

Programming Languages and Compilers (CS 421)

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<https://courses.engr.illinois.edu/cs421/fa2021>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



Programming Languages & Compilers

Three Main Topics of the Course

I

New
Programming
Paradigm

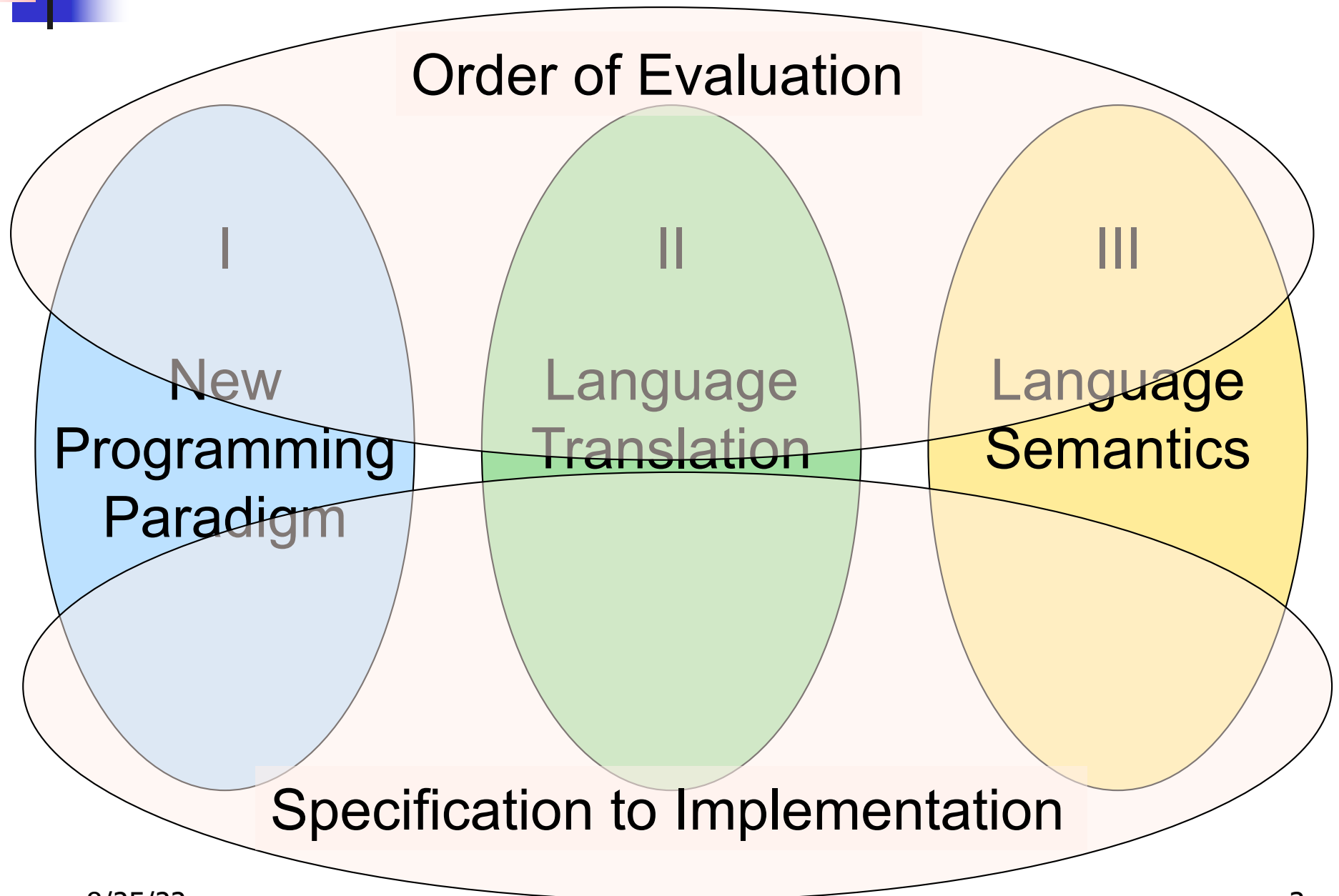
II

Language
Translation

