

CS421 Spring 2008 Final Exam

Tuesday, May 6, 2008

Name:	
NetID:	

- You have **three hours** to complete this exam.
- This is a **closed-book** exam.
- Do not share anything with other students. Do not talk to other students. Do not look at another student's exam. Do not expose your exam to easy viewing by other students. Violation of any of these rules will count as cheating.
- If you believe there is an error, or an ambiguous question, seek clarification from a proctor.
- Including this cover sheet, there are 19 pages to this exam. Please verify that you have all pages.
- Please write your name and NetID in the spaces above, and also at the top of every page.

Question	Possible points	Points earned	Graded by
1	6		
2	5		
3	5		
4	6		
5	6		
6	6		
7	7		
8	6		
9	9		

Question	Possible points	Points earned	Graded by
10	6		
11	8		
12	8		
13	6		
14	8		
15/16	8		
Total	100		
15/16	8		
17	5		
EC total	13		

1. (6 pts.) Define the following OCaml functions. Avoid the use of library functions (including @), except hd and tl.

a. $\text{sum} : \text{int} \rightarrow \text{int}$ such that if $n > 0$, then $\text{sum } n = 1 + \dots + n$, and $\text{sum } n = 0$ otherwise.

b. $\text{zip} : \alpha \text{ list} \rightarrow \beta \text{ list} \rightarrow (\alpha * \beta) \text{ list}$, such that $\text{zip } [a1;a2;\dots] [b1;b2;\dots] = [(a1,b1);(a2,b2);\dots]$ Assume the two lists have the same length.

c. $\text{unzip} : (\alpha * \beta) \text{ list} \rightarrow (\alpha \text{ list} * \beta \text{ list})$, the inverse of zip, i.e. $\text{unzip } [(a1,b1);(a2,b2);\dots] = ([a1;a2;\dots], [b1;b2;\dots])$.

2. (5 pts) Assume the following abstract syntax:

```
type stmt = Assign of string * expr
          | If of expr * stmt * stmt
          | While of expr * stmt
          | Block of stmt list
```

```
and expr = Var of string | Const of int
          | Plus of expr * expr | Less of expr * expr | Not of expr
```

Write a function `trans: stmt → stmt` that makes the following transformations:

- `if (!e) then s1 else s2 ⇒ if (e) then s2 else s1`
- `{ s } ⇒ s` (i.e. a block with a single statement doesn't need to be a block)

These transformations should be performed recursively throughout the term – inside the body of a while, the statements in a block (as well as the block itself), and the true and false branches of an if (as well as the if itself).

3. (5 pts) A string is a sequence of characters within double quotes. Further, it may contain escape sequences; `\n`, `\t`, `\"`, and `\nnn`, where the n's are octal digits (0-7). Write a finite-state machine for strings (including opening and closing quotes). Note that a backslash *must* be followed by n, t, `"`, or three octal digits, or it is an error. The states should be marked with one of the letters S (start state), A (accept state), and E (error state).

4. (6 pts) Given this definition of an abstract syntax for expressions and a "fold" function on expressions:

```
type expr = Int of int | Add of expr * expr

let rec fold (f,g) e = match e with
  Int i   -> f i
  | Add(e1,e2) -> g (fold (f,g) e1, fold (f,g) e2)
```

fill in the blanks in the following OCaml session. (Recall that `string_of_int` is the OCaml function to convert an int to a string.):

```
val e1 = Add(Int 3, Add(Int 4, Int 5))

let evaluate e = fold (_____,
                      _____) e;;

evaluate e1;;
-: int = 12

let prettyprint e = fold
  (_____,
   _____) e;;

prettyprint e1;;
-: string = "(3+(4+5))"

let countadds e = fold
  (_____,
   _____) e;;

countadds e1;;
-: int = 2
```

5. (6 pts) Consider this grammar:

$$\begin{aligned} S &\rightarrow \text{id int} \\ &\quad | \text{id id int} \\ &\quad | D \text{ int} \end{aligned}$$
$$\begin{aligned} D &\rightarrow \varepsilon \\ &\quad | D \$ \end{aligned}$$

This grammar is not ambiguous, but it is not LL(1).

a. Give two reasons why this isn't an LL(1) grammar.

b. Give an LL(1) grammar for this language.

