

# Karatsuba's Algorithm and Linear Time Selection

## Lecture 11

# We will learn

- 1 Last lecture
  - 1 How to think about recursion as a design paradigm
  - 2 How to analyze running time recurrences
- 2 More complicated recursion in action
  - 1 Fast multiplication (Karatsuba's Algorithm)
  - 2 Linear Time Selection

# Recursion

## Another way to think about it

*Reduce* a problem to *smaller* instances of the *same* problem.

Reduction = Delegation

- Solve a problem using elementary operations + call a bunch of subroutines
- Subroutines = Black boxes

# Example of Reduction: Distinct Elements Problem

**Problem** Given an array  $A$  of  $n$  integers, are there any *duplicates* in  $A$ ?

# Example of Reduction: Distinct Elements Problem

**Problem** Given an array  $A$  of  $n$  integers, are there any *duplicates* in  $A$ ?

Naive algorithm:

```
DistinctElements(A[1..n])
  for  $i = 1$  to  $n - 1$  do
    for  $j = i + 1$  to  $n$  do
      if ( $A[i] = A[j]$ )
        return YES
  return NO
```

# Example of Reduction: Distinct Elements Problem

**Problem** Given an array  $A$  of  $n$  integers, are there any *duplicates* in  $A$ ?

Naive algorithm:

```
DistinctElements(A[1..n])
  for  $i = 1$  to  $n - 1$  do
    for  $j = i + 1$  to  $n$  do
      if ( $A[i] = A[j]$ )
        return YES
  return NO
```

**Running time:**

# Example of Reduction: Distinct Elements Problem

**Problem** Given an array  $A$  of  $n$  integers, are there any *duplicates* in  $A$ ?

Naive algorithm:

```
DistinctElements(A[1..n])
  for  $i = 1$  to  $n - 1$  do
    for  $j = i + 1$  to  $n$  do
      if ( $A[i] = A[j]$ )
        return YES
  return NO
```

Running time:  $O(n^2)$



# Reduction to Sorting

```
DistinctElements( $A[1..n]$ )  
  Sort  $A$   
  for  $i = 1$  to  $n - 1$  do  
    if ( $A[i] = A[i + 1]$ ) then  
      return YES  
  return NO
```

# Reduction to Sorting

```
DistinctElements(A[1..n])
  Sort A
  for i = 1 to n - 1 do
    if (A[i] = A[i + 1]) then
      return YES
  return NO
```

**Running time:**  $O(n)$  plus time to sort an array of  $n$  numbers

**Important point:** algorithm uses sorting as a *black box*

# Recursion

It requires discipline to delegate

It is important to think of the recursive calls as black boxes, that is, subroutines taken care of by the recursion fairy.

# Recursion

## It requires discipline to delegate

It is important to think of the recursive calls as black boxes, that is, subroutines taken care of by the recursion fairy.

```
MERGESORT( $A[1..n]$ ):  
  if  $n > 1$   
     $m \leftarrow \lfloor n/2 \rfloor$   
    MERGESORT( $A[1..m]$ )  
    MERGESORT( $A[m+1..n]$ )  
    MERGE( $A[1..n], m$ )
```

# Solving Recurrences

Two general methods:

- 1 Guess and Verify
- 2 Recursion tree method: At every level of recursion, how much *non-recursive* work you are doing.

# Solving Recurrences

Two general methods:

- 1 Guess and Verify
- 2 Recursion tree method: At every level of recursion, how much *non-recursive* work you are doing.
  - 1 Merge Sort: same amount of work at every level

# Solving Recurrences

Two general methods:

- 1 Guess and Verify
- 2 Recursion tree method: At every level of recursion, how much *non-recursive* work you are doing.
  - 1 Merge Sort: same amount of work at every level
  - 2 Increasing geometric series: count number of leaves. (Fast multiplication)
  - 3 Decreasing geometric series: summable, first level dominates. (Selection)

# Part I

## Fast Multiplication



# Multiplying Numbers

**Problem** Given two  $n$ -digit numbers  $x$  and  $y$ , compute their product.

## Grade School Multiplication

Compute “partial product” by multiplying each digit of  $y$  with  $x$  and adding the partial products.

$$\begin{array}{r} 3141 \\ \times 2718 \\ \hline 25128 \\ 3141 \\ 21987 \\ 6282 \\ \hline 8537238 \end{array}$$

# Time Analysis of Grade School Multiplication

- ① Each partial product:  $\Theta(n)$
- ② Number of partial products:  $\Theta(n)$
- ③ Addition of partial products:  $\Theta(n^2)$
- ④ Total time:  $\Theta(n^2)$

# Divide and Conquer

Assume  $n$  is a power of  $2$  for simplicity and numbers are in decimal.