III

Language
Semantics

Programming Languages & Compilers





Programming Languages & Compilers

I : New Programming Paradigm

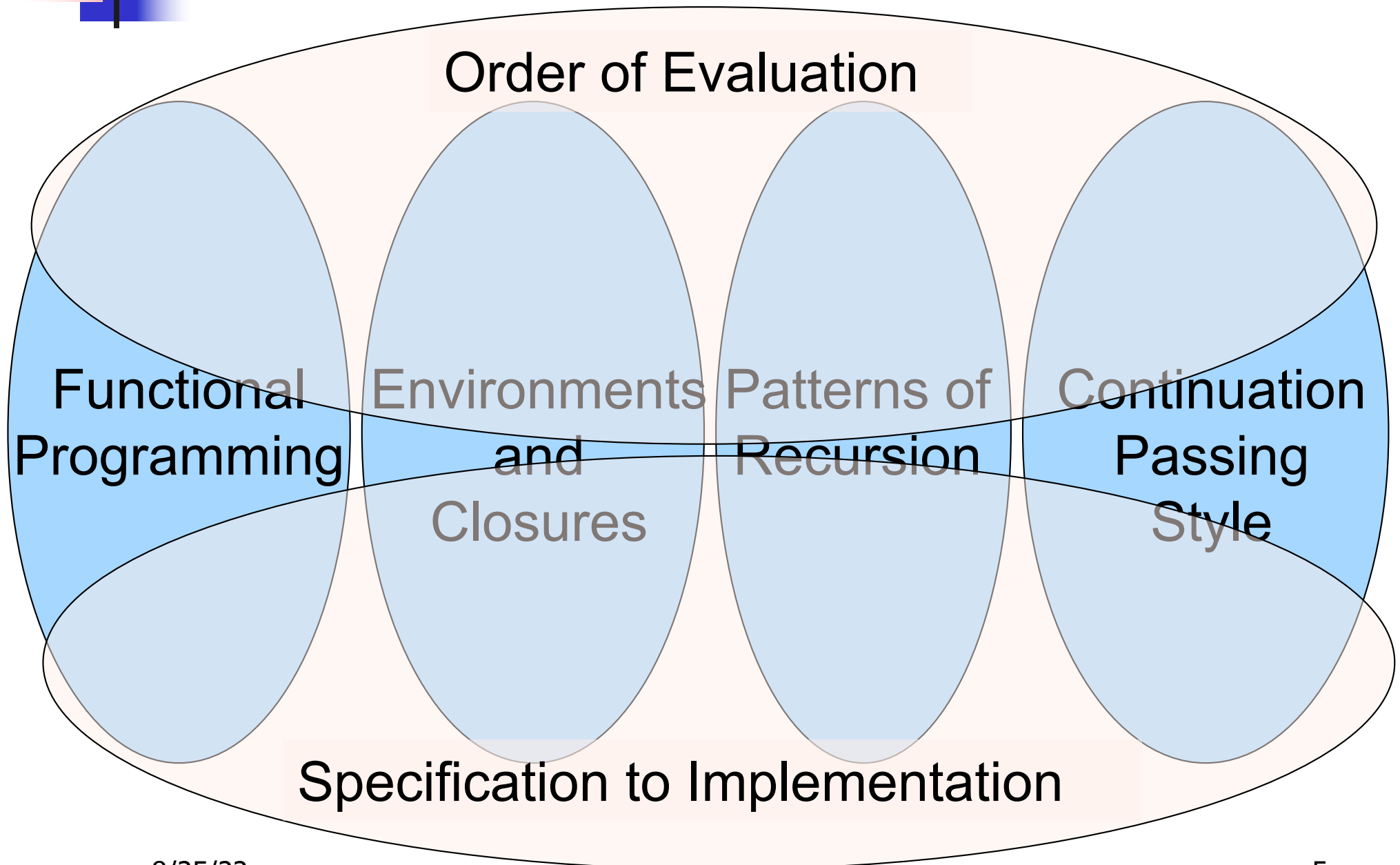
Functional
Programming

Environments
and
Closures

Patterns of
Recursion

Continuation
Passing
Style

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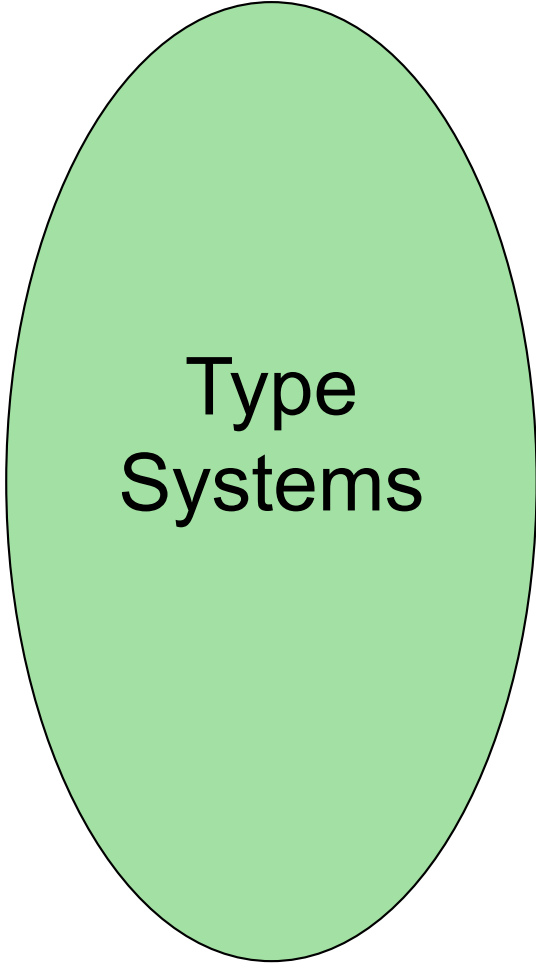


