

Programming Languages and Compilers (CS 421)



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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



Programming Languages & Compilers

Three Main Topics of the Course

I

New
Programming
Paradigm

II

Language
Translation

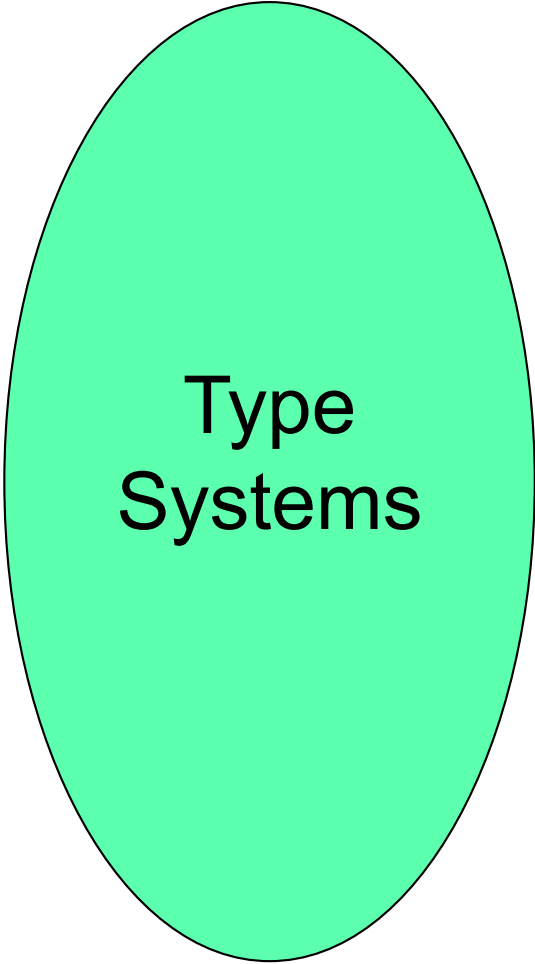
III

Language
Semantics



Programming Languages & Compilers

II : Language Translation



Type
Systems

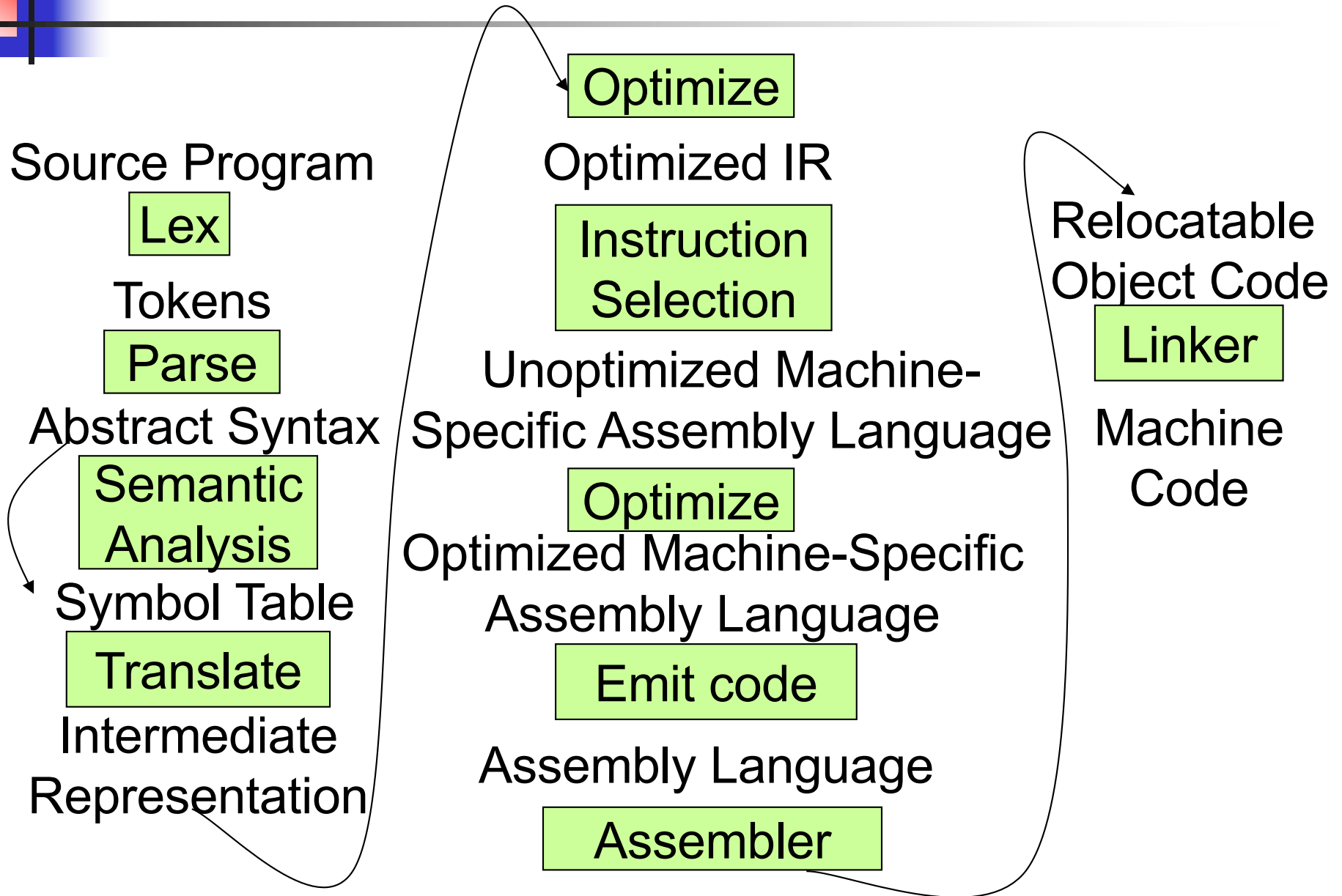


Lexing and
Parsing



Interpretation

Major Phases of a Compiler





Where We Are Going Next?

- We want to turn strings (code) into computer instructions
- Done in phases
- Turn strings into abstract syntax trees (parse)
- Translate abstract syntax trees into executable instructions (interpret or compile)



Meta-discourse

- Language Syntax and Semantics
- Syntax
 - Regular Expressions, DFSA's and NDFSA's
 - Grammars
- Semantics
 - Natural Semantics
 - Transition Semantics



Language Syntax

- Syntax is the description of which strings of symbols are meaningful expressions in a language
- It takes more than syntax to understand a language; need meaning (semantics) too
- Syntax is the entry point



Syntax of English Language

- Pattern 1

Subject	Verb
<i>David</i>	<i>sings</i>
<i>The dog</i>	<i>barked</i>
