

Programming Languages and Compilers (CS 421)

Elsa L Gunter
2112 SC, UIUC

<http://courses.engr.illinois.edu/cs421>

Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

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Disambiguating a Grammar

- $\langle \text{exp} \rangle ::= 0|1| b\langle \text{exp} \rangle | \langle \text{exp} \rangle a$
| $\langle \text{exp} \rangle m \langle \text{exp} \rangle$
- Want a has higher precedence than b, which in turn has higher precedence than m, and such that m associates to the left.

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Disambiguating a Grammar

- $\langle \text{exp} \rangle ::= 0|1| b\langle \text{exp} \rangle | \langle \text{exp} \rangle a$
| $\langle \text{exp} \rangle m \langle \text{exp} \rangle$
- Want a has higher precedence than b, which in turn has higher precedence than m, and such that m associates to the left.
- $\langle \text{exp} \rangle ::= \langle \text{exp} \rangle m \langle \text{not } m \rangle | \langle \text{not } m \rangle$
- $\langle \text{not } m \rangle ::= b \langle \text{not } m \rangle | \langle \text{not } b m \rangle$
- $\langle \text{not } b m \rangle ::= \langle \text{not } b m \rangle a | 0 | 1$

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Disambiguating a Grammar – Take 2

- $\langle \text{exp} \rangle ::= 0|1| b\langle \text{exp} \rangle | \langle \text{exp} \rangle a$
| $\langle \text{exp} \rangle m \langle \text{exp} \rangle$
- Want b has higher precedence than m, which in turn has higher precedence than a, and such that m associates to the right.

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Disambiguating a Grammar – Take 2

- $\langle \text{exp} \rangle ::= 0|1| b\langle \text{exp} \rangle | \langle \text{exp} \rangle a$
| $\langle \text{exp} \rangle m \langle \text{exp} \rangle$
- Want b has higher precedence than m, which in turn has higher precedence than a, and such that m associates to the right.
- $\langle \text{exp} \rangle ::=$
| $\langle \text{no } a m \rangle | \langle \text{not } m \rangle m \langle \text{no } a \rangle | \langle \text{exp} \rangle a$
- $\langle \text{no } a \rangle ::= \langle \text{no } a m \rangle | \langle \text{no } a m \rangle m \langle \text{no } a \rangle$
- $\langle \text{not } m \rangle ::= \langle \text{no } a m \rangle | \langle \text{exp} \rangle a$
- $\langle \text{no } a m \rangle ::= b \langle \text{no } a m \rangle | 0 | 1$

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LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$ reduce
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$ shift
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$ shift
 $\Rightarrow (0 \bullet + 1) + 0$ reduce
 $= (\bullet 0 + 1) + 0$ shift
 $= \bullet (0 + 1) + 0$ shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$	•	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0$	•	reduce
	$= \langle \text{Sum} \rangle + 0$		shift
	$= \langle \text{Sum} \rangle \bullet + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$		reduce
	$= (\langle \text{Sum} \rangle \bullet) + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$		reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$		reduce
	$= (\langle \text{Sum} \rangle + \bullet 1) + 0$		shift
	$= (\langle \text{Sum} \rangle \bullet + 1) + 0$		shift
	$\Rightarrow (0 \bullet + 1) + 0$		reduce
	$= (\bullet 0 + 1) + 0$		shift
	$= \bullet (0 + 1) + 0$		shift

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$	•	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0$	•	reduce
	$= \langle \text{Sum} \rangle + 0$		shift
	$= \langle \text{Sum} \rangle \bullet + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$		reduce
	$= (\langle \text{Sum} \rangle \bullet) + 0$		shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$		reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$		reduce
	$= (\langle \text{Sum} \rangle + \bullet 1) + 0$		shift
	$= (\langle \text{Sum} \rangle \bullet + 1) + 0$		shift
	$\Rightarrow (0 \bullet + 1) + 0$		reduce
	$= (\bullet 0 + 1) + 0$		shift
	$= \bullet (0 + 1) + 0$		shift

