

Class 24 – 4/22

Proving properties of imperative programs –  
“Hoare logic”

- Judgments, a.k.a. “Hoare formulas”
- Axioms
- Rules of inference

## “Invariants” in programming

**Invariants** are relationships among the variables of a program that always hold.

- Within a class, invariants represent consistency among fields, e.g. “the **count** field is always the same as the number of non-zero entries in the **values** array,” or “if the **visited** bit of this node is set, and this node is not the entry point of the graph, then at least one predecessor’s **visited** bit is set.”

## “Invariants” in programming

- In a loop, invariants represent relationships that hold *no matter how often the body of the loop is executed.*

```
x = x0; y = 1;  
while ( x > 0 )  
    {y := y*x; x := x-1;}
```

```
a = a0; b = 0;  
while ( a != [] ) { b = b + hd a;  
                  a = tl a; }
```

## Hoare logic

- Hoare logic, introduced by C.A.R. Hoare, is an effort to formalize the proof of correctness of *imperative* programs.
- It is a proof system in which properties of programs are proved from properties of their component parts.
- It includes a formalization of the notion of *loop invariant*, which forms the hard part of most proofs.

## Correctness of imperative programs

- “Hoare formula” says that if the variables in a program satisfy some properties, then after executing a given program, they satisfy some different properties.
- Examples:

$$x > 0 \{ \text{while} ( x > 0 ) \\ \{ y := y * x; x := x - 1; \} \} y = y * x!$$
$$x = x_0 \ \& \ y = y_0 \{ t := x; x := y; y := t \}$$
$$x = y_0 \ \& \ y = x_0$$

**true** { if (  $x < 0$  )  $x := -x$ ; }  **$x = |x|$**

**true** {  $n := \text{length}(a)$ ;  $b := [\text{hd } a]$ ;  
     $a := \text{tl } a$ ;  
    while (  $a \neq []$  ) {  
         $b = (\text{hd } a + \text{hd } b) :: b$ ;  
         $a = \text{tl } a$ ; }  
}

**$b_i = \sum_{k=0}^{n-i-1} a_k$**  (where  $b_i = \text{hd } (\text{tl}^i b)$ ,  
and similarly for  $a_k$ )

## Inference rules of Hoare logic

Judgments:  $P \{S\} Q$

$P, Q$  assertions about variables in the program

$S$  a statement in this language:

$\text{Stmt} \rightarrow \text{Var} := \text{Expr} \mid \text{Stmt}; \text{Stmt}$   
|  $\text{if} (\text{Expr}) \text{ then } \text{Stmt} \text{ else } \text{Stmt}$   
|  $\text{while} (\text{Expr}) \text{ Stmt}$

# Inference rules of Hoare logic

$$\frac{}{P[e/x] \{x := e\} P}$$

$$\frac{P \ \& \ b \ \{S\} \ P}{P \ \{while \ (b) \ S\} \ P \ \& \ \neg b}$$

$$\frac{P \ \{S_1\} \ Q \quad Q \ \{S_2\} \ R}{P \ \{S_1; S_2\} \ R}$$

$$\frac{P \Rightarrow P' \quad P' \ \{S\} \ Q' \quad Q' \Rightarrow Q}{P \ \{S\} \ Q}$$

$$\frac{P \ \& \ b \ \{S_1\} \ Q \quad P \ \& \ \neg b \ \{S_2\} \ Q}{P \ \{if \ (b) \ then \ S_1 \ else \ S_2\} \ Q}$$



# Rule of assignment

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$$P[e/x] \{x := e\} P$$

## Examples

$$y=2 \{ x:=y \} x=2$$

$$y=2 \{ x:=2 \} y=x$$

$$x+1=n+1 \{ x:=x+1 \} x=n+1$$

$$x+1=n \{ x:=x+1 \} x=n$$

$$\text{true} \{ x:=2 \} x=2$$

# Rule of consequence

$$\frac{P \Rightarrow P' \quad P' \{S\} Q' \quad Q' \Rightarrow Q}{P \{S\} Q}$$

# Sequence rule

$$P \{S_1\} Q \quad Q \{S_2\} R$$

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$$P \{S_1; S_2\} R$$

## If rule

$$\frac{P \ \& \ b \ \{S_1\} \ Q \quad P \ \& \ \neg b \ \{S_2\} \ Q}{P \ \{\text{if } (b) \text{ then } S_1 \text{ else } S_2\} \ Q}$$

## While rule

$$\frac{P \ \& \ b \ \{S\} \ P}{P \ \{\text{while } (b) \ S\} \ P \ \& \ \neg b}$$

## Comments on Hoare logic

- Proofs in Hoare logic are *almost* syntax-directed, i.e. almost have the same shape as the program being proved. The only exceptions are the uses of the rule of consequence.
- Applying Hoare rules is largely mechanical – given A and Q, most of the proof (including P) can be generated automatically. Creativity is required mainly in determining the invariant in a while loop, because Q may not have the form “ $P \ \& \ \neg b$ ”, and so a formula of that form needs to be found (after which the rule of consequence can be used, proving  $P \ \& \ \neg b \Rightarrow Q$ ).

## Example: gcd algorithm

```
 $a > 0 \ \& \ b > 0 \ \& \ a=a_0 \ \& \ b=b_0$  {  
  while ( $a \neq b$ )  
    if ( $a > b$ ) then  $a := a - b$ ;  
    else  $b := b - a$ ;  
}  $a = \text{gcd}(a_0, b_0)$ 
```