

# Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve, Gul Agha, and Elsa L Gunter

# BNF Grammars

- Start with a set of characters, **a,b,c,...**
  - We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

# BNF Grammars

- BNF rules (aka *productions*) have form

$$X ::= y$$

where  $X$  is any nonterminal and  $y$  is a string of terminals and nonterminals

- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

# Sample Grammar

- Terminals: 0 1 + ( )
- Nonterminals:  $\langle \text{Sum} \rangle$
- Start symbol =  $\langle \text{Sum} \rangle$
  
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as
$$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( )$$

# BNF Derivations

- Given rules

$$\mathbf{X} ::= y\mathbf{Z}w \text{ and } \mathbf{Z} ::= v$$

we may replace  $\mathbf{Z}$  by  $v$  to say

$$\mathbf{X} \Rightarrow y\mathbf{Z}w \Rightarrow yvw$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

# BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$



# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■  $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■  $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■  $\langle \text{Sum} \rangle ::= 1$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$



# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

■ Pick a rule and substitute:

■  $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) 0$

$\Rightarrow (0 + 1) + 0$

# BNF Derivations

$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$

- $(0 + 1) + 0$  is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$   
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$   
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$   
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$   
 $\Rightarrow (0 + 1) + 0$

# Regular Grammars

- Subclass of BNF
- Only rules of form  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

# Example

- Regular grammar:

$\langle \text{Balanced} \rangle ::= \varepsilon$

$\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$

$\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$

$\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$

$\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$

- Generates even length strings where every initial substring of even length has same number of 0's as 1's

# Extended BNF Grammars

- Alternatives: allow rules of form  $X ::= y/z$ 
  - Abbreviates  $X ::= y, X ::= z$
- Options:  $X ::= y[v]z$ 
  - Abbreviates  $X ::= yvz, X ::= yz$
- Repetition:  $X ::= y\{v\}^*z$ 
  - Can be eliminated by adding new nonterminal  $V$  and rules  $X ::= yz, X ::= yVz, V ::= v, V ::= w$

# Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it



# Example

- Consider grammar:

$$\begin{aligned} \langle \text{exp} \rangle & ::= \langle \text{factor} \rangle \\ & \quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle \end{aligned}$$
$$\begin{aligned} \langle \text{factor} \rangle & ::= \langle \text{bin} \rangle \\ & \quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle \end{aligned}$$
$$\langle \text{bin} \rangle ::= 0 \quad | \quad 1$$

- Problem: Build parse tree for  $1 * 1 + 0$  as an  $\langle \text{exp} \rangle$

# Example cont.

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$

$\langle \text{exp} \rangle$  is the start symbol for this parse tree

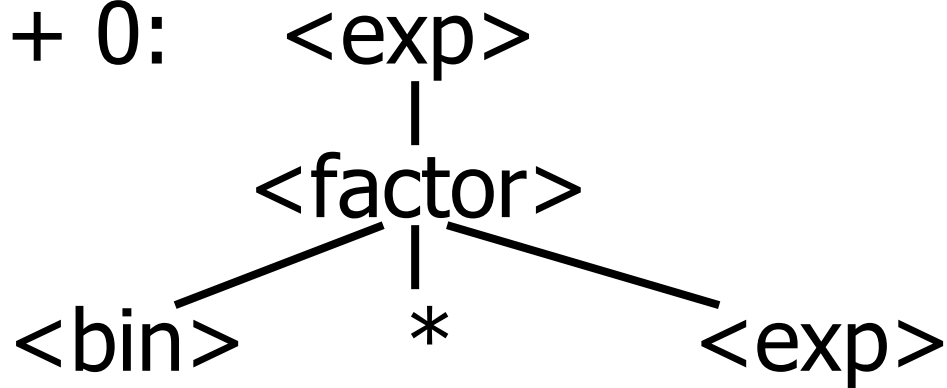
# Example cont.

■  $1 * 1 + 0$ :  $\langle \text{exp} \rangle$   
|  
 $\langle \text{factor} \rangle$

Use rule:  $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

# Example cont.

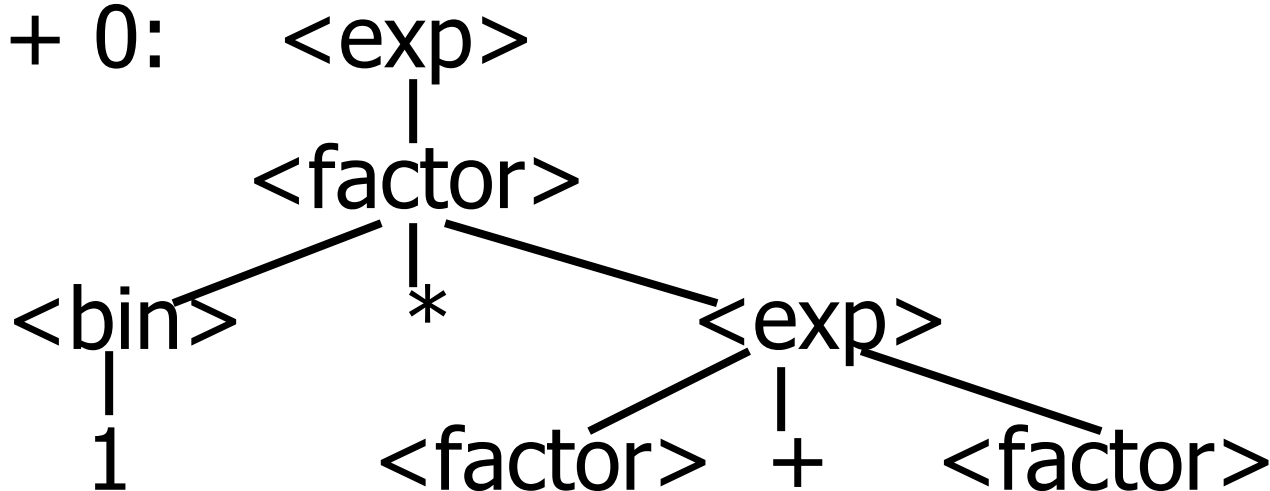
- 1 \* 1 + 0:



Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

# Example cont.

- $1 * 1 + 0$ :



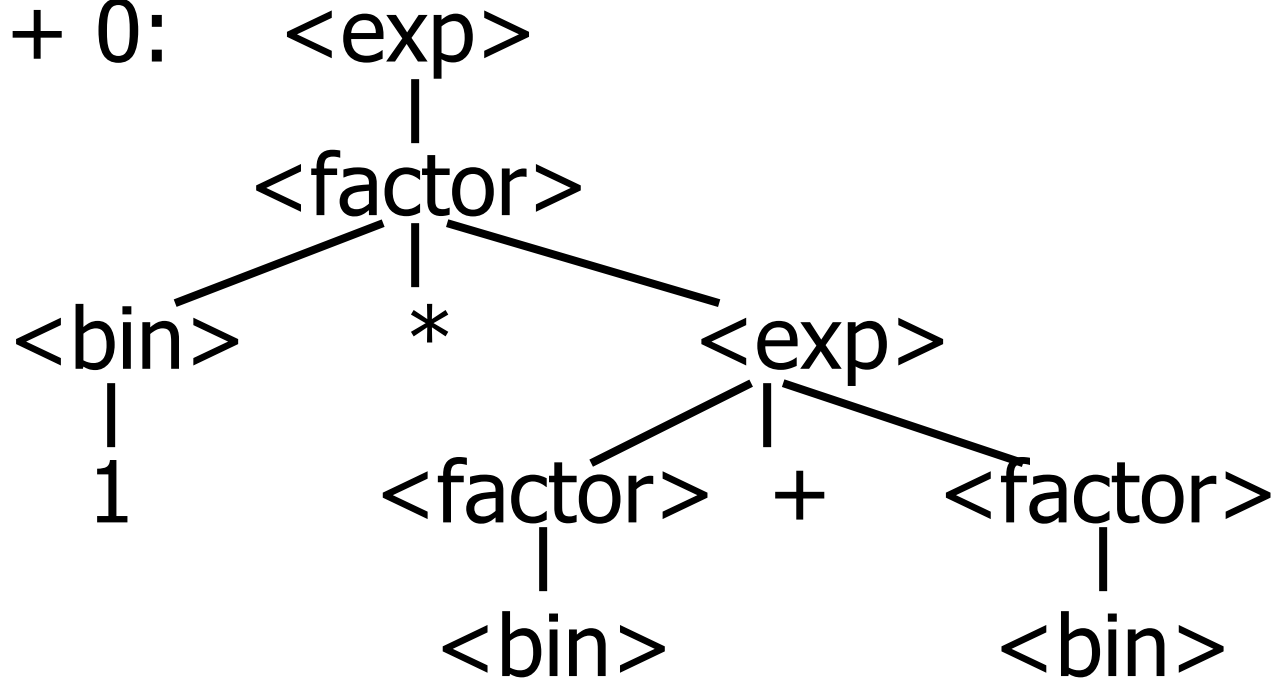
Use rules:  $\langle \text{bin} \rangle ::= 1$  and