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Example

(0 + 1) + 0



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Example

(0 + 1) + 0



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Example

(0 + 1) + 0



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Example

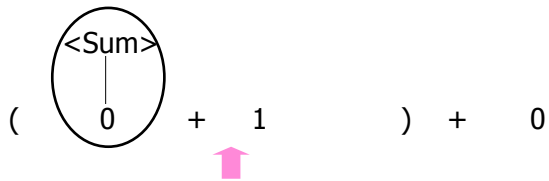
($\langle \text{Sum} \rangle$ 0 + 1) + 0



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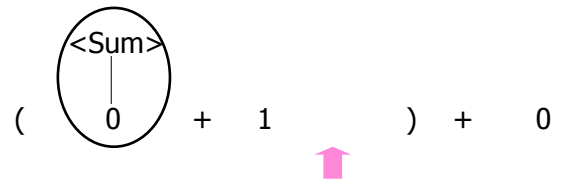
Example



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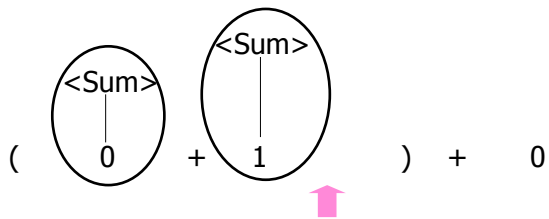
Example



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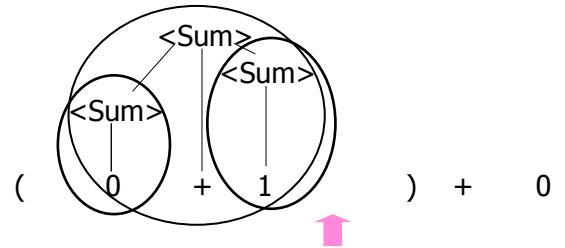
Example



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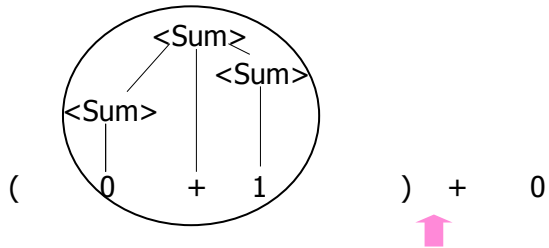
Example



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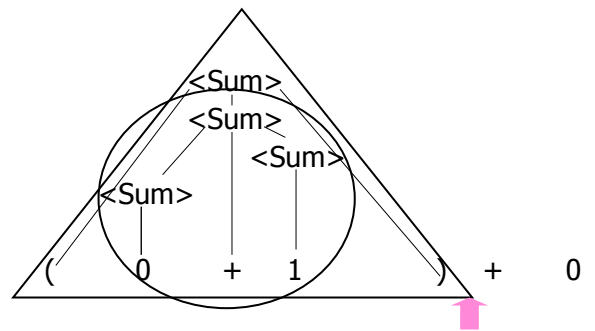
Example



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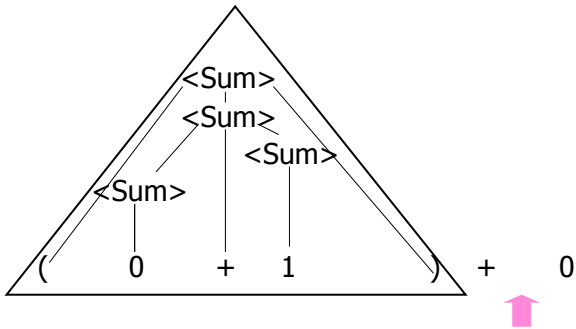
Example



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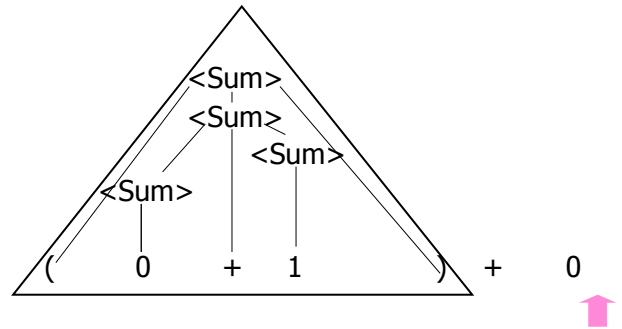
Example



