then guess  $m(n^k)$  constants.

Algo  $\in \text{NP}$ .

Second direction: To show every problem in NP can be defined in ExSO.

$B \in \text{NP}$

$B$  is recognized  $M$ .

Corollary Universal SO captures coNP

$$\forall x_1, \forall x_2, \dots, \forall x_m \psi$$

FO.  $\leftarrow$

$$\text{coNP} = \{ B \mid \bar{B} \in \text{NP} \}.$$

... + : th. relative expressive power

What is the difference  
of existential SO and universal SO?

- Equivalent  $NP \stackrel{?}{=} coNP$ .

How does the expressive power full SO  
compare to NP?

- Unresolved.

- Corollary Fagin's Theorem SO  
captures Polynomial Time Hierarchy.

**Spectrum**  $S \subseteq \mathbb{N}$  is a spectrum if

- there is a signature  $\tau$  and  $\tau$ -sentence  
 $\varphi$  such that

$$S = \{ |A| \mid A \models \varphi \}.$$

**Schottz's Question** Is the complement of  
a spectrum also a spectrum?

$$\text{spectrum}(\tau\varphi) \neq \overline{\text{spectrum}(\varphi)}$$

**Correspondence between spectra and  
models of  $\exists x SO$ .**

Consider FO  $\varphi$  over  $\tau$ .

WLOG  $\tau$  has constants and relations

$$\tau = (c_1 \dots c_m, R_1 \dots R_k)$$

Spectrum ( $\varphi$ ) =

Models ( $\exists R_1 \dots \exists R_k \exists c_1 \dots \exists c_m \varphi$ )

$\exists$  SO sentence over  $\{\}$ .

Spectrum = Models of  $\exists$ SO over  $\{\}$ .

If  $S$  is a spectrum  $\Rightarrow$

$\exists$ SO  $\varphi \Rightarrow S \in \text{NEXP}$

$S \in \text{NEXP} \Rightarrow$  Spectrum of  $\varphi = S$ .

Jones-Selman Theorem  $S$  is spectrum

iff  $S \in \text{NEXP}$ .

Scholtz's Question is equivalent

$\text{NEXP} = \text{co NEXP}$

## Logical Characterization of Polynomial Time

First order logic is too weak

- Evenness is not expressible.

Ordered Signature  $\langle \Sigma, \tau \rangle$ .

Ordered Structure a structure  $\mathcal{A}$  over

an ordered signature such that

$\rightarrow \mathcal{A}$  .  $t_i$  in order



$\mathcal{L}$  is a linear order.

$\mathcal{L}$  captures  $\mathcal{C}$  over ordered structures

-  $\forall \mathcal{C}$  over an ordered signature

the problem  $\mathcal{A} \models \mathcal{C} \in \mathcal{C}$ .

-  $\forall$  any ordered signature  $\tau$  and

collection of  $\tau$ -structures  $\mathcal{K}$  closed

under isomorphism and  $\mathcal{K} \in \mathcal{C}$ ,

$\mathcal{K}$  is definable in  $\mathcal{L}$ .

Connectivity over ordered graphs is not

$F_0$ -expressible.

**Definition** Let  $\Psi(R, \bar{x})$  where

$R$  is  $s$ -ary relation and  $\bar{x} = \{x_1, \dots, x_s\}$  and

$\Psi$  is  $\tau \cup \{R\}$ -formula.

For any  $\tau$ -structure  $\mathcal{A}$ ,  $\Psi$  defines

$$F_\Psi : 2^{(u(\mathcal{A})^s)} \rightarrow 2^{u(\mathcal{A})^s}$$

$$F_\Psi(\mathcal{T}) = \{ \bar{a} \mid \mathcal{A} \models \Psi[R \mapsto \mathcal{T}, \bar{x} \mapsto \bar{a}] \}$$

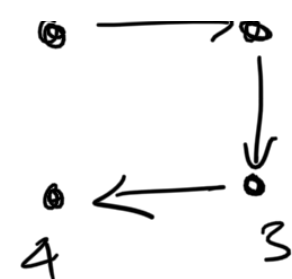
$\subseteq u(\mathcal{A})^s$

**Example**  $\tau = \{E\}$

$$\Psi(R, x, y) = E(x, y) \vee (\exists z R(x, z) \wedge E(z, y))$$

$\Gamma \quad (1, 1) \quad (3, 1) \quad (1, 2) \quad (2, 1) \quad (2, 2) \quad \dots$

$$f_{\psi}(\emptyset) = \{(1,2), (2,3), (3,4)\} \\ = E$$



$$F_{\psi}(E) = \{(1,2), (2,3), (3,4), \\ (1,3), (2,4)\}$$

Fixed Point Suppose  $F_{\psi} : 2^{u(\mathcal{A})^S} \rightarrow 2^{u(\mathcal{A})^S}$

$T \subseteq u(\mathcal{A})^S$  is a fixpoint of  $F_{\psi}$  if

$$F_{\psi}(T) = T.$$

$$\rightarrow F_{\psi}(\{1,2,3,4\} \times \{1,2,3,4\}) \\ = \{1,2,3,4\} \times \{1,2,3,4\}$$

$$F_{\psi}(\{(1,2), (1,3), (1,4), (2,3), (2,4), (3,4)\}) \\ = \{(1,2), (1,3), (1,4), (2,3), (2,4), (3,4)\}$$

Least fix point  $F_{\psi} : 2^{u(\mathcal{A})^S} \rightarrow 2^{u(\mathcal{A})^S}$

$T$  is LFP if  $T$  is fixpoint and for any other fixpoint  $R$ , we have  $T \subseteq R$ .

Tarski - Knaster Theorem If  $\psi(R, \bar{x})$  is a formula such that all atomic subformulas involving  $R$  are under an even number of negations, then  $F_{\psi}$  has a least fixed point.

## First Order Logic with LFP.

- If  $\psi(R, \bar{x})$  is a formula such that  $R$  appears under an even number of negations. then

$LFP R \bar{x} \psi(\bar{y})$  is a formula.

Meaning  $\mathcal{A} \models LFP R \bar{x} \psi(\bar{y}) [\bar{y} \mapsto \bar{a}]$

if  $\bar{a} \in$  least fixpoint of  $F_\psi$

### Immerman Vardi Theorem Over

ordered Structures  $FO + LFP$  captures  $P$ .