

Pre-lecture brain teaser

For each of the following languages is the language decidable?

- $A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts } w\}$
- $A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is a NFA that accepts } w\}$

CS/ECE-374: Lecture 24 - Decidability

Lecturer: Nickvash Kani

Chat moderator: Samir Khan

April 20, 2021

University of Illinois at Urbana-Champaign

Pre-lecture brain teaser

For each of the following languages is the language decidable?

- $A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts } w\}$
- $A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is a NFA that accepts } w\}$

Turing machines...

TM = Turing machine = program.

Reminder: Undecidability

Definition

Language $L \subseteq \Sigma^*$ is undecidable if no program P , given $w \in \Sigma^*$ as input, can **always stop** and output whether $w \in L$ or $w \notin L$.

(Usually defined using **TM** not programs. But equivalent.)

Reminder: Undecidability

Definition

Language $L \subseteq \Sigma^*$ is undecidable if no program P , given $w \in \Sigma^*$ as input, can **always stop** and output whether $w \in L$ or $w \notin L$.

(Usually defined using **TM** not programs. But equivalent.)

Reminder: Undecidability

Definition

Language $L \subseteq \Sigma^*$ is undecidable if no program P , given $w \in \Sigma^*$ as input, can

always stop and output
whether $w \in L$ or $w \notin L$.

(Usually defined using **TM** not programs. But equivalent.)

Reminder: The following language is undecidable

Decide if given a program M , and an input w , does M accept w .
Formally, the corresponding language is

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \}.$$

Reminder: The following language is undecidable

Decide if given a program M , and an input w , does M accept w .
Formally, the corresponding language is

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \}.$$

Definition

A *decider* for a language L , is a program (or a TM) that always stops, and outputs for any input string $w \in \Sigma^*$ whether or not $w \in L$.

A language that has a decider is *decidable*.

Reminder: The following language is undecidable

Decide if given a program M , and an input w , does M accept w .
Formally, the corresponding language is

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \}.$$

Definition

A *decider* for a language L , is a program (or a TM) that always stops, and outputs for any input string $w \in \Sigma^*$ whether or not $w \in L$.

A language that has a decider is *decidable*.

Turing proved the following:

Theorem

A_{TM} is undecidable.

The halting problem

A_{TM} is not TM decidable!

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \}.$$

Theorem (The halting theorem.)

A_{TM} is not Turing decidable.

A_{TM} is not TM decidable!

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

Theorem (The halting theorem.)

A_{TM} is not Turing decidable.

Proof: Assume A_{TM} is TM decidable...

A_{TM} is not TM decidable!

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

Theorem (The halting theorem.)

A_{TM} is not Turing decidable.

Proof: Assume A_{TM} is TM decidable...

Halt: TM deciding A_{TM} . **Halt** always halts, and works as follows:

$$\text{Halt}(\langle M, w \rangle) = \begin{cases} \text{accept} & M \text{ accepts } w \\ \text{reject} & M \text{ does not accept } w. \end{cases}$$

Halting theorem proof continued 1

We build the following new function:

```
Flipper( $\langle M \rangle$ )  
  res  $\leftarrow$  Halt( $\langle M, M \rangle$ )  
  if res is accept then  
    reject  
  else  
    accept
```


Halting theorem proof continued 1

We build the following new function:

```
Flipper( $\langle M \rangle$ )
  res  $\leftarrow$  Halt( $\langle M, M \rangle$ )
  if res is accept then
    reject
  else
    accept
```

Flipper always stops:

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle. \end{cases}$$

Halting theorem proof continued 2

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle. \end{cases}$$

Flipper is a **TM** (duh!), and as such it has an encoding $\langle \text{Flipper} \rangle$.
Run **Flipper** on itself:

$$\text{Flipper}(\langle \text{Flipper} \rangle) = \begin{cases} \text{reject} & \text{Flipper accepts } \langle \text{Flipper} \rangle \\ \text{accept} & \text{Flipper does not accept } \langle \text{Flipper} \rangle. \end{cases}$$

Halting theorem proof continued 2

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle. \end{cases}$$

Flipper is a TM (duh!), and as such it has an encoding $\langle \text{Flipper} \rangle$.
Run **Flipper** on itself:

$$\text{Flipper}(\langle \text{Flipper} \rangle) = \begin{cases} \text{reject} & \text{Flipper accepts } \langle \text{Flipper} \rangle \\ \text{accept} & \text{Flipper does not accept } \langle \text{Flipper} \rangle. \end{cases}$$

This is absurd. Ridiculous even!