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II : Language Translation



Lexing and
Parsing

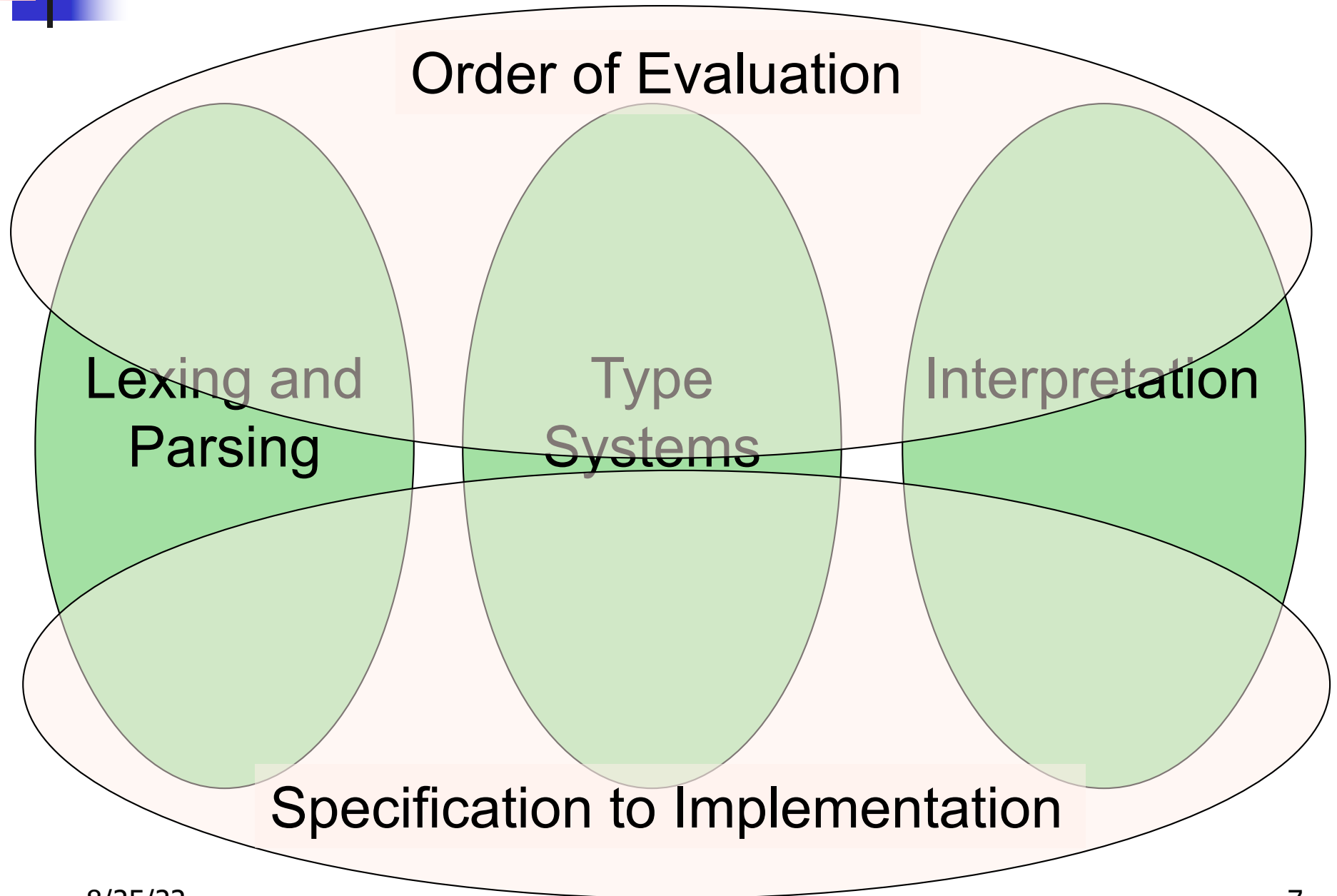


Type
Systems



Interpretation

Programming Languages & Compilers



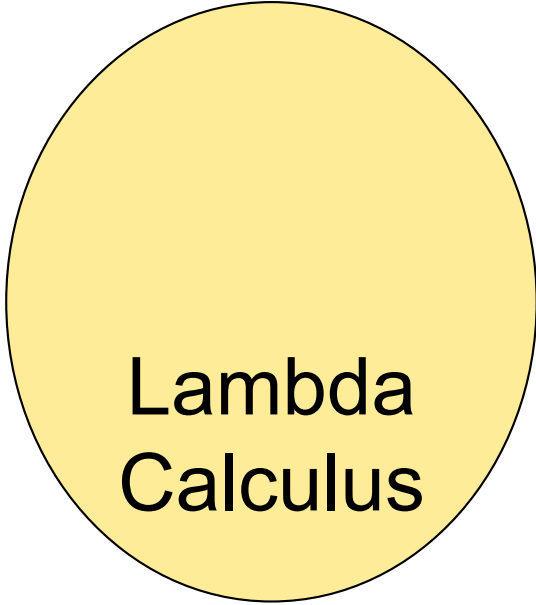


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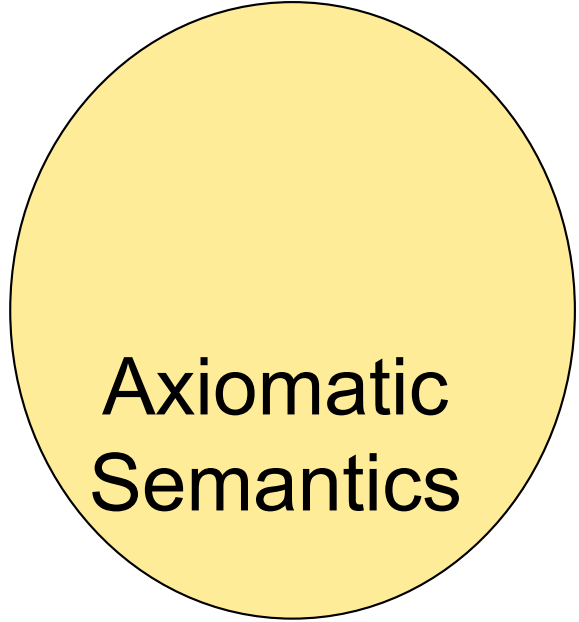
III : Language Semantics