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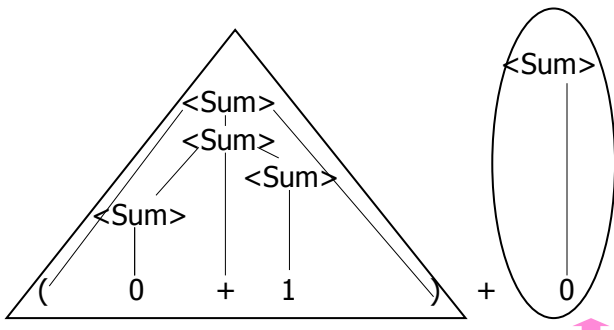
Example



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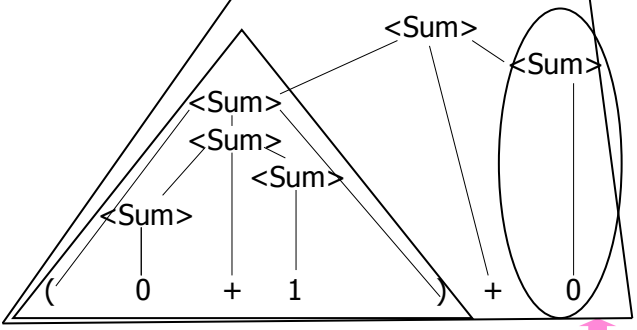
Example



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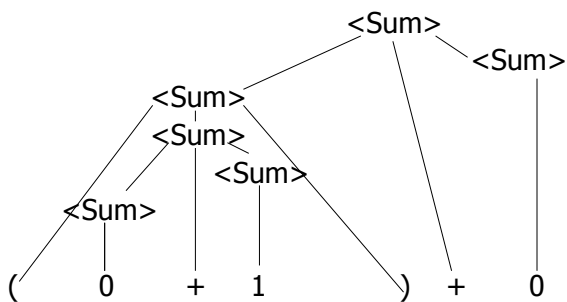
Example



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Example



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LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
 - This is the hardest part, we omit here
 - Rows labeled by states
 - For Action, columns labeled by terminals and "end-of-tokens" marker
 - (more generally strings of terminals of fixed length)
 - For Goto, columns labeled by non-terminals

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Action and Goto Tables

- Given a state and the next input, Action table says either
 - shift** and go to state n , or
 - reduce** by production k (explained in a bit)
 - accept** or **error**
- Given a state and a non-terminal, Goto table says
 - go to state m

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LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

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LR(i) Parsing Algorithm

0. Insure token stream ends in special "end-of-tokens" symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next i tokens from token stream ($toks$) (don't remove yet)
4. If top symbol on stack is **state**(n), look up action in Action table at (n , $toks$)

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LR(i) Parsing Algorithm

5. If action = **shift** m ,
 - a) Remove the top token from token stream and push it onto the stack
 - b) Push **state**(m) onto stack
 - c) Go to step 3

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LR(i) Parsing Algorithm

6. If action = **reduce** k where production k is $E ::= u$
 - a) Remove $2 * \text{length}(u)$ symbols from stack (u and all the interleaved states)
 - b) If new top symbol on stack is **state**(m), look up new state p in $\text{Goto}(m, E)$
 - c) Push E onto the stack, then push **state**(p) onto the stack
 - d) Go to step 3

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LR(i) Parsing Algorithm

7. If action = **accept**
 - Stop parsing, return success
8. If action = **error**,
 - Stop parsing, return failure

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Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
 - gather the recorded attributes from each non-terminal popped from stack
 - Compute new attribute for non-terminal pushed onto stack

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Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

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Example: $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

● 0 + 1 + 0 shift
 -> 0 ● + 1 + 0 reduce
 -> <Sum> ● + 1 + 0 shift
 -> <Sum> + ● 1 + 0 shift
 -> <Sum> + 1 ● + 0 reduce
 -> <Sum> + <Sum> ● + 0

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Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

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Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

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Example

- $S ::= A \mid aB$ $A ::= abc$ $B ::= bc$
- abc shift
 a ● bc shift
 ab ● c shift
 abc ●
- Problem: reduce by $B ::= bc$ then by $S ::= aB$, or by $A ::= abc$ then $S ::= A$?

