

## Programming Languages and Compilers (CS 421)

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## Example : test.mll

```
{ type result = Int of int | Float of float |
  String of string }
let digit = ['0'-'9']
let digits = digit +
let lower_case = ['a'-'z']
let upper_case = ['A'-'Z']
let letter = upper_case | lower_case
let letters = letter +
```

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## Example : test.mll

```
rule main = parse
  (digits)'.'digits as f { Float (float_of_string f) }
  | digits as n          { Int (int_of_string n) }
  | letters as s         { String s}
  | _ { main lexbuf }
{ let newlexbuf = (Lexing.from_channel stdin) in
  print_newline ();
  main newlexbuf }
```

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## Example

```
# #use "test.mll";
...
val main : Lexing.lexbuf -> result = <fun>
val __ocaml_lex_main_rec : Lexing.lexbuf -> int ->
  result = <fun>
hi there 234 5.2
- : result = String "hi"
```

What happened to the rest?!?

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## Example

```
# let b = Lexing.from_channel stdin;;
# main b;;
hi 673 there
- : result = String "hi"
# main b;;
- : result = Int 673
# main b;;
- : result = String "there"
```

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## Problem

- How to get lexer to look at more than the first token at one time?
  - Generally you DON'T want this
- Answer: *action* has to tell it to -- recursive calls
- Side Benefit: can add "state" into lexing
- Note: already used this with the `_` case

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## Example

```
rule main = parse
  (digits) '.' digits as f { Float
    (float_of_string f) :: main lexbuf }
  | digits as n           { Int (int_of_string n) ::
    main lexbuf }
  | letters as s         { String s :: main
    lexbuf }
  | eof                  { [] }
  | _                    { main lexbuf }
```

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## Example Results

hi there 234 5.2

- : result list = [String "hi"; String "there"; Int  
234; Float 5.2]

#

Used Ctrl-d to send the end-of-file signal

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## Dealing with comments

### First Attempt

```
let open_comment = "("
let close_comment = ")"
rule main = parse
  (digits) '.' digits as f { Float (float_of_string
    f) :: main lexbuf }
  | digits as n           { Int (int_of_string n) ::
    main lexbuf }
  | letters as s         { String s :: main lexbuf }
```

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## Dealing with comments

```
| open_comment      { comment lexbuf }
| eof               { [] }
| _ { main lexbuf }
and comment = parse
  close_comment     { main lexbuf }
  | _               { comment lexbuf }
```

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## Dealing with nested comments

```
rule main = parse ...
  | open_comment     { comment 1 lexbuf }
  | eof              { [] }
  | _ { main lexbuf }
and comment depth = parse
  open_comment      { comment (depth+1) lexbuf }
  | close_comment   { if depth = 1
    then main lexbuf
    else comment (depth - 1) lexbuf }
  | _               { comment depth lexbuf }
```

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## Dealing with nested comments

```
rule main = parse
  (digits) '.' digits as f { Float (float_of_string f) ::
    main lexbuf }
  | digits as n           { Int (int_of_string n) :: main
    lexbuf }
  | letters as s         { String s :: main lexbuf }
  | open_comment         { (comment 1 lexbuf) }
  | eof                  { [] }
  | _ { main lexbuf }
```

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## Dealing with nested comments

and comment depth = parse

```
open_comment    { comment (depth+1) lexbuf
}
| close_comment { if depth = 1
                  then main lexbuf
                  else comment (depth - 1) lexbuf }
| _             { comment depth lexbuf }
```

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## Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata
  
- Whole family more of grammars and automata – covered in automata theory

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## Sample Grammar

- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

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## BNF Grammars

- Start with a set of characters, **a,b,c,...**
  - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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## BNF Grammars

- BNF rules (aka *productions*) have form  $X ::= y$   
where **X** is any nonterminal and **y** is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

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## Sample Grammar

- Terminals: 0 1 + ( )
- Nonterminals:  $\langle \text{Sum} \rangle$
- Start symbol =  $\langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as  
 $\langle \text{Sum} \rangle ::= 0 \mid 1$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

