## CS/ECE 374: Algorithms \& Models of Computation

## Intractability and Reductions

Lecture 22
April 18, 2023

## Course Outline

- Part I: models of computation (reg exps, DFA/NFA, CFGs, TMs)
- Part II: (efficient) algorithm design
- Part III: intractability via reductions
- Undecidablity: problems that have no algorithms
- NP-Completeness: problems unlikely to have efficient algorithms unless $P=N P$


## Part I

## Intractability and Lower Bounds

## Turing Machines and Church-Turing Thesis

Turing defined TMs as a machine model of computation
Church-Turing thesis: any function that is computable can be computed by TMs

Efficient Church-Turing thesis: any function that is computable can be computed by TMs with only a polynomial slow-down

## Computability and Complexity Theory

- What functions can and cannot be computed by TMs?
- What functions/problems can and cannot be solved efficiently?


## Why?

- Foundational questions about computation
- Pragmatic: Can we solve our problem or not?
- Are we not being clever enough to find an efficient algorithm or should we stop because there isn't one or likely to be one?


## Lower Bounds and Impossibility Results

Prove that given problem cannot be solved (efficiently) on a TM. Informally we say that the problem is "hard".

Generally quite difficult: algorithms can be very non-trivial and clever.

## Reductions to Prove Intractability

A general methodology to prove impossibility results.

- Start with some known hard problem $\boldsymbol{X}$
- Reduce $\boldsymbol{X}$ to your favorite problem $\boldsymbol{Y}$

If $Y$ can be solved then so can $X \Rightarrow Y$ is also hard

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Who gives us the initial hard problem?

- Some clever person (Cantor/Gödel/Turing/Cook/Levin ...) who establish hardness of a fundamental problem
- Assume some core problem is hard because we haven't been able to solve it for a long time. This leads to conditional results


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Reduction is a powerful and unifying tool in Computer Science

## Decision Problems, Languages, Terminology

When proving hardness we limit attention to decision problems

- A decision problem $\boldsymbol{\Pi}$ is a collection of instances (strings)
- For each instance $\boldsymbol{I}$ of $\boldsymbol{\Pi}$, answer is YES or NO
- Equivalently: boolean function $f_{\Pi}: \boldsymbol{\Sigma}^{*} \rightarrow\{\mathbf{0}, \mathbf{1}\}$ where $f(I)=\mathbf{1}$ if $I$ is a YES instance, $f(I)=\mathbf{0}$ if NO instance
- Equivalently: language $L_{\Pi}=\{I \mid I$ is a YES instance $\}$


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Notation about encoding: distinguish I from encoding $\langle I\rangle$

- $\boldsymbol{n}$ is an integer. $\langle\boldsymbol{n}\rangle$ is the encoding of $\boldsymbol{n}$ in some format (could be unary, binary, decimal etc)
- $G$ is a graph. $\langle G\rangle$ is the encoding of $G$ in some format
- $M$ is a TM. $\langle M\rangle$ is the encoding of TM as a string according to some fixed convention


## Examples

- Given directed graph $G$, is it strongly connected? $\langle G\rangle$ is a YES instance if it is, otherwise NO instance
- Given number $\boldsymbol{n}$, is it a prime number? $L_{\text {PRIMES }}=\{\langle\boldsymbol{n}\rangle \mid \boldsymbol{n}$ is prime $\}$
- Given number $\boldsymbol{n}$ is it a composite number?
$L_{\text {COMPOSITE }}=\{\langle\boldsymbol{n}\rangle \mid n$ is a composite $\}$
- Given $G=(V, E), s, t, B$ is the shortest path distance from $s$ to $t$ at most $B$ ? Instance is $\langle G, s, t, B\rangle$


## Part II

## (Polynomial Time) Reductions

## Reductions for decision problems/languages

For languages $L_{X}, L_{Y}$, a reduction from $L_{X}$ to $L_{Y}$ is:
(1) An algorithm...
(2) Input: $w \in \boldsymbol{\Sigma}^{*}$
(3) Output: $w^{\prime} \in \boldsymbol{\Sigma}^{*}$
(4) Such that:

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w \in L_{Y} \Longleftrightarrow w^{\prime} \in L_{X}
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(Actually, this is only one type of reduction, but this is the one we will use for hardness.) There are other kinds of reductions.

