

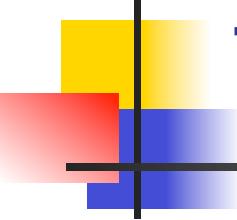
# Programming Languages and Compilers (CS 421)



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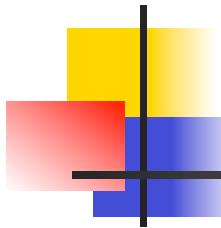
Based in part on slides by Mattox Beckman, as updated  
by Vikram Adve and Gul Agha



# Two Problems

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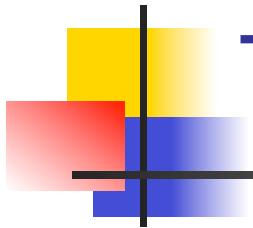
- Type checking
  - Question: Does exp.  $e$  have type  $\tau$  in env  $\Gamma$ ?
  - Answer: Yes / No
  - Method: Type **derivation**
- Typability
  - Question Does exp.  $e$  have **some type** in env.  $\Gamma$ ?  
If so, what is it?
  - Answer: Type  $\tau$  / error
  - Method: Type **inference**



# Type Inference - Outline

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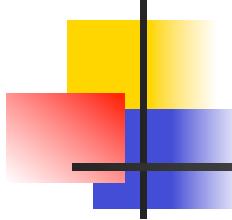
- Begin by assigning a type variable as the type of the whole expression
- Decompose the expression into component expressions
- Use typing rules to generate constraints on components and whole
- Recursively find substitution that solves typing judgment of first subcomponent
- Apply substitution to next subcomponent and find substitution solving it; compose with first, etc.
- Apply comp of all substitution to orig. type var. to get answer



# Type Inference - Example

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- What type can we give to  
 $(\text{fun } x \rightarrow \text{fun } f \rightarrow f x)$
- Start with a type variable and then look at the way the term is constructed



# Type Inference - Example

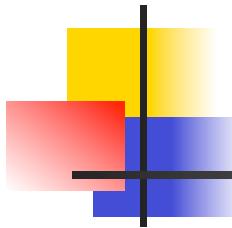
- First approximate:

$$[ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha$$

- Second approximate: use fun rule

$$\frac{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}{[ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha}$$

- Remember constraint  $\alpha \equiv (\beta \rightarrow \gamma)$

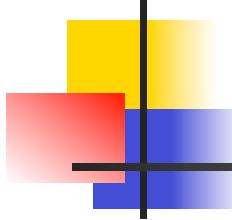


# Type Inference - Example

- Third approximate: use fun rule

$$\frac{[f : \delta ; x : \beta] \vdash f(f x) : \varepsilon}{\frac{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma}{[\ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha}}$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

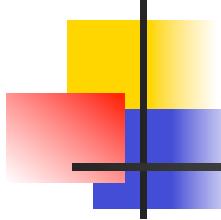
- Fourth approximate: use app rule

$$\frac{[f:\delta; x:\beta] \vdash f : \varphi \rightarrow \varepsilon \quad [f:\delta; x:\beta] \vdash f x : \varphi}{[f : \delta ; x : \beta] \vdash (f (f x)) : \varepsilon}$$

$$\frac{}{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}$$

$$\frac{}{[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha}$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

- Fifth approximate: use var rule, get constraint  $\delta \equiv \varphi \rightarrow \varepsilon$ , Solve with same
- Apply to next sub-proof

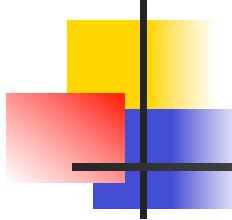
$$\frac{[f:\delta; x:\beta] \vdash f : \varphi \rightarrow \varepsilon \quad [f:\delta; x:\beta] \vdash f x : \varphi}{}$$

$$\frac{}{[f : \delta ; x : \beta] \vdash (f (f x)) : \varepsilon}$$

$$\frac{}{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}$$

$$\frac{}{[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha}$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$

