

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

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Mea Culpa

- The above system can't handle polymorphism as in OCAML
- No type variables in type language (only meta-variables in the logic)
- Would need:
 - Object level type variables and some kind of type quantification
 - let** and **let rec** rules to introduce polymorphism
 - Explicit changes to rules to eliminate (instantiate) polymorphism

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Support for Polymorphic Types

- Monomorphic Types (τ):
 - Basic Types: `int`, `bool`, `float`, `string`, `unit`, ...
 - Type Variables: $\alpha, \beta, \gamma, \delta, \varepsilon$
 - Compound Types: $\alpha \rightarrow \beta$, `int * string`, `bool list`, ...
- Polymorphic Types:
 - Monomorphic types τ
 - Universally quantified monomorphic types
 - $\forall \alpha_1, \dots, \alpha_n . \tau$
 - Can think of τ as same as $\forall . \tau$

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Support for Polymorphic Types

- Typing Environment Γ supplies polymorphic types (which will often just be monomorphic) for variables
- Free variables of monomorphic type just type variables that occur in it
 - Write `FreeVars(τ)`
- Free variables of polymorphic type removes variables that are universally quantified
 - $\text{FreeVars}(\forall \alpha_1, \dots, \alpha_n . \tau) = \text{FreeVars}(\tau) - \{\alpha_1, \dots, \alpha_n\}$
- $\text{FreeVars}(\Gamma) = \text{all FreeVars of types in range of } \Gamma$

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Example FreeVars Calculations

- $\text{Vars}('a \rightarrow (\text{int} \rightarrow 'b) \rightarrow 'a) = \{'a, 'b\}$
- $\text{FreeVars}(\text{All } 'b. 'a \rightarrow (\text{int} \rightarrow 'b) \rightarrow 'a) = \{'a, 'b\} - \{'b\} = \{'a\}$
- $\text{FreeVars} \{x : \text{All } 'b. 'a \rightarrow (\text{int} \rightarrow 'b) \rightarrow 'a,$
 $\quad \text{id: All } 'c. 'c \rightarrow 'c,$
 $\quad y: \text{All } 'c. 'a \rightarrow 'b \rightarrow 'c\} =$
 $\{a\} \cup \{\} \cup \{'a, 'b\} = \{'a, 'b\}$

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Monomorphic to Polymorphic

- Given:
 - Polymorphic type environment Γ
 - monomorphic type τ
 - τ shares type variables with Γ
- Want most polymorphic type for τ that doesn't break sharing type variables with Γ
- $\text{Gen}(\tau, \Gamma) = \forall \alpha_1, \dots, \alpha_n . \tau$ where $\{\alpha_1, \dots, \alpha_n\} = \text{freeVars}(\tau) - \text{freeVars}(\Gamma)$

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Polymorphic Typing Rules

- A *type judgement* has the form $\Gamma \vdash \text{exp} : \tau$
 - Γ uses polymorphic types
 - τ still monomorphic
- Most rules stay same (except use more general typing environments)
- Rules that change:
 - Variables, Constants
 - Primitive operators (monops and binops)
 - Let and Let Rec
- Worth noting functions again

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Polymorphic Let and Let Rec

- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \{x: \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{\{x: \tau_1\} + \Gamma \vdash e_1 : \tau_1 \{x: \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$

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Fun Rule Stays the Same

- fun rule:
- $$\frac{\{x: \tau_1\} + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$
- Types τ_1, τ_2 monomorphic
 - Function argument must always be used at same type in function body

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Polymorphic Variables (Identifiers)

- Variable axiom:

$$\frac{}{\Gamma \vdash x : \phi(\tau)} \quad \text{if } \Gamma(x) = \forall \alpha_1, \dots, \alpha_n . \tau$$

- Where ϕ replaces all occurrences of $\alpha_1, \dots, \alpha_n$ by monotypes τ_1, \dots, τ_n
- Note: Monomorphic rule special case:

$$\frac{}{\Gamma \vdash x : \tau} \quad \text{if } \Gamma(x) = \tau$$

- Constants, monops and binops treated similarly (with signatures)

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Polymorphic Example

- Assume additional constants and primitive operators:
- hd : $\forall \alpha. \alpha \text{ list} \rightarrow \alpha$
- tl : $\forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$
- is_empty : $\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$
- (::) : $\forall \alpha. \alpha \rightarrow \alpha \text{ list} \rightarrow \alpha \text{ list}$
- [] : $\forall \alpha. \alpha \text{ list}$

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Binary Operator Rule (Polymorphic)

Primitive Binary operators ($\oplus \in \{+, -, *, \dots\}$):
Assume BinOp signature gives

$$\oplus : \forall \alpha_1, \dots, \alpha_n . \tau_1 \rightarrow \tau_2 \rightarrow \tau_3$$

$$\frac{\Gamma \vdash e_1 : \tau'_1 \quad \Gamma \vdash e_2 : \tau'_2}{\Gamma \vdash e_1 \oplus e_2 : \tau'_3} \quad \{ \alpha_1 \rightarrow \zeta_1, \dots, \alpha_n \rightarrow \zeta_n \}$$

where τ'_i is τ_i with all α_i replaced by ζ_i

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Polymorphic Example

- Show:

?

