

# Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



# Features of OCAML

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- Higher order applicative language
- Call-by-value parameter passing
- Modern syntax
- Parametric polymorphism
  - Aka structural polymorphism
- Automatic garbage collection
- User-defined algebraic data types



# Why learn OCAML?

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- Many features not clearly in languages you have already learned
- Assumed basis for much research in programming language research
- OCAML is particularly efficient for programming tasks involving languages (eg parsing, compilers, user interfaces)
- Industrially Relevant:
  - Jane Street trades billions of dollars per day using OCaml programs
  - Major language supported at Bloomberg
- Similar languages: Microsoft F#, SML, Haskell, Scala



# Session in OCAML

---

```
% ocaml
```

```
Objective Caml version 4.07.1
```

```
# (* Read-eval-print loop; expressions and  
declarations *)
```

```
2 + 3;; (* Expression *)
```

```
- : int = 5
```

```
# 3 < 2;;
```

```
- : bool = false
```



# Declarations; Sequencing of Declarations

---

```
# let x = 2 + 3;; (* declaration *)
```

```
val x : int = 5
```

```
# let test = 3 < 2;;
```

```
val test : bool = false
```

```
# let a = 1 let b = a + 4;; (* Sequence of dec  
*)
```

```
val a : int = 1
```

```
val b : int = 5
```



# Functions

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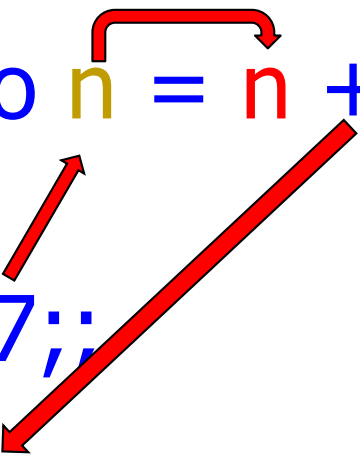
```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>  
# plus_two 17;;  
- : int = 19
```



# Functions

---

```
let plus_two n = n + 2;;  
plus_two 17;;  
- : int = 19
```



The diagram shows three lines of code. The first line is a function definition: `let plus_two n = n + 2;;`. The second line is a function call: `plus_two 17;;`. The third line is the result: `- : int = 19`. A red bracket is drawn above the `n = n + 2` part of the first line. A red arrow points from the `n` parameter in the first line to the `17` argument in the second line. Another red arrow points from the `plus_two` function name in the second line to the `plus_two` function name in the first line.



# Environments

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- *Environments* record what value is associated with a given identifier
- Central to the semantics and implementation of a language
- Notation
$$\rho = \{\text{name}_1 \rightarrow \text{value}_1, \text{name}_2 \rightarrow \text{value}_2, \dots\}$$
Using set notation, but describes a partial function
- Often stored as list, or stack
  - To find value start from left and take first match





# Environments

---

$X \rightarrow 3$

$\text{name} \rightarrow \text{"Steve"}$

...

$y \rightarrow 17$

$\text{region} \rightarrow (5.4, 3.7)$

$b \rightarrow \text{true}$

$\text{id} \rightarrow \{\text{Name} = \text{"Paul"},$   
 $\text{Age} = 23,$   
 $\text{SSN} = 999888777\}$



# Global Variable Creation

---

```
# 2 + 3;;    (* Expression *)
```

```
// doesn't affect the environment
```

```
# let test = 3 < 2;;    (* Declaration *)
```

```
val test : bool = false
```

```
//  $\rho_1 = \{\text{test} \rightarrow \text{false}\}$ 
```

