

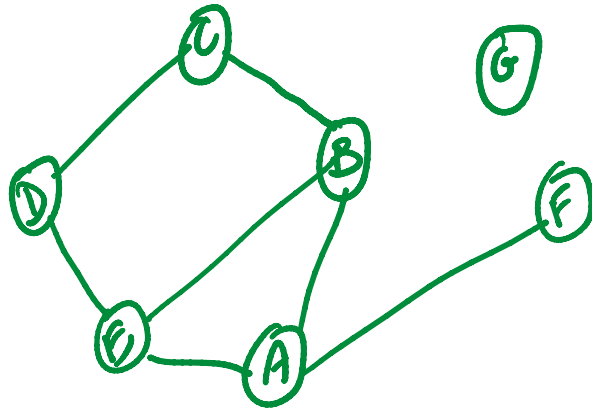
Back tracking:

recursion to try "all" possible solⁿ
(reduce + reuse).

Ex 1: Maximum Independent set.

Given undirected graph $G = (V, E)$ $|V| = n$
Find $S \subseteq V$ maximizing $|S|$ $|E| = m$

s.t. $\forall u, v \in S \Rightarrow uv \notin E \Rightarrow S$ is an independent set



(Optimization Problem)

eg. $\{A, C\}$ is size 2.

$\{B, D, F\}$ is size 3.

Algo 0: Brute force

Try all subsets. And for each check if indeps set

\uparrow
 2^n

\uparrow
 $O(m)$

$\sim n \cdot n \sim$

$$\Rightarrow O(2^n \cdot m).$$

Algo 1: Back tracking

idea: try only "feasible" subsets

considers a vertex $v \in V$

Case I: v is not in opt. sol'n.

remove v & recurse on $G-v$

Case II: v is in the opt. sol'n.

remove v

& all of its neighbours

AND recurse.

$$N(v) = \{u \mid uv \in E\}$$

Algo: $MIS(G)$: // return "size" of max. indep. set.

If G is empty return 0.

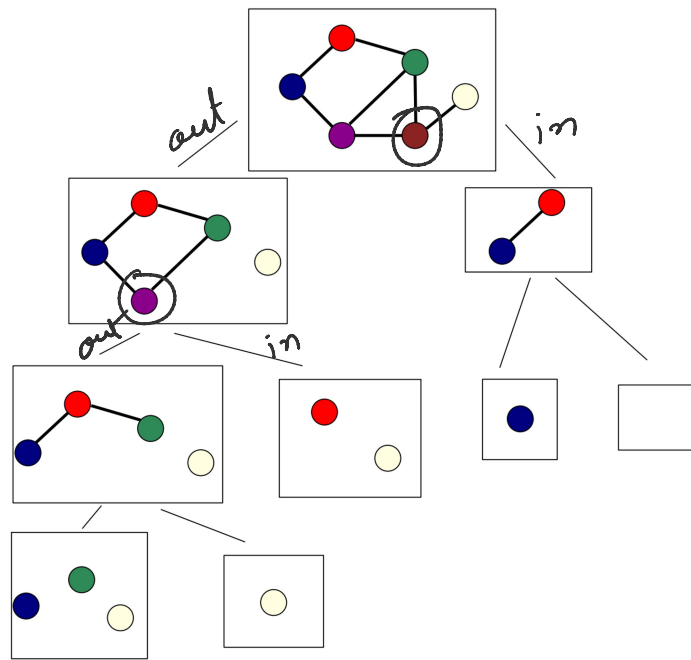
Pick vertex $v \in V$.

If $\deg(v) = 0$ then return $1 + MIS(G-v)$.

return $\max \{ MIS(G-v),$

$1 + MIS(G-v-N(v)) \}$

$1 + \text{MIS}(G - v - N(v))$



Recursion will automatically backtrack in depth-first search manner.

$$\text{deg}(v) = |N(v)|$$

Running Time: $T(n) = T(n-1) + T(n-1 - \text{deg}(v)) + O(m)$
 worst-case $\text{deg}(v) \geq 0 \Rightarrow T(n) = 2T(n-1) + O(m)$
 $= O(2^n \cdot m)$