<i>Susan</i>	<i>yawned</i>

- Pattern 2

Subject	Verb	Direct Object
<i>David</i>	<i>sings</i>	<i>ballads</i>
<i>The professor</i>	<i>wants</i>	<i>to retire</i>
<i>The jury</i>	<i>found</i>	<i>the defendant guilty</i>



Elements of Syntax

- Character set – previously always ASCII, now often 64 character sets
- Keywords – usually reserved
- Special constants – cannot be assigned to
- Identifiers – can be assigned to
- Operator symbols
- Delimiters (parenthesis, braces, brackets)
- Blanks (aka white space)



Elements of Syntax

- Expressions

if ... then begin ... ; ... end else begin ... ; ... end

- Type expressions

typexpr₁ -> typexpr₂

- Declarations (in functional languages)

let *pattern* = *expr*

- Statements (in imperative languages)

a = b + c

- Subprograms

let *pattern₁* = *expr₁* in *expr*



Elements of Syntax

- Modules
- Interfaces
- Classes (for object-oriented languages)



Lexing and Parsing

- Converting strings to abstract syntax trees done in two phases
 - **Lexing:** Converting string (or streams of characters) into lists (or streams) of tokens (the “words” of the language)
 - Specification Technique: Regular Expressions
 - **Parsing:** Convert a list of tokens into an abstract syntax tree
 - Specification Technique: BNF Grammars