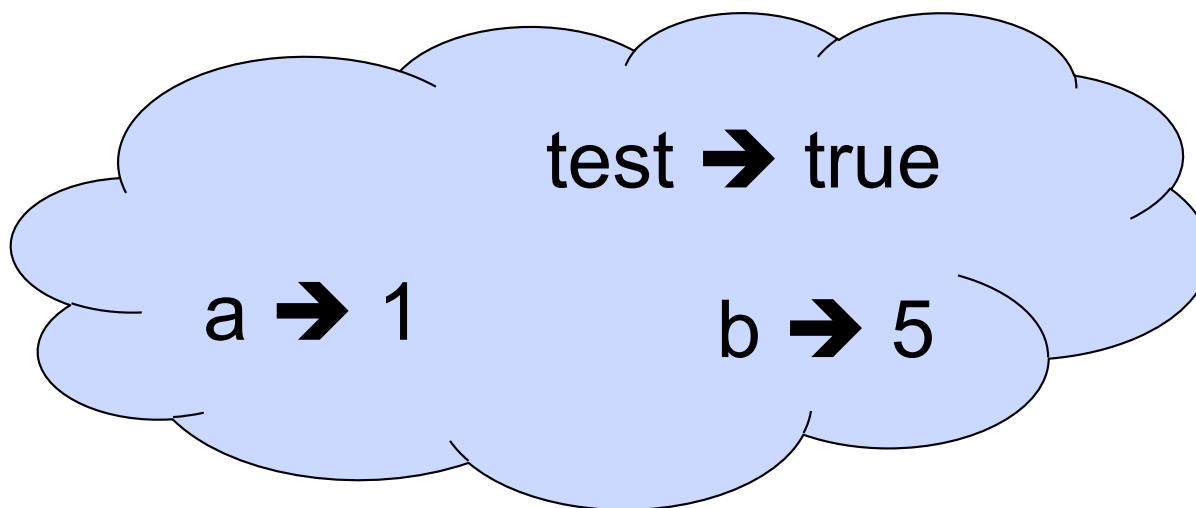
```
# let a = 1 let b = a + 4;; (* Seq of dec *)
```

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, \text{test} \rightarrow \text{false}\}$ 
```



# Environments

---





# New Bindings Hide Old

---

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, test \rightarrow false\}$ 
```

```
let test = 3.7;;
```

- What is the environment after this declaration?



# New Bindings Hide Old

---

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, \text{test} \rightarrow \text{false}\}$ 
```

```
let test = 3.7;;
```

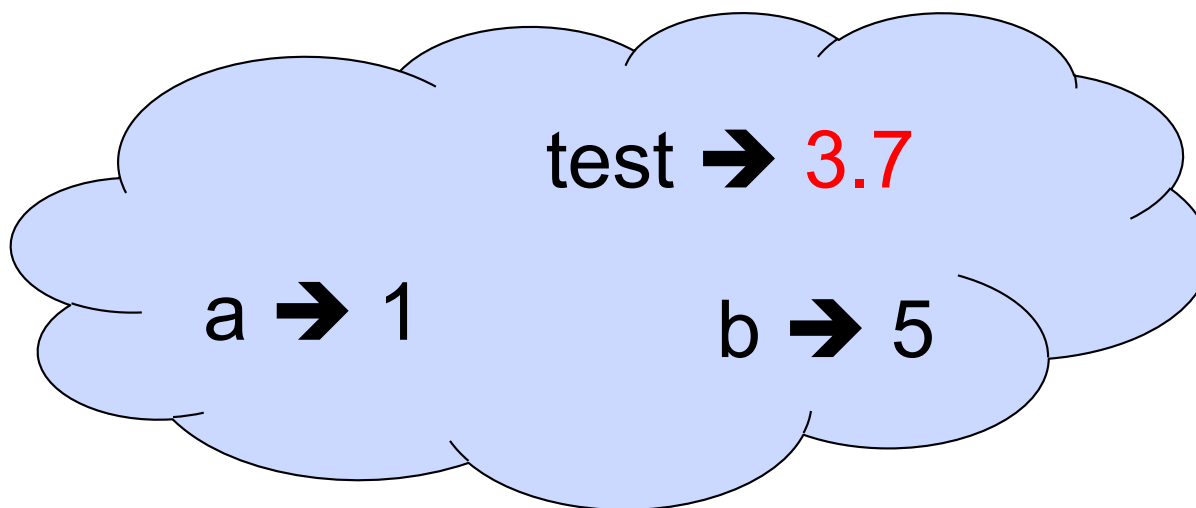
- What is the environment after this declaration?

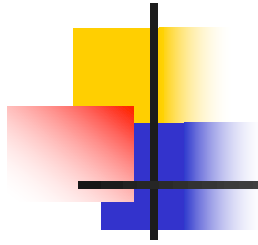
```
//  $\rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```