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## BNF Derivations

- Given rules

$X ::= yZW$  and  $Z ::= v$

we may replace  $Z$  by  $v$  to say

$X \Rightarrow yZW \Rightarrow yvW$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

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## BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

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## BNF Derivations

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

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## BNF Derivations

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$

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## BNF Derivations

- Pick a rule and substitute:

$\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a rule and substitute:
    - $\langle \text{Sum} \rangle ::= ( \langle \text{Sum} \rangle )$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:
    - $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:
    - $\langle \text{Sum} \rangle ::= 1$
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:
- $$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$
- $$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$

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## BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$

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## BNF Derivations

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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## BNF Derivations

- $( 0 + 1 ) + 0$  is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( \langle \text{Sum} \rangle )$

$\langle \text{Sum} \rangle \Rightarrow$

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## Regular Grammars

- Subclass of BNF
- Only rules of form  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

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## Example

- Regular grammar:
  - $\langle \text{Balanced} \rangle ::= \epsilon$
  - $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$
  - $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$
  - $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$
  - $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

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## Extended BNF Grammars

- Alternatives: allow rules of form  $X ::= y/z$ 
  - Abbreviates  $X ::= y, X ::= z$
- Options:  $X ::= y[v]$ 
  - Abbreviates  $X ::= yvz, X ::= yz$
- Repetition:  $X ::= y\{v\}^*z$ 
  - Can be eliminated by adding new nonterminal  $V$  and rules  $X ::= yz, X ::= yVz, V ::= v, V ::= Vv$

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## Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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## Example

- Consider grammar:
  - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$ 
    - $\langle \text{factor} \rangle + \langle \text{factor} \rangle$
  - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$ 
    - $\langle \text{bin} \rangle * \langle \text{exp} \rangle$
  - $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for  $1 * 1 + 0$  as an  $\langle \text{exp} \rangle$

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## Example cont.

- $1 * 1 + 0: \langle \text{exp} \rangle$

$\langle \text{exp} \rangle$  is the start symbol for this parse tree

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## Example cont.

- $1 * 1 + 0: \langle \text{exp} \rangle$ 
  - $\langle \text{factor} \rangle$

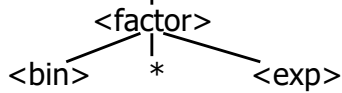
Use rule:  $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

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### Example cont.

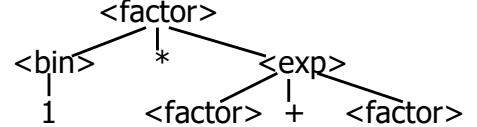
1 \* 1 + 0: <exp>



Use rule: <factor> ::= <bin> \* <exp>

### Example cont.

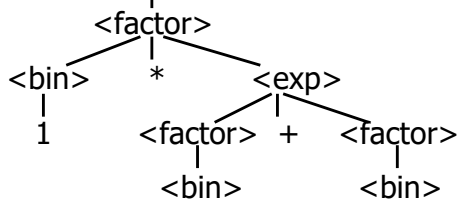
1 \* 1 + 0: <exp>



Use rules: <bin> ::= 1 and  
<exp> ::= <factor> + <factor>

### Example cont.

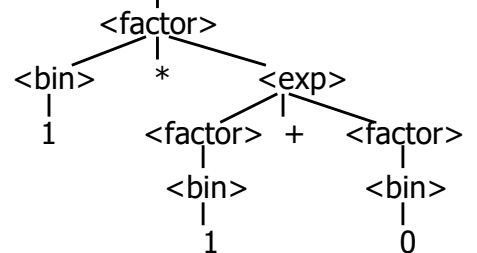
1 \* 1 + 0: <exp>



Use rule: <factor> ::= <bin>

### Example cont.

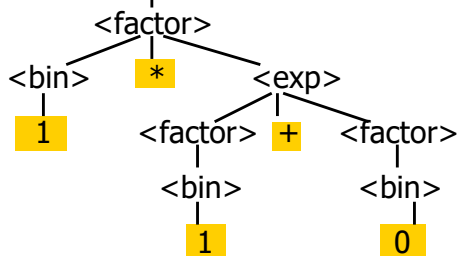
1 \* 1 + 0: <exp>



Use rules: <bin> ::= 1 | 0

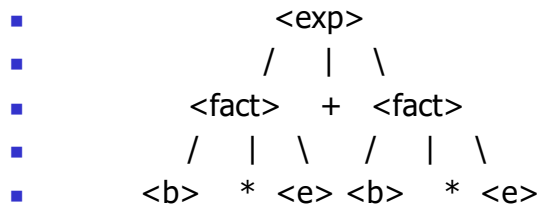
### Example cont.