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Recursive Descent Parsing

- Recursive descent parsers are a class of parsers derived fairly directly from BNF grammars
- A recursive descent parser traces out a parse tree in top-down order, corresponding to a left-most derivation (LL - left-to-right scanning, leftmost derivation)

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Recursive Descent Parsing

- Each nonterminal in the grammar has a subprogram associated with it; the subprogram parses all phrases that the nonterminal can generate
- Each nonterminal in right-hand side of a rule corresponds to a recursive call to the associated subprogram

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Recursive Descent Parsing

- Each subprogram must be able to decide how to begin parsing by looking at the left-most character in the string to be parsed
 - May do so directly, or indirectly by calling another parsing subprogram
- Recursive descent parsers, like other top-down parsers, cannot be built from left-recursive grammars
 - Sometimes can modify grammar to suit

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Sample Grammar

```
<expr> ::= <term> | <term> + <expr>
          | <term> - <expr>
```

```
<term> ::= <factor> | <factor> * <term>
          | <factor> / <term>
```

```
<factor> ::= <id> | ( <expr> )
```

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Tokens as OCaml Types

- + - * / () <id>
 - Becomes an OCaml datatype
- ```
type token =
 Id_token of string
 | Left_parenthesis | Right_parenthesis
 | Times_token | Divide_token
 | Plus_token | Minus_token
```

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## Parse Trees as Datatypes

```
<expr> ::= <term> | <term> + <expr>
 | <term> - <expr>
```

```
type expr =
 Term_as_Expr of term
 | Plus_Expr of (term * expr)
 | Minus_Expr of (term * expr)
```

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## Parse Trees as Datatypes

```
<term> ::= <factor> | <factor> *
 <term>
 | <factor> / <term>
```

and term =  
 Factor\_as\_Term of factor  
 | Mult\_Term of (factor \* term)  
 | Div\_Term of (factor \* term)

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## Parse Trees as Datatypes

```
<factor> ::= <id> | (<expr>)
```

and factor =

Id\_as\_Factor of string  
 | Parenthesized\_Expr\_as\_Factor of expr

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## Parsing Lists of Tokens

- Will create three mutually recursive functions:
  - expr : token list -> (expr \* token list)
  - term : token list -> (term \* token list)
  - factor : token list -> (factor \* token list)
- Each parses what it can and gives back parse and remaining tokens

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## Parsing an Expression

```
<expr> ::= <term> [(+ | -) <expr>]
let rec expr tokens =
 (match term tokens
 with (term_parse , tokens_after_term) ->
 (match tokens_after_term
 with(Plus_token :: tokens_after_plus) ->
```

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## Parsing an Expression

```
<expr> ::= <term> [(+ | -) <expr>]
let rec expr tokens =
 (match term tokens
 with (term_parse , tokens_after_term) ->
 (match tokens_after_term
 with (Plus_token :: tokens_after_plus) ->
```

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## Parsing a Plus Expression

```
<expr> ::= <term> [(+ | -) <expr>]
let rec expr tokens =
 (match term tokens
 with (term_parse , tokens_after_term) ->
 (match tokens_after_term
 with (Plus_token :: tokens_after_plus) ->
```

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## Parsing a Plus Expression

```
<expr> ::= <term> [(+ | -) <expr>]
let rec expr tokens =
 (match term tokens
 with (term_parse , tokens_after_term) ->
 (match tokens_after_term
 with (Plus_token :: tokens_after_plus) ->
```

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## Parsing a Plus Expression

```
<expr> ::= <term> [(+ | -) <expr>]
let rec expr tokens =
 (match term tokens
 with (term_parse , tokens_after_term) ->
 (match tokens_after_term
 with (Plus_token :: tokens_after_plus) ->
```

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## Parsing a Plus Expression

```
<expr> ::= <term> + <expr>

(match expr tokens_after_plus
 with (expr_parse , tokens_after_expr) ->
 (Plus_Expr (term_parse , expr_parse),
 tokens_after_expr)
```

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## Parsing a Plus Expression

```
<expr> ::= <term> + <expr>

(match expr tokens_after_plus
 with (expr_parse , tokens_after_expr) ->
 (Plus_Expr (term_parse , expr_parse),
 tokens_after_expr)
```