Improved Analysis:

$$\text{deg}(v) \geq 1 \Rightarrow T(n) = T(n-1) + T(n-2) + O(m)$$

Fibonacci #: $F_n = F_{n-1} + F_{n-2}$, $F_0 = 0$
 $F_1 = 1$

suppose $F_n = x^n$

then $x^n = x^{n-1} + x^{n-2}$

$\Rightarrow x^2 - x - 1 = 0$

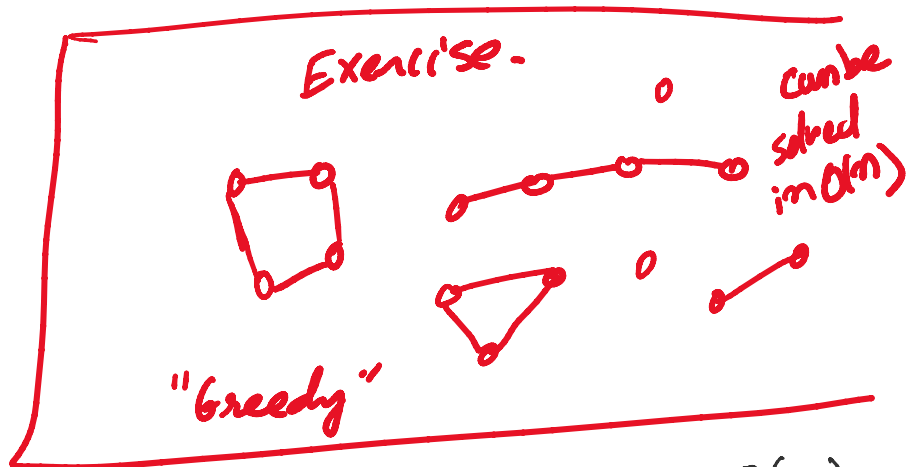
$\Rightarrow x = \frac{1 + \sqrt{5}}{2} \sim 1.618$

... Golden Ratio

$$\Rightarrow x = \frac{1+\sqrt{5}}{2} \sim 1.618 \rightarrow \text{Golden Ratio}$$

$$\Rightarrow T(n) = O(1.618^n \cdot m)$$

Analysis 3: Pick vertex w/ max deg. & stop when deg of every vertex is ≤ 2 .



$$\deg(v) \geq 3 \Rightarrow T(n) = T(n-1) + T(n-4) + O(m)$$

$$x^n = x^3 + 1$$

$$\Rightarrow O(1.381^n \cdot m)$$

Note: Current Record $O(1.1996^n \cdot m)$
 [Xiao & Nagamochi '17]

Ex2: Longest Increasing Subsequence

Given a sequence of numbers

Ex 1

Given a sequence of numbers

$$a_1, a_2, \dots, a_n$$

Find sub seq. that maximizes l .

$$i_1 \leq i_2 \leq \dots \leq i_l \text{ s.t. } a_{i_1} \leq a_{i_2} \leq \dots \leq a_{i_l}$$

eg. 4, 2, 3, 13, 1, 10, 5, 4, 9, 7, 12

↑ ↑ ↑ ↑ ↑

Algo 0: Brute force

Try all possible subseq. & check

$$\uparrow$$
$$2^n$$

$$\uparrow$$
$$O(n)$$

$$\Rightarrow O(2^n \cdot n)$$

Algo 1: Backtracking

Consider a_n

Case I: a_n is not in the opt. soln.

recurse on a_1, \dots, a_{n-1}

Case II: a_n is in the opt. soln.

recurse on a_1, \dots, a_{n-1} &

make sure to pick ele. $\leq a_n$

largest possible number in soln = Extra bit of information to be passed to the function.

- / . - - X \ // returns length of longest

$LIS(\langle a_1, \dots, a_n \rangle, X)$ // returns length of longest
 inc. subseq. of ele $\leq X$.

If $n=0$ return 0

If $a_n \leq X$ then

return $\max \left\{ LIS(\langle a_1, \dots, a_{n-1} \rangle, X), \right.$
 $\left. 1 + LIS(\langle a_1, \dots, a_{n-1} \rangle, a_n) \right\}$

else return $LIS(\langle a_1, \dots, a_{n-1} \rangle, X)$

call: $LIS(\langle a_1, \dots, a_n \rangle, \infty)$

Naive Analysis: $T(n) = 2T(n-1) + O(1)$
 $\Rightarrow O(2^n)$!