# Environments

---





Now it's your turn

You should be able to start ACT1

# Local Variable Creation

```
//  $\rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let b = 5 * 4
```

```
//  $\rho_4 = \{b \rightarrow 20, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

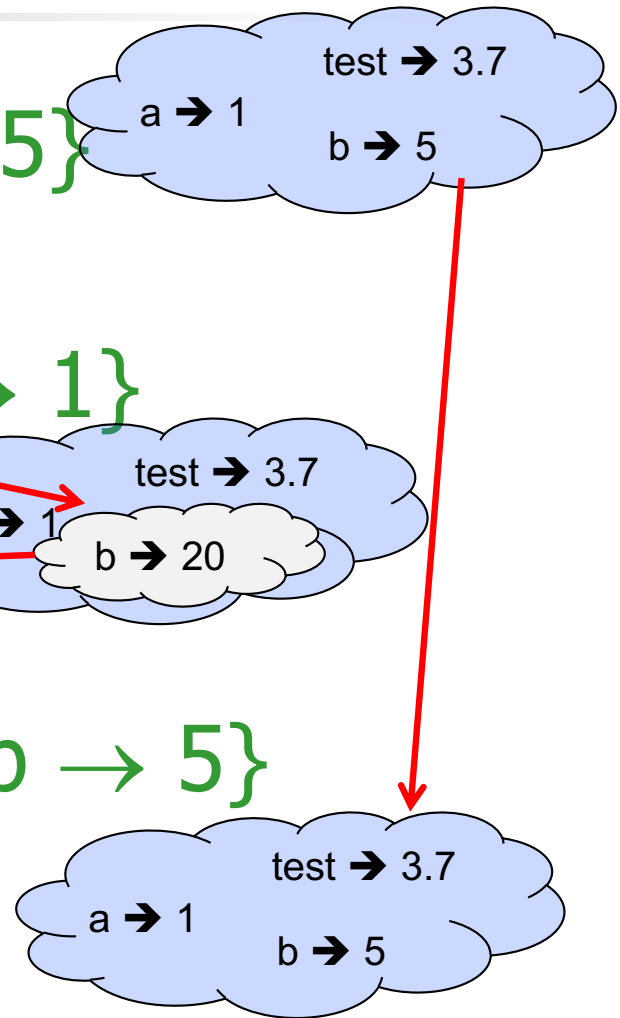
```
in 2 * b;;
```

```
- : int = 40
```

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```





# Local let binding

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_3$ 
```

```
//  $= \{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

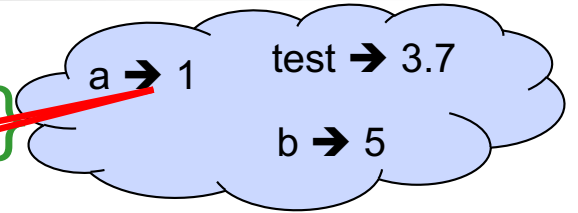
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



# Local let binding

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_3$ 
```

```
//  $= \{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

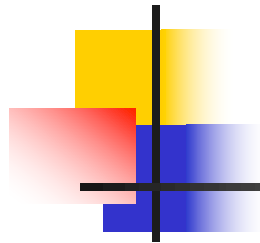
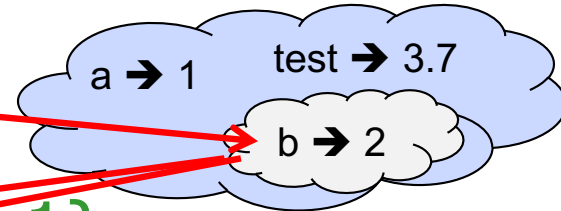
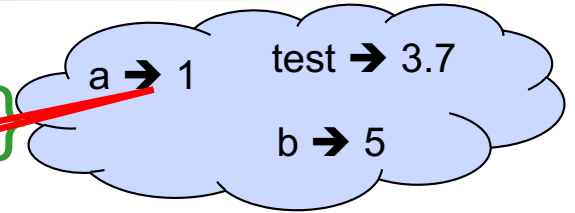
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



# Local let binding