## Reductions for decision problems/languages

For decision problems $X, Y$, a reduction from $X$ to $Y$ is:
(1) An algorithm ...
(2) Input: $\boldsymbol{I}_{\boldsymbol{X}}$, an instance of $\boldsymbol{X}$.
(3) Output: $I_{Y}$ an instance of $Y$.
(1) Such that: $\boldsymbol{I}_{\boldsymbol{Y}}$ is YES instance of $\boldsymbol{Y} \Longleftrightarrow \boldsymbol{I}_{\boldsymbol{X}}$ is YES instance of $\boldsymbol{X}$

## Reductions

(1) $\mathcal{R}$ : Reduction $X \rightarrow Y$
(2) $\mathcal{A}_{Y}$ : algorithm for $Y$ :
( $\Longrightarrow$ New algorithm for $\boldsymbol{X}$ :

$$
\begin{aligned}
\mathcal{A}_{X}\left(I_{X}\right): & \\
& / / I_{X}: \text { instance of } X . \\
& I_{Y} \Leftarrow \mathcal{R}\left(I_{X}\right) \\
& \text { return } \mathcal{A}_{Y}\left(I_{Y}\right)
\end{aligned}
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If $\mathcal{R}$ and $\mathcal{A}_{\boldsymbol{Y}}$ polynomial-time $\Longrightarrow \mathcal{A}_{\boldsymbol{X}}$ polynomial-time.

## Reductions and running time


$R(n)$ : running time of $\mathcal{R}$
$Q(n)$ : running time of $\mathcal{A}_{\boldsymbol{Y}}$
Question: What is running time of $\mathcal{A}_{\boldsymbol{X}}$ ?

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$R(n)$ : running time of $\mathcal{R}$
$Q(n)$ : running time of $\mathcal{A}_{\boldsymbol{r}}$
Question: What is running time of $\mathcal{A}_{X}$ ? $O(R(n)+Q(R(n)))$. Why?

- If $\boldsymbol{I}_{X}$ has size $\boldsymbol{n}, \mathcal{R}$ creates an instance $\boldsymbol{I}_{Y}$ of size at most $R(\boldsymbol{n})$
- $\mathcal{A}_{\mathcal{Y}}$ 's time on $I_{Y}$ is by definition at most $Q\left(\left|I_{Y}\right|\right) \leq Q(R(n))$.

Example: If $R(n)=n^{2}$ and $Q(n)=n^{1.5}$ then $\mathcal{A}_{X}$ is $O\left(n^{3}\right)$

## Notation and Implication of Reductions

(1) If Problem $X$ reduces to Problem $Y$ we write $X \leq Y$
(2) If Problem $\boldsymbol{X}$ reduces to Problem $\boldsymbol{Y}$ where reduction $\boldsymbol{\mathcal { R }}$ is an efficient (polynomial-time algorithm) we write $X \leq_{P} Y$.

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Algorithmic implication:

## Lemma

- If $\boldsymbol{X} \leq \boldsymbol{Y}$ and $\boldsymbol{Y}$ has an algorithm then $\boldsymbol{X}$ has an algorithm.
- If $\boldsymbol{X} \leq_{P} Y$ and $\boldsymbol{Y}$ has a polynomial-time algorithm then $\boldsymbol{X}$ has a polynomial-time algorithm.


## Hardness Implications of Reductions

(1) Problem $X$ reduces to Problem $\boldsymbol{Y}: X \leq Y$
(2) Problem $\boldsymbol{X}$ efficiently reduces to Problem $\boldsymbol{Y}: \boldsymbol{X} \leq_{P} \boldsymbol{Y}$.

## Hardness implication:

## Lemma

- If $\boldsymbol{X} \leq \boldsymbol{Y}$ and $\boldsymbol{X}$ does not have an algorithm then $\boldsymbol{Y}$ does not have an algorithm.
- If $X \leq_{P} \boldsymbol{Y}$ and $\boldsymbol{X}$ does not have a polynomial-time algorithm then $Y$ does not have a polynomial-time algorithm.


## Hardness Implications of Reductions

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## Hardness implication:

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- If $\boldsymbol{X} \leq \boldsymbol{Y}$ and $\boldsymbol{X}$ does not have an algorithm then $\boldsymbol{Y}$ does not have an algorithm.
- If $X \leq_{p} Y$ and $X$ does not have a polynomial-time algorithm then $Y$ does not have a polynomial-time algorithm.


