

Programming Languages and Compilers (CS 421)

Elsa L Gunter
2112 SC, UIUC



<https://courses.engr.illinois.edu/cs421/sp2023>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



Save the Environment!

- A *closure* is a pair of an environment and an association of a pattern (e.g. (v_1, \dots, v_n) giving the input variables) with an expression (the function body), written:

$$\langle (v_1, \dots, v_n) \rightarrow \underline{\text{exp}}, \rho \rangle$$

- Where ρ is the environment in effect when the function is defined (for a simple function)



Evaluating declarations

- Evaluation uses an environment ρ
- To evaluate a (simple) declaration $\text{let } x = e$
 - Evaluate expression e in ρ to value v
 - Update ρ with $x \rightarrow v$: $\{x \rightarrow v\} + \rho$



Evaluating declarations

- Evaluation uses an environment ρ
- To evaluate a (simple) declaration **let $x = e$**
 - Evaluate expression e in ρ to value v
 - Update ρ with $x \rightarrow v$: $\{x \rightarrow v\} + \rho$
- Update: $\rho_1 + \rho_2$ has all the bindings in ρ_1 and all those in ρ_2 that are not rebound in ρ_1
 $\{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}\} + \{y \rightarrow 100, b \rightarrow 6\}$
 $= \{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}, b \rightarrow 6\}$



Evaluating expressions in OCaml

- Evaluation uses an environment ρ
- A constant evaluates to itself, including primitive operators like + and =



Evaluating expressions in OCaml

- Evaluation uses an environment ρ
- A constant evaluates to itself, including primitive operators like + and =
- To evaluate a variable, look it up in ρ : $\rho(v)$



Evaluating expressions in OCaml

- Evaluation uses an environment ρ
- A constant evaluates to itself, including primitive operators like $+$ and $=$
- To evaluate a variable, look it up in ρ : $\rho(v)$
- To evaluate a tuple (e_1, \dots, e_n) ,
 - Evaluate each e_i to v_i , right to left for OCaml
 - Then make value (v_1, \dots, v_n)



Evaluating expressions in OCaml

- To evaluate uses of $+$, $-$, etc, eval args, then do operation



Evaluating expressions in OCaml

- To evaluate uses of $+$, $-$, etc, eval args, then do operation
- Function expression evaluates to its closure



Evaluating expressions in OCaml

- To evaluate uses of $+$, $-$, etc, eval args, then do operation
- Function expression evaluates to its closure
- To evaluate a local dec: `let x = e1 in e2`
 - Eval `e1` to `v`, then eval `e2` using $\{x \rightarrow v\} + \rho$



Evaluating expressions in OCaml

- To evaluate uses of $+$, $-$, etc, eval args, then do operation
- Function expression evaluates to its closure
- To evaluate a local dec: `let x = e1 in e2`
 - Eval `e1` to `v`, then eval `e2` using $\{x \rightarrow v\} + \rho$
- To evaluate a conditional expression:
`if b then e1 else e2`
 - Evaluate `b` to a value `v`
 - If `v` is `True`, evaluate `e1`
 - If `v` is `False`, evaluate `e2`



Evaluation of Application with Closures

- Given application expression $f e$
- In Ocaml, evaluate e to value v
- In environment ρ , evaluate left term to closure,
 $c = \langle (x_1, \dots, x_n) \rightarrow b, \rho' \rangle$
 - (x_1, \dots, x_n) variables in (first) argument
 - v must have form (v_1, \dots, v_n)
- Update the environment ρ' to
 $\rho'' = \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho'$
- Evaluate body b in environment ρ''



Recursive Functions

```
# let rec factorial n =  
    if n = 0 then 1 else n * factorial (n - 1);;  
val factorial : int -> int = <fun>  
# factorial 5;;  
- : int = 120  
# (* rec is needed for recursive function  
   declarations *)
```



Recursion Example

Compute n^2 recursively using:

$$n^2 = (2 * n - 1) + (n - 1)^2$$

```
# let rec nthsq n =      (* rec for recursion *)
  match n                (* pattern matching for cases *)
  with 0 -> 0            (* base case *)
  | n -> (2 * n - 1)     (* recursive case *)
      + nthsq (n - 1);; (* recursive call *)
val nthsq : int -> int = <fun>
# nthsq 3;;
- : int = 9
```

Structure of recursion similar to inductive proof



Recursion and Induction

```
# let rec nthsq n = match n with 0 -> 0  
  | n -> (2 * n - 1) + nthsq (n - 1) ;;
```