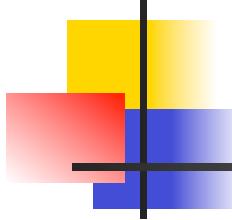


# Type Inference - Example

- Current subst:  $\{\delta \equiv \varphi \rightarrow \varepsilon\}$

$$\frac{\dots \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f x : \varphi}{[f : \delta ; x : \beta] \vdash (f(f x)) : \varepsilon}$$
$$\underline{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma}$$
$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$

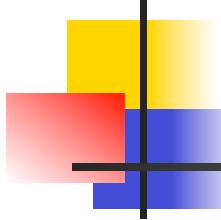


# Type Inference - Example

- Current subst:  $\{\delta \equiv \varphi \rightarrow \varepsilon\}$

$$\frac{[f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f:\zeta \rightarrow \varphi \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash x:\zeta}{\dots \quad \frac{[f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f\ x : \varphi}{[f : \delta ; x : \beta] \vdash (f\ (f\ x)) : \varepsilon}}$$
$$\underline{[x : \beta] \vdash (\text{fun } f \rightarrow f\ (f\ x)) : \gamma}$$
$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f\ (f\ x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

- Current subst:  $\{\delta \equiv \varphi \rightarrow \varepsilon\}$
- Var rule: Solve  $\zeta \rightarrow \varphi \equiv \varphi \rightarrow \varepsilon$  **Unification**

$$\frac{[f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f:\zeta \rightarrow \varphi \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash x:\zeta}{}$$

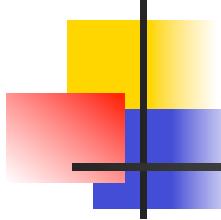
$$\frac{\dots \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f x : \varphi}{}$$

$$\frac{[f : \delta ; x : \beta] \vdash (f (f x)) : \varepsilon}{}$$

$$\frac{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}{}$$

$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

- Current subst:  $\{\zeta \equiv \varepsilon, \varphi \equiv \varepsilon\} \circ \{\delta \equiv \varphi \rightarrow \varepsilon\}$
- Var rule: Solve  $\zeta \rightarrow \varphi \equiv \varphi \rightarrow \varepsilon$  **Unification**

$$\frac{[f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f:\zeta \rightarrow \varphi \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash x:\zeta}{}$$

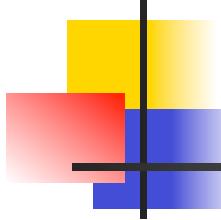
$$\frac{\dots \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f x : \varphi}{}$$

$$\underline{[f : \delta ; x : \beta] \vdash (f (f x)) : \varepsilon}$$

$$\underline{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}$$

$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$

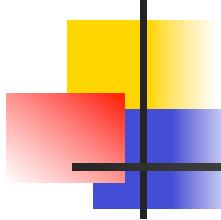


# Type Inference - Example

- Current subst:  $\{\zeta \equiv \varepsilon, \varphi \equiv \varepsilon, \delta \equiv \varepsilon \rightarrow \varepsilon\}$
- Apply to next sub-proof

$$\frac{\dots \quad \frac{[f:\varepsilon \rightarrow \varepsilon; x:\beta] \vdash x:\varepsilon}{\dots \quad \frac{[f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f x : \varphi}{[f : \delta ; x : \beta] \vdash (f(f x)) : \varepsilon}}}{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma} \quad [ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$