1 \* 1 + 0: <exp>



Fringe of tree is string generated by grammar

### Your Turn: 1 \* 0 + 0 \* 1





## Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

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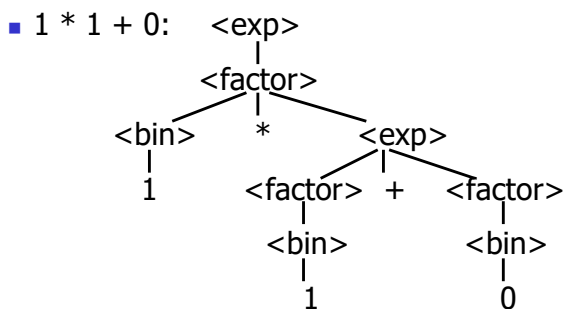
## Example

- Recall grammar:
  - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
  - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
  - $\langle \text{bin} \rangle ::= 0 \mid 1$
- type `exp = Factor2Exp of factor`  
 | `Plus of factor * factor`  
 and `factor = Bin2Factor of bin`  
 | `Mult of bin * exp`  
 and `bin = Zero | One`

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## Example cont.



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## Example cont.

- Can be represented as

```

Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
    
```

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## Ambiguous Grammars and Languages

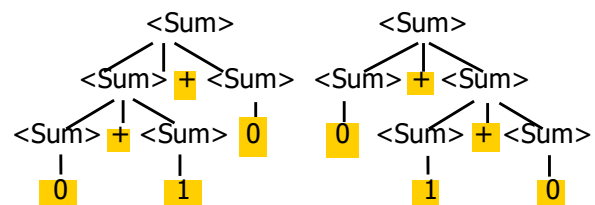
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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## Example: Ambiguous Grammar

- 0 + 1 + 0



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## Example

- What is the result for:

$$3 + 4 * 5 + 6$$

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## Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$

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## Example

- What is the value of:

$$7 - 5 - 2$$

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## Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:

- In Pascal, C++, SML assoc. left  
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
- In APL, associate to right  
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

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## Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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## Disambiguating a Grammar

- Given ambiguous grammar  $G$ , with start symbol  $S$ , find a grammar  $G'$  with same start symbol, such that  
language of  $G =$  language of  $G'$
- Not always possible
- No algorithm in general

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## Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

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## Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- **Characterize each non-terminal by a language invariant**
- Replace old rules to use new non-terminals
- Rinse and repeat

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## Example

- Ambiguous grammar:  
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$   
 $\quad \quad \quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- String with more than one parse:  
 $0 + 1 + 0$   
 $1 * 1 + 1$
- Source of ambiguity: associativity and precedence

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## Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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## How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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## Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\quad \quad \quad \mid (\langle \text{Sum} \rangle)$
- Becomes
  - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
  - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle + \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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## Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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## Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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## First Example Again

- In any above language,  $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

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## Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:  
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes  
 $\langle \text{exp} \rangle ::= \langle \text{mult\_exp} \rangle \mid \langle \text{exp} \rangle + \langle \text{mult\_exp} \rangle$   
 $\langle \text{mult\_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult\_exp} \rangle * \langle \text{id} \rangle$   
 $\langle \text{id} \rangle ::= 0 \mid 1$

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## Parser Code

- $\langle \text{grammar} \rangle$ .mly defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

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## Ocamlyacc Input

- File format:  
 $\% \{$   
     $\langle \text{header} \rangle$   
 $\% \}$   
     $\langle \text{declarations} \rangle$   
 $\% \%$   
     $\langle \text{rules} \rangle$   
 $\% \%$   
     $\langle \text{trailer} \rangle$