"Key Observation":
 How many "distinct" sub problems!

$LIS(\text{para 1}, \text{para 2})$
 \downarrow list of ele. \uparrow upperbound
 on picked ele.

distinct para 1 = # prefixes = n .
 # distinct para 2 = # element in seq. + 1 = $n+1$.

Total = $n \cdot (n+1)$ sub problems.

Total - " " " "



Solving same subproblems over & over again!

Avoid this by remembering answers.

↳ Memoization
⇒ dynamic programming.

Memoization ver 1: (recursive).

$a_{n+1} = \infty$

LIS(i, j) : // input $\langle a_1, \dots, a_i \rangle$
 $x = a_n$.

If $i=0$ return 0. ←

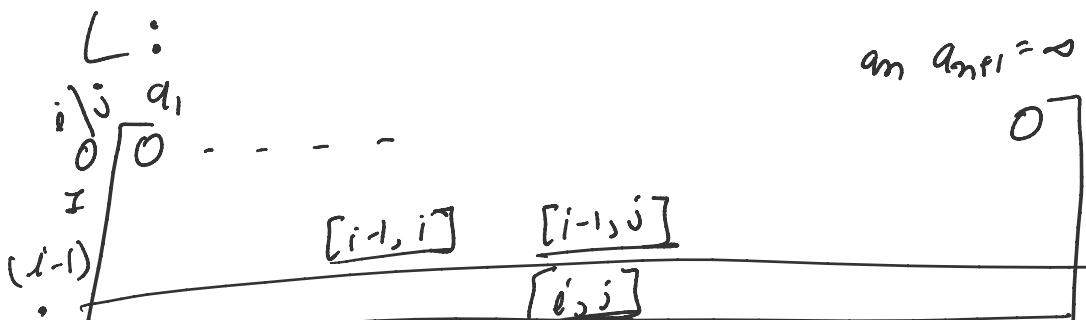
If $L[i, j] \neq \text{undef}$ return $L[i, j]$ ←

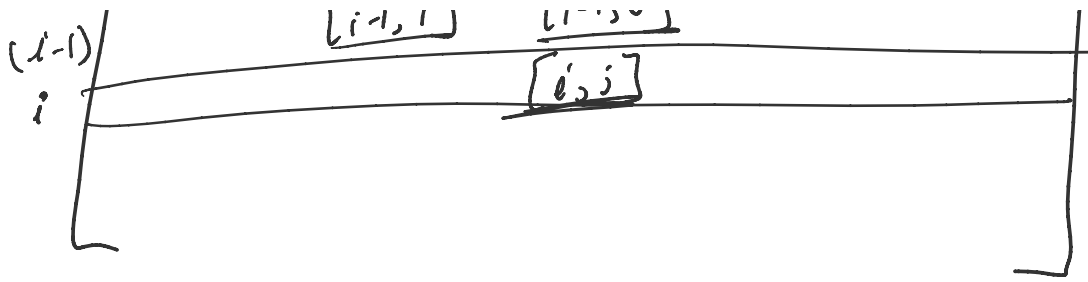
If $a_i \leq a_j$
 return $L[i, j] = \max \left\{ \begin{array}{l} \text{LIS}(i-1, j), \\ 1 + \text{LIS}(i-1, i) \end{array} \right\}$

Else return $L[i, j] = \text{LIS}(i-1, j)$

$L[i, j] = \text{undef}$
 $\forall i, j \leq n+1$

⇒ Running time: $O(n^2)$





Memorization Ver 2: (iterative)

idea: start $i=0$ & complete the table row-by-row.

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O(n) → For j=1 to n do L[0, j] = 0.
      For i=1 to n do
        For j=1 to n do
          if  $a_i \leq a_j$   $L[i, j] = \max \{ L[i-1, j] \}$ 
                                $1 + L[i-1, i] \}$ 
          else  $L[i, j] = L[i-1, j]$ 
  
```

$n \times O(n)$ →

Running time: $O(n) + O(n^2) = O(n^2)$.

Dynamic Programming