6. (6 pts) Propositional formulas have variables, constants t and f, and operators \wedge (and) and \vee (or):

$$F \rightarrow t \mid f \mid \text{var} \mid F \wedge F \mid F \vee F \mid (F)$$

(a) Give a sentence in this grammar that has two parse trees, and show those trees.

(b) Give a stratified grammar that gives precedence to \wedge over \vee , and gives both left-associativity.

7. (7 pts) Fill in the blanks:

- a) In a language like Java or C++, local variables have space allocated in the _____; primitive values like integers go directly in those locations, but objects, allocated using "new", go in the _____.
- b) Immediate execution of a program, without translation to a more primitive language, is called _____.
- c) Translation of a program into a more primitive language is _____.
- d) A chunk of data giving the return address and arguments of a function call, created at the time of the call, is the _____.
- e) An example compiled language is _____.
- f) An example interpreted language is _____.

8. (7 pts) This question concerns the translation of programs to a 3-address intermediate representation. Recall that we defined the following translation schemes in class:

[S] = instructions to compute statement S

[e]_{tlab,flab} = instructions to calculate boolean-valued expression e and jump to tlab if it is true, flab otherwise. (This is called the "short-circuit evaluation" scheme.)

Some languages have multi-level break statements: **break n** breaks out of *n* levels of while statements. (For purposes of this question, ignore switch statements.) In such a language, we need a translation scheme of the form:

[S]_{BL}, where BL is a list of labels, b_1, \dots, b_n . This is the translation of S, given that it is within *n* while statements, and labels b_1, \dots, b_n are the labels of the instructions that follow those containing statements, from innermost to outermost. E.g., "break 1" in S should jump to b_1 , a "break 2" should jump to b_2 , etc.

For this translation scheme, we can give these translations:

[while (e) S]_{BL} = let wlab, tlab, flab = new labels in
 wlab: [e]_{tlab,flab}
 tlab: [S]_{flab::BL} (where flab::BL is BL with flab added at the front)
 JUMP wlab
 flab:

[break n]_{BL} = JUMP b_n

Such languages also have multi-level continue, where **continue n** terminates the current iteration of the *n*th enclosing while loop and goes on to the next iteration; **continue** is equivalent to **continue 1**. This requires a translation scheme like this:

[S]_{BL,CL}, where BL is a list of labels b_1, b_2, \dots, b_n and CL is a list of labels c_1, c_2, \dots, c_n . This is the translation of S to intermediate form, given that it is within *n* while statements, labels b_1, b_2, \dots, b_n are the labels of the instructions that follow those containing statements, from innermost to outermost, and c_1, c_2, \dots, c_n are the labels beginning the next iteration of those containing loops. That is, a "break 1" in S should jump to b_1 , and a "continue 1" should jump to c_1 , etc.

Give the new translations for **while**, **break n**, and **continue n**:

[while (e) S]_{BL,CL} =

[break n]_{BL,CL} =

[continue n]_{BL,CL} =

9. (9 pts) For this question, recall the definition of `fold_right`:

```
let rec fold_right f lis accu =  
  match lis with  
  | [] -> accu  
  | h::t -> f h (fold_right f t accu)
```

Write the following OCaml functions:

(a) Write `map` using `fold_right` (not using explicit recursion). (The definition of `map` is given in problem 15.)

(b) `repeat: int → (α → α) → α → α`, where `repeat n f` produces a function that applies f n times.

(c) `graph_fun: (α → β) → α list → (α * β) list`, where `graph_fun f [x1; x2; ...; xn] = [(x1, f x1); (x2, f x2); ...]`

10. (6 pts) Give the environment after each of the following OCaml definitions. Assume the execution starts with the environment \emptyset . We've named each environment, and you can use these names in subsequent environments; we've also filled in the first line.

let x = 4;;

$\rho_0: \{x \rightarrow 4\}$

let f y = fun z -> x + y + z;;

$\rho_1:$

let x = 8;;

$\rho_2:$

let g = f 6;;

$\rho_3:$

let x = g x;;

$\rho_4:$

11. (8 pts) The expression

$$e = (\text{fun } x \rightarrow \text{let } f = \text{fun } y \rightarrow x+y \text{ in } f \ 4)5$$

evaluates to 9 in an empty environment. We have given part of the derivation tree for the judgment $\emptyset, e \Downarrow 9$, in the environment-based dynamic semantics. The rules of this system are given at the end of this exam. **Complete the derivation by filling in the dashed blank lines.** (For space reasons, the proof is broken into sections.)