$\langle \text{exp} \rangle ::= \langle \text{factor} \rangle +$

$\langle \text{factor} \rangle$

# Example cont.

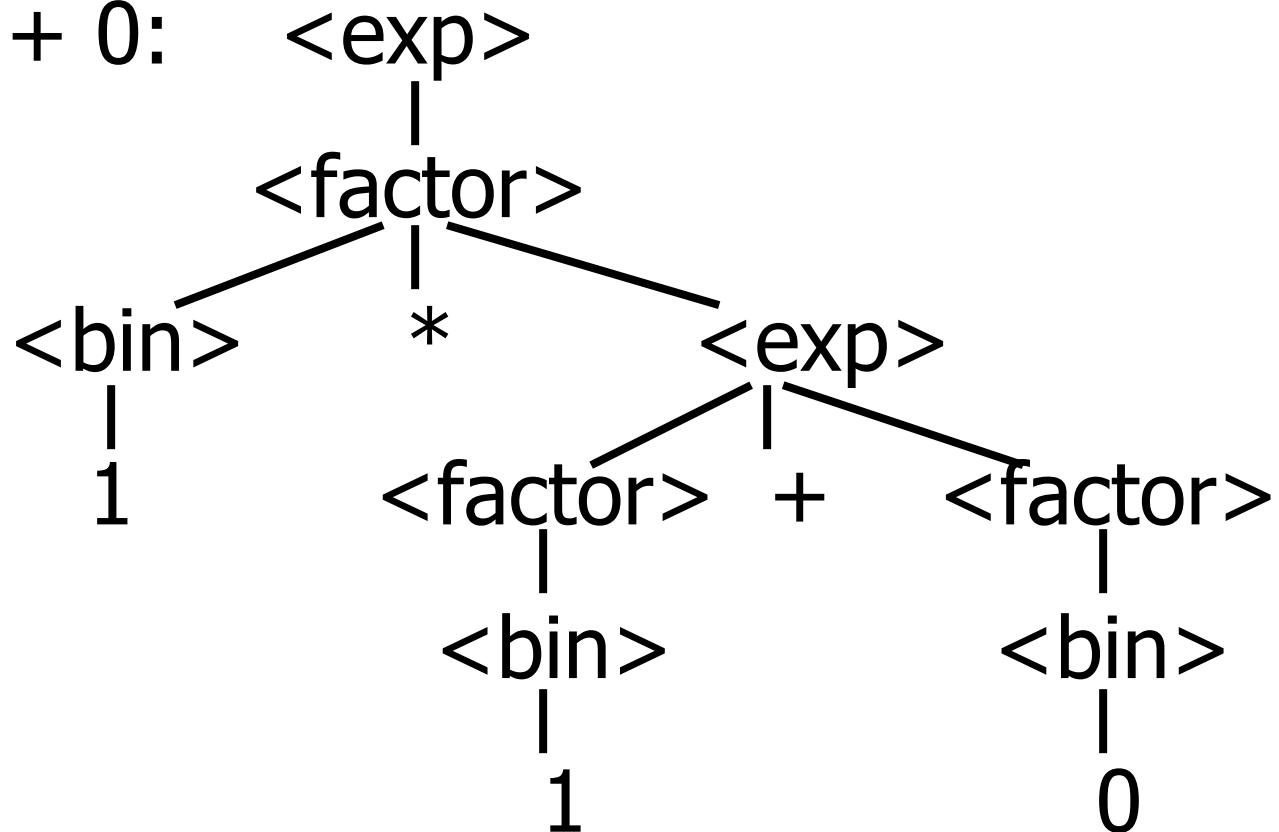
- 1 \* 1 + 0:



Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$

# Example cont.

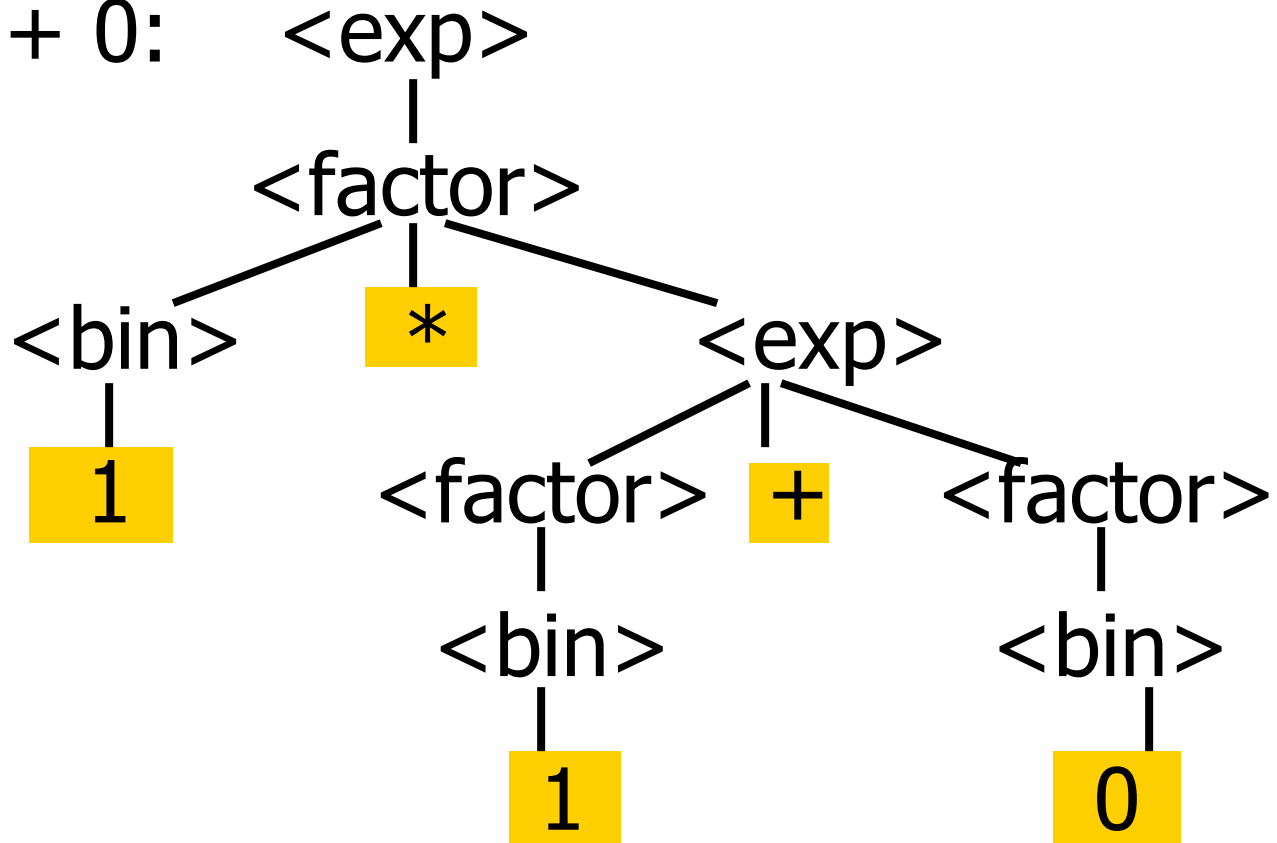
- 1 \* 1 + 0:



Use rules:  $\langle \text{bin} \rangle ::= 1 \mid 0$

# Example cont.

- 1 \* 1 + 0:



Fringe of tree is string generated by grammar



Your Turn:  $1 * 0 + 0 * 1$

```
<exp> ::= <factor>
        | <factor> + <factor>
<factor> ::= <bin>
           | <bin> * <exp>
<bin> ::= 0 | 1
```

# Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

# Example

- Recall grammar:

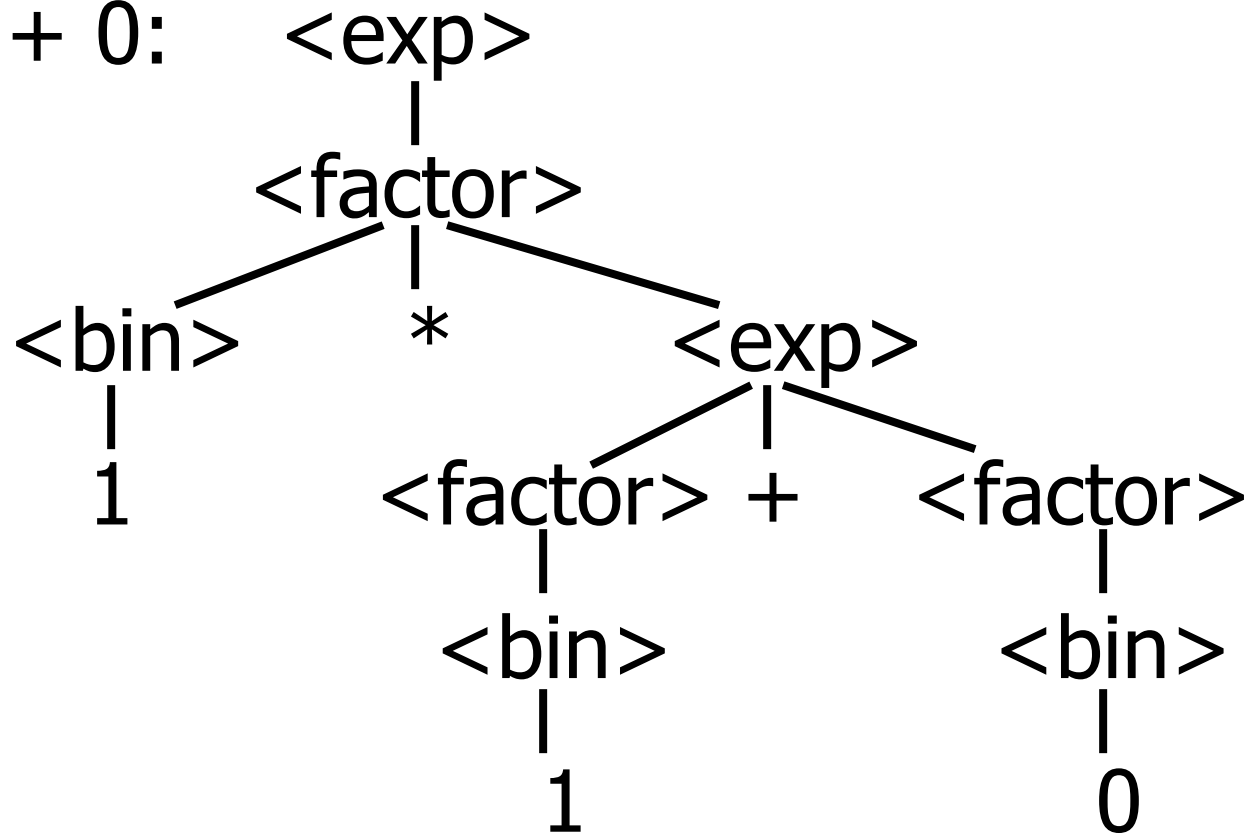
```
<exp> ::= <factor> | <factor> + <factor>
<factor> ::= <bin> | <bin> * <exp>
<bin> ::= 0 | 1
```