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## Building Plus Expression Parse Tree

```
<expr> ::= <term> + <expr>

(match expr tokens_after_plus
 with (expr_parse , tokens_after_expr) ->
 (Plus_Expr (term_parse , expr_parse),
 tokens_after_expr)
```

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## Parsing a Minus Expression

```
<expr> ::= <term> - <expr>

| (Minus_token :: tokens_after_minus) ->
 (match expr tokens_after_minus
 with (expr_parse , tokens_after_expr) ->
 (Minus_Expr (term_parse , expr_parse),
 tokens_after_expr)
```

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## Parsing a Minus Expression

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle - \langle \text{expr} \rangle$

| ( **Minus\_token** :: tokens\_after\_minus) ->  
(match expr tokens\_after\_minus  
with ( expr\_parse , tokens\_after\_expr) ->  
( **Minus\_Expr** ( **term\_parse** , **expr\_parse** ),  
tokens\_after\_expr))

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## Parsing an Expression as a Term

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle$

| \_ -> (Term\_as\_Expr **term\_parse** ,  
tokens\_after\_term))

- Code for **term** is same except for replacing addition with multiplication and subtraction with division

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## Parsing Factor as Id

$\langle \text{factor} \rangle ::= \langle \text{id} \rangle$

and factor tokens =  
(match tokens  
with (Id\_token id\_name :: tokens\_after\_id) =  
( **Id\_as\_Factor** id\_name, tokens\_after\_id)

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## Parsing Factor as Parenthesized Expression

$\langle \text{factor} \rangle ::= ( \langle \text{expr} \rangle )$

| factor ( **Left\_parenthesis** :: tokens) =  
(match expr tokens  
with ( **expr\_parse** , tokens\_after\_expr) ->

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## Parsing Factor as Parenthesized Expression

$\langle \text{factor} \rangle ::= ( \langle \text{expr} \rangle )$

(match tokens\_after\_expr  
with **Right\_parenthesis** :: tokens\_after\_rparen ->  
( **Parenthesized\_Expr\_as\_Factor** **expr\_parse** ,  
tokens\_after\_rparen)

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## Error Cases

- What if no matching right parenthesis?  
| \_ -> raise (Failure "No matching rparen" ) )
- What if no leading id or left parenthesis?  
| \_ -> raise (Failure "No id or lparen" ) ; ;

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( a + b ) \* c - d

```
expr [Left_parenthesis; Id_token "a";
Plus_token; Id_token "b";
Right_parenthesis; Times_token;
Id_token "c"; Minus_token;
Id_token "d"];;
```

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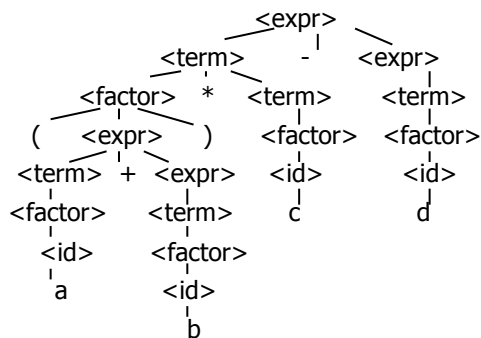
( a + b ) \* c - d

```
- : expr * token list =
(Minus_Expr
(Mult_Term
(Parenthesized_Expr_as_Factor
(Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))),
Factor_as_Term (Id_as_Factor "c")),
Term_as_Expr (Factor_as_Term (Id_as_Factor
"d")))),
[])
```

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( a + b ) \* c - d



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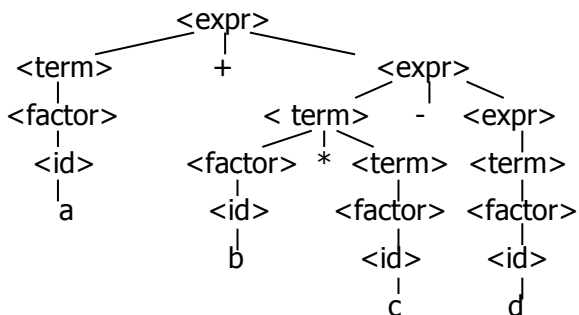
a + b \* c - d

```
expr [Id_token "a"; Plus_token; Id_token "b";
Times_token; Id_token "c"; Minus_token;
Id_token "d"];;
- : expr * token list =
(Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Minus_Expr
(Mult_Term (Id_as_Factor "b", Factor_as_Term
(Id_as_Factor "c")),
Term_as_Expr (Factor_as_Term (Id_as_Factor
"d")))),
[])
```

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a + b \* c - d



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( a + b \* c - d

```
expr [Left_parenthesis; Id_token "a";
Plus_token; Id_token "b"; Times_token;
Id_token "c"; Minus_token; Id_token "d"];;
```

Exception: Failure "No matching rparen".