Halting theorem proof continued 2

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle. \end{cases}$$

Flipper is a **TM** (duh!), and as such it has an encoding $\langle \text{Flipper} \rangle$.
Run **Flipper** on itself:

$$\text{Flipper}(\langle \text{Flipper} \rangle) = \begin{cases} \text{reject} & \text{Flipper accepts } \langle \text{Flipper} \rangle \\ \text{accept} & \text{Flipper does not accept } \langle \text{Flipper} \rangle. \end{cases}$$

This is absurd. Ridiculous even!

Assumption that **Halt** exists is false. $\implies A_{TM}$ is not **TM**
decidable. □

Reductions

Reduction

Meta definition: Problem **X** *reduces* to problem **B**, if given a solution to **B**, then it implies a solution for **X**. Namely, we can solve **Y** then we can solve **X**. We will done this by $X \implies Y$.

Reduction

Meta definition: Problem **X** *reduces* to problem **B**, if given a solution to **B**, then it implies a solution for **X**. Namely, we can solve **Y** then we can solve **X**. We will done this by $X \implies Y$.

Definition

oracle ORAC for language L is a function that receives as a word w , returns **TRUE** $\iff w \in L$.

Reduction

Meta definition: Problem **X** *reduces* to problem **B**, if given a solution to **B**, then it implies a solution for **X**. Namely, we can solve **Y** then we can solve **X**. We will done this by $X \implies Y$.

Definition

oracle ORAC for language L is a function that receives as a word w , returns **TRUE** $\iff w \in L$.

Lemma

A language X reduces to a language Y , if one can construct a **TM** decider for X using a given oracle ORAC_Y for Y .

We will denote this fact by $X \implies Y$.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.
- Assume L is decided by **TM** M .

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.
- Assume L is decided by **TM** M .
- Create a decider for known undecidable problem **X** using M .

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.
- Assume L is decided by **TM** M .
- Create a decider for known undecidable problem **X** using M .
- Result in decider for **X** (i.e., A_{TM}).

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.
- Assume L is decided by **TM** M .
- Create a decider for known undecidable problem **X** using M .
- Result in decider for **X** (i.e., A_{TM}).
- Contradiction **X** is not decidable.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.
- Proof via reduction. Result in a proof by contradiction.
- L : language of **Y**.
- Assume L is decided by **TM** M .
- Create a decider for known undecidable problem **X** using M .
- Result in decider for **X** (i.e., A_{TM}).
- Contradiction **X** is not decidable.
- Thus, L must be not decidable.

Reduction implies decidability

Lemma

Let X and Y be two languages, and assume that $X \implies Y$. If Y is decidable then X is decidable.

Proof.

Let T be a decider for Y (i.e., a program or a **TM**). Since X reduces to Y , it follows that there is a procedure $T_{X|Y}$ (i.e., decider) for X that uses an oracle for Y as a subroutine. We replace the calls to this oracle in $T_{X|Y}$ by calls to T . The resulting program T_X is a decider and its language is X . Thus X is decidable (or more formally **TM** decidable). □

The contrapositive...

Lemma

Let X and Y be two languages, and assume that $X \implies Y$. If X is undecidable then Y is undecidable.

Halting

The halting problem

Language of all pairs $\langle M, w \rangle$ such that M halts on w :

$$A_{\text{Halt}} = \left\{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ stops on } w \right\}.$$

Similar to language already known to be undecidable:

$$A_{TM} = \left\{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \right\}.$$

On way to proving that Halting is undecidable...

Lemma

The language A_{TM} reduces to A_{Halt} . Namely, given an oracle for A_{Halt} one can build a decider (that uses this oracle) for A_{TM} .