Operational
Semantics

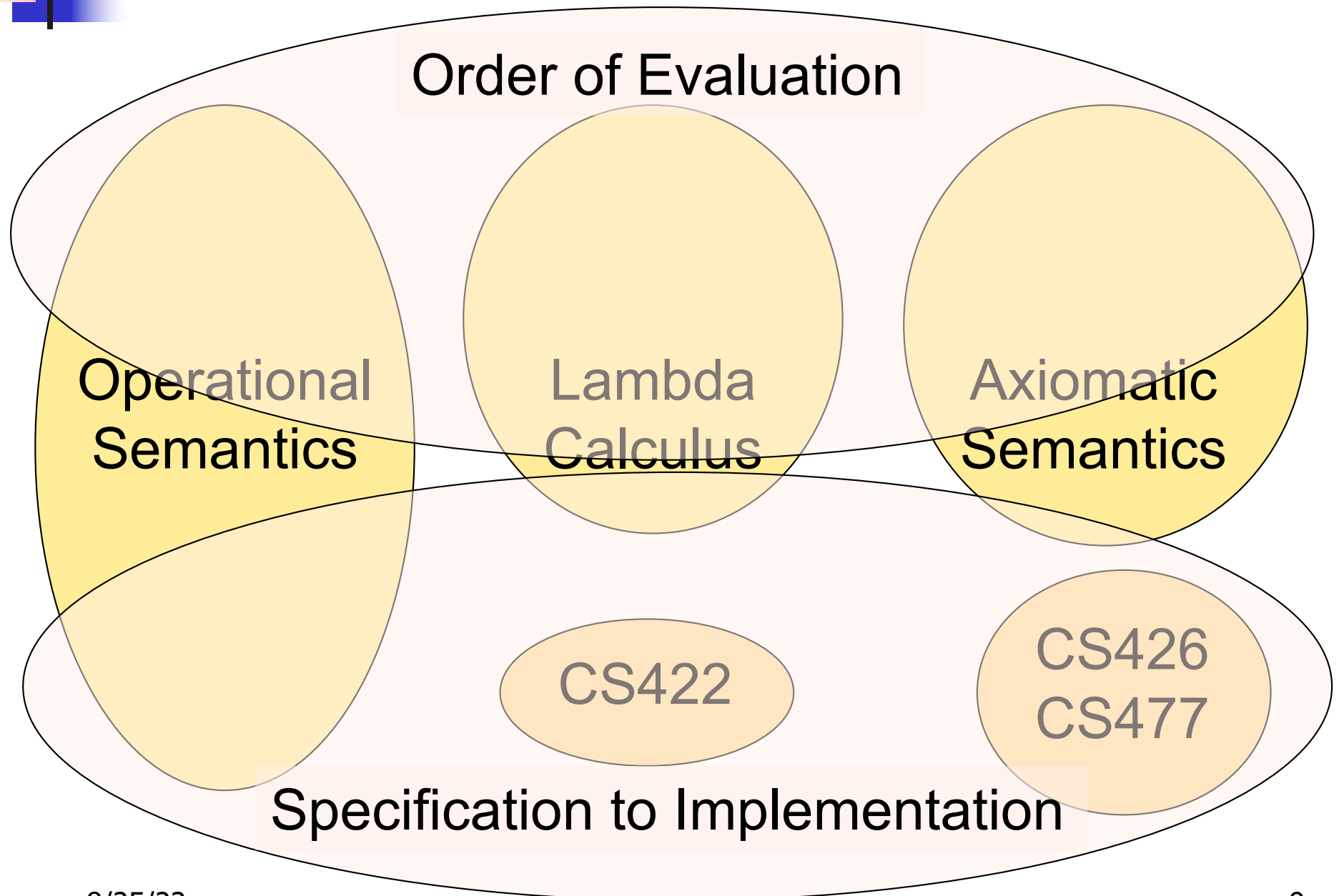


Lambda
Calculus



Axiomatic
Semantics

Programming Languages & Compilers





Contact Information - Elsa L Gunter

- Office: 2112 SC , also Zoom
- Office hours:
 - Thursday 10:30am – 11:20am
 - Thursday 3:45pm – 2:20pm
 - Also by appointment
- Email: egunter@illinois.edu

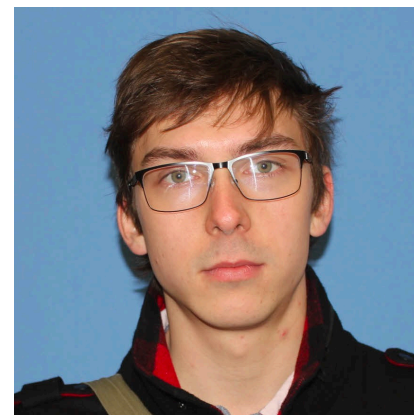
Course TAs



Paul Krogmeier



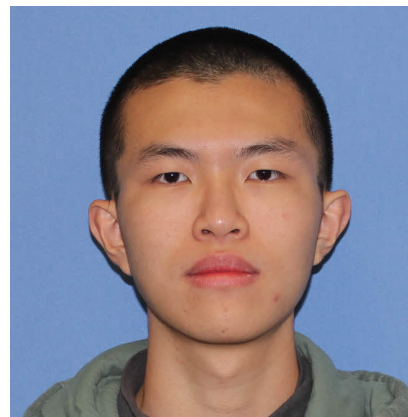
John Lee



Dan Plyukhin



Luhao Wang



Haoqing Zhu

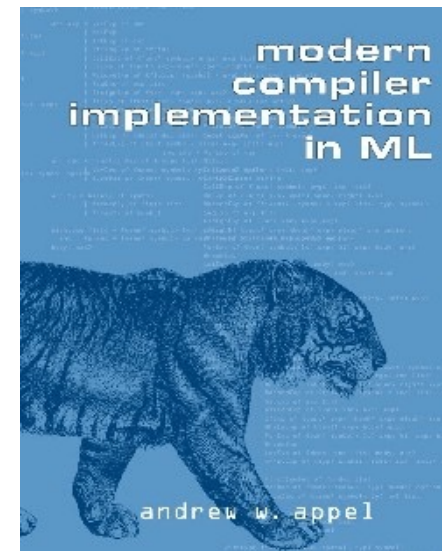
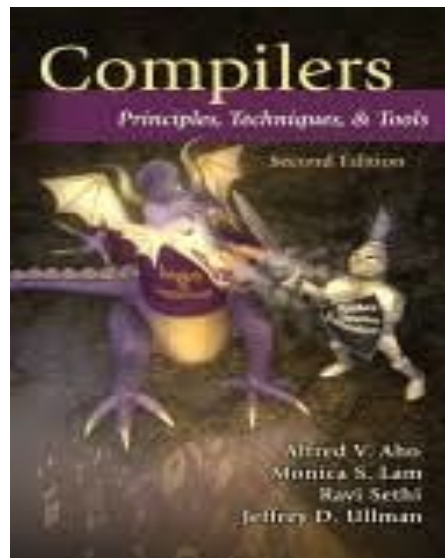
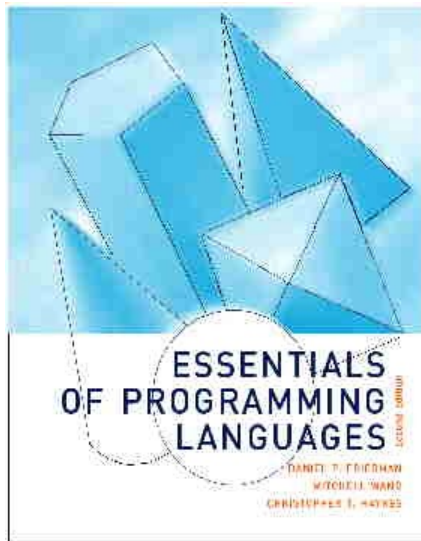


Course Website

- <https://courses.engr.illinois.edu/cs421/fa2022>
- Main page - summary of news items
- Policy - rules governing course
- Lectures - syllabus and slides
- MPs - information about assignments
- Exams
- Unit Projects - for 4 credit students
- Resources - tools and helpful info
- FAQ

Some Course References

- No required textbook
- Some suggested references





Some Course References

- No required textbook.
- Pictures of the books on previous slide
- Essentials of Programming Languages (2nd Edition) by Daniel P. Friedman, Mitchell Wand and Christopher T. Haynes, MIT Press 2001.
- Compilers: Principles, Techniques, and Tools, (also known as "The Dragon Book"); by Aho, Sethi, and Ullman. Published by Addison-Wesley. ISBN: 0-201-10088-6.
- Modern Compiler Implementation in ML by Andrew W. Appel, Cambridge University Press 1998
- Additional ones for Ocaml given separately



Course Grading

- Assignments 10%
 - Web Assignments (WA) (~5%)
 - MPs (in Ocaml) (5~0%)
 - All WAs and MPs Submitted by **PrairieLearn**
 - Late submission penalty: 20% to total