Split each number into two numbers with equal number of digits

- 1  $x = x_{n-1}x_{n-2} \dots x_0$  and  $y = y_{n-1}y_{n-2} \dots y_0$
- 2  $x = x_{n-1} \dots x_{n/2} \mathbf{0} \dots \mathbf{0} + x_{n/2-1} \dots x_0$
- 3  $x = \mathbf{10}^{n/2}x_L + x_R$  where  $x_L = x_{n-1} \dots x_{n/2}$  and  $x_R = x_{n/2-1} \dots x_0$
- 4 Similarly  $y = \mathbf{10}^{n/2}y_L + y_R$  where  $y_L = y_{n-1} \dots y_{n/2}$  and  $y_R = y_{n/2-1} \dots y_0$

# Example

$$\begin{aligned}1234 \times 5678 &= (100 \times 12 + 34) \times (100 \times 56 + 78) \\ &= 10000 \times 12 \times 56 \\ &\quad + 100 \times (12 \times 78 + 34 \times 56) \\ &\quad + 34 \times 78\end{aligned}$$

# Divide and Conquer

Assume  $n$  is a power of  $2$  for simplicity and numbers are in decimal.

- 1  $x = x_{n-1}x_{n-2} \dots x_0$  and  $y = y_{n-1}y_{n-2} \dots y_0$
- 2  $x = 10^{n/2}x_L + x_R$  where  $x_L = x_{n-1} \dots x_{n/2}$  and  $x_R = x_{n/2-1} \dots x_0$
- 3  $y = 10^{n/2}y_L + y_R$  where  $y_L = y_{n-1} \dots y_{n/2}$  and  $y_R = y_{n/2-1} \dots y_0$

Therefore

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

# Time Analysis

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

**4** recursive multiplications of size  $n/2$  plus 3 additions and left shifts (adding enough 0's to the right)

# Time Analysis

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

**4** recursive multiplications of size  $n/2$  plus 3 additions and left shifts (adding enough 0's to the right)

$$T(n) = 4T(n/2) + O(n) \quad T(1) = O(1)$$

# Time Analysis

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

4 recursive multiplications of size  $n/2$  plus 3 additions and left shifts (adding enough 0's to the right)

$$T(n) = 4T(n/2) + O(n) \quad T(1) = O(1)$$

$T(n) = \Theta(n^2)$ . No better than grade school multiplication!



# Recursion Tree

# A Trick of Gauss

Carl Friedrich Gauss: 1777–1855 “Prince of Mathematicians”

Observation: Multiply two complex numbers:  $(a + bi)$  and  $(c + di)$

$$(a + bi)(c + di) = ac - bd + (ad + bc)i$$

# A Trick of Gauss

Carl Friedrich Gauss: 1777–1855 “Prince of Mathematicians”

Observation: Multiply two complex numbers:  $(a + bi)$  and  $(c + di)$

$$(a + bi)(c + di) = ac - bd + (ad + bc)i$$

How many multiplications do we need?

# A Trick of Gauss

Carl Friedrich Gauss: 1777–1855 “Prince of Mathematicians”

Observation: Multiply two complex numbers:  $(a + bi)$  and  $(c + di)$

$$(a + bi)(c + di) = ac - bd + (ad + bc)i$$

How many multiplications do we need?

Only 3! If we do extra additions and subtractions.

Compute  $ac$ ,  $bd$ ,  $(a + b)(c + d)$ . Then  
 $(ad + bc) = (a + b)(c + d) - ac - bd$

# Improving the Running Time

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

Gauss trick:  $x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$

# Improving the Running Time

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

Gauss trick:  $x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$

Recursively compute only  $x_L y_L$ ,  $x_R y_R$ ,  $(x_L + x_R)(y_L + y_R)$ .