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## Ocamlyacc <header>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- <footer> similar. Possibly used to call parser

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## Ocamlyacc <declarations>

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token** <type> *symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type <type>
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in <grammar>.ml

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## Ocamlyacc <declarations>

- **%type** <type> *symbol ... symbol*  
Specify type of attributes for given symbols. Mandatory for start symbols
- **%left** *symbol ... symbol*
- **%right** *symbol ... symbol*
- **%nonassoc** *symbol ... symbol*  
Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

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## Ocamlyacc <rules>

- *nonterminal* :  
*symbol ... symbol { semantic\_action }*  
| ...  
| *symbol ... symbol { semantic\_action }*  
;
- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for *nonterminal*
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

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## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

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## Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_")* as id {Id_token id}
  | [' ' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

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## Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

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## Example - Parser (exprparse.mly)

```
expr:
  term
  { Term_as_Expr $1 }
| term Plus_token expr
  { Plus_Expr ($1, $3) }
| term Minus_token expr
  { Minus_Expr ($1, $3) }
```

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## Example - Parser (exprparse.mly)

```
term:
  factor
  { Factor_as_Term $1 }
| factor Times_token term
  { Mult_Term ($1, $3) }
| factor Divide_token term
  { Div_Term ($1, $3) }
```

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## Example - Parser (exprparse.mly)

```
factor:
  Id_token
  { Id_as_Factor $1 }
| Left_parenthesis expr Right_parenthesis
  { Parenthesized_Expr_as_Factor $2 }
main:
  | expr EOL
  { $1 }
```

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## Example - Using Parser

```
# #use "expr.ml";;
...
# #use "exprparse.ml";;
...
# #use "exprlex.ml";;
...
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
  main token lexbuf;;
```