- type exp = Factor2Exp of factor  
| Plus of factor \* factor  
and factor = Bin2Factor of bin  
| Mult of bin \* exp  
and bin = Zero | One

# Example cont.

- type exp = Factor2Exp of factor  
          | Plus of factor \* factor  
and factor = Bin2Factor of bin  
          | Mult of bin \* exp  
and bin = Zero | One

- 1 \* 1 + 0:



## Example cont.

- Can be represented as

Factor2Exp

(Mult(One,

Plus(Bin2Factor One,

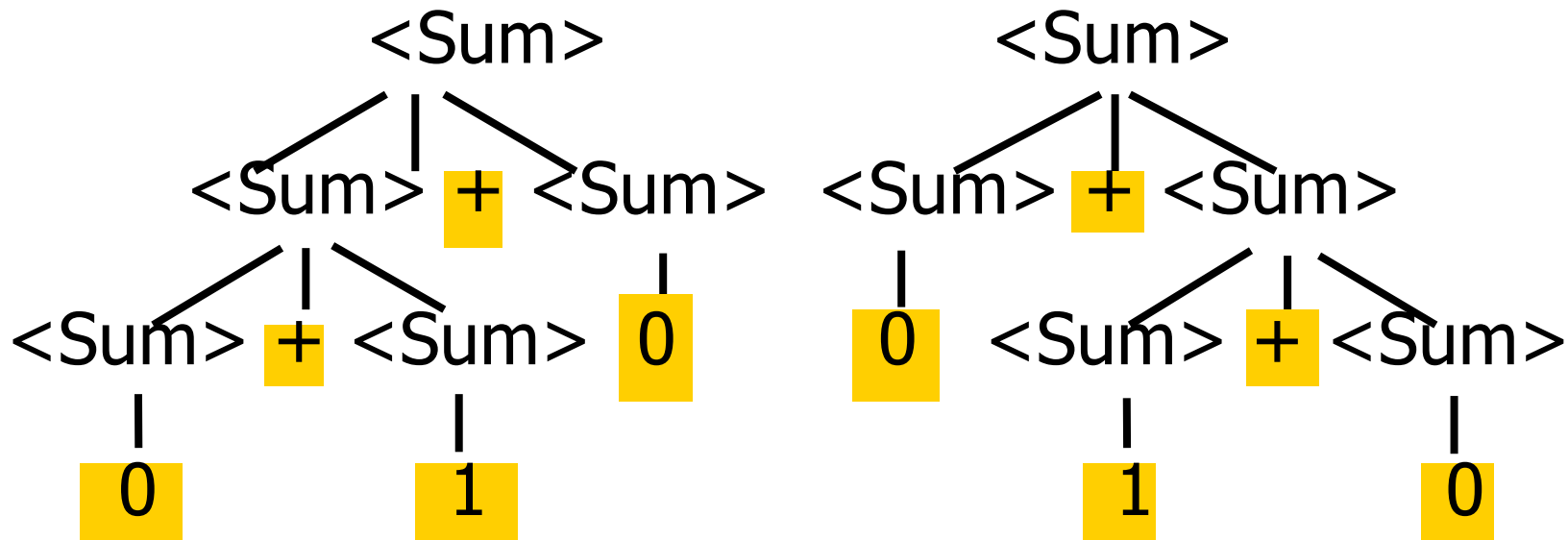
Bin2Factor Zero)))

# Ambiguous Grammars and Languages

- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

# Example: Ambiguous Grammar

■  $0 + 1 + 0$



# Example

- What is the result for:

$$3 + 4 * 5 + 6$$



# Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- $41 = ((3 + 4) * 5) + 6$
- $47 = 3 + (4 * (5 + 6))$
- $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
- $77 = (3 + 4) * (5 + 6)$

# Example

- What is the value of:

$$7 - 5 - 2$$

# Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:
  - In Pascal, C++, SML assoc. left  
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
  - In APL, associate to right  
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

# Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

# Disambiguating a Grammar

- Given ambiguous grammar  $G$ , with start symbol  $S$ , find a grammar  $G'$  with same start symbol, such that  
language of  $G =$  language of  $G'$
- Not always possible
- No algorithm in general

# Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

# Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- Replace old rules to use new non-terminals
- Rinse and repeat

# Example

- Ambiguous grammar:

$$\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$$

- String with more than one parse:

$$0 + 1 + 0$$
$$1 * 1 + 1$$

- Source of ambiguity: associativity and precedence



# Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

# How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

# Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\mid (\langle \text{Sum} \rangle)$
- Becomes
  - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
  - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

# Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

# Precedence Table - Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

# First Example Again

- In any above language,  $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

# Precedence in Grammar

- Higher precedence translates to longer derivation chain

- Example:

$$\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \\ \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$$

- Becomes

$$\langle \text{exp} \rangle ::= \langle \text{mult\_exp} \rangle \\ \mid \langle \text{exp} \rangle + \langle \text{mult\_exp} \rangle \\ \langle \text{mult\_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult\_exp} \rangle * \langle \text{id} \rangle \\ \langle \text{id} \rangle ::= 0 \mid 1$$

# Parser Code

- `<grammar>.ml` defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point



# Ocamlyacc Input

- File format:

%{

*<header>*

%}

*<declarations>*

%%

*<rules>*

%%

*<trailer>*

# Ocamlyacc <*header*>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- <*footer*> similar. Possibly used to call parser

# Ocamlyacc <declarations>

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token** <*type*> *symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type <*type*>
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in <*grammar*>.ml

# Ocamlyacc < *declarations* >

- **%type** < *type* > *symbol ... symbol*

Specify type of attributes for given symbols.

Mandatory for start symbols

- **%left** *symbol ... symbol*

- **%right** *symbol ... symbol*

- **%nonassoc** *symbol ... symbol*

Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

# Ocamlyacc *<rules>*

- *nonterminal* :  
    *symbol ... symbol { semantic\_action }*  
    | ...  
    | *symbol ... symbol { semantic\_action }*  
    ;  
■ Semantic actions are arbitrary Ocaml expressions  
■ Must be of same type as declared (or inferred) for *nonterminal*  
■ Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

# Example - Base types

```
(* File: expr.ml *)
```

```
type expr =
```

```
  Term_as_Expr of term
```

```
  | Plus_Expr of (term * expr)
```

```
  | Minus_Expr of (term * expr)
```

```
and term =
```

```
  Factor_as_Term of factor
```

```
  | Mult_Term of (factor * term)
```

```
  | Div_Term of (factor * term)
```

```
and factor =
```

```
  Id_as_Factor of string
```

```
  | Parenthesized_Expr_as_Factor of expr
```

# Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_" )* as id {Id_token id}
  | [' ' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

# Example - Parser (exprparse.mly)

```
%{ open Expr
```

```
%}
```

```
%token <string> Id_token
```

```
%token Left_parenthesis Right_parenthesis
```

```
%token Times_token Divide_token
```

```
%token Plus_token Minus_token
```

```
%token EOL
```

```
%start main
```

```
%type <expr> main
```

```
%%
```



# Example - Parser (exprparse.mly)

expr:

term

{ Term\_as\_Expr \$1 }

| term Plus\_token expr

{ Plus\_Expr (\$1, \$3) }

| term Minus\_token expr

{ Minus\_Expr (\$1, \$3) }

## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
```

# Example - Parser (exprparse.mly)

term:

factor

{ Factor\_as\_Term \$1 }

| factor Times\_token term

{ Mult\_Term (\$1, \$3) }

| factor Divide\_token term

{ Div\_Term (\$1, \$3) }

## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
```

# Example - Parser (exprparse.mly)

factor:

Id\_token

{ Id\_as\_Factor \$1 }

| Left\_parenthesis expr Right\_parenthesis

{ Parenthesized\_Expr\_as\_Factor \$2 }

main:

| expr EOL

{ \$1 }

## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

# Example - Using Parser

```
# #use "expr.ml";;
```

```
...
```

```
# #use "exprparse.ml";;
```

```
...
```

```
# #use "exprlex.ml";;
```

```
...
```

```
# let test s =
```

```
  let lexbuf = Lexing.from_string (s^"\n") in  
    main token lexbuf;;
```

# Example - Using Parser

```
# test "a + b";;
```

```
- : expr =
```

```
Plus_Expr
```

```
(Factor_as_Term (Id_as_Factor "a"),
```

```
Term_as_Expr
```

```
(Factor_as_Term (Id_as_Factor "b"))
```

```
)
```

## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

# LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

# Example

( 0 + 1 ) + 0



# Example

( 0 + 1 ) + 0



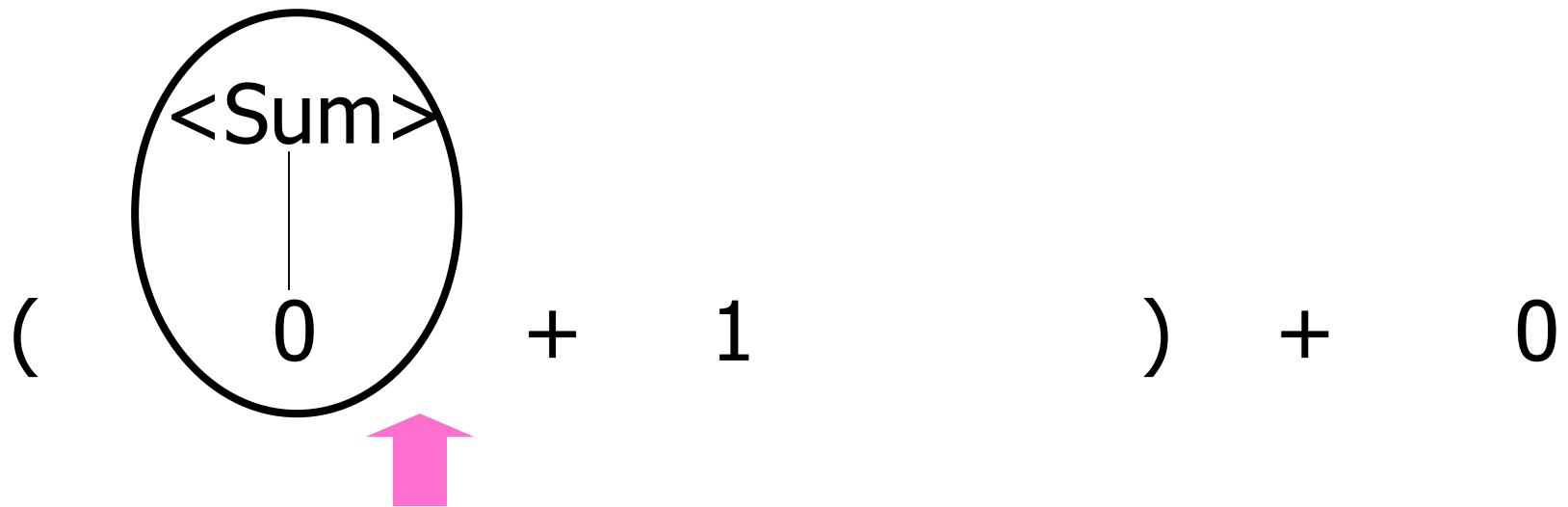


# Example

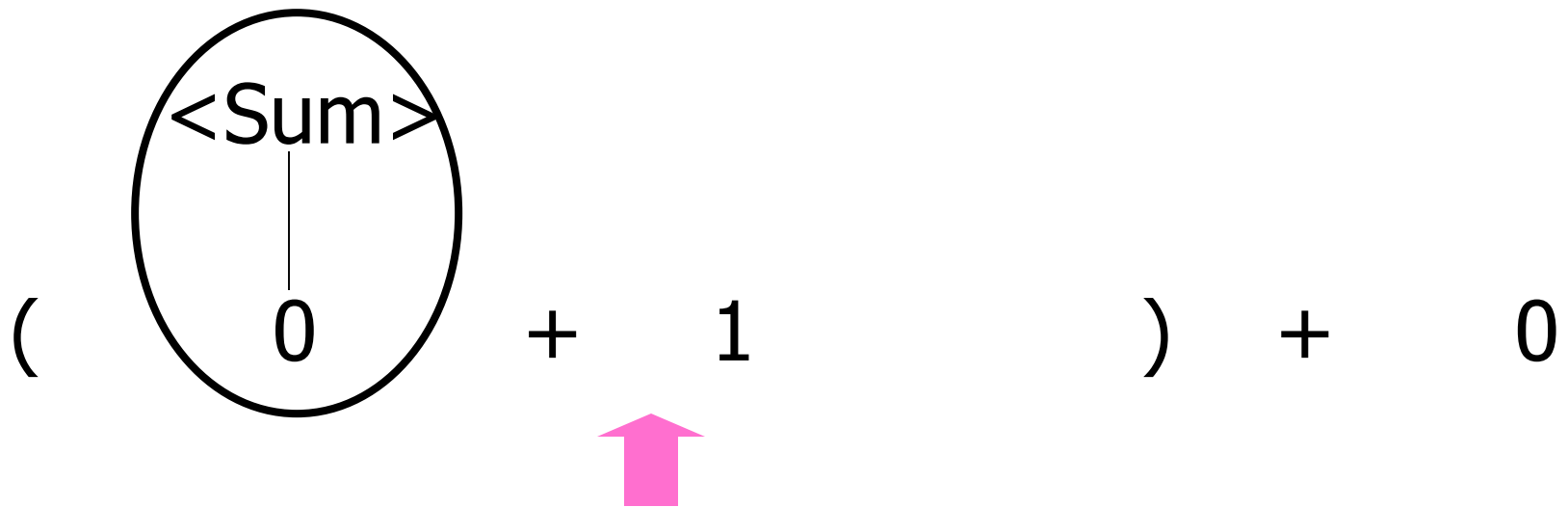
( 0 + 1 ) + 0



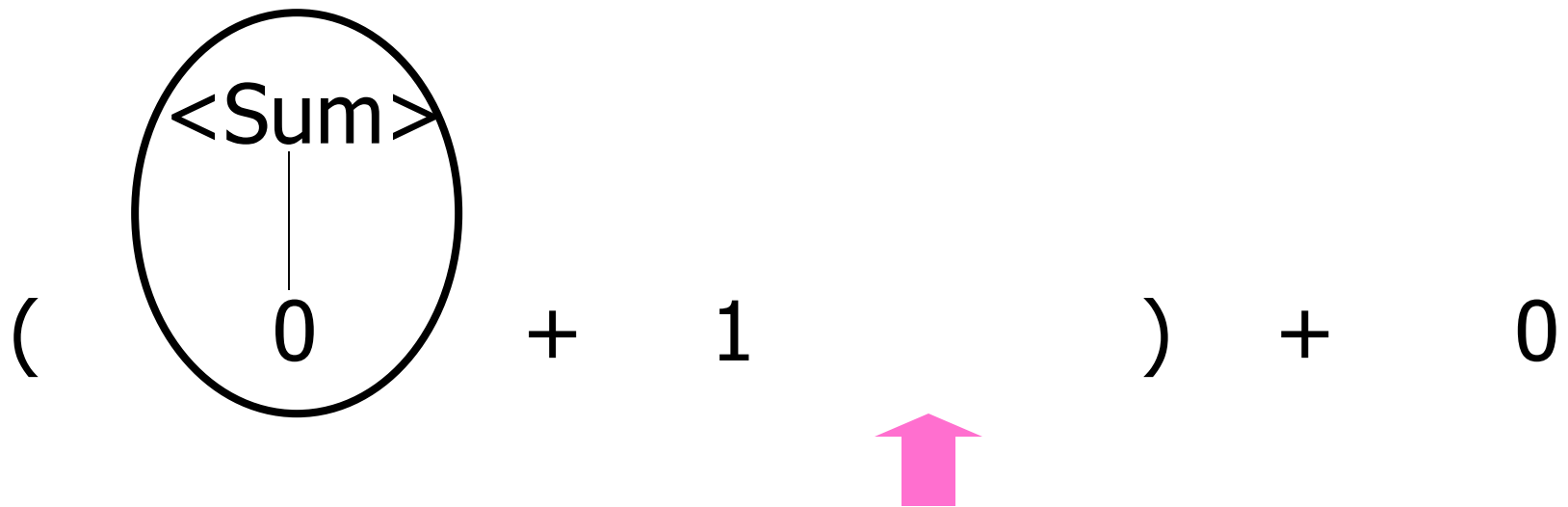
# Example



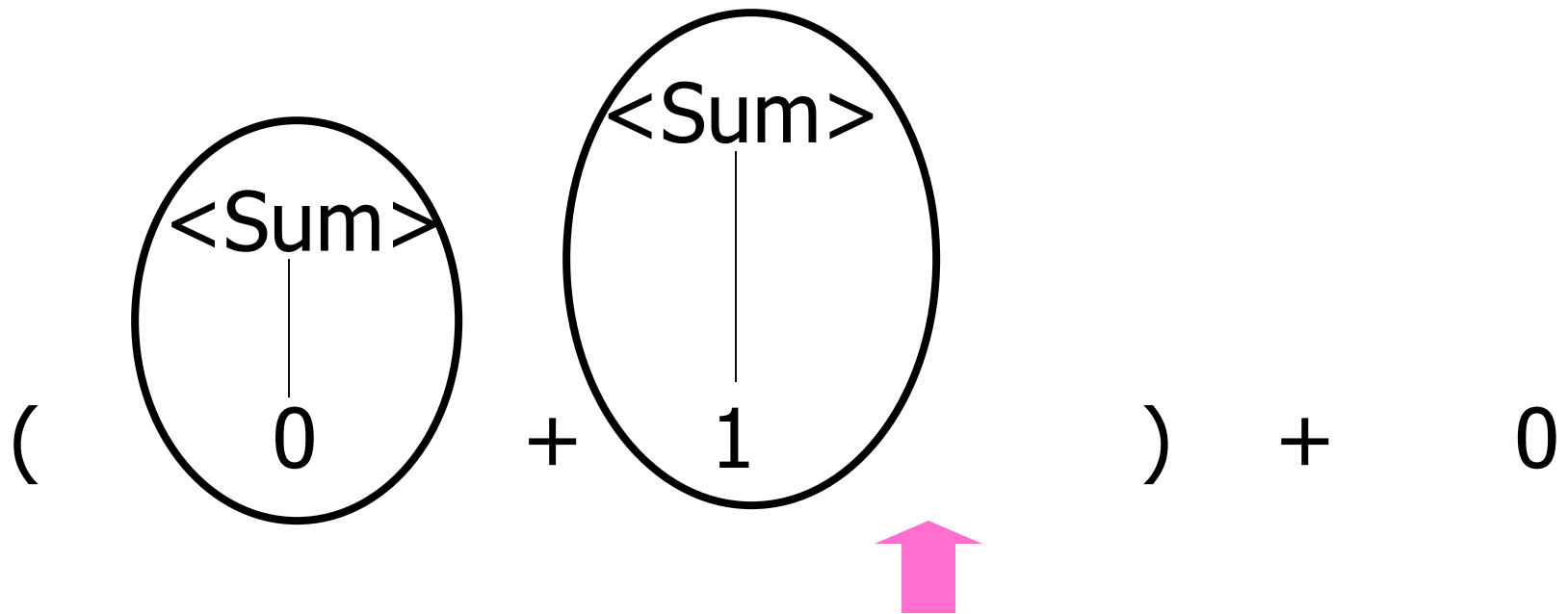
# Example



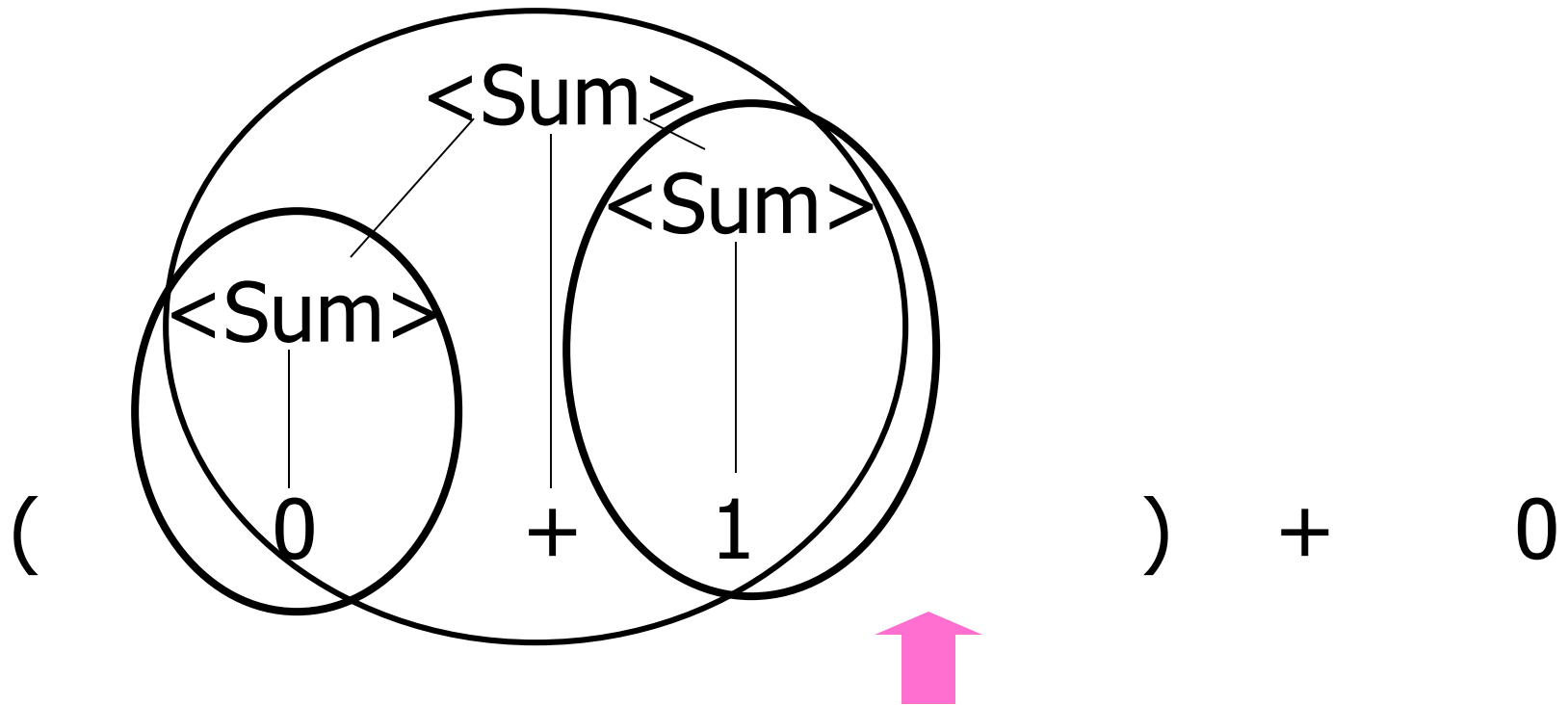
# Example



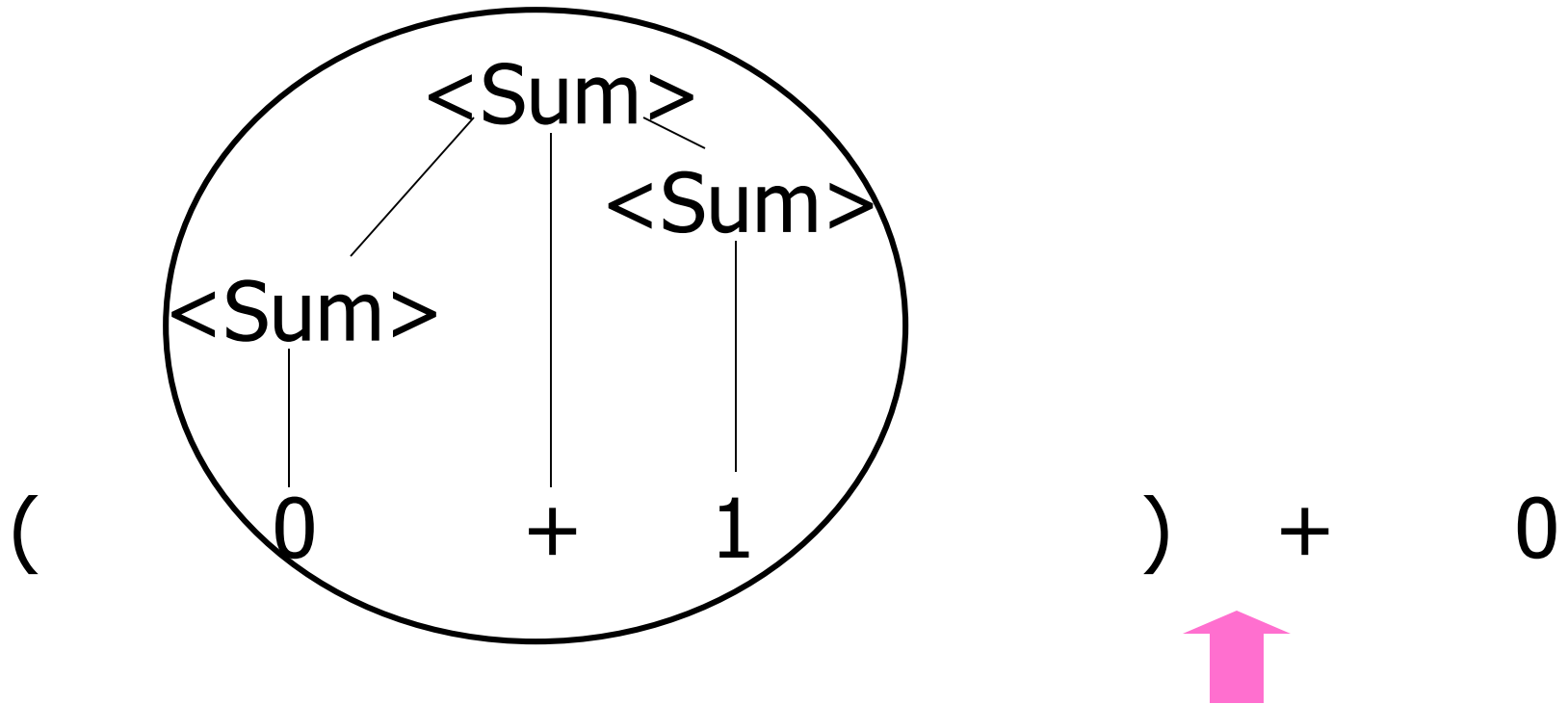
# Example



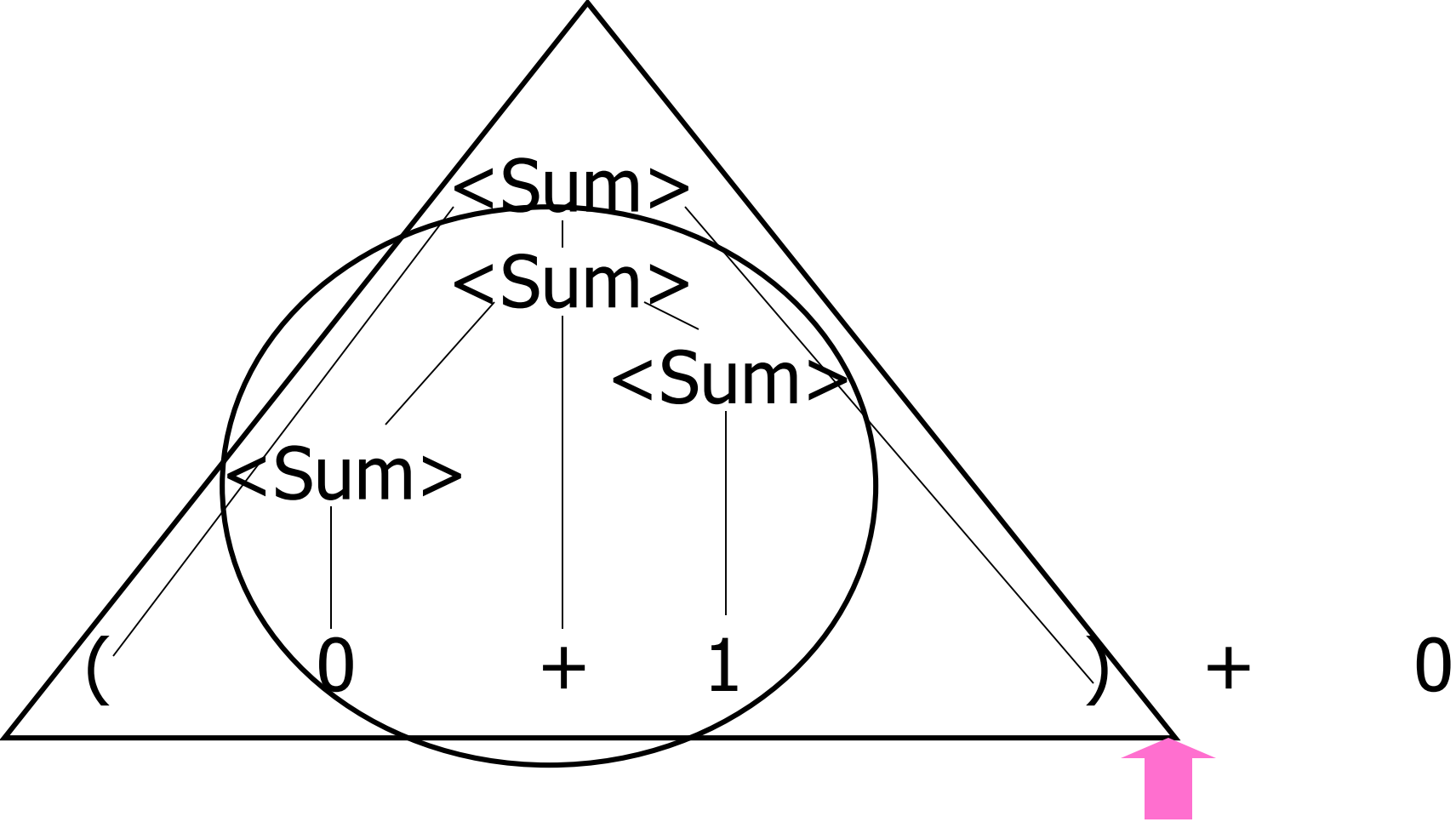
# Example



# Example

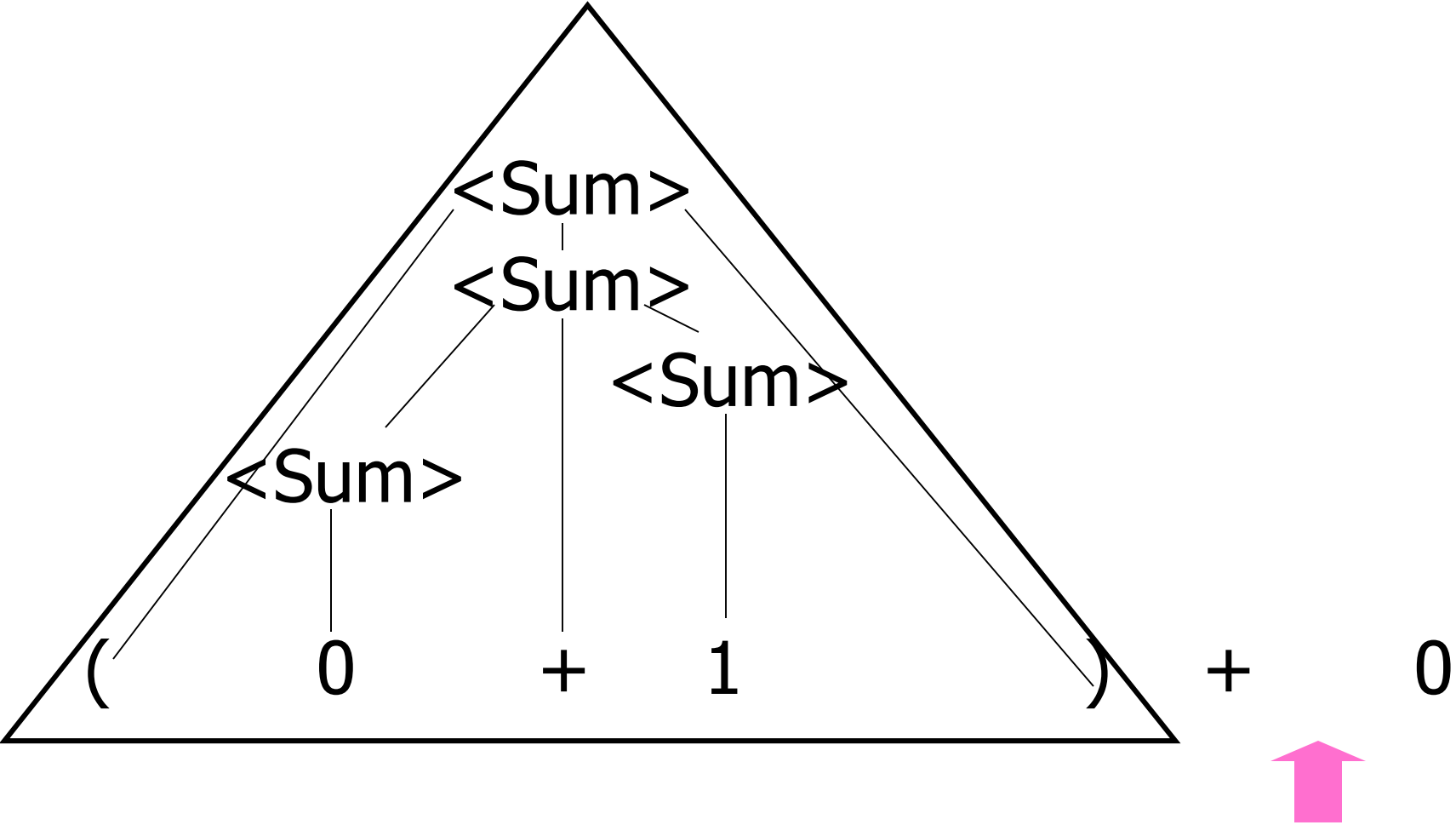


# Example

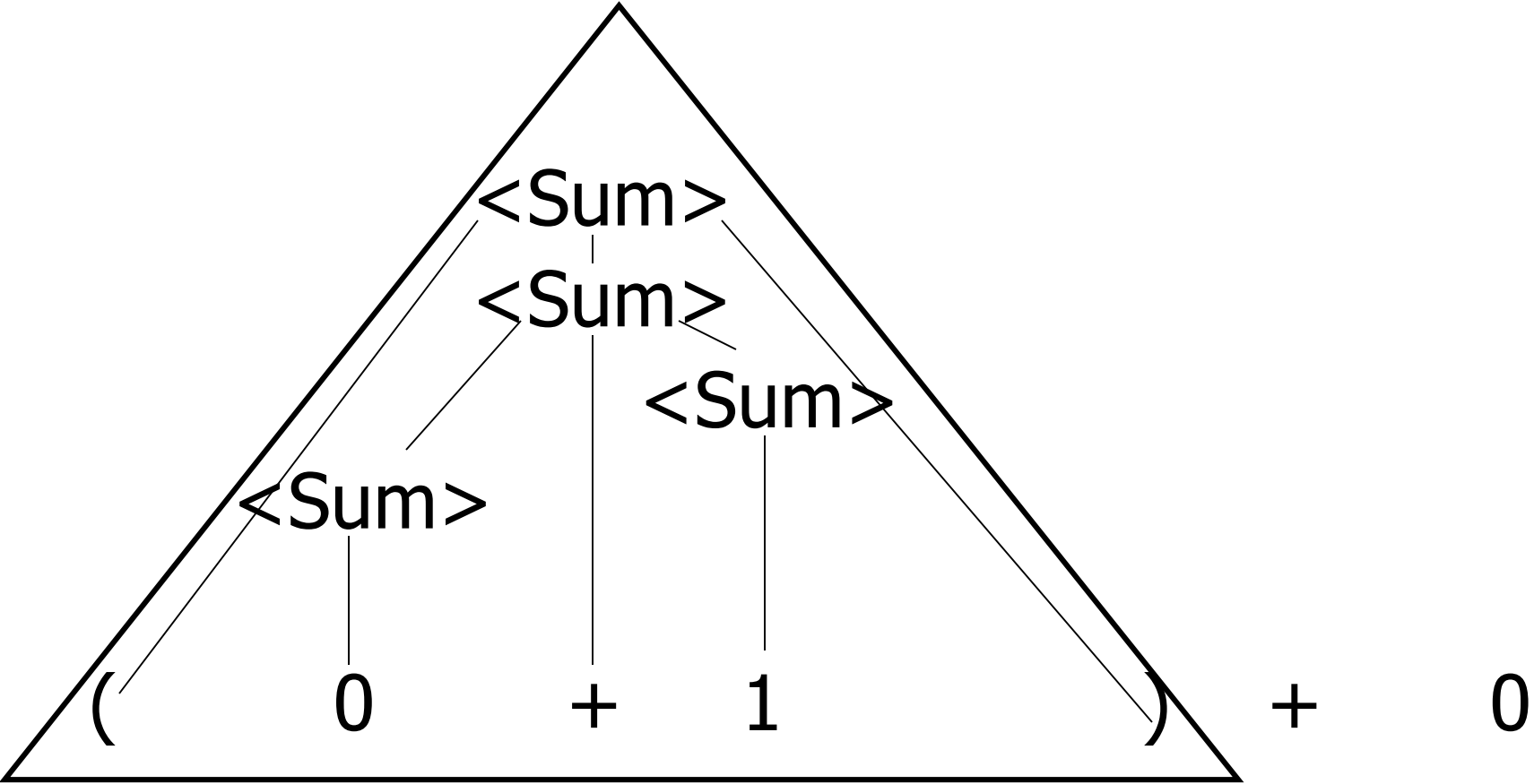




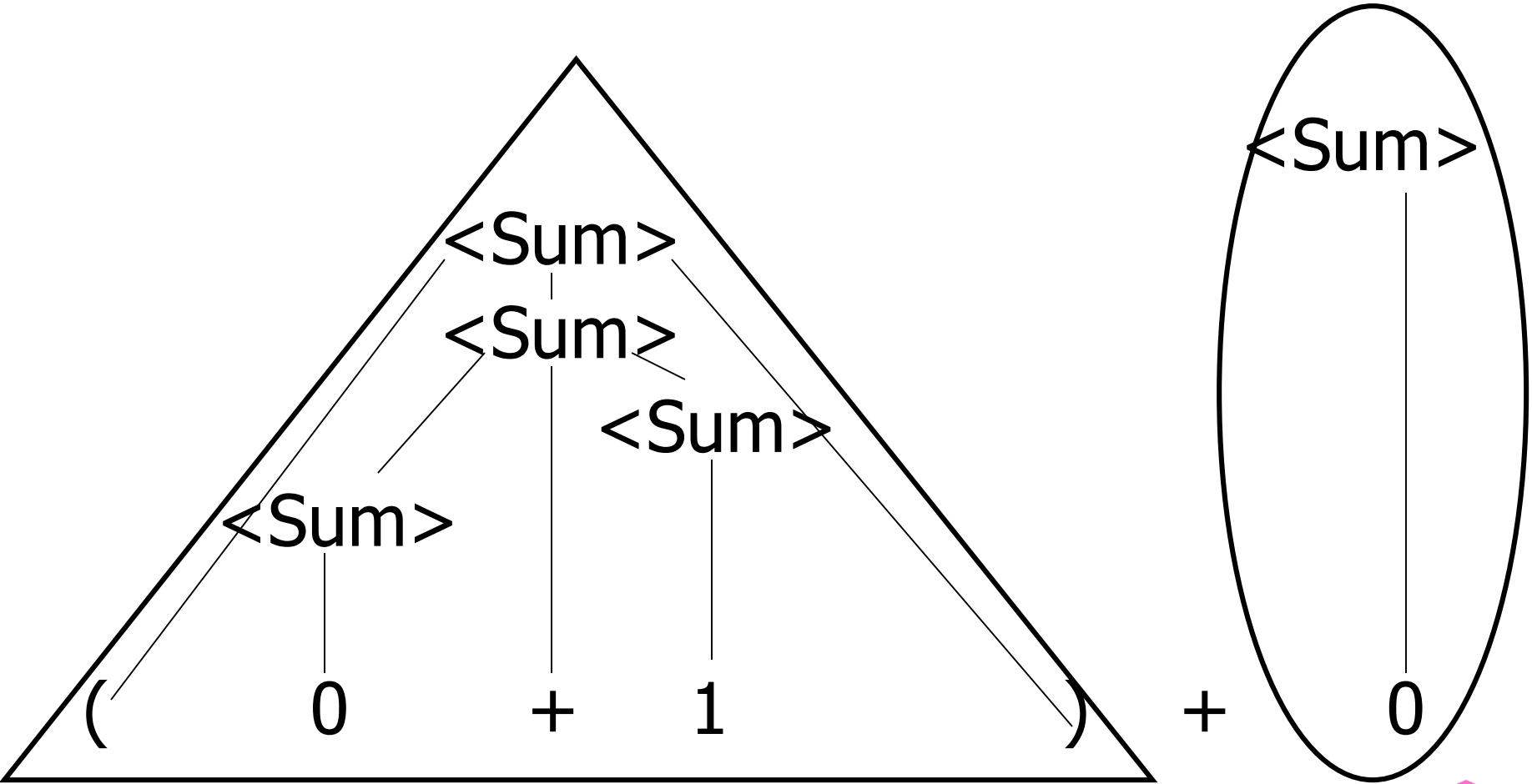
# Example



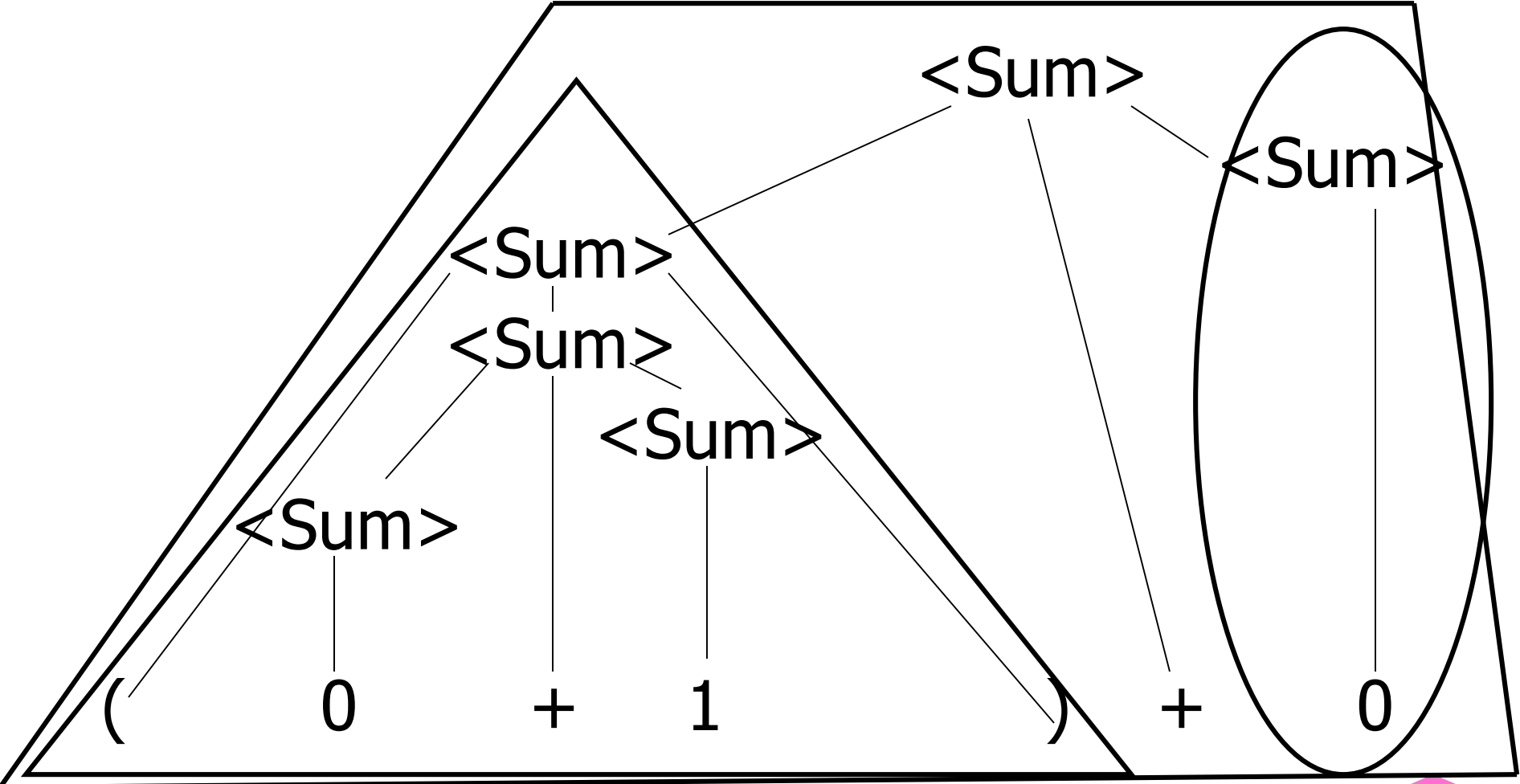
# Example



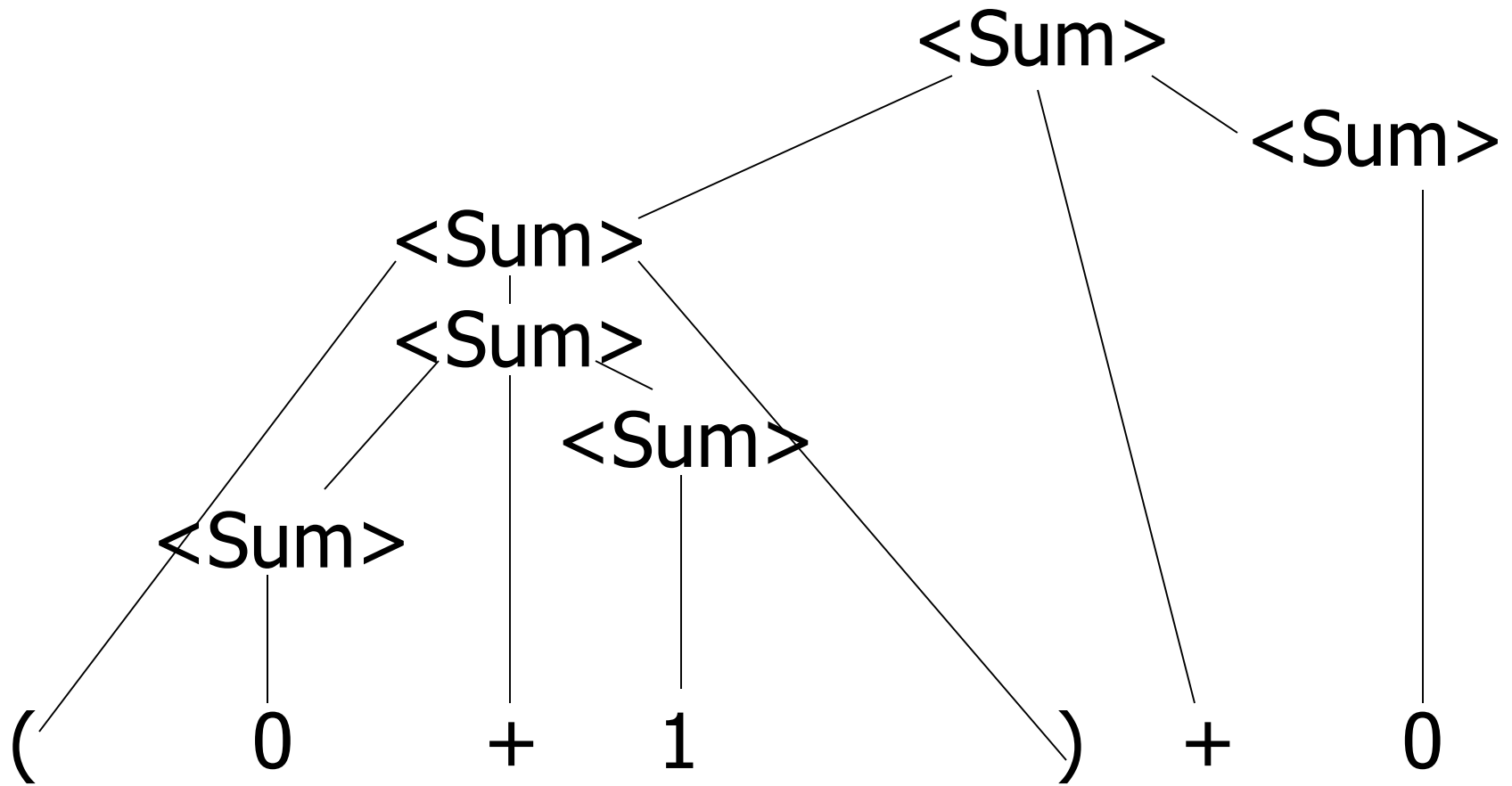
# Example



# Example



# Example



# LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
  - This is the hardest part, we omit here
  - Rows labeled by states
  - For Action, columns labeled by terminals and “end-of-tokens” marker
    - (more generally strings of terminals of fixed length)
  - For Goto, columns labeled by non-terminals

# Action and Goto Tables

- Given a state and the next input, Action table says either
  - **shift** and go to state  $n$ , or
  - **reduce** by production  $k$  (explained in a bit)
  - **accept** or **error**
- Given a state and a non-terminal, Goto table says
  - go to state  $m$

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \bullet (0 + 1) + 0$       shift



Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\bullet 0 + 1) + 0$       shift  
 $= \bullet (0 + 1) + 0$       shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 \bullet + 1) + 0$

$= (\bullet 0 + 1) + 0$

$= \bullet (0 + 1) + 0$

reduce

shift

shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle \bullet + 1) + 0$       shift  
 $\Rightarrow (0 \bullet + 1) + 0$       reduce  
 $= (\bullet 0 + 1) + 0$       shift  
 $= \bullet (0 + 1) + 0$       shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$=$	$( \langle \text{Sum} \rangle + \bullet 1 ) + 0$	shift
$=$	$( \langle \text{Sum} \rangle \bullet + 1 ) + 0$	shift
$\Rightarrow$	$( 0 \bullet + 1 ) + 0$	reduce
$=$	$( \bullet 0 + 1 ) + 0$	shift
$=$	$\bullet ( 0 + 1 ) + 0$	shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow ( \langle \text{Sum} \rangle + 1 \bullet ) + 0$	reduce
$= ( \langle \text{Sum} \rangle + \bullet 1 ) + 0$	shift
$= ( \langle \text{Sum} \rangle \bullet + 1 ) + 0$	shift
$\Rightarrow ( 0 \bullet + 1 ) + 0$	reduce
$= ( \bullet 0 + 1 ) + 0$	shift
$= \bullet ( 0 + 1 ) + 0$	shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$  reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$  reduce  
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$  shift  
 $\Rightarrow (0 \bullet + 1) + 0$  reduce  
 $= (\bullet 0 + 1) + 0$  shift  
 $= \bullet (0 + 1) + 0$  shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle \bullet) + 0$       shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$       reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$       reduce  
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$       shift  
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$       shift  
 $\Rightarrow (0 \bullet + 1) + 0$       reduce  
 $= (\bullet 0 + 1) + 0$       shift  
 $= \bullet (0 + 1) + 0$       shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$       reduce  
 $= (\langle \text{Sum} \rangle \bullet) + 0$       shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$       reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$       reduce  