# Improving the Running Time

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

Gauss trick:  $x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$

Recursively compute only  $x_L y_L$ ,  $x_R y_R$ ,  $(x_L + x_R)(y_L + y_R)$ .

## Time Analysis

Running time is given by

$$T(n) = 3T(n/2) + O(n) \qquad T(1) = O(1)$$

which means

# Improving the Running Time

$$\begin{aligned}xy &= (10^{n/2}x_L + x_R)(10^{n/2}y_L + y_R) \\ &= 10^n x_L y_L + 10^{n/2}(x_L y_R + x_R y_L) + x_R y_R\end{aligned}$$

Gauss trick:  $x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$

Recursively compute only  $x_L y_L$ ,  $x_R y_R$ ,  $(x_L + x_R)(y_L + y_R)$ .

## Time Analysis

Running time is given by

$$T(n) = 3T(n/2) + O(n) \qquad T(1) = O(1)$$

which means  $T(n) = O(n^{\log_2 3}) = O(n^{1.585})$



# Analyzing the Recurrences

- ① Basic divide and conquer:  $T(n) = 4T(n/2) + O(n)$ ,  
 $T(1) = 1$ . **Claim:**  $T(n) = \Theta(n^2)$ .
- ② Saving a multiplication:  $T(n) = 3T(n/2) + O(n)$ ,  
 $T(1) = 1$ . **Claim:**  $T(n) = \Theta(n^{\log_2 3})$

# Analyzing the Recurrences

- ① Basic divide and conquer:  $T(n) = 4T(n/2) + O(n)$ ,  
 $T(1) = 1$ . **Claim:**  $T(n) = \Theta(n^2)$ .
- ② Saving a multiplication:  $T(n) = 3T(n/2) + O(n)$ ,  
 $T(1) = 1$ . **Claim:**  $T(n) = \Theta(n^{\log_2 3})$

Use recursion tree method:

- ① In both cases, depth of recursion  $L = \log n$ .
- ② Work at depth  $i$  is  $4^i n/2^i$  and  $3^i n/2^i$  respectively: number of children at depth  $i$  times the work at each child
- ③ Total work is therefore  $n \sum_{i=0}^L 2^i$  and  $n \sum_{i=0}^L (3/2)^i$  respectively.

# Recursion tree analysis

## Part II

# Selecting in Unsorted Lists

# Rank of element in an array

$A$ : an unsorted array of  $n$  integers

## Definition

For  $1 \leq j \leq n$ , element of rank  $j$  is the  $j$ 'th smallest element in  $A$ .

Unsorted array	16	14	34	20	12	5	3	19	11
Ranks	6	5	9	8	4	2	1	7	3
Sort of array	3	5	11	12	14	16	19	20	34

# Problem - Selection

**Input** Unsorted array  $A$  of  $n$  integers **and** integer  $j$

**Goal** Find the  $j$ th smallest number in  $A$  (*rank  $j$*  number)

**Median:**  $j = \lfloor (n + 1)/2 \rfloor$

# Problem - Selection

**Input** Unsorted array  $A$  of  $n$  integers **and** integer  $j$

**Goal** Find the  $j$ th smallest number in  $A$  (*rank  $j$*  number)

**Median:**  $j = \lfloor (n + 1)/2 \rfloor$

**Simplifying assumption for sake of notation:** elements of  $A$  are distinct

# Algorithm 1

- 1 Sort the elements in  $A$
- 2 Pick  $j$ th element in sorted order

Time taken =  $O(n \log n)$



# Algorithm 1

- 1 Sort the elements in  $A$
- 2 Pick  $j$ th element in sorted order

Time taken =  $O(n \log n)$

Do we need to sort? Is there an  $O(n)$  time algorithm?