Formal Language Descriptions

- Regular expressions, regular grammars, finite state automata
- Context-free grammars, BNF grammars, syntax diagrams
- Whole family more of grammars and automata – covered in automata theory



Grammars

- Grammars are formal descriptions of which strings over a given character set are in a particular language
- Language designers write grammar
- Language implementers use grammar to know what programs to accept
- Language users use grammar to know how to write legitimate programs



Regular Expressions - Review

- Start with a given character set – **a, b, c...**
- $L(\epsilon) = \{ \epsilon \}$
- Each character is a regular expression
 - It represents the set of one string containing just that character
 - $L(a) = \{a\}$



Regular Expressions

- If **x** and **y** are regular expressions, then **xy** is a regular expression
 - It represents the set of all strings made from first a string described by **x** then a string described by **y**

If $L(x) = \{a, ab\}$ and $L(y) = \{c, d\}$
then $L(xy) = \{ac, ad, abc, abd\}$



Regular Expressions

- If **x** and **y** are regular expressions, then **$x \vee y$** is a regular expression
 - It represents the set of strings described by either **x** or **y**
 - If $L(x) = \{a, ab\}$ and $L(y) = \{c, d\}$
then $L(x \vee y) = \{a, ab, c, d\}$



Regular Expressions

- If x is a regular expression, then so is (x)
 - It represents the same thing as x
 - If x is a regular expression, then so is x^*
 - It represents strings made from concatenating zero or more strings from x
- If $L(x) = \{a, ab\}$ then $L(x^*) = \{\epsilon, a, ab, aa, aab, abab, \dots\}$
- ϵ
 - It represents $\{\epsilon\}$, set containing the empty string
 - \emptyset
 - It represents $\{\}$, the empty set



Example Regular Expressions

- **$(0 \vee 1)^* 1$**
 - The set of all strings of **0**'s and **1**'s ending in 1, **$\{1, 01, 11, \dots\}$**
- **$a^* b (a^*)$**
 - The set of all strings of a's and b's with exactly one b
- **$((01) \vee (10))^*$**
 - You tell me
- Regular expressions (equivalently, regular grammars) important for lexing, breaking strings into recognized words



Right Regular Grammars

- Subclass of BNF (covered in detail sool)
- Only rules of form
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$ or
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$ or
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)
- Close connection to nondeterministic finite state automata – nonterminals \cong states; rule \cong edge



Example

- Right regular grammar:

$\langle \text{Balanced} \rangle ::= \varepsilon$

$\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$

$\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$

$\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$

$\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$

- Generates even length strings where every initial substring of even length has same number of 0's as 1's



Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams

- Finite state automata
- Pushdown automata
- Whole family more of grammars and automata – covered in automata theory



BNF Grammars

- Start with a set of characters, **a,b,c,...**
 - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
 - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*



Sample Grammar

- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$



BNF Grammars

- BNF rules (aka *productions*) have form

$$\mathbf{X} ::= y$$

where \mathbf{X} is any nonterminal and y is a string of terminals and nonterminals

- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule



Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: $\langle \text{Sum} \rangle$
- Start symbol = $\langle \text{Sum} \rangle$

- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
$$\langle \text{Sum} \rangle ::= 0 \mid 1$$
$$\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$$



BNF Derivations

- Given rules

$$\mathbf{X} ::= y\mathbf{Z}w \text{ and } \mathbf{Z} ::= v$$

we may replace \mathbf{Z} by v to say

$$\mathbf{X} \Rightarrow y\mathbf{Z}w \Rightarrow yvw$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal



BNF Derivations

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$



BNF Derivations

- Pick a non-terminal

<Sum> =>

BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$



BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$



BNF Derivations

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle & \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ & \Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle\end{aligned}$$



BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$



BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 1$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$$



BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$



BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 0$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$$



BNF Derivations

- Pick a non-terminal:

$$\begin{aligned}\langle \text{Sum} \rangle & \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ & \Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ & \Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ & \Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ & \Rightarrow (\langle \text{Sum} \rangle + 1) + 0\end{aligned}$$



