1. Give the types of each of the following Ocaml functions:
(a) let alwaysfour $x=4$
(b) let add $x y=x+y$
(c) let concat $x y=x \wedge y$
(d) let addmult $x y=(x+y, x \neq y)$
(e) let rec $f x=$ if $x=[]$ then [] else hd $x$ @ $f(t l x)$
(f) let rec copy $x=i f x=[]$ then [] else hd $x$ : : copy (tl x)
( g ) let $\mathrm{b}(\mathrm{x}, \mathrm{y})=\mathrm{x}+\mathrm{y}$
(h) let $c(x, y)=x$
(i) let $\mathrm{d} x=\operatorname{match} \mathrm{x}$ with $(\mathrm{a}, \mathrm{b}) \quad->\mathrm{a}$
(j) let $e x=h d x+1$
(k) let $\mathrm{f} x \mathrm{y}=$ match x with

$$
\text { [] }->0 \text { | a::b }->a+y
$$

(l) let $g(a, b)(c, d)=\left(a+d, b^{\wedge} c\right)$ (Recall that ${ }^{\wedge}$ is the string concatenation operation.)
(m) let rec $\mathrm{h} x=$ match x with

$$
[]->0 \mid(a, b):: r->a+(h r)
$$

2. Define the following OCaml functions:
(a) contains : 'a -> 'a list -> bool such that contains x lst returns true if and only if 1 st has x as one of its elements. Do not use any pre-existing functions. E.g.
contains $4[3 ; 4 ; 5]=$ true
(b) evens: `a list -> 'a list returns the 2nd, 4th, etc. elements of its argument. E.g. evens [13;5;9;0;7;8] = [5; 0; 8]
(c) Implement the Ocaml function partition: int list -> (int list) list, which divides a list into "runs" of the same integer, e.g.
```
partition [9;9;5;6;6;6;3] = [[9;9]; [5]; [6;6;6]; [3]]
```

(You may define auxiliary functions, but it is not actually necessary.)
(d) genlist $m \mathrm{n}=[\mathrm{m}$; $\mathrm{m}+1$; ... ; n$]$ (or [] if $\mathrm{m}>\mathrm{n}$ )
(f) compress: int list $->$ (int * int) list replaces runs of the same integer with a pair giving the count and the number. E.g.

```
compress [1;1;5;6;6;6;3] = [(2,1); (1,5); (3,6); (1,3)]
```

(g) apply: string $->$ int list $->$ int applies the operator described by the string argument to the elements in the int list. The string argument can be either "times" or "plus".

```
apply "times" [2;3;4] = 24
```

Assume the int list argument is non-empty.
3. Suppose we are given the following type definition:

```
    type btree = Leaf of int | Node of int * btree * btree
```

Define the following functions in Ocaml:
(i) preorder: btree -> int list gives the preorder listing of the labels of the tree. E.g.
let $t=\operatorname{node}(1, \operatorname{node}(2, \operatorname{leaf}(4), \operatorname{leaf}(5)), \operatorname{node}(3, \operatorname{leaf}(6), \operatorname{leaf}(7)))$
preorder t
=> $[1 ; 2 ; 4 ; 5 ; 3 ; 6 ; 7]$
(ii) followpath: btree -> boolean list -> int list gives the list of integers in the tree on the path described by the boolean list, where "true" means follow the left child and "false" means follow the right child. You may assume that the path described by the boolean list actually exists in the tree. E.g.

```
followpath t [true; false]
=> [1; 2; 5]
```

(iii) height: btree -> int gives the height of a tree, defined as the length of the longest path from the root to a leaf node.

$$
\begin{aligned}
& \text { height t;; } \\
& =>2
\end{aligned}
$$

(iv) balanced: btree -> boolean returns true if for every internal node, the heights of its children differ by no more than 1 .

```
balanced t
=> true
let t1 = node(1, leaf(2), node(3, leaf(6), leaf(7)))
=> true
let t2 = node(1, leaf(2), node(3, leaf(6), node(8, leaf(7), leaf(9)))
=> false
```