```
//  $\rho_5 = \rho_3 = \{ \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{ b \rightarrow 2 \} + \rho_3$ 
```

```
//  $= \{ b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1 \}$ 
```

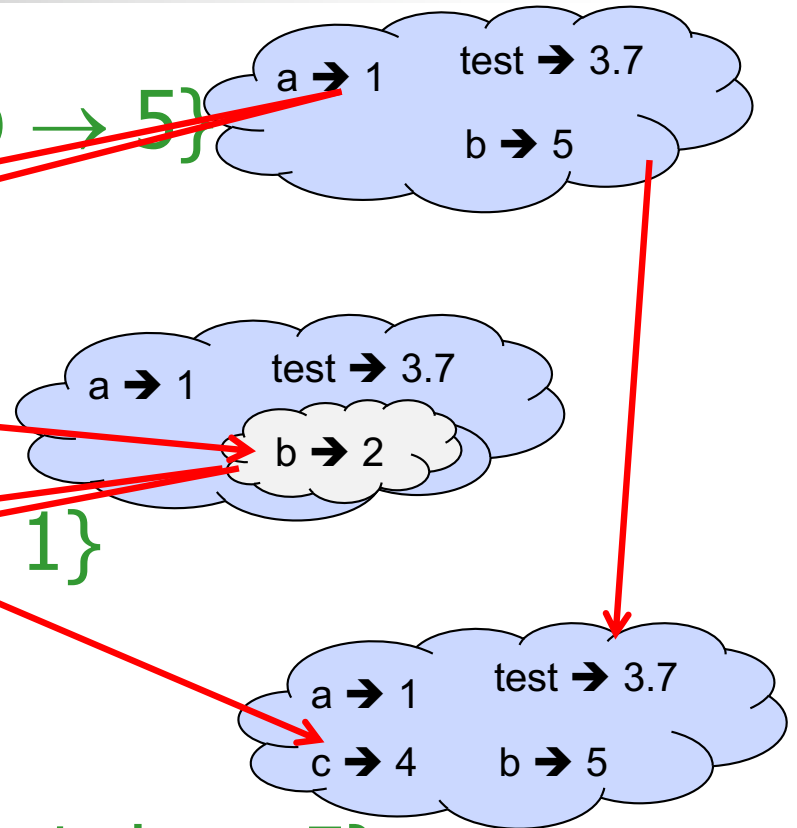
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{ c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# b;;
```

```
- : int = 5
```





# Functions

---

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>  
# plus_two 17;;  
- : int = 19
```



# Functions

---

```
let plus_two n = n + 2;;
```

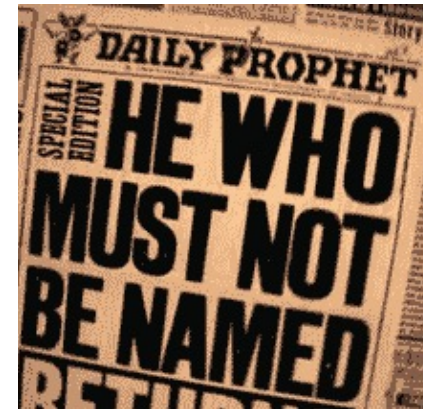
```
plus_two 17;;
```

```
- : int = 19
```

# Nameless Functions (aka Lambda Terms)

```
fun n -> n + 2;;
```

```
(fun n -> n + 2) 17;;  
- : int = 19
```





# Functions

---

```
# let plus_two n = n + 2;;
```

```
val plus_two : int -> int = <fun>
```

```
# plus_two 17;;
```

```
- : int = 19
```

```
# let plus_two = fun n -> n + 2;;
```

```
val plus_two : int -> int = <fun>
```

```
# plus_two 14;;
```

```
- : int = 16
```

First definition syntactic sugar for second

# Using a nameless function