Can't parse because it was expecting a right parenthesis but it got to the end without finding one

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**a + b ) \* c - d (**

```
expr [Id_token "a"; Plus_token; Id_token "b";
 Right_parenthesis; Times_token; Id_token "c";
 Minus_token; Id_token "d"; Left_parenthesis];
- : expr * token list =
(Plus_Expr
 (Factor_as_Term (Id_as_Factor "a"),
 Term_as_Expr (Factor_as_Term (Id_as_Factor
 "b"))),
 [Right_parenthesis; Times_token; Id_token "c";
 Minus_token; Id_token "d"; Left_parenthesis])
```

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**Parsing Whole String**

- Q: How to guarantee whole string parses?
- A: Check returned tokens empty

let parse tokens =

match **expr** tokens

with (expr\_parse, []) -> expr\_parse

| \_ -> raise (Failure "No parse");;

- Fixes <expr> as start symbol

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**Streams in Place of Lists**

- More realistically, we don't want to create the entire list of tokens before we can start parsing
- We want to generate one token at a time and use it to make one step in parsing
- Can use (token \* (unit -> token)) or (token \* (unit -> token option)) in place of token list

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**Problems for Recursive-Descent Parsing**

- Left Recursion:  
A ::= Aw  
translates to a subroutine that loops forever
- Indirect Left Recursion:  
A ::= Bw  
B ::= Av  
causes the same problem

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**Problems for Recursive-Descent Parsing**

- Parser must always be able to choose the next action based only on the very next token
- Pairwise Disjointness Test: Can we always determine which rule (in the non-extended BNF) to choose based on just the first token

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**Pairwise Disjointness Test**

- For each rule  
A ::= y  
Calculate  
FIRST (y) =  
 $\{a \mid y \Rightarrow^* aw\} \cup \{\epsilon \mid y \Rightarrow^* \epsilon\}$
- For each pair of rules A ::= y and A ::= z, require  $\text{FIRST}(y) \cap \text{FIRST}(z) = \{\}$

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## Example

Grammar:

$\langle S \rangle ::= \langle A \rangle a \langle B \rangle b$

$\langle A \rangle ::= \langle A \rangle b \mid b$

$\langle B \rangle ::= a \langle B \rangle \mid a$

FIRST ( $\langle A \rangle b$ ) = {b}

FIRST (b) = {b}

Rules for  $\langle A \rangle$  not pairwise disjoint

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## Eliminating Left Recursion

- Rewrite grammar to shift left recursion to right recursion
  - Changes associativity
- Given
$$\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{term} \rangle \text{ and}$$
$$\langle \text{expr} \rangle ::= \langle \text{term} \rangle$$
- Add new non-terminal  $\langle e \rangle$  and replace above rules with
$$\langle \text{expr} \rangle ::= \langle \text{term} \rangle \langle e \rangle$$
$$\langle e \rangle ::= + \langle \text{term} \rangle \langle e \rangle \mid \epsilon$$

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## Factoring Grammar

- Test too strong: Can't handle
$$\langle \text{expr} \rangle ::= \langle \text{term} \rangle [ ( + \mid - ) \langle \text{expr} \rangle ]$$
- Answer: Add new non-terminal and replace above rules by
$$\langle \text{expr} \rangle ::= \langle \text{term} \rangle \langle e \rangle$$
$$\langle e \rangle ::= + \langle \text{term} \rangle \langle e \rangle$$
$$\langle e \rangle ::= - \langle \text{term} \rangle \langle e \rangle$$
$$\langle e \rangle ::= \epsilon$$
- You are delaying the decision point