Define: $e' = \text{fun } x \rightarrow \text{let } f = \text{fun } y \rightarrow x+y \text{ in } f \ 4$ (so $e = e' \ 5$)
 $e'' = \text{let } f = \text{fun } y \rightarrow x+y \text{ in } f \ 4$
 $e''' = \text{fun } y \rightarrow x+y$

$$\frac{\frac{\frac{\text{----- (A)}}{\emptyset, e' \Downarrow \text{-----}} \quad \emptyset, 5 \Downarrow 5 \quad \{x \rightarrow 5\}, e'' \Downarrow 9}{\emptyset, e \Downarrow 9}}$$

$$\text{(A)} \quad \frac{\frac{\text{----- (B)}}{\{x \rightarrow 5\}, e''' \Downarrow \text{-----}} \quad \rho, f \ 4 \Downarrow 9}{\{x \rightarrow 5\}, e'' \Downarrow 9}$$

where $\rho = \text{-----}$

$$\text{(B)} \quad \frac{\frac{\frac{\text{-----}}{\rho, f \Downarrow \text{-----}} \quad \rho, 4 \Downarrow 4 \quad \frac{\frac{\rho', x \Downarrow 5}{\text{-----}} \quad \frac{\rho', y \Downarrow 4}{\text{-----}}}{\rho', x+y \Downarrow 9}}{\rho, f \ 4 \Downarrow 9}}$$

where $\rho' = \text{-----}$

12. (8 pts) As you know, the expression

$$\text{let } x = e_1 \text{ and } y = e_2 \text{ in } e$$

evaluates e_1 and e_2 in the same environment (that is, e_2 is not in the scope of x). Suppose we had a different let expression:

$$\text{let } x = e_1 \text{ then } y = e_2 \text{ in } e$$

in which e_2 was in the scope of x . That is, "let $x=3$ then $y=x+4$ in y " would yield 7.

a. Give a dynamic semantics rule for this expression:

$$\rho, \text{let } x = e_1 \text{ then } y = e_2 \text{ in } e \Downarrow v$$

b. Give a type rule for this expression (in the non-polymorphic type system):

$$\Gamma \vdash \text{let } x = e_1 \text{ then } y = e_2 \text{ in } e : \tau$$

The dynamic semantics and type-checking rules are given at the end of this exam.

13. (6 pts) Consider these definitions in OCaml:

```
let newCounter () =
  let cnt = ref 0
  in (fun n -> cnt := n,
      fun () -> (cnt := !cnt + 1; !cnt))
let reset n (a,b) = a n
let next (a,b) = b ()
```

Recall that the type of () is unit, and the value of an assignment $e_1 := e_2$ is unit.

a. Give the types of newCounter, reset, and next:

type counter = _____

newCounter: unit → counter

reset: _____

next: _____

b. Fill in the blanks in this OCaml session, giving the value returned by the prior expression:

```
let c1 = newCounter();;
next c1;;
```

next c1;;

```
let c2 = c1;;
reset 10 c2;;
next c1;;
```

Do either 15 or 16 (your choice). You may do the other for extra credit.

15. (8 pts) Given these definitions of compose and map:

```
let compose f g = fun x -> f (g x)
let map f x = if x=[] then [] else f (hd x) :: map f (tl x)
```

prove that

$\text{map } f \text{ (map } g \text{ x)} = \text{map (compose } f \text{ g) x}$, for all x .

We provide part of the proof, and you are to complete it.

Proof By induction on the length of x .

Base case: $x = []$: $\text{map } f \text{ (map } g \text{ [])} = \text{map } f \text{ []} = [] = \text{map (compose } f \text{ g) []}$

Inductive case: Assume $\text{map } f \text{ (map } g \text{ x)} = \text{map (compose } f \text{ g) x}$, and prove $\text{map } f \text{ (map } g \text{ (a::x))} = \text{map (compose } f \text{ g) (a::x)}$.