BNF Derivations

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$$

$$\Rightarrow (\langle \text{Sum} \rangle + 1) 0$$

$$\Rightarrow (0 + 1) + 0$$



BNF Derivations

- $(0 + 1) + 0$ is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$
 $\Rightarrow (0 + 1) + 0$



BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$



Implementing Regular Expressions

- Regular expressions reasonable way to generate strings in language
- Not so good for recognizing when a string is in language
- Problems with Regular Expressions
 - which option to choose,
 - how many repetitions to make
- Answer: finite state automata
- Should have seen in CS374



Example: Lexing

- Regular expressions good for describing lexemes (words) in a programming language
 - Identifier = $(a \vee b \vee \dots \vee z \vee A \vee B \vee \dots \vee Z) (a \vee b \vee \dots \vee z \vee A \vee B \vee \dots \vee Z \vee 0 \vee 1 \vee \dots \vee 9)^*$
 - Digit = $(0 \vee 1 \vee \dots \vee 9)$
 - Number = $0 \vee (1 \vee \dots \vee 9)(0 \vee \dots \vee 9)^* \vee \sim (1 \vee \dots \vee 9)(0 \vee \dots \vee 9)^*$
 - Keywords: if = if, while = while,...



Lexing

- Different syntactic categories of “words”:
tokens

Example:

- Convert sequence of characters into
sequence of strings, integers, and floating
point numbers.
- "asd 123 jkl 3.14" will become:
[String "asd"; Int 123; String "jkl"; Float
3.14]



Lex, ocamllex

- Could write the reg exp, then translate to DFA by hand
 - A lot of work
- Better: Write program to take reg exp as input and automatically generates automata
- Lex is such a program
- ocamllex version for ocaml



How to do it

- To use regular expressions to parse our input we need:
 - Some way to identify the input string — call it a lexing buffer
 - Set of regular expressions,
 - Corresponding set of actions to take when they are matched.



How to do it

- The lexer will take the regular expressions and generate a state machine.
- The state machine will take our lexing buffer and apply the transitions...
- If we reach an accepting state from which we can go no further, the machine will perform the appropriate action.



Mechanics

- Put table of reg exp and corresponding actions (written in ocaml) into a file *<filename>.ml*
- Call

```
ocamllex <filename>.ml
```
- Produces Ocaml code for a lexical analyzer in file *<filename>.ml*



Sample Input

```
rule main = parse
  ['0'-'9']+ { print_string "Int\n"}
  | ['0'-'9']+ '.' ['0'-'9']+ { print_string "Float\n"}
  | ['a'-'z']+ { print_string "String\n"}
  | _ { main lexbuf }
{
  let newlexbuf = (Lexing.from_channel stdin) in
  main newlexbuf
}
```



General Input

{ *header* }

let *ident* = *regexp* ...

rule *entrypoint* [*arg1*... *argn*] = parse
 regexp { *action* }

| ...

| *regexp* { *action* }

and *entrypoint* [*arg1*... *argn*] = parse ...and

...

{ *trailer* }



Ocamllex Input

- *header* and *trailer* contain arbitrary ocaml code put at top and bottom of *<filename>.ml*
- *let ident = regexp ...* Introduces *ident* for use in later regular expressions



Ocamlex Input

- *<filename>.ml* contains one lexing function per *entrypoint*
 - Name of function is name given for *entrypoint*
 - Each entry point becomes an Ocaml function that takes $n+1$ arguments, the extra implicit last argument being of type `Lexing.lexbuf`
- *arg1... argn* are for use in *action*



Ocamllex Regular Expression

- Single quoted characters for letters:
 - **'a'**
- **_**: (underscore) matches any letter
- **Eof**: special “end_of_file” marker
- Concatenation same as usual
- **“string”**: concatenation of sequence of characters
- **e_1 / e_2** : choice - what was **$e_1 \vee e_2$**



Ocamlex Regular Expression

- $[c_1 - c_2]$: choice of any character between first and second inclusive, as determined by character codes
- $[^c_1 - c_2]$: choice of any character NOT in set
- e^* : same as before
- $e+$: same as $e e^*$
- $e?$: option - was $e \vee \varepsilon$