On way to proving that Halting is undecidable...

Proof.

Let $ORAC_{Halt}$ be the given oracle for A_{Halt} . We build the following decider for A_{TM} .

```
AnotherDecider- $A_{TM}(\langle M, w \rangle)$   
   $res \leftarrow ORAC_{Halt}(\langle M, w \rangle)$   
  // if  $M$  does not halt on  $w$  then reject.  
  if  $res = \text{reject}$  then  
    halt and reject.  
  //  $M$  halts on  $w$  since  $res = \text{accept}$ .  
  // Simulating  $M$  on  $w$  terminates in finite time.  
   $res_2 \leftarrow \text{Simulate } M \text{ on } w.$   
  return  $res_2.$ 
```

This procedure always return and as such its a decider for A_{TM} . □

The Halting problem is not decidable

Theorem

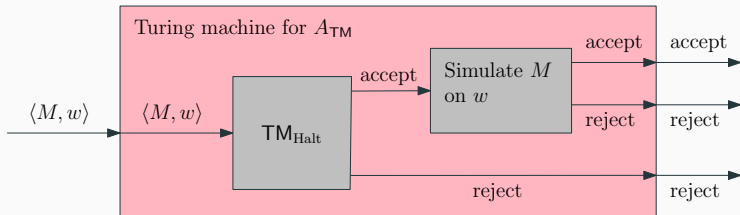
The language A_{Halt} is not decidable.

Proof.

Assume, for the sake of contradiction, that A_{Halt} is decidable.

As such, there is a TM, denoted by TM_{Halt} , that is a decider for A_{Halt} . We can use TM_{Halt} as an implementation of an oracle for A_{Halt} , which would imply that one can build a decider for A_{TM} . However, A_{TM} is undecidable. A contradiction. It must be that A_{Halt} is undecidable. \square

The same proof by figure...



... if A_{Halt} is decidable, then A_{TM} is decidable, which is impossible.

Emptiness

The language of empty languages

- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$.
- TM_{ETM} : Assume we are given this decider for E_{TM} .
- Need to use TM_{ETM} to build a decider for A_{TM} .
- Decider for A_{TM} is given M and w and must decide whether M accepts w .
- Restructure question to be about Turing machine having an empty language.
- Somehow make the second input (w) disappear.

The language of empty languages

- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$.
- TM_{ETM} : Assume we are given this decider for E_{TM} .
- Need to use TM_{ETM} to build a decider for A_{TM} .
- Decider for A_{TM} is given M and w and must decide whether M accepts w .
- Restructure question to be about Turing machine having an empty language.
- Somehow make the second input (w) disappear.
- Idea: hard-code w into M , creating a TM M_w which runs M on the fixed string w .
- TM M_w :
 1. Input = x (which will be ignored)
 2. Simulate M on w .
 3. If the simulation accepts, accept. If the simulation rejects, reject.

Embedding strings...

- Given program $\langle M \rangle$ and input w ...
- ...can output a program $\langle M_w \rangle$.
- The program M_w simulates M on w . And accepts/rejects accordingly.
- **EmbedString**($\langle M, w \rangle$) input two strings $\langle M \rangle$ and w , and output a string encoding (TM) $\langle M_w \rangle$.

Embedding strings...

- Given program $\langle M \rangle$ and input w ...
- ...can output a program $\langle M_w \rangle$.
- The program M_w simulates M on w . And accepts/rejects accordingly.
- **EmbedString**($\langle M, w \rangle$) input two strings $\langle M \rangle$ and w , and output a string encoding (TM) $\langle M_w \rangle$.
- What is $L(M_w)$?

Embedding strings...

- Given program $\langle M \rangle$ and input w ...
- ...can output a program $\langle M_w \rangle$.
- The program M_w simulates M on w . And accepts/rejects accordingly.
- **EmbedString**($\langle M, w \rangle$) input two strings $\langle M \rangle$ and w , and output a string encoding (TM) $\langle M_w \rangle$.
- What is $L(M_w)$?
- Since M_w ignores input x .. language M_w is either Σ^* or \emptyset . It is Σ^* if M accepts w , and it is \emptyset if M does not accept w .