Course Grading

- 2 Midterms - 25% each
 - **Sep 29, Nov 10**
 - **BE AVAILABLE FOR THESE DATES!**
- Final 40%
- Fall back: 7:00pm-10:00pm., Tuesday Dec. 13
- Percentages are approximate



Course Assignments – WA & MP

- You may discuss assignments and their solutions with others
- You may work in groups, but you must **list members with whom you worked** if you share solutions or solution outlines
- **Each student must write up and turn in their own solution separately**
- You may look at examples from class and other similar examples from any source – **cite appropriately**
 - Note: University policy on plagiarism still holds - cite your sources if you are not the sole author of your solution
 - Do not have to cite course notes or me



OCAML

- Locally:

- Will use ocaml inside VSCode inside PrairieLearn problems this semester

- Globally:

- Main CAML home: <http://ocaml.org>
- To install OCAML on your computer see: <http://ocaml.org/docs/install.html>
- To try on the web: <https://try.ocamlpro.com>
- More notes on this later



References for OCaml

- Supplemental texts (not required):
 - The Objective Caml system release 4.05, by Xavier Leroy, online manual
 - Introduction to the Objective Caml Programming Language, by Jason Hickey
 - Developing Applications With Objective Caml, by Emmanuel Chailloux, Pascal Manoury, and Bruno Pagano, on O' Reilly
 - Available online from course resources



Why learn OCAML?

- Many features not clearly in languages you have already learned
- Assumed basis for much research in programming language research
- OCAML is particularly efficient for programming tasks involving languages (eg parsing, compilers, user interfaces)
- Industrially Relevant:
 - Jane Street trades billions of dollars per day using OCaml programs
 - Major language supported at Bloomberg
- Similar languages: Microsoft F#, SML, Haskell, Scala



Session in OCAML

```
% ocaml
```

```
Objective Caml version 4.07.1
```

```
# (* Read-eval-print loop; expressions and  
declarations *)
```

```
2 + 3;; (* Expression *)
```

```
- : int = 5
```

```
# 3 < 2;;
```

```
- : bool = false
```



No Overloading for Basic Arithmetic Operations

```
# 15 * 2;;
```

```
- : int = 30
```

```
# 1.35 + 0.23;; (* Wrong type of addition *)
```

Characters 0-4:

```
1.35 + 0.23;; (* Wrong type of addition *)
```

```
^^^
```

Error: This expression has type float but an
expression was expected of type

int

```
# 1.35 +. 0.23;;
```

```
- : float = 1.58
```



No Implicit Coercion

```
# 1.0 * 2;; (* No Implicit Coercion *)
```

Characters 0-3:

```
1.0 * 2;; (* No Implicit Coercion *)
```

```
^^^
```

Error: This expression has type float but an
expression was expected of type
int



Sequencing Expressions

```
# "Hi there";; (* has type string *)
```

```
- : string = "Hi there"
```

```
# print_string "Hello world\n";; (* has type unit *)
```

```
Hello world
```

```
- : unit = ()
```

```
# (print_string "Bye\n"; 25);; (* Sequence of exp *)
```

```
Bye
```

```
- : int = 25
```




Declarations; Sequencing of Declarations

```
# let x = 2 + 3;; (* declaration *)
```

```
val x : int = 5
```

```
# let test = 3 < 2;;
```

```
val test : bool = false
```

```
# let a = 1 let b = a + 4;; (* Sequence of dec  
*)
```

```
val a : int = 1
```

```
val b : int = 5
```



Booleans (aka Truth Values)

```
# true;;
```

```
- : bool = true
```

```
# false;;
```

```
- : bool = false
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# if b > a then 25 else 0;;
```

```
- : int = 25
```



Booleans and Short-Circuit Evaluation

```
# 3 > 1 && 4 > 6;;
```

```
- : bool = false
```

```
# 3 > 1 || 4 > 6;;
```

```
- : bool = true
```

```
# (print_string "Hi\n"; 3 > 1) || 4 > 6;;
```

```
Hi
```

```
- : bool = true
```

```
# 3 > 1 || (print_string "Bye\n"; 4 > 6);;
```

```
- : bool = true
```

```
# not (4 > 6);;
```

```
- : bool = true
```



Functions

```
# let plus_two n = n + 2;;  
val plus_two : int -> int = <fun>  
# plus_two 17;;  
- : int = 19
```



Functions

```
let plus_two n = n + 2;;
```

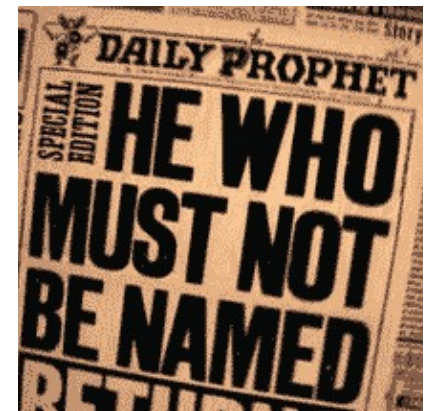
```
plus_two 17;;
```

```
- : int = 19
```

Nameless Functions (aka Lambda Terms)

```
fun n -> n + 2;;
```

```
(fun n -> n + 2) 17;;  
- : int = 19
```





Functions

```
# let plus_two n = n + 2;;
```

```
val plus_two : int -> int = <fun>
```

```
# plus_two 17;;
```

```
- : int = 19
```

```
# let plus_two = fun n -> n + 2;;
```

```
val plus_two : int -> int = <fun>
```

```
# plus_two 14;;
```

```
- : int = 16
```

First definition syntactic sugar for second



Functions with more than one argument

```
# let add_three x y z = x + y + z;;
```

```
val add_three : int -> int -> int -> int = <fun>
```

```
# let t = add_three 6 3 2;;
```

```
val t : int = 11
```

```
# let add_three =
```

```
  fun x -> (fun y -> (fun z -> x + y + z));;
```

```
val add_three : int -> int -> int -> int = <fun>
```

Again, first syntactic sugar for second

Using a nameless function

```
# (fun x -> x * 3) 5;; (* An application *)
```

```
- : int = 15
```

```
# ((fun y -> y +. 2.0), (fun z -> z * 3));;  
(* As data *)
```

```
- : (float -> float) * (int -> int) = (<fun>, <fun>)
```

Note: in `fun v -> exp(v)`, scope of variable is only the body `exp(v)`



Environments

- *Environments* record what value is associated with a given identifier
- Central to the semantics and implementation of a language
- Notation
 - $\rho = \{\text{name}_1 \rightarrow \text{value}_1, \text{name}_2 \rightarrow \text{value}_2, \dots\}$
Using set notation, but describes a partial function
- Often stored as list, or stack
 - To find value start from left and take first match



Environments

$X \rightarrow 3$

$\text{name} \rightarrow \text{"Steve"}$

...

