

# Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



# Regular Expressions - Review

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- Start with a given character set –  
**a, b, c...**
- $L(\epsilon) = \{ \epsilon \}$
- Each character is a regular expression
  - It represents the set of one string containing just that character
  - $L(a) = \{a\}$



# Regular Expressions

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- If **x** and **y** are regular expressions, then **xy** is a regular expression
  - It represents the set of all strings made from first a string described by **x** then a string described by **y**

If  $L(x) = \{a, ab\}$  and  $L(y) = \{c, d\}$   
then  $L(xy) = \{ac, ad, abc, abd\}$



# Regular Expressions

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- If **x** and **y** are regular expressions, then  **$x \vee y$**  is a regular expression
  - It represents the set of strings described by either **x** or **y**
    - If  $L(x) = \{a, ab\}$  and  $L(y) = \{c, d\}$   
then  $L(x \vee y) = \{a, ab, c, d\}$



# Regular Expressions

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- If  $x$  is a regular expression, then so is  $(x)$ 
    - It represents the same thing as  $x$
  - If  $x$  is a regular expression, then so is  $x^*$ 
    - It represents strings made from concatenating zero or more strings from  $x$
- If  $L(x) = \{a, ab\}$  then  $L(x^*) = \{\epsilon, a, ab, aa, aab, abab, \dots\}$
- $\epsilon$ 
    - It represents  $\{\epsilon\}$ , set containing the empty string
  - $\emptyset$ 
    - It represents  $\{\}$ , the empty set



# Example Regular Expressions

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- **$(0 \vee 1)^* 1$** 
  - The set of all strings of **0**'s and **1**'s ending in 1,  **$\{1, 01, 11, \dots\}$**
- **$a^* b (a^*)$** 
  - The set of all strings of a's and b's with exactly one b
- **$((01) \vee (10))^*$** 
  - You tell me
- Regular expressions (equivalently, regular grammars) important for lexing, breaking strings into recognized words



# Right Regular Grammars

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- Subclass of BNF (covered in detail sool)
- Only rules of form  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)
- Close connection to nondeterministic finite state automata – nonterminals  $\cong$  states; rule  $\cong$  edge



# Example

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- Right regular grammar:

$\langle \text{Balanced} \rangle ::= \varepsilon$

$\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$

$\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$

$\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$

$\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$

- Generates even length strings where every initial substring of even length has same number of 0's as 1's





# Implementing Regular Expressions

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- Regular expressions reasonable way to generate strings in language
- Not so good for recognizing when a string is in language
- Problems with Regular Expressions
  - which option to choose,
  - how many repetitions to make
- Answer: finite state automata
- Should have seen in CS374



# Example: Lexing

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- Regular expressions good for describing lexemes (words) in a programming language
  - Identifier =  $(a \vee b \vee \dots \vee z \vee A \vee B \vee \dots \vee Z) (a \vee b \vee \dots \vee z \vee A \vee B \vee \dots \vee Z \vee 0 \vee 1 \vee \dots \vee 9)^*$
  - Digit =  $(0 \vee 1 \vee \dots \vee 9)$
  - Number =  $0 \vee (1 \vee \dots \vee 9)(0 \vee \dots \vee 9)^* \vee \sim (1 \vee \dots \vee 9)(0 \vee \dots \vee 9)^*$
  - Keywords: if = if, while = while,...



# Lexing

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- Different syntactic categories of “words”:  
tokens

Example:

- Convert sequence of characters into  
sequence of strings, integers, and floating  
point numbers.
- "asd 123 jkl 3.14" will become:  
[String "asd"; Int 123; String "jkl"; Float  
3.14]



# Lex, ocamllex

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- Could write the reg exp, then translate to DFA by hand
  - A lot of work
- Better: Write program to take reg exp as input and automatically generates automata
- Lex is such a program
- ocamllex version for ocaml



## How to do it

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- To use regular expressions to parse our input we need:
  - Some way to identify the input string — call it a lexing buffer
  - Set of regular expressions,
  - Corresponding set of actions to take when they are matched.



## How to do it

---

- The lexer will take the regular expressions and generate a state machine.
- The state machine will take our lexing buffer and apply the transitions...
- If we reach an accepting state from which we can go no further, the machine will perform the appropriate action.



# Mechanics

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- Put table of reg exp and corresponding actions (written in ocaml) into a file *<filename>.ml*
- Call  

```
ocamllex <filename>.ml
```
- Produces Ocaml code for a lexical analyzer in file *<filename>.ml*



# Sample Input

---

```
rule main = parse
  ['0'-'9']+ { print_string "Int\n"}
  | ['0'-'9']+ '.' ['0'-'9']+ { print_string "Float\n"}
  | ['a'-'z']+ { print_string "String\n"}
  | _ { main lexbuf }
{
  let newlexbuf = (Lexing.from_channel stdin) in
  main newlexbuf
}
```





# General Input

---

{ *header* }

let *ident* = *regexp* ...

rule *entrypoint* [*arg1*... *argn*] = parse  
    *regexp* { *action* }

| ...

| *regexp* { *action* }

and *entrypoint* [*arg1*... *argn*] = parse ...and

...