Ocamllex Regular Expression

- $e_1 \# e_2$: the characters in e_1 but not in e_2 ; e_1 and e_2 must describe just sets of characters
- *ident*: abbreviation for earlier reg exp in `let ident = regexp`
- e_1 as *id*: binds the result of e_1 to *id* to be used in the associated *action*



Ocamllex Manual

- More details can be found at

<http://caml.inria.fr/pub/docs/manual-ocaml/lex yacc.html>



Example : test.ml

```
{ type result = Int of int | Float of float |  
  String of string }
```

```
let digit = ['0'-'9']
```

```
let digits = digit +
```

```
let lower_case = ['a'-'z']
```

```
let upper_case = ['A'-'Z']
```

```
let letter = upper_case | lower_case
```

```
let letters = letter +
```



Example : test.ml

```
rule main = parse
```

```
  (digits)'. 'digits as f { Float (float_of_string f) }
```

```
  | digits as n           { Int (int_of_string n) }
```

```
  | letters as s         { String s }
```

```
  | _ { main lexbuf }
```

```
{ let newlexbuf = (Lexing.from_channel stdin) in
```

```
  print_newline ();
```

```
  main newlexbuf }
```



Example

```
# #use "test.ml";;
```

```
...
```

```
val main : Lexing.lexbuf -> result = <fun>
```

```
val __ocaml_lex_main_rec : Lexing.lexbuf -> int ->  
  result = <fun>
```

```
hi there 234 5.2
```

```
- : result = String "hi"
```

What happened to the rest?!?



Example

```
# let b = Lexing.from_channel stdin;;
```

```
# main b;;
```

```
hi 673 there
```

```
- : result = String "hi"
```

```
# main b;;
```

```
- : result = Int 673
```

```
# main b;;
```

```
- : result = String "there"
```



Your Turn

- Work on ML5□□
 - Add a few keywords
 - Implement booleans and unit
 - Implement Ints and Floats
 - Implement identifiers



Problem

- How to get lexer to look at more than the first token at one time?
- Answer: *action* has to tell it to -- recursive calls
- Side Benefit: can add “state” into lexing
- Note: already used this with the `_` case



Example

rule main = parse

(digits) '.' digits as f { Float

(float_of_string f) :: main lexbuf }

| digits as n { Int (int_of_string n) ::
main lexbuf }

| letters as s { String s :: main
lexbuf }

| eof { [] }

| _ { main lexbuf }



Example Results

hi there 234 5.2

- : result list = [String "hi"; String "there"; Int 234; Float 5.2]

#

Used Ctrl-d to send the end-of-file signal



Dealing with comments

First Attempt

```
let open_comment = "("*
```

```
let close_comment = "*"
```

```
rule main = parse
```

```
  (digits) '.' digits as f { Float (float_of_string  
f) :: main lexbuf }
```

```
| digits as n           { Int (int_of_string n) ::  
main lexbuf }
```

```
| letters as s          { String s :: main lexbuf }
```



Dealing with comments

| **open_comment** { comment lexbuf }

| eof { [] }

| _ { main lexbuf }

and comment = parse

close_comment { main lexbuf }

| _ { comment lexbuf }



Dealing with nested comments

```
rule main = parse ...
| open_comment      { comment 1 lexbuf }
| eof               { [] }
| _ { main lexbuf }
and comment depth = parse
  open_comment      { comment (depth+1) lexbuf }
  }
| close_comment     { if depth = 1
                      then main lexbuf
                      else comment (depth - 1) lexbuf }
| _                 { comment depth lexbuf }
```



Dealing with nested comments

rule main = parse

(digits) '.' digits as f { Float (float_of_string f) ::
main lexbuf }

| digits as n { Int (int_of_string n) :: main
lexbuf }

| letters as s { String s :: main lexbuf }

| open_comment { (comment 1 lexbuf) }

| eof { [] }

| _ { main lexbuf }



Dealing with nested comments

and comment depth = parse

```
open_comment      { comment (depth+1) lexbuf  
}
```

```
| close_comment   { if depth = 1  
                    then main lexbuf  
                    else comment (depth - 1) lexbuf }
```

```
| _               { comment depth lexbuf }
```