- Base case is the last case; it stops the computation
- Recursive call must be to arguments that are somehow smaller - must progress to base case
- **if** or **match** must contain base case
- Failure of these may cause failure of termination



Lists

- List can take one of two forms:
 - Empty list, written `[]`
 - Non-empty list, written `x :: xs`
 - `x` is head element, `xs` is tail list, `::` called “cons”
 - Syntactic sugar: `[x] == x :: []`
 - `[x1; x2; ...; xn] == x1 :: x2 :: ... :: xn :: []`



Lists

```
# let fib5 = [8;5;3;2;1;1];;
```

```
val fib5 : int list = [8; 5; 3; 2; 1; 1]
```

```
# let fib6 = 13 :: fib5;;
```

```
val fib6 : int list = [13; 8; 5; 3; 2; 1; 1]
```

```
# (8::5::3::2::1::1::[ ]) = fib5;;
```

```
- : bool = true
```

```
# fib5 @ fib6;;
```

```
- : int list = [8; 5; 3; 2; 1; 1; 13; 8; 5; 3; 2; 1; 1]
```



Lists are Homogeneous

```
# let bad_list = [1; 3.2; 7];;
```

Characters 19-22:

```
let bad_list = [1; 3.2; 7];;  
                ^^^
```

This expression has type float but is here used with type int



Question

- Which one of these lists is invalid?
 1. [2; 3; 4; 6]
 2. [2,3; 4,5; 6,7]
 3. [(2.3,4); (3.2,5); (6,7.2)]
 4. [[“hi”; “there”]; [“wahcha”]; []; [“doin”]]



Answer

- Which one of these lists is invalid?
 1. [2; 3; 4; 6]
 2. [2,3; 4,5; 6,7]
 3. [(2.3,4); (3.2,5); (6,7.2)]
 4. [[“hi”; “there”]; [“wahcha”]; []; [“doin”]]
- 3 is invalid because of last pair



Functions Over Lists

```
# let rec double_up list =  
  match list  
  with [ ] -> [ ] (* pattern before ->,  
                    expression after *)  
       | (x :: xs) -> (x :: x :: double_up xs);;  
val double_up : 'a list -> 'a list = <fun>  
# let fib5_2 = double_up fib5;;  
val fib5_2 : int list = [8; 8; 5; 5; 3; 3; 2; 2; 1;  
1; 1; 1]
```



Functions Over Lists

```
# let silly = double_up ["hi"; "there"];;
val silly : string list = ["hi"; "hi"; "there"; "there"]
# let rec poor_rev list =
  match list
  with [] -> []
       | (x::xs) -> poor_rev xs @ [x];;
val poor_rev : 'a list -> 'a list = <fun>
# poor_rev silly;;
- : string list = ["there"; "there"; "hi"; "hi"]
```



Structural Recursion

- Functions on recursive datatypes (eg lists) tend to be recursive
- Recursion over recursive datatypes generally by structural recursion
 - Recursive calls made to components of structure of the same recursive type
 - Base cases of recursive types stop the recursion of the function



Question: Length of list

- Problem: write code for the length of the list
 - How to start?

let rec length list =



Question: Length of list

- Problem: write code for the length of the list
 - How to start?

let rec length list =
 match list with



Question: Length of list

- Problem: write code for the length of the list
 - What patterns should we match against?

let rec length list =
 match list with



Question: Length of list

- Problem: write code for the length of the list
 - What patterns should we match against?

```
let rec length list =  
  match list with [] ->  
  | (a :: bs) ->
```



Question: Length of list

- Problem: write code for the length of the list
 - What result do we give when `list` is empty?

```
let rec length list =  
  match list with [] -> 0  
  | (a :: bs) ->
```



Question: Length of list

- Problem: write code for the length of the list
 - What result do we give when `list` is not empty?

```
let rec length list =  
  match list with [] -> 0  
  | (a :: bs) ->
```



Question: Length of list

- Problem: write code for the length of the list
 - What result do we give when `list` is not empty?

let rec length list =

match list with [] -> 0

| (a :: bs) -> 1 + length bs

Structural Recursion : List Example

```
# let rec length list = match list
  with [ ] -> 0   (* Nil case *)
       | a :: bs -> 1 + length bs;; (* Cons case *)
val length : 'a list -> int = <fun>
# length [5; 4; 3; 2];;
- : int = 4
```

- Nil case [] is base case
- Cons case recurses on component list **bs**



Same Length

- How can we efficiently answer if two lists have the same length?