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## Example

Both  $\langle A \rangle$  and  $\langle B \rangle$  have problems: Transform grammar to:

$$\begin{array}{ll} \langle S \rangle ::= \langle A \rangle a \langle B \rangle b & \langle S \rangle ::= \langle A \rangle a \langle B \rangle b \\ \langle A \rangle ::= \langle A \rangle b \mid b & \langle A \rangle ::= b \langle A1 \rangle \\ \langle B \rangle ::= a \langle B \rangle \mid a & \langle A1 \rangle ::= b \langle A1 \rangle \mid \epsilon \\ & \langle B \rangle ::= a \langle B1 \rangle \\ & \langle B1 \rangle ::= a \langle B1 \rangle \mid \epsilon \end{array}$$

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## Semantics

- Expresses the meaning of syntax
- Static semantics
  - Meaning based only on the form of the expression without executing it
  - Usually restricted to type checking / type inference

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## Dynamic semantics

- Method of describing meaning of executing a program
- Several different types:
  - Operational Semantics
  - Axiomatic Semantics
  - Denotational Semantics

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## Dynamic Semantics

- Different languages better suited to different types of semantics
- Different types of semantics serve different purposes

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## Operational Semantics

- Start with a simple notion of machine
- Describe how to execute (implement) programs of language on virtual machine, by describing how to execute each program statement (ie, following the *structure* of the program)
- Meaning of program is how its execution changes the state of the machine
- Useful as basis for implementations

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## Axiomatic Semantics

- Also called Floyd-Hoare Logic
- Based on formal logic (first order predicate calculus)
- Axiomatic Semantics is a logical system built from *axioms* and *inference rules*
- Mainly suited to simple imperative programming languages

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## Axiomatic Semantics

- Used to formally prove a property (*post-condition*) of the *state* (the values of the program variables) after the execution of program, assuming another property (*pre-condition*) of the state before execution
- Written :  
    {Precondition} Program {Postcondition}
- Source of idea of *loop invariant*

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## Denotational Semantics

- Construct a function  $\mathcal{M}$  assigning a mathematical meaning to each program construct
- Lambda calculus often used as the range of the meaning function
- Meaning function is compositional: meaning of construct built from meaning of parts
- Useful for proving properties of programs

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## Natural Semantics

- Aka Structural Operational Semantics, aka “Big Step Semantics”
- Provide value for a program by rules and derivations, similar to type derivations
- Rule conclusions look like

$$(C, m) \Downarrow m' \\ \text{or} \\ (E, m) \Downarrow v$$

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## Simple Imperative Programming Language

- $I \in \text{Identifiers}$
- $N \in \text{Numerals}$
- $B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not} \ B$   
 $\mid E < E \mid E = E$
- $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$
- $C ::= \text{skip} \mid C; C \mid I ::= E$   
 $\mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$

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## Natural Semantics of Atomic Expressions

- Identifiers:  $(I, m) \Downarrow m(I)$
- Numerals are values:  $(N, m) \Downarrow N$
- Booleans:  $(\text{true}, m) \Downarrow \text{true}$   
 $(\text{false}, m) \Downarrow \text{false}$

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## Booleans:

$$\frac{(B, m) \Downarrow \text{false}}{(B \ \& \ B', m) \Downarrow \text{false}} \quad \frac{(B, m) \Downarrow \text{true} \ (B', m) \Downarrow b}{(B \ \& \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(B \ \text{or} \ B', m) \Downarrow \text{true}} \quad \frac{(B, m) \Downarrow \text{false} \ (B', m) \Downarrow b}{(B \ \text{or} \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(\text{not } B, m) \Downarrow \text{false}} \quad \frac{(B, m) \Downarrow \text{false}}{(\text{not } B, m) \Downarrow \text{true}}$$

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## Relations

$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \sim V = b}{(E \sim E', m) \Downarrow b}$$

- By  $U \sim V = b$ , we mean does (the meaning of) the relation  $\sim$  hold on the meaning of  $U$  and  $V$
- May be specified by a mathematical expression/equation or rules matching  $U$  and  $V$