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## Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))
```

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## LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$   
 $\Rightarrow \langle \text{Sum} \rangle + 0$  reduce  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle) + 0$  reduce  
 $= (\langle \text{Sum} \rangle) + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + 0$  reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$  reduce  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$  reduce  
 $\Rightarrow \langle \text{Sum} \rangle + 0$  reduce  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle) + 0$  reduce  
 $= (\langle \text{Sum} \rangle) + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + 0$  reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$  reduce  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$  reduce  
 $\Rightarrow \langle \text{Sum} \rangle + 0$  reduce  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $= \langle \text{Sum} \rangle + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle) + 0$  reduce  
 $= (\langle \text{Sum} \rangle) + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + 0$  reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$  reduce  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$  shift  
 $\Rightarrow (0 + 1) + 0$  reduce  
 $= (0 + 1) + 0$  shift  
 $= (0 + 1) + 0$  shift

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Example

( 0 + 1 ) + 0

↑

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Example

( 0 + 1 ) + 0

↑

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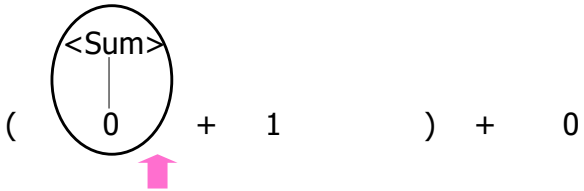
Example

( 0 + 1 ) + 0

↑

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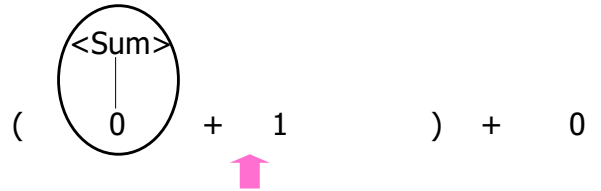
Example



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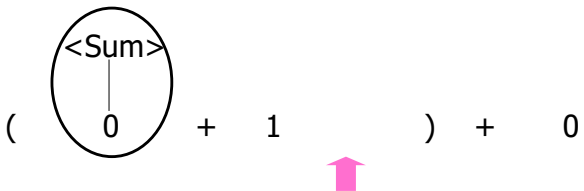
Example



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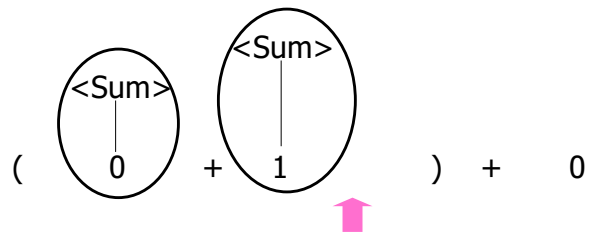
Example



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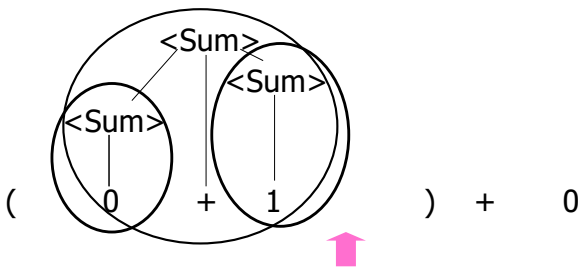
Example



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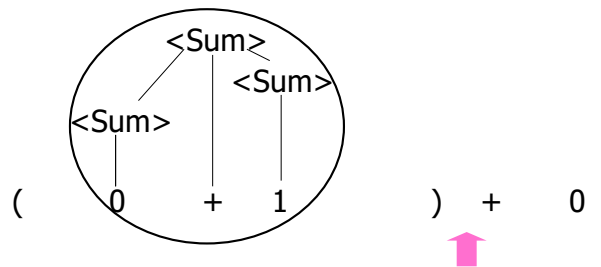
Example



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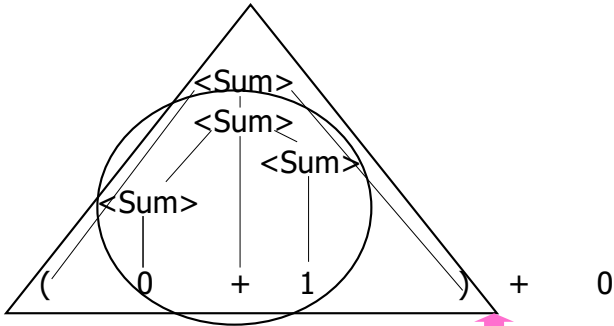
Example



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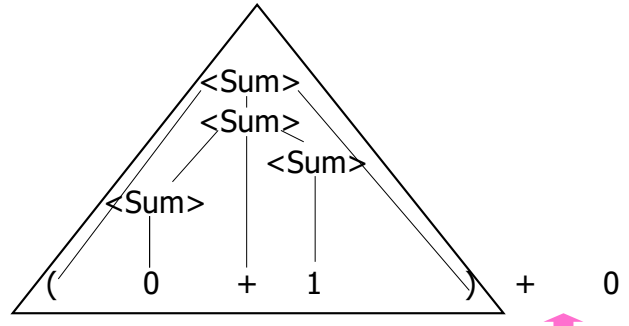
### Example



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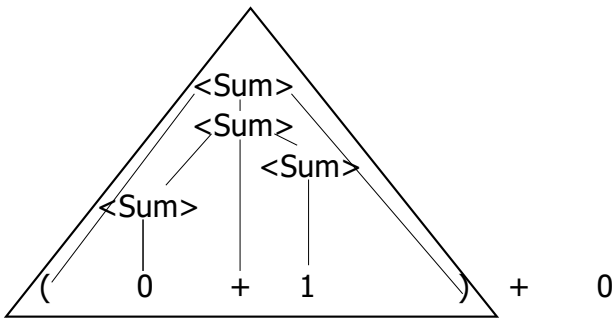
### Example



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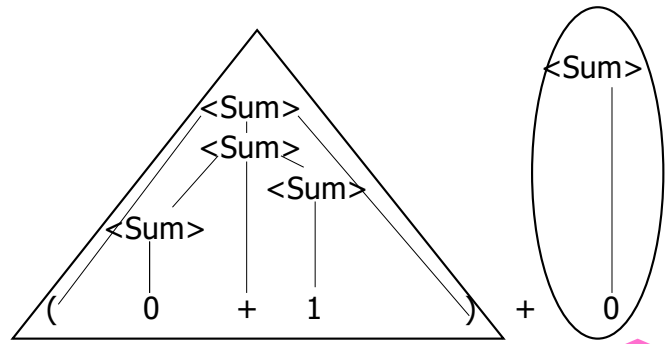
### Example



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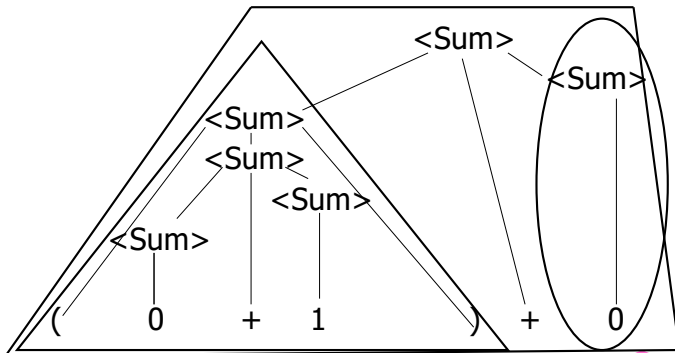
### Example



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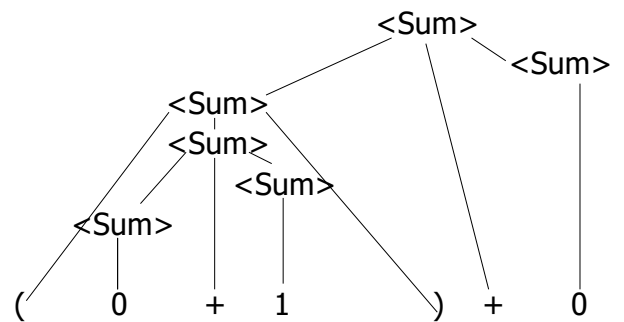
### Example



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### Example



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## LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