$y \rightarrow 17$

$\text{region} \rightarrow (5.4, 3.7)$

$b \rightarrow \text{true}$

$\text{id} \rightarrow \{\text{Name} = \text{"Paul"},$
 $\text{Age} = 23,$
 $\text{SSN} = 999888777\}$



Global Variable Creation

```
# 2 + 3;;    (* Expression *)
```

```
// doesn't affect the environment
```

```
# let test = 3 < 2;;    (* Declaration *)
```

```
val test : bool = false
```

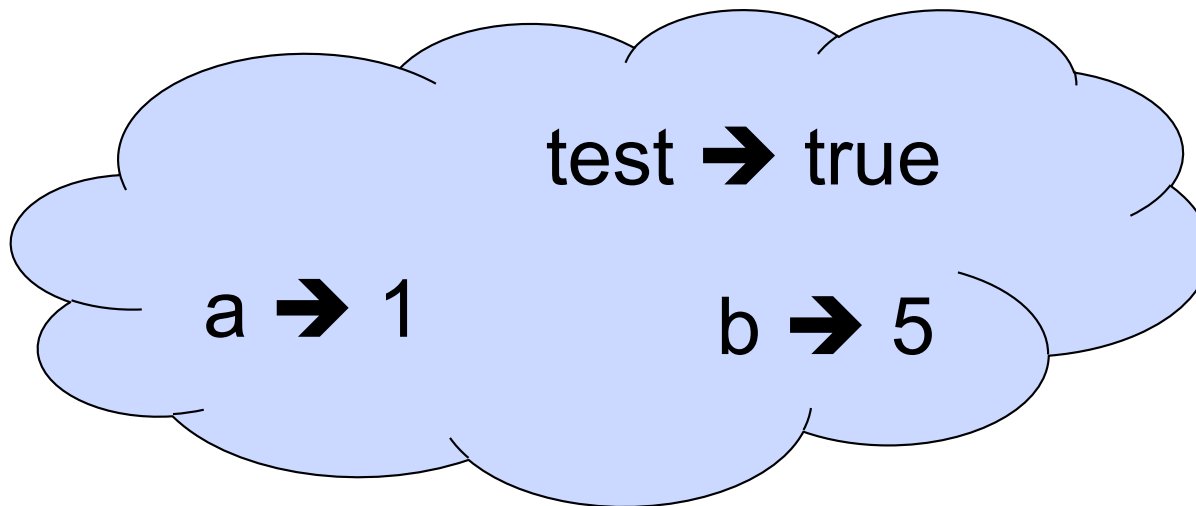
```
//  $\rho_1 = \{\text{test} \rightarrow \text{false}\}$ 
```

```
# let a = 1 let b = a + 4;; (* Seq of dec *)
```

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, \text{test} \rightarrow \text{false}\}$ 
```



Environments





New Bindings Hide Old

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, test \rightarrow false\}$ 
```

```
let test = 3.7;;
```

- What is the environment after this declaration?



New Bindings Hide Old

```
//  $\rho_2 = \{b \rightarrow 5, a \rightarrow 1, test \rightarrow false\}$ 
```

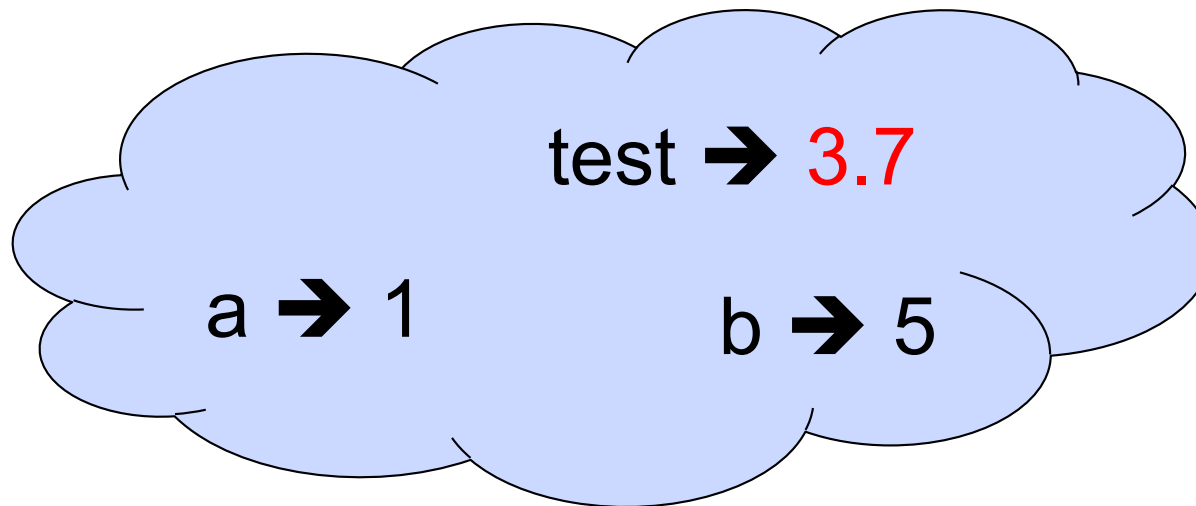
```
let test = 3.7;;
```

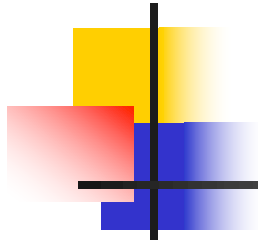
- What is the environment after this declaration?

```
//  $\rho_3 = \{test \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```



Environments





Now it's your turn

You should be able to do WA1-IC
Problem 1 , parts (* 1 *) - (* 3 *)

Local Variable Creation

```
//  $\rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let b = 5 * 4
```

```
//  $\rho_4 = \{b \rightarrow 20, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

