

# Finite Model Theory

## Classical Model Theory

Study of mathematical objects (graphs, algebraic structures) through logic.

**Gödel's Completeness Theorem** If  $T$  is recursively enumerable and  $\varphi$  is a sentence then the problem of determining if  $T \models \varphi$  is recursively enumerable.

- The set of valid sentences is recursively enumerable.

**Compactness Theorem** A set of sentences  $T$  is unsatisfiable iff  $\exists$  finite  $T_0 \subseteq T$  such that  $T_0$  is unsatisfiable.

**Proof** By Skolemization  $T$  is a set of universally quantified sentences.

$$T^* = \{ \psi[x_i \mapsto t_i] \mid \forall x_1 \dots \forall x_n \psi \in T \text{ and } t_1 \dots t_n \text{ are ground terms} \}$$

$T$  is satisfiable iff  $T^*$  is satisfiable.

$\mathcal{T}^*$  unsatisfiable  $\Rightarrow \exists$  finite  $\Delta \subseteq \mathcal{T}^*$   
that is unsatisfiable.

$\mathcal{T}_0 = \{ \varphi \in \mathcal{T} \mid \text{some ground instantiation } \varphi$   
 $\text{of } \varphi \in \Delta \}$   
 $\Rightarrow \mathcal{T}_0$  is unsatisfiable.

### Example

There sentences  $\varphi$  such that  
 $\forall \mathcal{A} \quad \mathcal{A} \models \varphi \Rightarrow \mathcal{A}$  is finite.

$$\varphi = \exists x \forall y \quad x = y$$

**Proposition** There is no sentence  $\varphi$  such  
that (a) every structure satisfying  $\varphi$  is  
finite and (b)  $\varphi$  has models of  
arbitrary size.

**Proof** Assume  $\varphi$  has finite models of <sup>arbitrary</sup> size  
 $\eta_{\geq k} = \exists x_1 \exists x_2 \dots \exists x_k \bigwedge_{i \neq j} (x_i = x_j)$

If  $\mathcal{A} \models \eta_{\geq k}$  then  $u(\mathcal{A})$  has at least  $k$   
elements.

$$\mathcal{T} = \{ \varphi \} \cup \{ \eta_{\geq k} \}_{k \in \mathbb{N}}$$

Every finite subset of  $\mathcal{T}$  is satisfiable.

-  $\mathcal{Q}$  has models of arbitrary size

From compactness,  $T$  is satisfiable

Any model of  $T$  must be infinite.

$\Rightarrow \exists$  infinite model for  $\mathcal{Q}$ .

### Downward Löwenheim-Skolem Theorem

If  $\mathcal{L}$  is a countable signature and  $T$  is a set of  $\mathcal{L}$ -sentences that is satisfiable then there is a countable structure  $A$  such that  $A \models T$ .

**Proposition** There are non-isomorphic structures  $A$  and  $B$  such that  $\text{Th}(A) = \text{Th}(B)$

**Proof**  $\text{Th}(\mathbb{R}, <) = \text{Th}(\mathbb{Q}, <)$

$\text{Th}(\mathbb{R}, 0, 1, +, <) = \text{Th}(\mathbb{Q}, 0, 1, +, <)$

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**Finite Model Theory** Study of first order logic restricted to finite structures

-  $\mathcal{Q}/T$  is satisfiable in finite models

-  $\varphi/\mathcal{T}$  is valid if  $\varphi/\mathcal{T}'$  holds in all finite models.

**Trakhtenbrot's Theorem** The problem of checking if a sentence  $\varphi$  is true in all finite structures is coRE-complete.

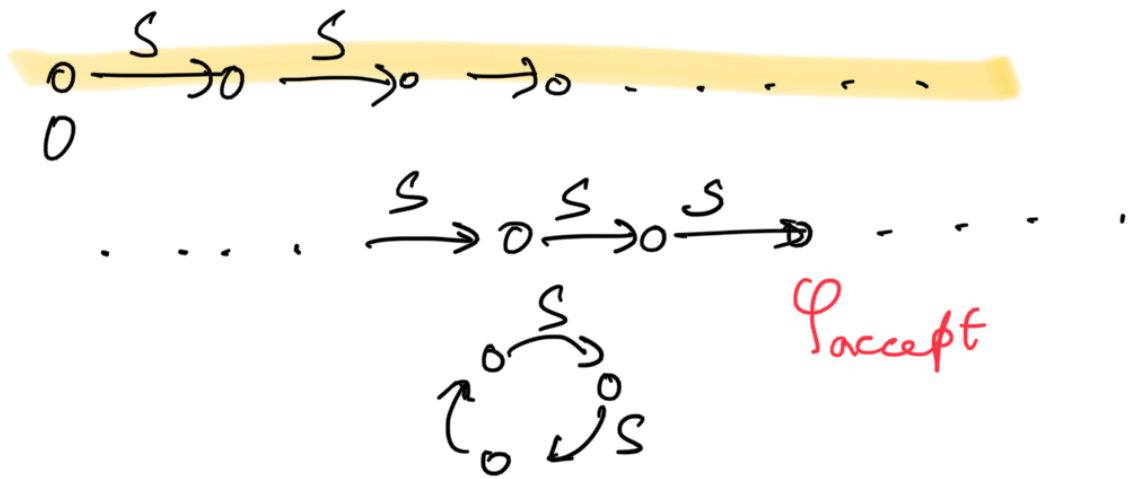
**Proof** FinValid  $\in$  coRE

- To check if  $\varphi$  is satisfiable in a finite model: Enumerate all finite models  $\mathcal{A}$  and check if  $\mathcal{A} \models \varphi$ .

FinValid is coRE-hard.