Emptiness is undecidable

Theorem

The language E_{TM} is undecidable.

- Assume (for contradiction), that E_{TM} is decidable.
- TM_{ETM} be its decider.
- Build decider **AnotherDecider- A_{TM}** for A_{TM} :

```
AnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M_w \rangle \leftarrow$  EmbedString( $\langle M, w \rangle$ )  
   $r \leftarrow TM_{ETM}(\langle M_w \rangle)$ .  
  if  $r = \text{accept}$  then  
    return reject  
  //  $TM_{ETM}(\langle M_w \rangle)$  rejected its input  
  return accept
```

Emptiness is undecidable...

Consider the possible behavior of **AnotherDecider- A_{TM}** on the input $\langle M, w \rangle$.

- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is empty. This implies that M does not accept w . As such, **AnotherDecider- A_{TM}** rejects its input $\langle M, w \rangle$.
- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is not empty. This implies that M accepts w . So **AnotherDecider- A_{TM}** accepts $\langle M, w \rangle$.

Emptiness is undecidable...

Consider the possible behavior of **AnotherDecider- A_{TM}** on the input $\langle M, w \rangle$.

- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is empty. This implies that M does not accept w . As such, **AnotherDecider- A_{TM}** rejects its input $\langle M, w \rangle$.
- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is not empty. This implies that M accepts w . So **AnotherDecider- A_{TM}** accepts $\langle M, w \rangle$.

\implies **AnotherDecider- A_{TM}** is decider for A_{TM} .

But A_{TM} is undecidable...

Emptiness is undecidable...

Consider the possible behavior of **AnotherDecider- A_{TM}** on the input $\langle M, w \rangle$.

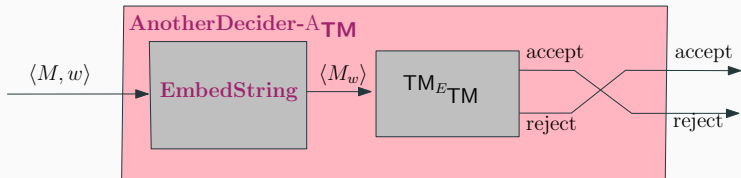
- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is empty. This implies that M does not accept w . As such, **AnotherDecider- A_{TM}** rejects its input $\langle M, w \rangle$.
- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is not empty. This implies that M accepts w . So **AnotherDecider- A_{TM}** accepts $\langle M, w \rangle$.

\implies **AnotherDecider- A_{TM}** is decider for A_{TM} .

But A_{TM} is undecidable...

...must be assumption that E_{TM} is decidable is false.

Emptiness is undecidable via diagram



$\text{AnotherDecider-}A_{TM}$ never actually runs the code for M_w . It hands the code to a function TM_{ETM} which analyzes what the code would do if run it. So it does not matter that M_w might go into an infinite loop.

Equality

Equality is undecidable

$$EQ_{TM} = \left\{ \langle M, N \rangle \mid M \text{ and } N \text{ are TM's and } L(M) = L(N) \right\}.$$

Lemma

The language EQ_{TM} is undecidable.

Proof.

Suppose that we had a decider **DeciderEqual** for EQ_{TM} . Then we can build a decider for E_{TM} as follows:

TM R:

1. Input = $\langle M \rangle$
2. Include the (constant) code for a **TM** T that rejects all its input. We denote the string encoding T by $\langle T \rangle$.
3. Run **DeciderEqual** on $\langle M, T \rangle$.
4. If **DeciderEqual** accepts, then accept.
5. If **DeciderEqual** rejects, then reject.



Regularity

Many undecidable languages

- Almost any property defining a **TM** language induces a language which is undecidable.
- proofs all have the same basic pattern.
- Regularity language:
$$\text{Regular}_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular} \}.$$
- **DeciderRegL**: Assume **TM** decider for $\text{Regular}_{\text{TM}}$.
- Reduction from halting requires to turn problem about deciding whether a **TM** M accepts w (i.e., is $w \in A_{\text{TM}}$) into a problem about whether some **TM** accepts a regular set of strings.