  - This is the hardest part, we omit here
  - Rows labeled by states
  - For Action, columns labeled by terminals and “end-of-tokens” marker
    - (more generally strings of terminals of fixed length)
  - For Goto, columns labeled by non-terminals

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## Action and Goto Tables

- Given a state and the next input, Action table says either
  - **shift** and go to state  $n$ , or
  - **reduce** by production  $k$  (explained in a bit)
  - **accept** or **error**
- Given a state and a non-terminal, Goto table says
  - go to state  $m$

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## LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

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## LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next  $i$  tokens from token stream ( $toks$ ) (don't remove yet)
4. If top symbol on stack is **state**( $n$ ), look up action in Action table at ( $n, toks$ )

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## LR(i) Parsing Algorithm

5. If action = **shift**  $m$ ,
  - a) Remove the top token from token stream and push it onto the stack
  - b) Push **state**( $m$ ) onto stack
  - c) Go to step 3

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## LR(i) Parsing Algorithm

6. If action = **reduce**  $k$  where production  $k$  is  $E ::= u$ 
  - a) Remove  $2 * \text{length}(u)$  symbols from stack ( $u$  and all the interleaved states)
  - b) If new top symbol on stack is **state**( $m$ ), look up new state  $p$  in  $\text{Goto}(m, E)$
  - c) Push  $E$  onto the stack, then push **state**( $p$ ) onto the stack
  - d) Go to step 3

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## LR(i) Parsing Algorithm

7. If action = **accept**
  - Stop parsing, return success
8. If action = **error**,
  - Stop parsing, return failure

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## Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
  - gather the recorded attributes from each non-terminal popped from stack
  - Compute new attribute for non-terminal pushed onto stack

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## Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
           $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

    ● 0 + 1 + 0            shift  
-> 0 ● + 1 + 0            reduce  
-> <Sum> ● + 1 + 0        shift  
-> <Sum> + ● 1 + 0        shift  
-> <Sum> + 1 ● + 0        reduce  
-> <Sum> + <Sum> ● + 0

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## Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

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## Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

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## Example

■  $S ::= A \mid aB$      $A ::= abc$      $B ::= bc$

● abc            shift

a ● bc           shift

ab ● c           shift

abc ●

■ Problem: reduce by  $B ::= bc$  then by  $S ::= aB$ , or by  $A ::= abc$  then  $S ::= A$ ?