$\text{map } f \text{ (map } g \text{ (a::x))}$

$= \text{if map } g \text{ (a::x) = []}$ *(def of map f)*
 then []
 $\text{else } f \text{ (hd (map } g \text{ (a::x)))} :: \text{map } f \text{ (tl (map } g \text{ (a::x)))}$

$= \text{if (g a :: map } g \text{ x) = []}$ *(def of map g)*
 then []
 $\text{else } f \text{ (hd (map } g \text{ (a::x)))} :: \text{map } f \text{ (tl (map } g \text{ (a::x)))}$

(complete this proof; make sure to justify each step)

16. (8 pts) The following code is similar to the "partition" portion of quicksort:

```

i = 0; j = n-1;
while (i < j) {
  if (a[i] <= x)
    i = i+1;
  else if (a[j] > x)
    j = j-1;
  else {
    temp = a[i]
    a[i] = a[j]
    a[j] = temp
    i = i+1
    j = j-1
  }
}

```

The correctness formula for this statement is:

$$\text{true} \{ \mathbf{i=0; j=n-1; while \dots} \} \exists k. (0 \leq k \leq n-1$$

$$\wedge (\forall m. 0 \leq m < k \Rightarrow a[m] \leq x)$$

$$\wedge (\forall m. k < m < n \Rightarrow a[m] > x))$$

(a) Give the loop invariant for the loop.

(b) Give a well-founded ordering on the variables that proves the termination of the loop.

17. (**Extra credit**, 5 pts) Suppose the following C++ class and function were defined:

```
class FunObj {
public:
    virtual int apply (int) = 0;
}

void map (FunObj* f, int* a, int n) {
    for (int i=0; i<=n; i++)
        a[i] = f->apply(a[i]);
}
```

a. Define classes `decreobj` and `sqroobj` as subclasses of `FunObj` so that `map (new decreobj(), a, n)` decrements each element of `a`, and `map (new sqroobj(), a, n)` squares each element of `a`.

b. Define a subclass `compose` of `FunObj`

```
class Compose : public FunObj {

public:
    Compose (                ) {

    }

    int apply (                ) {

    }

}
```

that composes function objects, so that, for example, `map(new Compose(new sqroobj(), new decreobj()), a, n)` changes every element `a[i]` to $(a[i]-1)^2$.

Dynamic semantics

$$\frac{}{\rho, n \Downarrow n} \text{ (constant rule)}$$

$$\frac{}{\rho, x \Downarrow \rho(x)} \text{ (variable rule)}$$

$$\frac{}{\rho, \text{fun } x \rightarrow e \Downarrow \langle x, e, \rho \rangle} \text{ (function rule)}$$

$$\frac{\rho, e_1 \Downarrow \langle x, e, \rho' \rangle \quad \rho, e_2 \Downarrow v' \quad \rho'[x \rightarrow v'], e \Downarrow v}{\rho, e_1 e_2 \Downarrow v} \text{ (app rule)}$$

$$\frac{\rho, e_1 \Downarrow n_1 \quad \rho, e_2 \Downarrow n_2}{\rho, e_1 + e_2 \Downarrow n_1 + n_2} \text{ (plus rule)}$$

$$\frac{\rho, e \Downarrow v' \quad \rho[x \rightarrow v'], e' \Downarrow v}{\rho, \text{let } x = e \text{ in } e' \Downarrow v} \text{ (let rule)}$$

Non-polymorphic type system

$$\frac{}{\Gamma \vdash n : \text{int}} \text{ (constant rule)}$$

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \text{ (variable rule)}$$

$$\frac{\Gamma[x : \tau] \vdash e : \tau'}{\Gamma \vdash \text{fun } x \rightarrow e : \tau \rightarrow \tau'} \text{ (function rule)}$$

$$\frac{\Gamma \vdash e : \tau \rightarrow \tau' \quad \Gamma \vdash e' : \tau}{\Gamma \vdash e e' : \tau'} \text{ (app rule)}$$

$$\frac{\Gamma \vdash e : \tau' \quad \Gamma[x : \tau'] \vdash e' : \tau}{\Gamma \vdash \text{let } x = e \text{ in } e' : \tau} \text{ (let rule)}$$