## Proof.

Suppose $\boldsymbol{Y}$ has an algorithm. Then $X$ does too since $X \leq Y$. But contradicts assumption that $\boldsymbol{X}$ does not have an algorithm. Similarly for efficient reduction.

## Transitivity of Reductions

Proposition
$\boldsymbol{X} \leq \boldsymbol{Y}$ and $\boldsymbol{Y} \leq \boldsymbol{Z}$ implies that $\boldsymbol{X} \leq \boldsymbol{Z}$.
Similarly $\boldsymbol{X} \leq_{P} \boldsymbol{Y}$ and $\boldsymbol{Y} \leq_{P} \boldsymbol{Z}$ implies $\boldsymbol{X} \leq_{P} \boldsymbol{Z}$.

Note: $\boldsymbol{X} \leq \boldsymbol{Y}$ does not imply that $\boldsymbol{Y} \leq \boldsymbol{X}$ and hence it is very important to know the FROM and TO in a reduction.

## Proving Correctness of Reductions

To prove that $X \leq Y$ you need to give an algorithm $\mathcal{A}$ that:
(1) Transforms an instance $\boldsymbol{I}_{\boldsymbol{X}}$ of $\boldsymbol{X}$ into an instance $\boldsymbol{I}_{\boldsymbol{Y}}$ of $\boldsymbol{Y}$.
(2) Satisfies the property that answer to $\boldsymbol{I}_{\boldsymbol{X}}$ is YES iff $\boldsymbol{I}_{\boldsymbol{Y}}$ is YES.
(1) typical easy direction to prove: answer to $I_{Y}$ is YES if answer to $I_{X}$ is YES
(2) typical difficult direction to prove: answer to $\boldsymbol{I}_{\boldsymbol{X}}$ is YES if answer to $\boldsymbol{I}_{\boldsymbol{Y}}$ is YES (equivalently answer to $\boldsymbol{I}_{\boldsymbol{X}}$ is NO if answer to $I_{Y}$ is NO).
(3) To prove $X \leq_{P} Y$, additionally show that $\mathcal{A}$ runs in polynomial time.

## Remember, remember, remember

- Algorithm design: reduce new problem $X$ to known easy problem $Y$
- Hardness: reduce known hard problem $X$ to new problem $Y$

Tools to remember:

- Am I trying to design algorithm or prove hardness?
- What do I know about some standard problems? Easy or hard?


## Part III

## Examples of Reductions

## Undecidability Reductions

## Theorem (Turing)

Following languages are undecidable.

- $L_{\text {halt }}=\{\langle M\rangle \mid M$ halts on blank input $\}$
- $L_{\text {haLt }, w}=\{\langle M, w\rangle \mid M$ halts on input $w\}$
- $L_{u}=\{\langle M, w\rangle \mid M$ accepts $w\}$

Used reduction from Halting to show several probles are also undecidable.