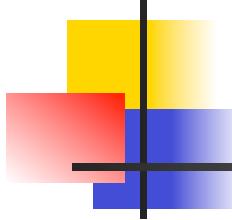


# Type Inference - Example

- Current subst:  $\{\zeta \equiv \varepsilon, \varphi \equiv \varepsilon, \delta \equiv \varepsilon \rightarrow \varepsilon\}$
- Var rule:  $\varepsilon \equiv \beta$

$$\frac{\text{...} \quad \frac{\text{[f:}\varepsilon\rightarrow\varepsilon;\text{x:}\beta\text{]} \vdash \text{x:}\varepsilon}{\text{[f:}\varphi\rightarrow\varepsilon;\text{x:}\beta\text{]} \vdash \text{f x : }\varphi}}{\text{[f : }\delta\text{ ; x : }\beta\text{]} \vdash (\text{f (f x)}) : \varepsilon}$$
$$\underline{\text{[x : }\beta\text{]} \vdash (\text{fun f -> f (f x)}) : \gamma}$$
$$[\ ] \vdash (\text{fun x -> fun f -> f (f x)}) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$

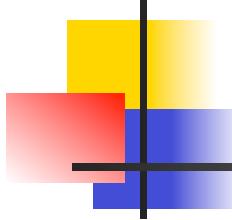


# Type Inference - Example

- Current subst:  $\{\varepsilon = \beta\} \circ \{\zeta = \varepsilon, \varphi = \varepsilon, \delta = \varepsilon \rightarrow \varepsilon\}$
- Solves subproof; return one layer

$$\frac{\text{...} \quad \frac{\text{[f:}\varepsilon\rightarrow\varepsilon;\text{x:}\beta\text{]} \vdash \text{x:}\varepsilon}{\text{[f:}\varphi\rightarrow\varepsilon;\text{x:}\beta\text{]} \vdash \text{f x : }\varphi}}{\text{[f : }\delta\text{ ; x : }\beta\text{]} \vdash (\text{f (f x)}) : \varepsilon}$$
$$\frac{\text{[x : }\beta\text{]} \vdash (\text{fun f } \rightarrow \text{ f (f x)}) : \gamma}{[\ ] \vdash (\text{fun x } \rightarrow \text{ fun f } \rightarrow \text{ f (f x)}) : \alpha}$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



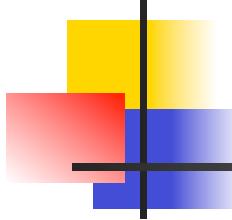
# Type Inference - Example

- Current subst:  $\{\varepsilon = \beta, \zeta = \beta, \varphi = \beta, \delta = \beta \rightarrow \beta\}$
- Solves this subproof; return one layer

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$$\frac{\dots \quad [f:\varphi \rightarrow \varepsilon; x:\beta] \vdash f x : \varphi}{[f : \delta ; x : \beta] \vdash (f(f x)) : \varepsilon}$$
$$\underline{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma}$$
$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



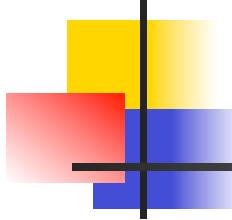
# Type Inference - Example

- Current subst:  $\{\varepsilon = \beta, \zeta = \beta, \varphi = \beta, \delta = \beta \rightarrow \beta\}$
- Need to satisfy constraint  $\gamma \equiv (\delta \rightarrow \varepsilon)$ ,  
given subst:  $\gamma \equiv ((\beta \rightarrow \beta) \rightarrow \beta)$

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$$\frac{[f : \delta ; x : \beta] \vdash (f(f x)) : \varepsilon}{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma} \quad \frac{[ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha}{}$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

- Current subst:

$$\{\gamma \equiv ((\beta \rightarrow \beta) \rightarrow \beta), \varepsilon \equiv \beta, \zeta \equiv \beta, \varphi \equiv \beta, \delta \equiv \beta \rightarrow \beta\}$$

- Solves subproof; return one layer

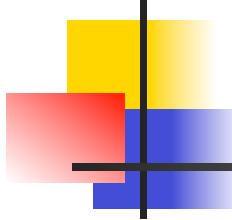
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$$\boxed{[f : \delta ; x : \beta] \vdash (f(f x)) : \varepsilon}$$

$$\boxed{[x : \beta] \vdash (\text{fun } f \rightarrow f(f x)) : \gamma}$$

$$[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha$$

- $\alpha \equiv (\beta \rightarrow \gamma); \gamma \equiv (\delta \rightarrow \varepsilon)$