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$       shift  
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$       shift  
 $\Rightarrow (0 \bullet + 1) + 0$       reduce  
 $= (\bullet 0 + 1) + 0$       shift  
 $= \bullet (0 + 1) + 0$       shift



Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

=	$\langle \text{Sum} \rangle \bullet + 0$	shift
=>	$(\langle \text{Sum} \rangle) \bullet + 0$	reduce
=	$(\langle \text{Sum} \rangle \bullet) + 0$	shift
=>	$(\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
=>	$(\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
=	$(\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
=	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
=>	$(0 \bullet + 1) + 0$	reduce
=	$(\bullet 0 + 1) + 0$	shift
=	$\bullet (0 + 1) + 0$	shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= \langle \text{Sum} \rangle + \bullet 0$  shift  
 $= \langle \text{Sum} \rangle \bullet + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$  reduce  
 $= (\langle \text{Sum} \rangle \bullet) + 0$  shift  
 $\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$  reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$  reduce  
 $= (\langle \text{Sum} \rangle + \bullet 1) + 0$  shift  
 $= (\langle \text{Sum} \rangle \bullet + 1) + 0$  shift  
 $\Rightarrow (0 \bullet + 1) + 0$  reduce  
 $= (\bullet 0 + 1) + 0$  shift  
 $= \bullet (0 + 1) + 0$  shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow$		
	$\Rightarrow$	$\langle \text{Sum} \rangle + 0 \bullet$	reduce
	$=$	$\langle \text{Sum} \rangle + \bullet 0$	shift
	$=$	$\langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow$	$( \langle \text{Sum} \rangle ) \bullet + 0$	reduce
	$=$	$( \langle \text{Sum} \rangle \bullet ) + 0$	shift
	$\Rightarrow$	$( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet ) + 0$	reduce