## CS 125 assignment

Write a program that prints "Hello World"

```
main() {
    print(''Hello World'')
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Question: Can we create an autograder? No! Why?

## Reducing Halting to Autograder

- Halting problem: given arbitrary program foo(), does it halt?
- Reduction to CS125Autograder: given foo() output foobar()


Note: Reduction only needs to add a few lines of code to foo()

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- foobar() prints "Hello World" if and only if foo() halts!
- If we had CS125Autograder then we can solve Halting. But Halting is hard according to Turing. Hence ...


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## The Independent Set and Clique Problems

Problem: Independent Set
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## Correctness of reduction

## Lemma

$G$ has an independent set of size $\boldsymbol{k}$ if and only if $\bar{G}$ has a clique of size $k$.

## Proof.

Need to prove two facts:
$\boldsymbol{G}$ has independent set of size at least $\boldsymbol{k}$ implies that $\overline{\boldsymbol{G}}$ has a clique of size at least $k$.
$\bar{G}$ has a clique of size at least $\boldsymbol{k}$ implies that $\boldsymbol{G}$ has an independent set of size at least $k$.
Easy to see both from the fact that $S \subseteq V$ is an independent set in $G$ if and only if $S$ is a clique in $\overline{\boldsymbol{G}}$.

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(1) If have an algorithm for Clique, then we have an algorithm for Independent Set.
(2) The reduction is efficient. Hence, if we have a poly-time algorithm for Clique, then we have a poly-time algorithm for Independent Set.
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(3) Clique is at least as hard as Independent Set.

Also... Clique $\leq_{P}$ Independent Set. Why?
Caveat: in general $\boldsymbol{X} \leq \boldsymbol{Y}$ does not mean that $\boldsymbol{Y} \leq \boldsymbol{X}$.

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## The Vertex Cover Problem

## Problem (Vertex Cover)

Input: A graph $G$ and integer $k$.
Goal: Is there a vertex cover of size $\leq k$ in $G$ ?

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Can we relate Independent Set and Vertex Cover?

## Relationship between...

## Proposition

Let $G=(V, E)$ be a graph. $S$ is an independent set if and only if $\boldsymbol{V} \backslash \boldsymbol{S}$ is a vertex cover.

## Proof.

$(\Rightarrow)$ Let $S$ be an independent set
(1) Consider any edge $\boldsymbol{u} \boldsymbol{v} \in \boldsymbol{E}$.
(2) Since $\boldsymbol{S}$ is an independent set, either $\boldsymbol{u} \notin S$ or $\boldsymbol{v} \notin S$.
(3) Thus, either $\boldsymbol{u} \in \boldsymbol{V} \backslash \boldsymbol{S}$ or $\boldsymbol{v} \in \boldsymbol{V} \backslash \boldsymbol{S}$.
(1) $\boldsymbol{V} \backslash \boldsymbol{S}$ is a vertex cover.
$(\Leftarrow)$ Let $V \backslash S$ be some vertex cover:
(1) Consider $u, v \in S$
(2) $\boldsymbol{u v}$ is not an edge of $G$, as otherwise $\boldsymbol{V} \backslash \boldsymbol{S}$ does not cover $\boldsymbol{u} \boldsymbol{v}$.
(3 $\Longrightarrow S$ is thus an independent set.

## Independent Set $\leq_{P}$ Vertex Cover

(1) $\boldsymbol{G}$ : graph with $\boldsymbol{n}$ vertices, and an integer $\boldsymbol{k}$ be an instance of the Independent Set problem.
(2) Reduction: given $(\boldsymbol{G}, \boldsymbol{k})$, an instance of Independent Set, ouput ( $\mathbf{G}, \boldsymbol{n}-\boldsymbol{k}$ ) as an instance of Vertex Cover.
(3) $G$ has an independent set of size $\geq k$ iff $G$ has a vertex cover of size $\leq \boldsymbol{n}-\boldsymbol{k}$ which proves correctness.
(4) Easy to see reduction is efficient.
(5) Therefore, Independent Set $\leq_{P}$ Vertex Cover. Also Vertex Cover $\leq_{P}$ Independent Set.

## Part IV

## The Satisfiability Problem (SAT)

## Propositional Formulas

## Definition

Consider a set of boolean variables $x_{1}, x_{2}, \ldots x_{n}$.
(1) A literal is either a boolean variable $x_{i}$ or its negation $\neg x_{i}$.
(2) A clause is a disjunction of literals. For example, $x_{1} \vee x_{2} \vee \neg x_{4}$ is a clause.
(3) A formula in conjunctive normal form (CNF) is propositional formula which is a conjunction of clauses
(1) $\left(x_{1} \vee x_{2} \vee \neg x_{4}\right) \wedge\left(x_{2} \vee \neg x_{3}\right) \wedge x_{5}$ is a CNF formula.

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(1) $\left(x_{1} \vee x_{2} \vee \neg x_{4}\right) \wedge\left(x_{2} \vee \neg x_{3}\right) \wedge x_{5}$ is a CNF formula.