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## Arithmetic Expressions

$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \ \text{op} \ V = N}{(E \ \text{op} \ E', m) \Downarrow N}$$

where  $N$  is the specified value for  $U \ \text{op} \ V$

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## Commands

Skip:  $(\text{skip}, m) \Downarrow m$

Assignment:  $\frac{(E, m) \Downarrow V}{(I ::= E, m) \Downarrow m[I \leftarrow V]}$

Sequencing:  $\frac{(C, m) \Downarrow m' \quad (C', m') \Downarrow m''}{(C; C', m) \Downarrow m''}$

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## If Then Else Command

$$\frac{(B,m) \Downarrow \text{true} \quad (C,m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

$$\frac{(B,m) \Downarrow \text{false} \quad (C',m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

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## While Command

$$\frac{(B,m) \Downarrow \text{false}}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m}$$

$$\frac{(B,m) \Downarrow \text{true} \quad (C,m) \Downarrow m' \quad (\text{while } B \text{ do } C \text{ od}, m') \Downarrow m''}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m''}$$

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## Example: If Then Else Rule

$$\frac{}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?}$$

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## Example: If Then Else Rule

$$\frac{(x > 5, \{x \rightarrow 7\}) \Downarrow ?}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?}$$

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## Example: Arith Relation

$$\frac{\frac{? > ? = ?}{(x, \{x \rightarrow 7\}) \Downarrow ?} \quad (5, \{x \rightarrow 7\}) \Downarrow ?}{(x > 5, \{x \rightarrow 7\}) \Downarrow ?} \quad (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?$$

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## Example: Identifier(s)

$$\frac{\frac{7 > 5 = \text{true}}{(x, \{x \rightarrow 7\}) \Downarrow 7} \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow ?} \quad (\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?$$

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### Example: Arith Relation

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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### Example: If Then Else Rule

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x \rightarrow 7\})}{\downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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### Example: Assignment

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad \frac{(2+3, \{x \rightarrow 7\}) \Downarrow ?}{(y := 2 + 3, \{x \rightarrow 7\}) \Downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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### Example: Arith Op

$$\begin{array}{c}
 ? + ? = ? \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow ? \quad (3, \{x \rightarrow 7\}) \Downarrow ?}{(2+3, \{x \rightarrow 7\}) \Downarrow ?} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x \rightarrow 7\})}{\downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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### Example: Numerals

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow ?} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x \rightarrow 7\})}{\downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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### Example: Arith Op

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x \rightarrow 7\})}{\downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \frac{\quad}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}
 \end{array}$$

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## Interpretation Versus Compilation

- A **compiler** from language L1 to language L2 is a program that takes an L1 program and for each piece of code in L1 generates a piece of code in L2 of same meaning
- An **interpreter** of L1 in L2 is an L2 program that executes the meaning of a given L1 program
- Compiler would examine the body of a loop once; an interpreter would examine it every time the loop was executed

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## Interpreter

- An *Interpreter* represents the operational semantics of a language L1 (source language) in the language of implementation L2 (target language)
- Built incrementally
  - Start with literals
  - Variables
  - Primitive operations
  - Evaluation of expressions
  - Evaluation of commands/declarations

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## Interpreter

- Takes abstract syntax trees as input
  - In simple cases could be just strings
- One procedure for each syntactic category (nonterminal)
  - eg one for expressions, another for commands
- If Natural semantics used, tells how to compute final value from code
- If Transition semantics used, tells how to compute next "state"
  - To get final value, put in a loop

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## Natural Semantics Example

- $\text{compute\_exp}(\text{Var}(v), m) = \text{look\_up } v \ m$
- $\text{compute\_exp}(\text{Int}(n), \_) = \text{Num}(n)$
- ...
- $\text{compute\_com}(\text{IfExp}(b, c1, c2), m) =$   
if  $\text{compute\_exp}(b, m) = \text{Bool}(\text{true})$   
then  $\text{compute\_com}(c1, m)$   
else  $\text{compute\_com}(c2, m)$

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## Natural Semantics Example

- $\text{compute\_com}(\text{While}(b, c), m) =$   
if  $\text{compute\_exp}(b, m) = \text{Bool}(\text{false})$   
then  $m$   
else  $\text{compute\_com}$   
     $(\text{While}(b, c), \text{compute\_com}(c, m))$
- May fail to terminate - exceed stack limits
- Returns no useful information then

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