Proof continued...

- Given M and w , consider the following TM M'_w :
TM M'_w :
 - (i) Input = x
 - (ii) If x has the form $a^n b^n$, halt and accept.
 - (iii) Otherwise, simulate M on w .
 - (iv) If the simulation accepts, then accept.
 - (v) If the simulation rejects, then reject.
- not executing M'_w !
- feed string $\langle M'_w \rangle$ into **DeciderRegL**
- **EmbedRegularString**: program with input $\langle M \rangle$ and w , and outputs $\langle M'_w \rangle$, encoding the program M'_w .
- If M accepts w , then any x accepted by M'_w : $L(M'_w) = \Sigma^*$.
- If M does not accept w , then $L(M'_w) = \{a^n b^n \mid n \geq 0\}$.

Proof continued...

- $a^n b^n$ is not regular..
- Use **DeciderRegL** on M'_w to distinguish these two cases.
- Note - cooked M'_w to the decider at hand.
- A decider for A_{TM} as follows.

```
AnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M'_w \rangle \leftarrow$  EmbedRegularString( $\langle M, w \rangle$ )  
   $r \leftarrow$  DeciderRegL( $\langle M'_w \rangle$ ).  
  return  $r$ 
```

- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*)

Proof continued...

- $a^n b^n$ is not regular..
- Use **DeciderRegL** on M'_w to distinguish these two cases.
- Note - cooked M'_w to the decider at hand.
- A decider for A_{TM} as follows.

```
AnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M'_w \rangle \leftarrow$  EmbedRegularString( $\langle M, w \rangle$ )  
   $r \leftarrow$  DeciderRegL( $\langle M'_w \rangle$ ).  
  return  $r$ 
```

- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*) $\implies M$ accepts w . So **AnotherDecider- A_{TM}** should accept $\langle M, w \rangle$.

Proof continued...

- $a^n b^n$ is not regular..
- Use **DeciderRegL** on M'_w to distinguish these two cases.
- Note - cooked M'_w to the decider at hand.
- A decider for A_{TM} as follows.

```
AnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
     $\langle M'_w \rangle \leftarrow$  EmbedRegularString( $\langle M, w \rangle$ )  
     $r \leftarrow$  DeciderRegL( $\langle M'_w \rangle$ ).  
    return  $r$ 
```

- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*) $\implies M$ accepts w . So **AnotherDecider- A_{TM}** should accept $\langle M, w \rangle$.
- If **DeciderRegL** rejects $\implies L(M'_w)$ is not regular $\implies L(M'_w) = a^n b^n$

Proof continued...

- $a^n b^n$ is not regular..
- Use **DeciderRegL** on M'_w to distinguish these two cases.
- Note - cooked M'_w to the decider at hand.
- A decider for A_{TM} as follows.

```
AnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M'_w \rangle \leftarrow$  EmbedRegularString( $\langle M, w \rangle$ )  
   $r \leftarrow$  DeciderRegL( $\langle M'_w \rangle$ ).  
  return  $r$ 
```

- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*) $\implies M$ accepts w . So **AnotherDecider- A_{TM}** should accept $\langle M, w \rangle$.
- If **DeciderRegL** rejects $\implies L(M'_w)$ is not regular $\implies L(M'_w) = a^n b^n \implies M$ does not accept $w \implies$ **AnotherDecider- A_{TM}** should reject $\langle M, w \rangle$.

Rice theorem

The above proofs were somewhat repetitious...

...they imply a more general result.

Theorem (Rice's Theorem.)

*Suppose that L is a language of Turing machines; that is, each word in L encodes a **TM**. Furthermore, assume that the following two properties hold.*

- (a) *Membership in L depends only on the Turing machine's language, i.e. if $L(M) = L(N)$ then $\langle M \rangle \in L \Leftrightarrow \langle N \rangle \in L$.*
- (b) *The set L is "non-trivial," i.e. $L \neq \emptyset$ and L does not contain all Turing machines.*

Then L is a undecidable.