{ *trailer* }



# Ocamllex Input

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- *header* and *trailer* contain arbitrary ocaml code put at top and bottom of *<filename>.ml*
- *let ident = regexp ...* Introduces *ident* for use in later regular expressions



# Ocamllex Input

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- *<filename>.ml* contains one lexing function per *entrypoint*
  - Name of function is name given for *entrypoint*
  - Each entry point becomes an Ocaml function that takes  $n+1$  arguments, the extra implicit last argument being of type `Lexing.lexbuf`
- *arg1... argn* are for use in *action*



# Ocamllex Regular Expression

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- Single quoted characters for letters:  
‘a’
- `_`: (underscore) matches any letter
- `Eof`: special “end\_of\_file” marker
- Concatenation same as usual
- “*string*”: concatenation of sequence of characters
- $e_1 / e_2$ : choice - what was  $e_1 \vee e_2$



# Ocamllex Regular Expression

---

- $[c_1 - c_2]$ : choice of any character between first and second inclusive, as determined by character codes
- $[^c_1 - c_2]$ : choice of any character NOT in set
- $e^*$ : same as before
- $e+$ : same as  $e e^*$
- $e?$ : option - was  $e \vee \varepsilon$



# Ocamlex Regular Expression

---

- $e_1 \# e_2$ : the characters in  $e_1$  but not in  $e_2$ ;  $e_1$  and  $e_2$  must describe just sets of characters
- *ident*: abbreviation for earlier reg exp in `let ident = regexp`
- $e_1$  as *id*: binds the result of  $e_1$  to *id* to be used in the associated *action*



# Ocamllex Manual

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- More details can be found at

Version for ocaml 4.07:

<https://v2.ocaml.org/releases/4.07/htmlman/lexyacc.html>

Current version (ocaml 4.14)

<https://v2.ocaml.org/releases/4.14/htmlman/lexyacc.html>

(same, except formatting, I think)



## Example : test.ml

---

```
{ type result = Int of int | Float of float |  
  String of string }
```

```
let digit = ['0'-'9']
```

```
let digits = digit +
```

```
let lower_case = ['a'-'z']
```

```
let upper_case = ['A'-'Z']
```

```
let letter = upper_case | lower_case
```

```
let letters = letter +
```





## Example : test.ml

---

```
rule main = parse
```

```
  (digits)'. 'digits as f { Float (float_of_string f) }
```

```
| digits as n           { Int (int_of_string n) }
```

```
| letters as s          { String s }
```

```
| _ { main lexbuf }
```

```
{ let newlexbuf = (Lexing.from_channel stdin) in
```

```
  print_newline ();
```

```
  main newlexbuf }
```



# Example

---

```
# #use "test.ml";;
```

```
...
```

```
val main : Lexing.lexbuf -> result = <fun>
```

```
val __ocaml_lex_main_rec : Lexing.lexbuf -> int ->  
  result = <fun>
```

```
hi there 234 5.2
```

```
- : result = String "hi"
```

What happened to the rest?!?



# Example

---

```
# let b = Lexing.from_channel stdin;;
```

```
# main b;;
```

```
hi 673 there
```

```
- : result = String "hi"
```

```
# main b;;
```

```
- : result = Int 673
```

```
# main b;;
```

```
- : result = String "there"
```



# Your Turn

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- Work on MP8
  - Add a few keywords
  - Implement booleans and unit
  - Implement Ints and Floats
  - Implement identifiers



# Problem

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- How to get lexer to look at more than the first token at one time?
- Answer: *action* has to tell it to -- recursive calls
  - Not what you want to sew this together with ocaml yacc
- Side Benefit: can add “state” into lexing
- Note: already used this with the \_ case



# Example

---

rule main = parse

(digits) '.' digits as f { Float

(float\_of\_string f) :: main lexbuf }

| digits as n { Int (int\_of\_string n) ::  
main lexbuf }

| letters as s { String s :: main  
lexbuf }

| eof { [] }

| \_ { main lexbuf }



# Example Results

---

hi there 234 5.2

- : result list = [String "hi"; String "there"; Int 234; Float 5.2]

#

Used Ctrl-d to send the end-of-file signal



# Dealing with comments

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## First Attempt

```
let open_comment = "("*
```

```
let close_comment = "*"
```

```
rule main = parse
```

```
  (digits) '.' digits as f { Float (float_of_string  
f) :: main lexbuf }
```

```
| digits as n           { Int (int_of_string n) ::  
main lexbuf }
```

```
| letters as s          { String s :: main lexbuf }
```





# Dealing with comments

---

| **open\_comment** { comment lexbuf }

| eof { [] }

| \_ { main lexbuf }

and comment = parse

**close\_comment** { main lexbuf }

| \_ { comment lexbuf }



# Dealing with nested comments

---

```
rule main = parse ...
| open_comment      { comment 1 lexbuf }
| eof               { [] }
| _ { main lexbuf }
and comment depth = parse
  open_comment      { comment (depth+1) lexbuf }
  }
| close_comment     { if depth = 1
                      then main lexbuf
                      else comment (depth - 1) lexbuf }
| _                 { comment depth lexbuf }
```



# Dealing with nested comments

---

rule main = parse

(digits) '.' digits as f { Float (float\_of\_string f) ::  
main lexbuf }

| digits as n { Int (int\_of\_string n) :: main  
lexbuf }

| letters as s { String s :: main lexbuf }

| open\_comment { (comment 1 lexbuf )

| eof { [] }

| \_ { main lexbuf }



# Dealing with nested comments

---

and comment depth = parse

```
open_comment      { comment (depth+1) lexbuf  
}
```

```
| close_comment   { if depth = 1  
                    then main lexbuf  
                    else comment (depth - 1) lexbuf }
```

```
| _               { comment depth lexbuf }
```