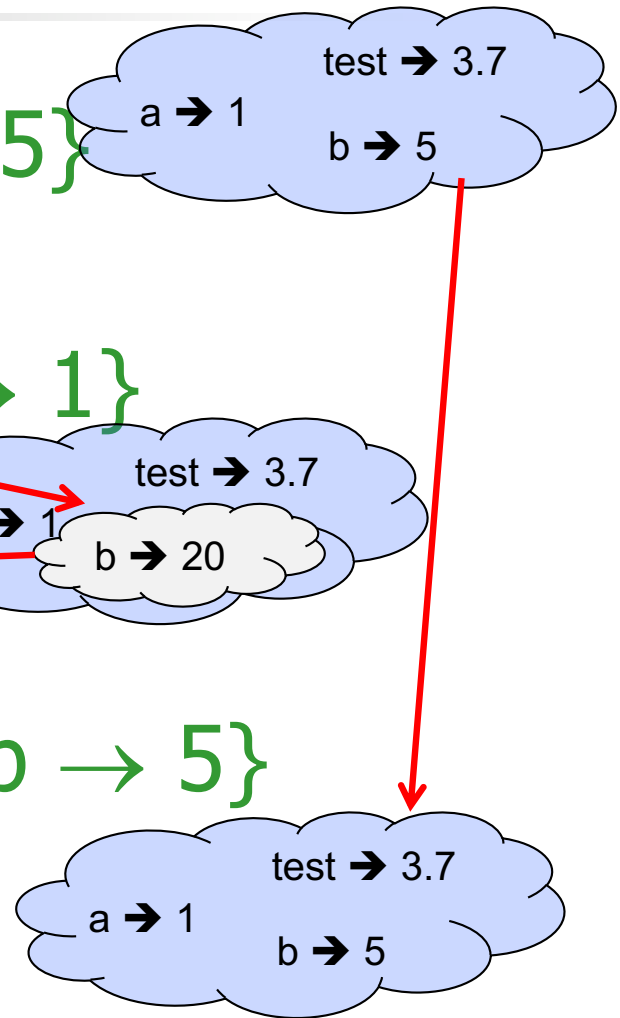
```
in 2 * b;;
```

```
- : int = 40
```

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



Local let binding

```
//  $\rho_5 = \rho_3 = \{\text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{b \rightarrow 2\} + \rho_3$ 
```

```
//  $= \{b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1\}$ 
```

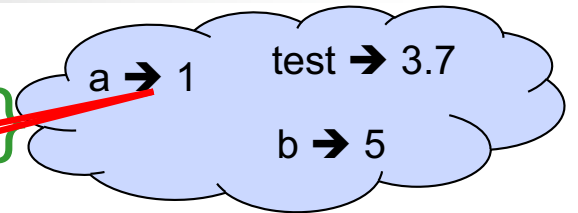
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# b;;
```

```
- : int = 5
```



Local let binding

```
//  $\rho_5 = \rho_3 = \{ \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{ b \rightarrow 2 \} + \rho_3$ 
```

```
//  $= \{ b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1 \}$ 
```

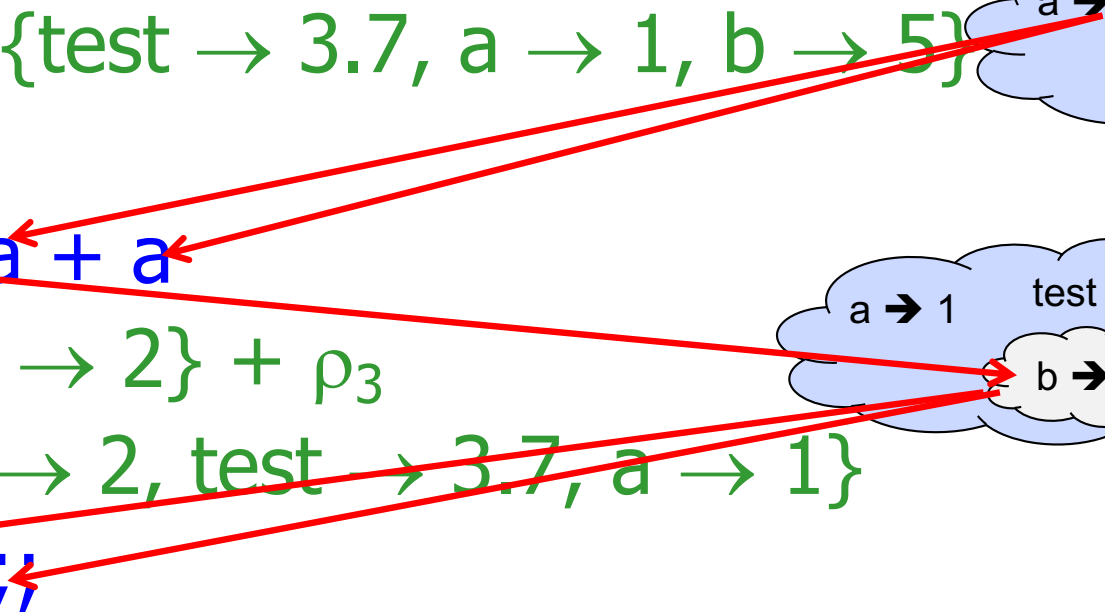
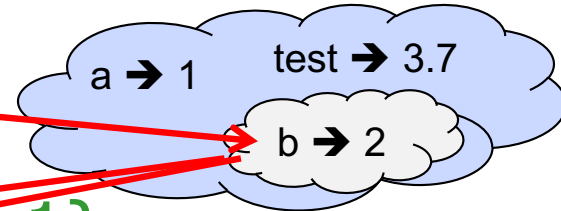
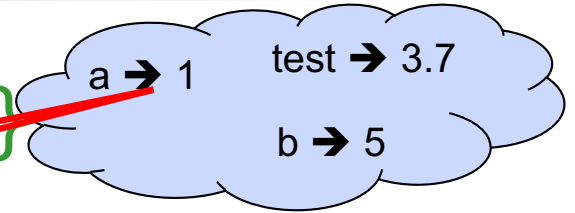
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{ c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# b;;
```

```
- : int = 5
```



Local let binding

```
//  $\rho_5 = \rho_3 = \{ \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# let c =
```

```
  let b = a + a
```

```
//  $\rho_6 = \{ b \rightarrow 2 \} + \rho_3$ 
```

```
//  $= \{ b \rightarrow 2, \text{test} \rightarrow 3.7, a \rightarrow 1 \}$ 
```