4. Given this Java class definition:
```
class List { public int h; public List t;
    public int hd () { return h; }
    public List tl () { return t; }
    public List (int h, List t) {this.h = h; this.t = t; }
}
```

The empty list is represented by null. Define the Java function to concatenate two lists:

```
public static append (L1, L2) {
```

5. Given the grammar:
```
E -> E + T | T
T -> T * P | P
P -> id | ( E )
```

and an Ocaml type for trees:

```
type tree = Node of string * tree list
```

we can represent concrete syntax trees. For example, the syntax tree

would correspond to the term

```
Node("E", [Node("E", [Node("T", [Node("P", [Node("id", [])])])]);
    Node(„+", []);
    Node("T", [Node("P", [Node("id", [])])])])
```

Here is a type for abstract syntax :

```
type exp = Id of string | Plus of Exp*Exp | Times of Exp*Exp
```

Write a function abstract: tree -> exp to transform a concrete syntax tree to an AST.
6. An identifier is any sequence of one or more characters ' a ' - ' $z$ ' that is not a keyword. Our language recognizes identifiers and the keywords 'of' and 'as'. Give a deterministic finite-state machine that recognizes our language. Each state should be labeled with either S (start state), O ('of' keyword), A ('as' keyword) or I (identifier).
7. Write an ocamllex specification for tokens of the following type:

```
type token = PLUS | MINUS | INT of int
```

where these represent, respectively, the sequences "+","-", and any string of one or more characters ' 0 ' - '9'. (You will want to use the function int_of_string: string $\rightarrow$ int.)
8. A decimal constant is any sequence of one or more decimal digits (including one starting with zero). A hexadecimal constant has the form 0 xW where W is a sequence of one or more decimal digits or the letters A-F.
(a) Write a finite-state machine to recognize either decimal or hexadecimal constants. Label each state with either S (start state), D (decimal constant), H (hexadecimal constant), or N (neither).
(b) Write a regular expression to recognize either a decimal or hexadecimal constant.
9. Define finite-state machines for the following regular expressions over the characters $a$ and $b$. Label each node either S (start), A (accept the string) or R (reject it).
(a) $a(a \mid b)^{*}$
(b) $a a^{*} b(b \mid a)^{*}$
(c) $(b \mid a) * a * a b(b \mid a)^{*}$
10. (a) Write a regular expression for this language: semicolon-separated lists of a' and b's, in square brackets. Examples of strings in the language are [], [a], and [a;b;a;a].
(b) Then write a finite-state machine for the language. Each state should be labeled either as S (for the start state), A (for an accepting state), or E (for an error state).
11. Write an ocamllex specification for tokens of this type:

```
type token = LESSTHAN | LEFTSHIFT | LEFTSHIFTEQ | IDENT of string
```

where these represent, respectively, the sequence " $<", " \ll "$, " $\ll="$, and any string starting with a letter and consisting solely of letters and digits. Your specification should return the next token in the input, ignoring any character other than the ones that constitute tokens.
12. The following grammar has a shift-reduce conflict:

$$
\begin{aligned}
& \mathrm{S} \rightarrow \mathrm{Ba} \mid \mathrm{b} \text { ae } \\
& \mathrm{B} \rightarrow \mathrm{~b} \mid \mathrm{c}
\end{aligned}
$$

(a) Show two sentences that lead to the same stack configuration and lookahead symbol, but where the correct parsing action in one case is to shift and in the other is to reduce. Show the two parse trees, and the problematic stack configuration, and say whether to shift or reduce in either case.
(b) Give an equivalent grammar - one with the same language - which does not have the conflict.
13. Given the following ambiguous grammar

$$
\mathrm{E} \rightarrow \mathrm{E} . \mathrm{id}|!\mathrm{E}| \mathrm{E}[\mathrm{E}] \mid \mathrm{id}
$$

a) Give a sentence that has two distinct parse trees. Show the parse trees.
b) Explain how you might disambiguate the grammar using ocamlyacc precedence and associativity declarations. You are free to choose the precedence order and associativity of operators, but you must say what declarations you would use and what effect they would have. Assume the following declaration:

```
%token DOT IDENT BANG LBRACK RBRACK
```