(4) A formula $\varphi$ is a 3CNF:

A CNF formula such that every clause has exactly 3 literals.
(1) $\left(x_{1} \vee x_{2} \vee \neg x_{4}\right) \wedge\left(x_{2} \vee \neg x_{3} \vee x_{1}\right)$ is a 3CNF formula, but $\left(x_{1} \vee x_{2} \vee \neg x_{4}\right) \wedge\left(x_{2} \vee \neg x_{3}\right) \wedge x_{5}$ is not.

## Satisfiability

## Problem: SAT

Instance: A CNF formula $\varphi$.
Question: Is there a truth assignment to the variable of $\varphi$ such that $\varphi$ evaluates to true?

## Problem: 3SAT

Instance: A 3CNF formula $\varphi$.
Question: Is there a truth assignment to the variable of $\varphi$ such that $\varphi$ evaluates to true?

## Satisfiability

## SAT

Given a CNF formula $\varphi$, is there a truth assignment to variables such that $\varphi$ evaluates to true?

## Example

(1) $\left(x_{1} \vee x_{2} \vee \neg x_{4}\right) \wedge\left(x_{2} \vee \neg x_{3}\right) \wedge x_{5}$ is satisfiable; take $x_{1}, x_{2}, \ldots x_{5}$ to be all true
(2) $\left(x_{1} \vee \neg x_{2}\right) \wedge\left(\neg x_{1} \vee x_{2}\right) \wedge\left(\neg x_{1} \vee \neg x_{2}\right) \wedge\left(x_{1} \vee x_{2}\right)$ is not satisfiable.

## 3SAT

Given a 3CNF formula $\varphi$, is there a truth assignment to variables such that $\varphi$ evaluates to true?

## Importance of SAT and 3SAT

(1) SAT and 3SAT are basic constraint satisfaction problems.
(2) Many different problems can reduced to them because of the simple yet powerful expressively of logical constraints.
(3) Arise naturally in many applications involving hardware and software verification and correctness.
(9) As we will see, it is a fundamental problem in theory of NP-Completeness.

## SAT $\leq_{P}$ 3SAT

## How SAT is different from 3SAT?

In SAT clauses might have arbitrary length: $\mathbf{1 , 2 , 3 , \ldots}$ variables:

$$
(x \vee y \vee z \vee w \vee u) \wedge(\neg x \vee \neg y \vee \neg z \vee w \vee u) \wedge(\neg x)
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In 3SAT every clause must have exactly 3 different literals.

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$$

In 3SAT every clause must have exactly 3 different literals.
To reduce from an instance of SAT to an instance of 3SAT, we must make all clauses to have exactly 3 variables...

## Basic idea

(1) Pad short clauses so they have 3 literals.
(2) Break long clauses into shorter clauses.
( Repeat the above till we have a 3CNF.

## 3SAT $\leq_{P}$ SAT

(1) 3 SAT $\leq_{P}$ SAT .
(2) Because...

A 3SAT instance is also an instance of SAT.

## SAT $\leq_{P}$ 3SAT

## Claim

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## SAT $\leq_{p} 3 S A T$.

Given $\varphi$ a SAT formula we create a 3SAT formula $\varphi^{\prime}$ such that (1) $\varphi$ is satisfiable iff $\varphi^{\prime}$ is satisfiable.
(2) $\varphi^{\prime}$ can be constructed from $\varphi$ in time polynomial in $|\varphi|$.

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(1) $\varphi$ is satisfiable iff $\varphi^{\prime}$ is satisfiable.
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Idea: if a clause of $\varphi$ is not of length 3 , replace it with several clauses of length exactly 3.

## SAT $\leq_{P}$ 3SAT

Suppose $\varphi=\left(x_{1} \vee x_{2} \vee \neg x_{3}\right) \wedge\left(x_{3} \vee \neg x_{5} \vee x_{6}\right) \wedge\left(x_{3} \vee \neg x_{5}\right)$

## Reduction Ideas: clause with 2 literals

(1) Case clause with 2 literals: Let $c=\boldsymbol{\ell}_{1} \vee \boldsymbol{\ell}_{2}$. Let $\boldsymbol{u}$ be a new variable. Consider

$$
c^{\prime}=\left(\ell_{1} \vee \ell_{2} \vee u\right) \wedge\left(\ell_{1} \vee \ell_{2} \vee \neg u\right)
$$

(2) Suppose $\varphi=\psi \wedge c$. Then $\varphi^{\prime}=\psi \wedge c^{\prime}$ is satisfiable iff $\varphi$ is satisfiable.

## SAT $\leq_{P}$ 3SAT

Suppose $\varphi=\left(x_{1} \vee x_{2} \vee \neg x_{3}\right) \wedge\left(x_{3} \vee \neg x_{5} \vee x_{6}\right) \wedge\left(x_{3}\right)$

## Reduction Ideas: clause with 1 literal

(1) Case clause with one literal: Let $c$ be a clause with a single literal (i.e., $\boldsymbol{c}=\boldsymbol{\ell}$ ). Let $\boldsymbol{u}, \boldsymbol{v}$ be new variables. Consider

$$
\begin{aligned}
c^{\prime}= & (\ell \vee u \vee v) \wedge(\ell \vee u \vee \neg v) \\
& \wedge(\ell \vee \neg u \vee v) \wedge(\ell \vee \neg u \vee \neg v) .
\end{aligned}
$$