# Type Inference - Example

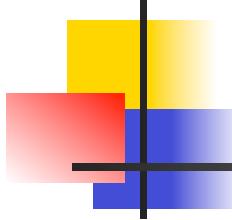
- Current subst:

$$\{\gamma \equiv ((\beta \rightarrow \beta) \rightarrow \beta), \varepsilon \equiv \beta, \zeta \equiv \beta, \varphi \equiv \beta, \delta \equiv \beta \rightarrow \beta\}$$

- Need to satisfy constraint  $\alpha \equiv (\beta \rightarrow \gamma)$   
given subst:  $\alpha \equiv (\beta \rightarrow ((\beta \rightarrow \beta) \rightarrow \beta))$

$$\frac{[x : \beta] \vdash (\text{fun } f \rightarrow f(x)) : \gamma}{[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(x)) : \alpha}$$

- $\alpha \equiv (\beta \rightarrow \gamma);$



# Type Inference - Example

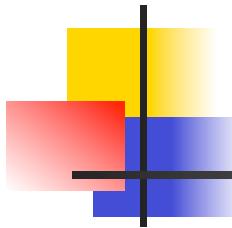
- Current subst:

$$\{\alpha \equiv (\beta \rightarrow ((\beta \rightarrow \beta) \rightarrow \beta)),$$
$$\gamma \equiv ((\beta \rightarrow \beta) \rightarrow \beta), \varepsilon \equiv \beta, \zeta \equiv \beta, \varphi \equiv \beta, \delta \equiv \beta \rightarrow \beta\}$$

- Solves subproof; return on layer

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$$\frac{[x : \beta] \vdash (\text{fun } f \rightarrow f (f x)) : \gamma}{[] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f (f x)) : \alpha}$$



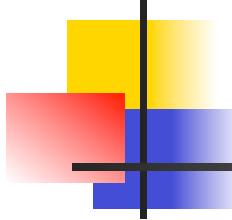
# Type Inference - Example

- Current subst:

$\{\alpha \equiv (\beta \rightarrow ((\beta \rightarrow \beta) \rightarrow \beta)),$   
 $\gamma \equiv ((\beta \rightarrow \beta) \rightarrow \beta), \varepsilon \equiv \beta, \zeta \equiv \beta, \varphi \equiv \beta, \delta \equiv \beta \rightarrow \beta\}$

- Done:  $\alpha \equiv (\beta \rightarrow ((\beta \rightarrow \beta) \rightarrow \beta))$

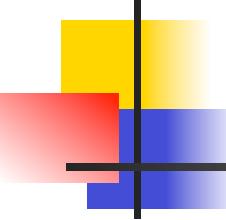
$[ ] \vdash (\text{fun } x \rightarrow \text{fun } f \rightarrow f(f x)) : \alpha$



# Type Inference Algorithm

Let  $\text{infer}(\Gamma, e, \tau) = \sigma$

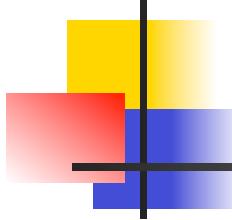
- $\Gamma$  is a typing environment (giving polymorphic types to expression variables)
- $e$  is an expression
- $\tau$  is a type (with type variables),
- $\sigma$  is a substitution of types for type variables
- Idea:  $\sigma$  is the constraints on type variables necessary for  $\Gamma \vdash e : \tau$
- Should have  $\sigma(\Gamma) \vdash e : \sigma(\tau)$