Same Length

- How can we efficiently answer if two lists have the same length?

```
let rec same_length list1 list2 =  
  match list1 with [] ->  
    (match list2 with [] -> true  
     | (y::ys) -> false)  
  | (x::xs) ->  
    (match list2 with [] -> false  
     | (y::ys) -> same_length xs ys)
```



Your turn: `doubleList : int list -> int list`

- Write a function that takes a list of int and returns a list of the same length, where each element has been multiplied by 2

`let rec doubleList list =`



Your turn: `doubleList : int list -> int list`

- Write a function that takes a list of `int` and returns a list of the same length, where each element has been multiplied by 2

```
let rec doubleList list =
```

```
  match list
```

```
    with [] -> []
```

```
      | x :: xs -> (2 * x) :: doubleList xs
```



Your turn: `doubleList : int list -> int list`

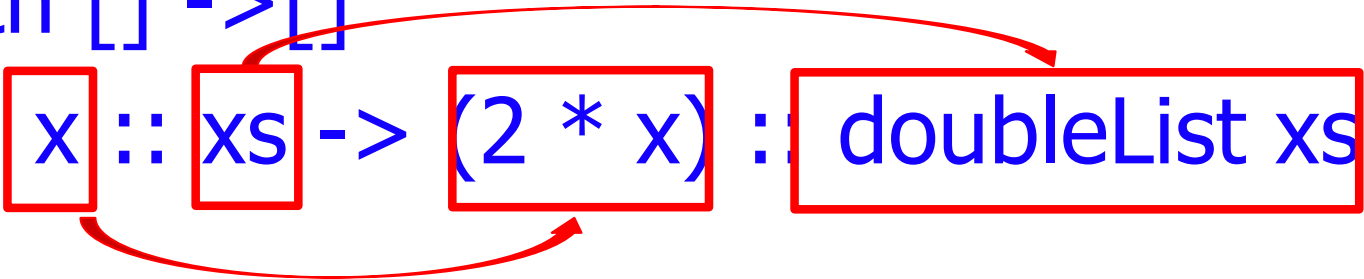
- Write a function that takes a list of `int` and returns a list of the same length, where each element has been multiplied by 2

`let rec doubleList list =`

`match list`

`with [] -> []`

`| x :: xs -> (2 * x) :: doubleList xs`





Higher-Order Functions Over Lists

```
# let rec map f list =  
  match list  
  with [] -> []  
       | (h::t) -> (f h) :: (map f t);;  
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>  
# map plus_two fib5;;  
- : int list = [10; 7; 5; 4; 3; 3]  
# map (fun x -> x - 1) fib6;;  
: int list = [12; 7; 4; 2; 1; 0; 0]
```

Higher-Order Functions Over Lists

```
# let rec map f list =
```

```
  match list
```

```
  with [] -> []
```

```
  | (h::t) -> (f h) :: (map f t);;
```

```
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

```
# map plus_two fib5;;
```

```
- : int list = [10; 7; 5; 4; 3; 3]
```

```
# map (fun x -> x - 1) fib6;;
```

```
: int list = [12; 7; 4; 2; 1; 0; 0]
```



Mapping Recursion

- Can use the higher-order recursive map function instead of direct recursion

```
# let doubleList list =  
  List.map (fun x -> 2 * x) list;;  
val doubleList : int list -> int list = <fun>  
# doubleList [2;3;4];;  
- : int list = [4; 6; 8]
```



Mapping Recursion

- Can use the higher-order recursive map function instead of direct recursion

```
# let doubleList list =
```

```
  List.map (fun x -> 2 * x) list;;
```

```
val doubleList : int list -> int list = <fun>
```

```
# doubleList [2;3;4];;
```

```
- : int list = [4; 6; 8]
```

- Same function, but no explicit recursion

Folding Recursion

- Another common form “folds” an operation over the elements of the structure

```
# let rec multList list = match list
  with [ ] -> 1
       | x::xs -> x * multList xs;;
val multList : int list -> int = <fun>
# multList [2;4;6];;
- : int = 48
```

- Computes $(2 * (4 * (6 * 1)))$



Folding Recursion : Length Example

```
# let rec length list = match list
  with [ ] -> 0 (* Nil case *)
       | a :: bs -> 1 + length bs;; (* Cons case *)
```

```
val length : 'a list -> int = <fun>
```

```
# length [5; 4; 3; 2];;
```

```
- : int = 4
```

- Nil case [] is base case, 0 is the base value
- Cons case recurses on component list bs
- What do `multList` and `length` have in common?