(2) Suppose $\varphi=\psi \wedge c$. Then $\varphi^{\prime}=\psi \wedge c^{\prime}$ is satisfiable iff $\varphi$ is satisfiable.

## SAT $\leq_{P}$ 3SAT

Suppose $\varphi=\left(x_{1} \vee x_{2} \vee \neg x_{3}\right) \wedge\left(x_{3} \vee \neg x_{5} \vee x_{6} \vee \neg x_{7} \vee x_{8}\right)$
Reduction Ideas: clause with more than 3 literals
(1) Case clause with five literals: Let $c=\ell_{1} \vee \ell_{2} \vee \ell_{3} \vee \ell_{4} \vee \ell_{5}$. Let $\boldsymbol{u}$ be a new variable. Consider

$$
c^{\prime}=\left(\ell_{1} \vee \ell_{2} \vee \ell_{3} \vee u\right) \wedge\left(\ell_{4} \vee \ell_{5} \vee \neg u\right)
$$

(2) Suppose $\varphi=\psi \wedge c$. Then $\varphi^{\prime}=\psi \wedge c^{\prime}$ is satisfiable iff $\varphi$ is satisfiable.

## SAT $\leq_{P}$ 3SAT

## Reduction Ideas: clause with more than 3 literals

(1) Case clause with $\boldsymbol{k}>3$ literals: Let $c=\ell_{1} \vee \ell_{2} \vee \ldots \vee \ell_{\boldsymbol{k}}$. Let $\boldsymbol{u}$ be a new variable. Consider

$$
c^{\prime}=\left(\ell_{1} \vee \ell_{2} \ldots \ell_{k-2} \vee u\right) \wedge\left(\ell_{k-1} \vee \ell_{k} \vee \neg u\right)
$$

(2) Suppose $\varphi=\psi \wedge c$. Then $\varphi^{\prime}=\psi \wedge c^{\prime}$ is satisfiable iff $\varphi$ is satisfiable.

## Breaking a clause

## Lemma

Let $X$ and $Y$ be boolean formulas in some variables and $z$ a new boolean variable. Then

$$
X \vee Y \text { is satisfiable }
$$

if and only if

$$
(X \vee z) \wedge(Y \vee \neg z) \text { is satisfiable. }
$$

## Proof.

## Exercise.

## SAT $\leq_{P}$ 3SAT (contd)

Let $\boldsymbol{c}=\ell_{1} \vee \cdots \vee \ell_{\boldsymbol{k}}$. Let $\boldsymbol{u}_{\boldsymbol{1}}, \ldots \boldsymbol{u}_{\boldsymbol{k}-3}$ be new variables. Consider

$$
\begin{aligned}
c^{\prime}= & \left(\ell_{1} \vee \ell_{2} \vee u_{1}\right) \wedge\left(\ell_{3} \vee \neg u_{1} \vee u_{2}\right) \\
& \wedge\left(\ell_{4} \vee \neg u_{2} \vee u_{3}\right) \wedge \\
& \cdots \wedge\left(\ell_{k-2} \vee \neg u_{k-4} \vee u_{k-3}\right) \wedge\left(\ell_{k-1} \vee \ell_{k} \vee \neg u_{k-3}\right)
\end{aligned}
$$

## Claim

$\varphi=\psi \wedge c$ is satisfiable jiff $\varphi^{\prime}=\psi \wedge c^{\prime}$ is satisfiable.
Another way to see it - reduce size of clause by one:

$$
c^{\prime}=\left(\ell_{1} \vee \ell_{2} \ldots \vee \ell_{k-2} \vee u_{k-3}\right) \wedge\left(\ell_{k-1} \vee \ell_{k} \vee \neg u_{k-3}\right)
$$

## An Example

## Example

$$
\begin{aligned}
\varphi= & \left(\neg x_{1} \vee \neg x_{4}\right) \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee x_{4} \vee x_{1}\right) \wedge\left(x_{1}\right)
\end{aligned}
$$

Equivalent form:

$$
\psi=\left(\neg x_{1} \vee \neg x_{4} \vee z\right) \wedge\left(\neg x_{1} \vee \neg x_{4} \vee \neg z\right)
$$

## An Example

## Example

$$
\begin{aligned}
\varphi= & \left(\neg x_{1} \vee \neg x_{4}\right) \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee x_{4} \vee x_{1}\right) \wedge\left(x_{1}\right)
\end{aligned}
$$

Equivalent form:

$$
\begin{aligned}
\psi= & \left(\neg x_{1} \vee \neg x_{4} \vee z\right) \wedge\left(\neg x_{1} \vee \neg x_{4} \vee \neg z\right) \\
& \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right)
\end{aligned}
$$

## An Example

## Example

$$
\begin{aligned}
\varphi= & \left(\neg x_{1} \vee \neg x_{4}\right) \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee x_{4} \vee x_{1}\right) \wedge\left(x_{1}\right)
\end{aligned}
$$

Equivalent form:

$$
\begin{aligned}
\psi= & \left(\neg x_{1} \vee \neg x_{4} \vee z\right) \wedge\left(\neg x_{1} \vee \neg x_{4} \vee \neg z\right) \\
& \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee y_{1}\right) \wedge\left(x_{4} \vee x_{1} \vee \neg y_{1}\right)
\end{aligned}
$$

## An Example

## Example

$$
\begin{aligned}
\varphi= & \left(\neg x_{1} \vee \neg x_{4}\right) \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee x_{4} \vee x_{1}\right) \wedge\left(x_{1}\right)
\end{aligned}
$$

Equivalent form:

$$
\begin{aligned}
\psi= & \left(\neg x_{1} \vee \neg x_{4} \vee z\right) \wedge\left(\neg x_{1} \vee \neg x_{4} \vee \neg z\right) \\
& \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \\
& \wedge\left(\neg x_{2} \vee \neg x_{3} \vee y_{1}\right) \wedge\left(x_{4} \vee x_{1} \vee \neg y_{1}\right) \\
& \wedge\left(x_{1} \vee u \vee v\right) \wedge\left(x_{1} \vee u \vee \neg v\right) \\
& \wedge\left(x_{1} \vee \neg u \vee v\right) \wedge\left(x_{1} \vee \neg u \vee \neg v\right)
\end{aligned}
$$

## Overall Reduction Algorithm