# Algorithm II. One-armed Quick Sort

```
QUICKSORT( $A[1..n]$ ):  
  if ( $n > 1$ )  
    Choose a pivot element  $A[p]$   
     $r \leftarrow \text{PARTITION}(A, p)$   
    QUICKSORT( $A[1..r-1]$ )  ⟨⟨Recurse!⟩⟩  
    QUICKSORT( $A[r+1..n]$ ) ⟨⟨Recurse!⟩⟩
```

# Algorithm II. One-armed Quick Sort

```
QUICKSELECT( $A[1..n], k$ ):  
  if  $n = 1$   
    return  $A[1]$   
  else  
    Choose a pivot element  $A[p]$   
     $r \leftarrow \text{PARTITION}(A[1..n], p)$   
    if  $k < r$   
      return QUICKSELECT( $A[1..r-1], k$ )  
    else if  $k > r$   
      return QUICKSELECT( $A[r+1..n], k-r$ )  
    else  
      return  $A[r]$ 
```

# Running Time Analysis

① Partitioning step:  $O(n)$  time to scan  $A$

②

$$T(n) = \max_{1 \leq k \leq n} \max(T(k-1), T(n-k)) + O(n)$$

In the worst case  $T(n) = T(n-1) + O(n)$ , which means  $T(n) = O(n^2)$ . Happens if array is already sorted and pivot is always first element.

# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

$$T(n) \leq T(3n/4) + O(n)$$

Implies  $T(n) = O(n)$ !

# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

$$T(n) \leq T(3n/4) + O(n)$$

Implies  $T(n) = O(n)$ !

How do we find such a pivot?

# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

$$T(n) \leq T(3n/4) + O(n)$$

Implies  $T(n) = O(n)$ !

How do we find such a pivot? Randomly?



# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

$$T(n) \leq T(3n/4) + O(n)$$

Implies  $T(n) = O(n)$ !

How do we find such a pivot? Randomly? In fact works!

Analysis a little bit later.

# A Better Pivot

Suppose pivot is the  $\ell$ th smallest element where  $n/4 \leq \ell \leq 3n/4$ .

That is pivot is *approximately* in the middle of  $A$

Then  $n/4 \leq |A_{\text{less}}| \leq n/2$  and  $n/2 \leq |A_{\text{greater}}| \leq 3n/4$ . If we apply recursion,

$$T(n) \leq T(3n/4) + O(n)$$

Implies  $T(n) = O(n)$ !

How do we find such a pivot? Randomly? In fact works!

Analysis a little bit later.

Can we choose pivot deterministically?

# Divide and Conquer Approach

## A game of medians

### Idea

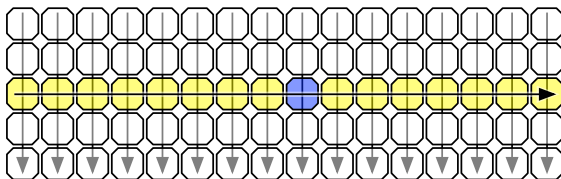
- 1 Break input  $A$  into many subarrays:  $L_1, \dots, L_k$ .
- 2 Find median  $m_i$  in each subarray  $L_i$ .
- 3 Find the median  $x$  of the medians  $m_1, \dots, m_k$ .
- 4 Intuition: The median  $x$  should be close to being a good median of all the numbers in  $A$ .
- 5 Use  $x$  as pivot in previous algorithm.

# Example

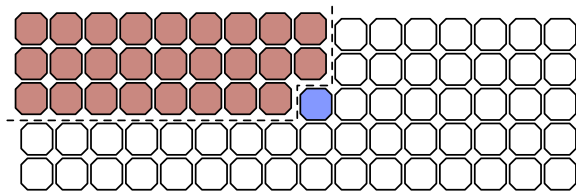
11	7	3	42	174	310	1	92	87	12	19	15
----	---	---	----	-----	-----	---	----	----	----	----	----

# Example

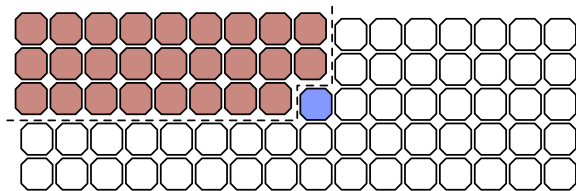
11	7	3	42	174	310	1	92	87	12	19	15
----	---	---	----	-----	-----	---	----	----	----	----	----



# Median of median



# Median of median



## Lemma

*Median of  $B$  is an approximate median of  $A$ . That is, if  $b$  is used as a pivot to partition  $A$ , then  $|A_{\text{greater}}| \leq 7n/10$ .*