	$\Rightarrow$	$( \langle \text{Sum} \rangle + 1 \bullet ) + 0$	reduce
	$=$	$( \langle \text{Sum} \rangle + \bullet 1 ) + 0$	shift
	$=$	$( \langle \text{Sum} \rangle \bullet + 1 ) + 0$	shift
	$\Rightarrow$	$( 0 \bullet + 1 ) + 0$	reduce
	$=$	$( \bullet 0 + 1 ) + 0$	shift
	$=$	$\bullet ( 0 + 1 ) + 0$	shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle$	$\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$	reduce
	$\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$	reduce
	$= \langle \text{Sum} \rangle + \bullet 0$	shift
	$= \langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
	$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
	$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
	$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
	$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
	$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
	$\Rightarrow (0 \bullet + 1) + 0$	reduce
	$= (\bullet 0 + 1) + 0$	shift
	$= \bullet (0 + 1) + 0$	shift

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \bullet$	$\Rightarrow$	$\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$	reduce
	$\Rightarrow$	$\langle \text{Sum} \rangle + 0 \bullet$	reduce
	$=$	$\langle \text{Sum} \rangle + \bullet 0$	shift
	$=$	$\langle \text{Sum} \rangle \bullet + 0$	shift
	$\Rightarrow$	$(\langle \text{Sum} \rangle) \bullet + 0$	reduce
	$=$	$(\langle \text{Sum} \rangle \bullet) + 0$	shift
	$\Rightarrow$	$(\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
	$\Rightarrow$	$(\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
	$=$	$(\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
	$=$	$(\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
	$\Rightarrow$	$(0 \bullet + 1) + 0$	reduce
	$=$	$(\bullet 0 + 1) + 0$	shift
	$=$	$\bullet (0 + 1) + 0$	shift

# LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

# LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next  $i$  tokens from token stream ( $toks$ ) (don't remove yet)
4. If top symbol on stack is **state**( $n$ ), look up action in Action table at  $(n, toks)$

# LR(i) Parsing Algorithm

5. If action = **shift**  $m$ ,

- a) Remove the top token from token stream and push it onto the stack
- b) Push **state**( $m$ ) onto stack
- c) Go to step 3



# LR(i) Parsing Algorithm

6. If action = **reduce**  $k$  where production  $k$  is

$E ::= u$

- a) Remove  $2 * \text{length}(u)$  symbols from stack ( $u$  and all the interleaved states)
- b) If new top symbol on stack is **state**( $m$ ), look up new state  $p$  in  $\text{Goto}(m, E)$
- c) Push  $E$  onto the stack, then push **state**( $p$ ) onto the stack
- d) Go to step 3

# LR(i) Parsing Algorithm

7. If action = **accept**

- Stop parsing, return success

8. If action = **error**,

- Stop parsing, return failure

# Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
  - gather the recorded attributes from each non-terminal popped from stack
  - Compute new attribute for non-terminal pushed onto stack

# Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

● 0 + 1 + 0      shift  
-> 0 ● + 1 + 0      reduce  
-> <Sum> ● + 1 + 0      shift  
-> <Sum> + ● 1 + 0      shift  
-> <Sum> + 1 ● + 0      reduce  
-> <Sum> + <Sum> ● + 0

# Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

# Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

# Example

- $S ::= A \mid aB$      $A ::= abc$      $B ::= bc$

● abc                    shift

a ● bc                    shift

ab ● c                    shift

abc ●

- Problem: reduce by  $B ::= bc$  then by  $S$   
 $::= aB$ , or by  $A ::= abc$  then  $S ::= A$ ?