14. Here is a grammar for part of C expressions:

$$
\mathrm{E}->\mathrm{id}|\mathrm{E} ? \mathrm{E}: \mathrm{E}| \mathrm{E} \ll \mathrm{E}
$$

This grammar is ambiguous.
(a) Give a sentence that has two distinct parse trees, and show those parse trees.
(b) Explain how you might use ocamlyacc associativity declarations to resolve the conflict caused by this ambiguity. Be specific about what declarations you would use and what their effect would be. (The actual rules for resolving this ambiguity in C or Java do not matter; you just need to use the rules to resolve it in some way.)
15. Consider this grammar:

$$
\begin{aligned}
& \text { F }->\text { id (A) } \\
& \text { A -> } \varepsilon \mid \mathrm{B} \\
& \text { B }->\text { id id } \mid \text { B, id id }
\end{aligned}
$$

(a) Show a parse tree for the following sentence: $\mathrm{f}(\mathrm{Cx}, \mathrm{D} y)$
(b) Calculate $\operatorname{FIRST}(\mathrm{F})$, $\operatorname{FIRST}(\mathrm{A})$, and $\operatorname{FIRST}(\mathrm{B})$.
(c) Why is this not an LL(1) grammar?
(e) Transform it to an equivalent $\operatorname{LL}(1)$ grammar, and write a recursive descent parser for it. Assume the token type is
type token = Id | LParen | RParen | Comma
and the parseF function has type
parseF: token list -> (token list) option
where option is the type defined as: type 'a option = Some 'a $\mid$ None.

F -> id (A)
A $->\varepsilon \mid$ id id B
B -> $\varepsilon \mid$, id id B
16. Suppose we are given grammar that contains the following rules:

```
Expression -> "do" Stmt "while" "(" Expression ")"
    | Stmt ";" Expression
    | Ident
```

Assume the token type is

```
type token = DO | WHILE | LPAREN | RPAREN
    | SCOLON | IDENT of string
```

the abstract syntax for the Expression non-terminal is

```
type exp = DoWhile of stmt * exp | Sequence of stmt * exp
    | Id of string
```

and you are provided with the parseStmt function of the following type.

```
parseStmt: token list -> stmt * token list
```

Implement the parseExp: token list -> exp * token list function. Ignore error cases. Also, assume that DO and IDENT are not in FIRST(Stmt).
17. Consider this grammar:

$$
\begin{aligned}
& \mathrm{E} \rightarrow \mathrm{E}+\mathrm{T} \mid \mathrm{T} \\
& \mathrm{~T} \rightarrow \mathrm{~T} * \mathrm{id} \mid \mathrm{id}
\end{aligned}
$$

Show a complete shift-reduce parse for the sentence $\mathrm{x}+\mathrm{y}$ *z. Include columns Action, Stack, and Input, as we did in class. (Warning: this may take more than one piece of paper, depending upon how big you write. There are 11 steps, counting the final "Accept" step.)
18. This grammar is not $\operatorname{LR}(1)$. It has a shift-reduce conflict:

$$
\begin{aligned}
& \text { A -> B, \$ } \\
& \text { B -> id | id, B }
\end{aligned}
$$

Show parse trees for two sentences with the following property: they lead to the same stack configuration (meaning, the roots of the trees on the stack are the same), with the same lookahead symbol, but in one case the correct action is the shift and in the other it is to reduce. Show that stack configuration.
19. Given this grammar:

$$
\begin{aligned}
& \mathrm{E} \rightarrow \mathrm{~V}++|++\mathrm{V}| \mathrm{V}+=\mathrm{V} \\
& \mathrm{~V} \rightarrow \text { id } \mid \text { id }[\mathrm{E}]
\end{aligned}
$$

(a) Give the parse tree for input $\mathrm{x}[++\mathrm{i}]+=\mathrm{y}$.
(b) Show the shift/reduce parse for that input. We have shown the first line. You should show every shift and reduce action, indicating the production for reduce actions, until the final Accept action. On the stack, do not give the entire trees, but just the topmost node (as we did in class).

| Action | Stack | Input |
| :--- | :---: | :---: |
| Sh | $x[++i]+=y$ |  |