# Algorithm for Selection

## A storm of medians

**select**( $A$ ,  $j$ ):

Form lists  $L_1, L_2, \dots, L_{\lceil n/5 \rceil}$  where  $L_i = \{A[5i - 4], \dots, A[5i]\}$

Find median  $b_i$  of each  $L_i$  using brute-force

**Find median  $b$  of  $B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\}$**

Partition  $A$  into  $A_{\text{less}}$  and  $A_{\text{greater}}$  using  $b$  as pivot

**if** ( $|A_{\text{less}}| = j$ ) **return**  $b$

**else if** ( $|A_{\text{less}}| > j$ )

**return** **select**( $A_{\text{less}}$ ,  $j$ )

**else**

**return** **select**( $A_{\text{greater}}$ ,  $j - |A_{\text{less}}|$ )



# Algorithm for Selection

## A storm of medians

**select**( $A$ ,  $j$ ):

Form lists  $L_1, L_2, \dots, L_{\lceil n/5 \rceil}$  where  $L_i = \{A[5i - 4], \dots, A[5i]\}$

Find median  $b_i$  of each  $L_i$  using brute-force

**Find median  $b$  of  $B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\}$**

Partition  $A$  into  $A_{\text{less}}$  and  $A_{\text{greater}}$  using  $b$  as pivot

**if** ( $|A_{\text{less}}| = j$ ) **return**  $b$

**else if** ( $|A_{\text{less}}| > j$ )

**return** **select**( $A_{\text{less}}$ ,  $j$ )

**else**

**return** **select**( $A_{\text{greater}}$ ,  $j - |A_{\text{less}}|$ )

How do we find median of  $B$ ?

# Algorithm for Selection

## A storm of medians

**select**( $A$ ,  $j$ ):

Form lists  $L_1, L_2, \dots, L_{\lceil n/5 \rceil}$  where  $L_i = \{A[5i - 4], \dots, A[5i]\}$

Find median  $b_i$  of each  $L_i$  using brute-force

**Find median**  $b$  of  $B = \{b_1, b_2, \dots, b_{\lceil n/5 \rceil}\}$

Partition  $A$  into  $A_{\text{less}}$  and  $A_{\text{greater}}$  using  $b$  as pivot

**if** ( $|A_{\text{less}}| = j$ ) **return**  $b$

**else if** ( $|A_{\text{less}}| > j$ )

**return** **select**( $A_{\text{less}}$ ,  $j$ )

**else**

**return** **select**( $A_{\text{greater}}$ ,  $j - |A_{\text{less}}|$ )

How do we find median of  $B$ ? Recursively!

# Algorithm for Selection

## A storm of medians

**select**( $A$ ,  $j$ ):

Form lists  $L_1, L_2, \dots, L_{\lceil n/5 \rceil}$  where  $L_i = \{A[5i - 4], \dots, A[5i]\}$

Find median  $b_i$  of each  $L_i$  using brute-force

$B = [b_1, b_2, \dots, b_{\lceil n/5 \rceil}]$

$b = \text{select}(B, \lceil n/10 \rceil)$

Partition  $A$  into  $A_{\text{less}}$  and  $A_{\text{greater}}$  using  $b$  as pivot

**if** ( $|A_{\text{less}}| = j$ ) **return**  $b$

**else if** ( $|A_{\text{less}}| > j$ )

**return** **select**( $A_{\text{less}}$ ,  $j$ )

**else**

**return** **select**( $A_{\text{greater}}$ ,  $j - |A_{\text{less}}|$ )

# Running time of deterministic median selection

A dance with recurrences

$$T(n) \leq T(\lceil n/5 \rceil) + \max\{T(|A_{\text{less}}|), T(|A_{\text{greater}}|)\} + O(n)$$

# Running time of deterministic median selection

## A dance with recurrences

$$T(n) \leq T(\lceil n/5 \rceil) + \max\{T(|A_{\text{less}}|), T(|A_{\text{greater}}|)\} + O(n)$$

From Lemma,

$$T(n) \leq T(\lceil n/5 \rceil) + T(\lceil 7n/10 \rceil) + O(n)$$

and

$$T(n) = O(1) \quad n < 10$$

# Recursion Tree

# Why 5? How about 3?