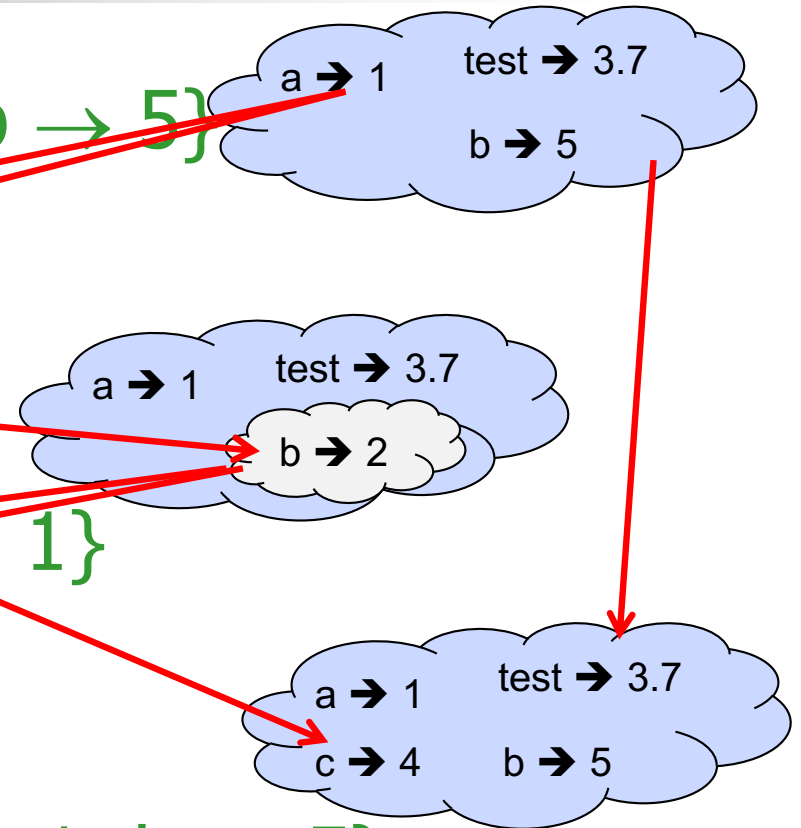
```
  in b * b;;
```

```
val c : int = 4
```

```
//  $\rho_7 = \{ c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5 \}$ 
```

```
# b;;
```

```
- : int = 5
```



Values fixed at declaration time

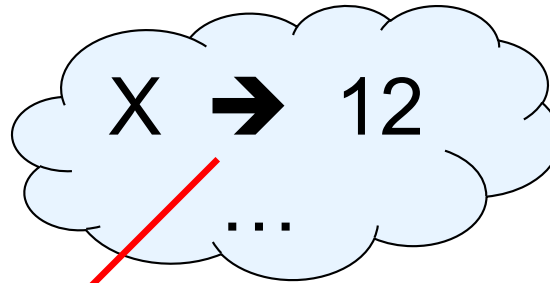
```
# let x = 12;;
```

```
val x : int = 12
```

```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```



What is the result?



Values fixed at declaration time

```
# let x = 12;;
```

```
val x : int = 12
```

```
# let plus_x y = y + x;;
```

```
val plus_x : int -> int = <fun>
```

```
# plus_x 3;;
```

```
- : int = 15
```



Values fixed at declaration time

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```

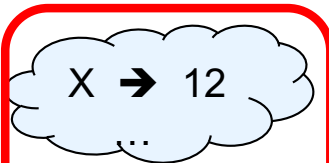
```
# plus_x 3;;
```

What is the result this time?

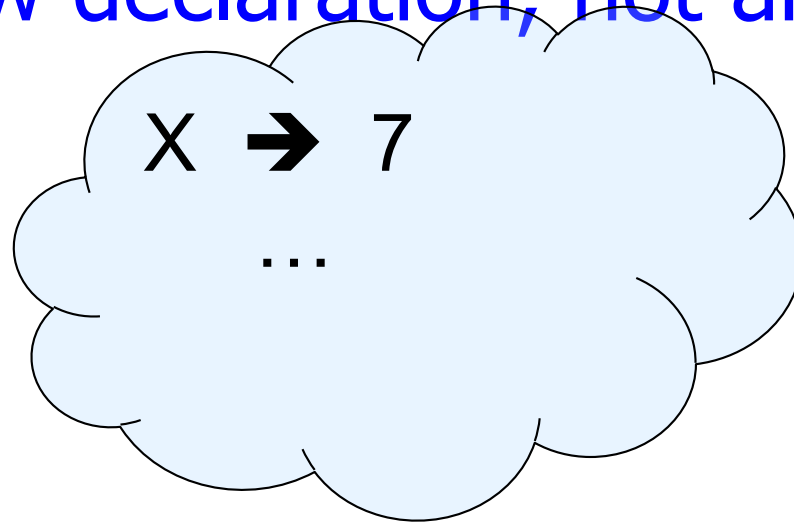
Values fixed at declaration time

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```



```
# plus_x 3;;
```



What is the result this time?



Values fixed at declaration time

```
# let x = 7;; (* New declaration, not an  
update *)
```

```
val x : int = 7
```

```
# plus_x 3;;
```

```
- : int = 15
```



Question

- Observation: Functions are first-class values in this language
- Question: What value does the environment record for a function variable?
- Answer: a closure



Save the Environment!

- A *closure* is a pair of an environment and an association of a sequence of variables (the input variables) with an expression (the function body), written:

$$f \rightarrow \langle (v_1, \dots, v_n) \rightarrow \text{exp}, \rho_f \rangle$$

- Where ρ_f is the environment in effect when f is defined (if f is a simple function)



Closure for plus_x

- When plus_x was defined, had environment:

$$\rho_{\text{plus_x}} = \{\dots, x \rightarrow 12, \dots\}$$

- Recall: `let plus_x y = y + x`

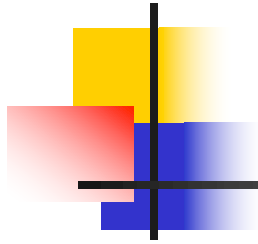
is really `let plus_x = fun y -> y + x`

- Closure for `fun y -> y + x`:

$$\langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle$$

- Environment just after plus_x defined:

$$\{\text{plus_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle\} + \rho_{\text{plus_x}}$$



Now it's your turn

You should be able to do WA1-IC
Problem 1 , parts (* 4 *) - (* 7 *)