$\{ \} \vdash \text{let rec length =}$
 $\quad \text{fun } l \rightarrow \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l)$
 $\text{in } \text{length}(2 :: []) + \text{length}(\text{true} :: []) : \text{int}$

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Polymorphic Example: Let Rec Rule

- Show: (1) (2)

$\{\text{length}:\alpha \text{ list} \rightarrow \text{int}\} \quad \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
 $\vdash \text{fun } l \rightarrow \dots \quad \vdash \text{length}(2 :: []) +$
 $: \alpha \text{ list} \rightarrow \text{int} \quad \text{length}(\text{true} :: []) : \text{int}$

$\{ \} \vdash \text{let rec length =}$
 $\quad \text{fun } l \rightarrow \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l)$
 $\text{in } \text{length}(2 :: []) + \text{length}(\text{true} :: []) : \text{int}$

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Polymorphic Example (1)

- Show:

?

$\{\text{length}:\alpha \text{ list} \rightarrow \text{int}\} \vdash$
 $\text{fun } l \rightarrow \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l)$
 $: \alpha \text{ list} \rightarrow \text{int}$

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Polymorphic Example (1): Fun Rule

- Show: (3)

$\{\text{length}:\alpha \text{ list} \rightarrow \text{int}, \quad l: \alpha \text{ list} \} \vdash$
 $\text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } \text{length}(\text{hd } l) + \text{length}(\text{tl } l) : \text{int}$

$\{\text{length}:\alpha \text{ list} \rightarrow \text{int}\} \vdash$
 $\text{fun } l \rightarrow \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l)$
 $: \alpha \text{ list} \rightarrow \text{int}$

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Polymorphic Example (3)

- Let $\Gamma = \{\text{length}:\alpha \text{ list} \rightarrow \text{int}, \quad l: \alpha \text{ list} \}$
- Show

?

$\Gamma \vdash \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l) : \text{int}$

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Polymorphic Example (3): IfThenElse

- Let $\Gamma = \{\text{length}:\alpha \text{ list} \rightarrow \text{int}, \quad l: \alpha \text{ list} \}$
- Show

(4) $\Gamma \vdash \text{is_empty } l \quad \Gamma \vdash 0:\text{int} \quad \Gamma \vdash 1 + \text{length}(\text{tl } l)$
 $: \text{bool} \quad : \text{int}$

(5) $\Gamma \vdash \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l) : \text{int}$

(6) $\Gamma \vdash \text{if is_empty } l \text{ then } 0$
 $\quad \quad \quad \text{else } 1 + \text{length}(\text{tl } l) : \text{int}$

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Polymorphic Example (4)

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

?

$$\frac{}{\Gamma \vdash \text{is_empty l} : \text{bool}}$$

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?

?

$$\frac{}{\Gamma \vdash \text{is_empty} : \alpha \text{ list} \rightarrow \text{bool}}$$

$$\frac{}{\Gamma \vdash \text{l} : \alpha \text{ list}}$$

$$\frac{}{\Gamma \vdash \text{is_empty l} : \text{bool}}$$

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Polymorphic Example (4)

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const since $\alpha \text{ list} \rightarrow \text{bool}$
is instance $\{\alpha \rightarrow \alpha\}$ of

$$\boxed{\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}}$$

?

$$\frac{}{\Gamma \vdash \text{is_empty} : \alpha \text{ list} \rightarrow \text{bool}}$$

$$\frac{}{\Gamma \vdash \text{l} : \alpha \text{ list}}$$

$$\frac{}{\Gamma \vdash \text{is_empty l} : \text{bool}}$$

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Polymorphic Example (4)

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const since $\alpha \text{ list} \rightarrow \text{bool}$ is By Variable
instance of $\boxed{\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}}$ $\Gamma(\text{l}) = \alpha \text{ list}$

$$\frac{}{\Gamma \vdash \text{is_empty} : \alpha \text{ list} \rightarrow \text{bool}}$$

$$\frac{}{\Gamma \vdash \text{l} : \alpha \text{ list}}$$

$$\frac{}{\Gamma \vdash \text{is_empty l} : \text{bool}}$$

- This finishes (4)

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Polymorphic Example (5):Const

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const Rule

$$\frac{}{\Gamma \vdash 0 : \text{int}}$$

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Polymorphic Example (6): BinOp

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Variable

$$\frac{}{\Gamma \vdash \text{length} : \alpha \text{ list} \rightarrow \text{int}} \quad (7)$$