# Type Inference Algorithm

`has_type ( $\Gamma$ ,  $exp$ ,  $\tau$ ) =`

- Case  $exp$  of
  - Var  $v \rightarrow$  return  $\text{Unify}\{\tau \equiv \text{freshInstance}(\Gamma(v))\}$ 
    - Replace all quantified type vars by fresh ones
  - Const  $c \rightarrow$  return  $\text{Unify}\{\tau \equiv \text{freshInstance } \varphi\}$  where  $\Gamma \vdash c : \varphi$  by the constant rules
  - fun  $x \rightarrow e \rightarrow$ 
    - Let  $\alpha, \beta$  be fresh variables
    - Let  $\sigma = \text{infer}([x: \alpha] + \Gamma, e, \beta)$
    - Return  $\text{Unify}(\{\sigma(\tau) \equiv \sigma(\alpha \rightarrow \beta)\}) \circ \sigma$

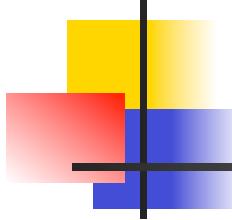


# Type Inference Algorithm (cont)

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- Case  $\exp$  of

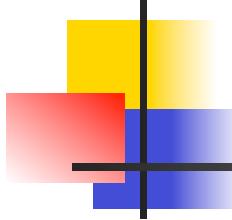
- App  $(e_1 \ e_2) \rightarrow$ 
  - Let  $\alpha$  be a fresh variable
  - Let  $\sigma_1 = \text{infer}(\Gamma, e_1, \alpha \rightarrow \tau)$
  - Let  $\sigma_2 = \text{infer}(\sigma(\Gamma), e_2, \sigma(\alpha))$
  - Return  $\sigma_2 \circ \sigma_1$



# Type Inference Algorithm (cont)

## ■ Case $exp$ of

- If  $e_1$  then  $e_2$  else  $e_3 \rightarrow$ 
  - Let  $\sigma_1 = \text{infer}(\Gamma, e_1, \text{bool})$
  - Let  $\sigma_2 = \text{infer}(\sigma\Gamma, e_2, \sigma_1(\tau))$
  - Let  $\sigma_3 = \text{infer}(\sigma_2 \circ \sigma_1(\Gamma), e_3, \sigma_2 \circ \sigma(\tau))$
  - Return  $\sigma_3 \circ \sigma_2 \circ \sigma_1$



# Type Inference Algorithm (cont)

- Case  $\exp$  of

- $\text{let } x = e_1 \text{ in } e_2 \dashrightarrow$

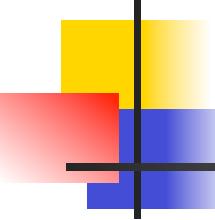
- Let  $\alpha$  be a fresh variable

- Let  $\sigma_1 = \text{infer}(\Gamma, e_1, \alpha)$

- Let  $\sigma_2 =$

- $\text{infer}([x:\text{GEN}(\sigma_1(\Gamma), \sigma_1(\alpha))]) + \sigma_1(\Gamma), e_2, \sigma_1(\tau))$

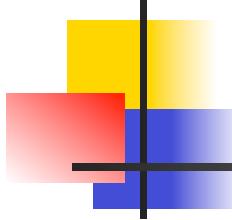
- Return  $\sigma_2 \circ \sigma_1$



# Type Inference Algorithm (cont)

## ■ Case $exp$ of

- let rec  $x = e_1$  in  $e_2 \rightarrow$ 
  - Let  $\alpha$  be a fresh variable
  - Let  $\sigma_1 = \text{infer}([x: \alpha] + \Gamma, e_1, \alpha)$
  - Let  $\sigma_2 = \text{infer}([x:[x:\text{GEN}(\sigma_1(\Gamma), \sigma_1(\alpha))]] + \sigma_1(\Gamma) + \sigma_1(\Gamma), e_2, \sigma_1(\tau))$
  - Return  $\sigma_2 \circ \sigma_1$



# Type Inference Algorithm (cont)

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- To infer a type, introduce `type_of`
- Let  $\alpha$  be a fresh variable
- $\text{type\_of } (\Gamma, e) =$ 
  - Let  $\sigma = \text{infer } (\Gamma, e, \alpha)$
  - Return  $\sigma(\alpha)$
- Need an algorithm for `Unif`