```
ReduceSATTo3SAT \((\varphi)\) :
    // \(\varphi\) : CNF formula.
    for each clause \(c\) of \(\varphi\) do
        if \(\boldsymbol{c}\) does not have exactly 3 literals then
        construct \(\boldsymbol{c}^{\prime}\) as before
        else
        \(c^{\prime}=c\)
    \(\psi\) is conjunction of all \(\boldsymbol{c}^{\prime}\) constructed in loop
    return Solver3SAT \((\psi)\)
```


## Correctness (informal)

$\varphi$ is satisfiable iff $\psi$ is satisfiable because for each clause $\boldsymbol{c}$, the new 3 CNF formula $\boldsymbol{c}^{\prime}$ is logically equivalent to $\boldsymbol{c}$.

## What about 2SAT?

2SAT can be solved in polynomial time! (specifically, linear time!)
No known polynomial time reduction from SAT (or 3SAT) to 2SAT. If there was, then SAT and 3SAT would be solvable in polynomial time.

## Algorithm for 2SAT

A challenging exercise: Given a 2SAT formula show to compute its satisfying assignment...
(Hint: Create a graph with two vertices for each variable (for a variable $\boldsymbol{x}$ there would be two vertices with labels $\boldsymbol{x}=\mathbf{0}$ and $x=1$ ). For ever 2 CNF clause add two directed edges in the graph. The edges are implication edges: They state that if you decide to assign a certain value to a variable, then you must assign a certain value to some other variable.
Now compute the strong connected components in this graph, and continue from there...)