By Const $\Gamma \vdash 1 : \text{int}$ App $\frac{\Gamma \vdash \text{length} : \alpha \text{ list} \rightarrow \text{int} \quad \Gamma \vdash (\text{tl l}) : \alpha \text{ list}}{\Gamma \vdash \text{length}(\text{tl l}) : \text{int}}$

$$\frac{}{\Gamma \vdash 1 + \text{length}(\text{tl l}) : \text{int}}$$

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Polymorphic Example (7):App Rule

- Let $\Gamma = \{\text{length}:\alpha \text{ list} \rightarrow \text{int}, l: \alpha \text{ list}\}$
- Show

$$\frac{\begin{array}{c} \text{Const} & \text{Variable} \\ \Gamma |- tl : \alpha \text{ list} \rightarrow \alpha \text{ list} & \Gamma |- l : \alpha \text{ list} \end{array}}{\Gamma |- (tl\ l) : \alpha \text{ list}}$$

By Const since $\alpha \text{ list} \rightarrow \alpha \text{ list}$ is instance $\{\alpha \rightarrow \alpha\}$ of $\forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$

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Polymorphic Example: (2) by BinOp

- Let $\Gamma' = \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} (8) & (9) \\ \Gamma' |- & \Gamma' |- \\ \text{length}(2 :: []) : \text{int} & \text{length}(\text{true} :: []) : \text{int} \\ \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\} \\ |- \text{length}(2 :: []) + \text{length}(\text{true} :: []) : \text{int} \end{array}}{}$$

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Polymorphic Example: (8)AppRule

- Let $\Gamma' = \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} ? & ? \\ \Gamma' |- \text{length} : \text{int list} \rightarrow \text{int} & \Gamma' |- (2 :: []) : \text{int list} \end{array}}{\Gamma' |- \text{length}(2 :: []) : \text{int}}$$

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Polymorphic Example: (8)AppRule

- Let $\Gamma' = \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} ? & ? \\ \Gamma' |- \text{length} : \text{int list} \rightarrow \text{int} & \Gamma' |- (2 :: []) : \text{int list} \end{array}}{\Gamma' |- \text{length}(2 :: []) : \text{int}}$$

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Polymorphic Example: (8)AppRule

- Let $\Gamma' = \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
 - Show:
- By Var since $\text{int list} \rightarrow \text{int}$ is instance $\{\alpha \rightarrow \text{int}\}$ of $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$ (by $\alpha \rightarrow \text{int}$)

$$\frac{\begin{array}{c} (10) \\ \Gamma' |- \text{length} : \text{int list} \rightarrow \text{int} & \Gamma' |- (2 :: []) : \text{int list} \end{array}}{\Gamma' |- \text{length}(2 :: []) : \text{int}}$$

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Polymorphic Example: (10)BinOpRule

- Let $\Gamma' = \{\text{length}:\forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} \text{Const} & ? \\ \Gamma' |- 2 : \text{int} & \Gamma' |- [] : \text{int list} \end{array}}{\Gamma' |- (2 :: []) : \text{int list}}$$

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Polymorphic Example: (10)BinOpRule

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- By Const since int list is instance of $\forall \alpha. \alpha \text{ list}$ (by $\alpha \rightarrow \text{int}$)

$$\frac{\Gamma' |- 2 : \text{int} \quad \Gamma' |- [] : \text{int list}}{\Gamma' |- (2 :: []) : \text{int list}}$$

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Polymorphic Example: (9)AppRule

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} ? \\ \Gamma' |- \text{length} \\ : \text{bool list} \rightarrow \text{int} \end{array} \quad \begin{array}{c} ? \\ \Gamma' |- (\text{true} :: []) \\ : \text{bool list} \end{array}}{\Gamma' |- \text{length} (\text{true} :: []) : \text{int}}$$

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Polymorphic Example: (9)AppRule

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- Var since bool list \rightarrow int is instance of $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$ (by $\alpha \rightarrow \text{bool}$)

$$\frac{\begin{array}{c} \Gamma' |- \text{length} \\ : \text{bool list} \rightarrow \text{int} \end{array} \quad \begin{array}{c} (10) \\ \Gamma' |- (\text{true} :: []) \\ : \text{bool list} \end{array}}{\Gamma' |- \text{length} (\text{true} :: []) : \text{int}}$$

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Polymorphic Example: (10)BinOpRule

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{\begin{array}{c} \text{Const} \\ \Gamma' |- \text{true} : \text{bool} \end{array} \quad \begin{array}{c} ? \\ \Gamma' |- [] : \text{bool list} \end{array}}{\Gamma' |- (\text{true} :: []) : \text{bool list}}$$

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Polymorphic Example: (10)BinOpRule

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:
- By Const since bool list is instance of $\forall \alpha. \alpha \text{ list}$ (by $\alpha \rightarrow \text{bool}$)

$$\frac{\Gamma' |- \text{true} : \text{bool} \quad \Gamma' |- [] : \text{bool list}}{\Gamma' |- (\text{true} :: []) : \text{bool list}}$$

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