**Church Turing Theorem** Validity is RE hard.

$\varphi_{\text{not}}$   $\left\{ \begin{array}{l} \exists x \exists y S(x, y) \\ \forall x \forall y \forall z S(x, z) \wedge S(y, z) \rightarrow x=y \\ \forall x \neg S(x, 0) \end{array} \right.$



MP  $\leq_m$  Validity.

Constructed  $\varphi$ .

$$\varphi_w = (\varphi_{\text{nat}} \wedge \varphi_{\text{initial}} \wedge \varphi_{\text{const}}) \rightarrow \varphi_{\text{accept}}$$

Can't use these ideas to

$$\overline{\text{MP}} \leq \text{Validity}$$

### Gödel's Incompleteness Theorem

$\text{Th}(\mathbb{N}, 0, 1, +, \times, <)$  is not R.F.

$$\overline{\text{HP}} \leq \text{Th}(\mathbb{N}, 0, 1, +, \times, <)$$

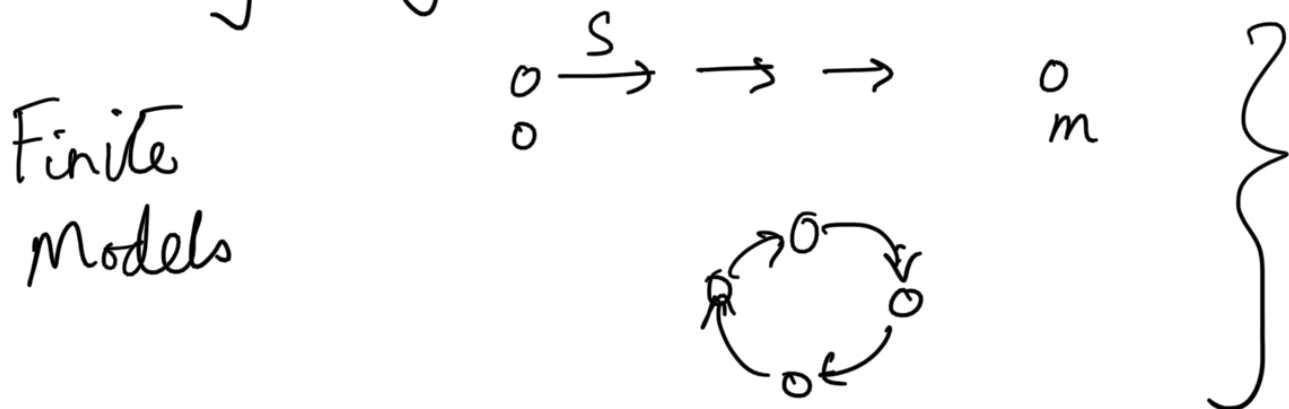
$$\varphi_{\langle M, n \rangle} = \varphi_{\text{initial}} \wedge \varphi_{\text{const}} \rightarrow \neg \varphi_{\text{Halt}}$$



Goal:  $\overline{\text{MP}} \leq_m \text{FinValidity}$

Fin  
Nat

$$\left\{ \begin{array}{l} \forall x \neg S(x, 0) \\ \forall x \forall y \forall z (S(x, z) \wedge S(y, z) \rightarrow x=y) \\ \forall y \neg S(m, y) \\ \forall y \neg (y=m) \rightarrow \exists x S(y, x) \end{array} \right.$$



Given  $w \in \mathbb{C} \neq \emptyset$

$\varphi_w$  is valid in all finite models iff  
Universal TM  $U$  does not accept  $w$ .

$$\varphi_w = \varphi_{\text{finNat}} \wedge \varphi_{\text{init}} \wedge \varphi_{\text{const}} \\ \rightarrow \neg \text{State}(m, q_{\text{acc}})$$

Compactness Theorem does not hold  
in finite models.

**Proposition** There is a set of sentences  $\Gamma$   
s.t. every finite subset  $\Gamma_0 \subseteq \Gamma$  has  
a finite model but  $\Gamma$  does not have  
any finite model.

**Proof**  $\Gamma = \{ \eta_{\geq k} \}_{k \in \mathbb{N}}$

**Definition** Let  $\tau$  be some signature.

A homomorphism between  $\tau$ -structures  
 $\mathcal{A}$  and  $\mathcal{B}$ ,  $h: u(\mathcal{A}) \rightarrow u(\mathcal{B})$  s.t.

-  $\forall c \in \tau. h(c^{\mathcal{A}}) = c^{\mathcal{B}}$

-  $\forall a_1, \dots, a_n \in u(\mathcal{A}) f \in \tau,$

$$h(f^{\mathcal{A}}(a_1, \dots, a_n)) = f^{\mathcal{B}}(h(a_1), \dots, h(a_n))$$

-  $\forall a_1, \dots, a_n \in u(\mathcal{A})$  and  $R \in \mathcal{C}$ .

$(a_1, \dots, a_n) \in R^{\mathcal{A}}$  iff  $(h(a_1), \dots, h(a_n)) \in R^{\mathcal{B}}$

An **isomorphism** between  $\mathcal{A}$  and  $\mathcal{B}$

is a homomorphism  $h$  that is bijective.

We will say  $\mathcal{A} \cong \mathcal{B}$  ( $\mathcal{A}$  is isomorphic to  $\mathcal{B}$ ) if  $\exists$  isomorphism  $h$  from  $\mathcal{A}$  to  $\mathcal{B}$ .

**Proposition** For every finite structure

$\mathcal{A}$ ,  $\exists$  sentence  $\varphi_{\mathcal{A}}$  s.t.

$\forall \mathcal{B} \models \varphi_{\mathcal{A}} \Rightarrow \mathcal{B} \cong \mathcal{A}$ .