```
# (fun x -> x * 3) 5;; (* An application *)
```

```
- : int = 15
```

```
# ((fun y -> y +. 2.0), (fun z -> z * 3));;  
(* As data *)
```

```
- : (float -> float) * (int -> int) = (<fun>,  
<fun>)
```

Note: in `fun v -> exp(v)`, scope of variable is only the body `exp(v)`



# Values fixed at declaration time

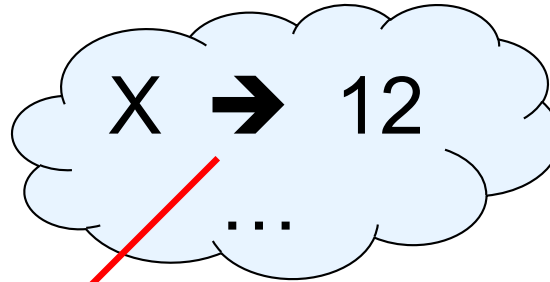
```
# let x = 12;;
```

```
val x : int = 12
```

```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```



What is the result?



# Values fixed at declaration time

---

```
# let x = 12;;
```

```
val x : int = 12
```

```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```

```
- : int = 15
```



# Values fixed at declaration time

---

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```

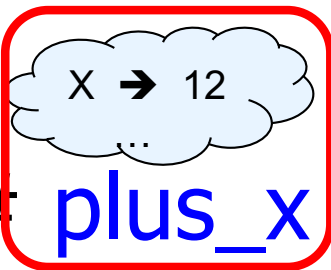
```
# plus_x 3;;
```

What is the result this time?

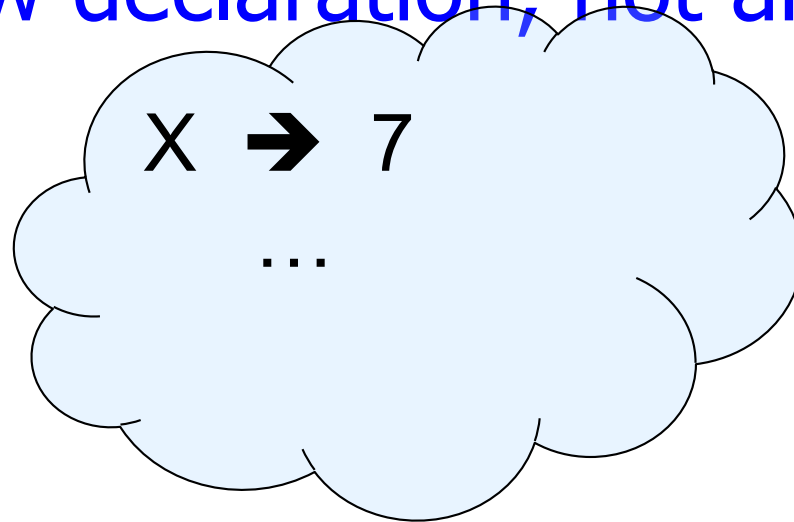
# Values fixed at declaration time

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```



```
# plus_x 3;;
```



What is the result this time?



# Values fixed at declaration time

---

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```

```
# plus_x 3;;
```

```
- : int = 15
```



# Question

---

- Observation: Functions are first-class values in this language
- Question: What value does the environment record for a function variable?
- Answer: a closure



# Save the Environment!

---

- A *closure* is a pair of an environment and an association of a formal parameter (the input variables)\* with an expression (the function body), written:

$$f \rightarrow \langle (v_1, \dots, v_n) \rightarrow \text{exp}, \rho_f \rangle$$

- Where  $\rho_f$  is the environment in effect when  $f$  is defined (if  $f$  is a simple function)
- \* Will come back to the “formal parameter”

# Closure for plus\_x

- When plus\_x was defined, had environment:

$$\rho_{\text{plus\_x}} = \{\dots, x \rightarrow 12, \dots\}$$

- Recall: `let plus_x y = y + x`

is really `let plus_x = fun y -> y + x`

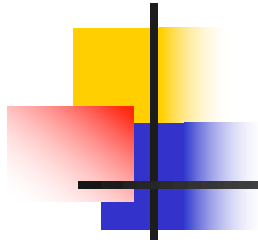
- Closure for `fun y -> y + x`:

$$\langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle$$

- Environment just after plus\_x defined:

$$\{\text{plus\_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus\_x}} \rangle\} + \rho_{\text{plus\_x}}$$





Now it's your turn

You should be able to  
complete ACT1

125 minutes