

## Lecture 3

Tuesday, 2 February, 2021 10:49

Today: a very simple model of computation for deciding language membership (aka Y/N problem)

- given input, read it char by char
- keep track of a finite amt of memory
  - does not depend on input
- When no more input, outputs Y/N (accept/reject)

Variants used in ... simple robots, network controllers  
language processing

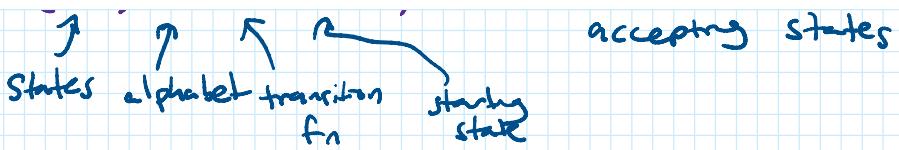
Pieces:

- each possible configuration of memory is called a state  
 $Q$  = set of possible states
- start machine in some "starting" state  $s \in Q$
- given the current state, the next input char tells us how to update mem, or, which state to transition to  
transition function  $\delta: Q \times \Sigma \rightarrow Q$
- at the end, we are in an accepting state or rejecting state.

$A$  = set of accepting states  
( $Q \setminus A$  = set of rejecting state)

Deterministic Finite Automaton (DFA)

DFA  $M = (Q, \Sigma, \delta, s, A)$   
↓   ↑   ↑   ↖  
States   alphabet   transitions   start   set of accepting states



Ex. Prog for determining if, given a binary string  $w$   
if  $\#(1, w)$  is odd

Idea: count the # of 1s.

Problem: the amount of memory depends on the input!

Actually keep track of parity of this count

Parity 1s: ← state. possibilities  
are  $\{0, 1\}$

parity  $\leftarrow 0$

while input

read character  $a$

if  $a=1$ :

Parity  $\leftarrow 1 - \text{parity}$

transition

return (parity = 1)

$$Q = \{0, 1\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q, a) = \begin{cases} q & \text{if } a=0 \\ 1-q & \text{if } a=1 \end{cases}$$

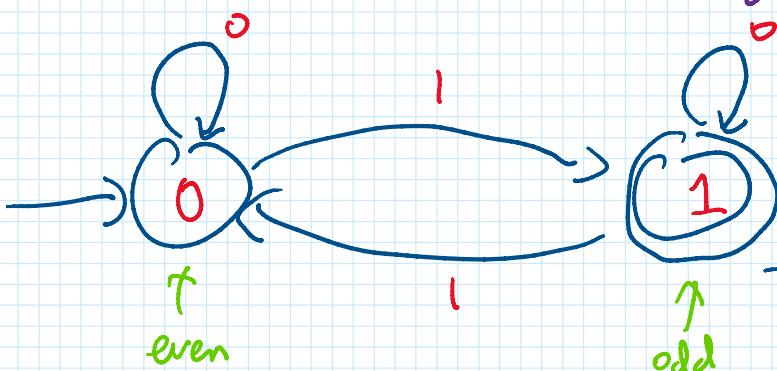
$$s = 0 \quad (\in Q)$$

$$A = \{1\}$$

Graphical representation of DFAs

- vertices are states
- edges represent transitions
- for each state, there is exactly one outgoing edge per  $a \in \Sigma$   
(sometimes combined for visualization)
- pointer to starting state

- pointer to starty state
- doubled circles for accepting



e.g. on input  
01101

state seq:

$\rightarrow 0 \rightarrow 1 \rightarrow 0 \rightarrow 0 \rightarrow 1$

1 is accepting

so return yes

on input

1010?

state req:

$\rightarrow 0 \rightarrow 1 \rightarrow 1 \rightarrow 0 \rightarrow 0$

reject

Program for determining if binary string contains 00.

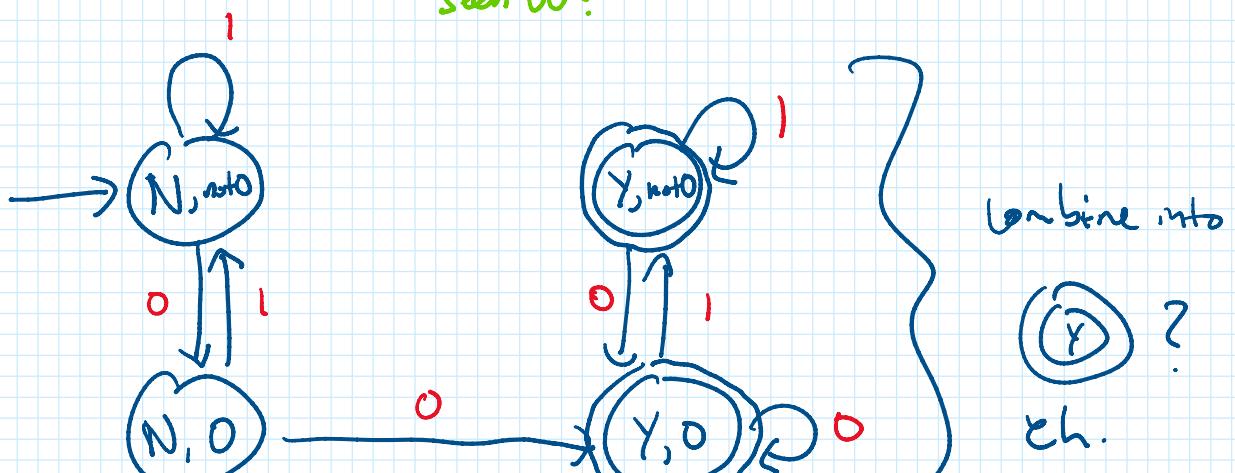
- keep track of if we've seen 00

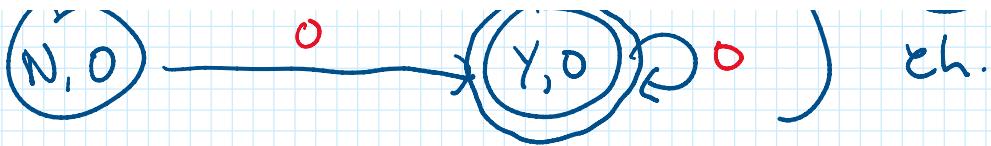
- keep track of prev char.

If  $\text{prev} = 0$  and  $\text{curr} = 0$ ,  
we are looking at 00

keep track of  $(\text{found}, \text{prev}) \in \{\text{Y}, \text{N}\} \times \{\text{0}, \text{not0}\}$

have we seen 00?





1001

$\rightarrow N, \text{not } 0 \rightarrow N, \text{not } 0 \rightarrow N, 0 \rightarrow Y, 0 \rightarrow Y, \text{not } 0$

0101

$\rightarrow N, \text{not } 0 \rightarrow N, 0 \rightarrow N, \text{not } 0 \rightarrow N, 0 \rightarrow N, \text{not } 0$

Mathematical properties of DFAs.

given a DFA  $M$ , the language accepted by  $M$

$$is L(M) = \{ w \in \Sigma^* \mid M \text{ accepts } w \}$$

What does it mean for  $M$  to accept  $w$ ?

after reading  $w$ , we ended on an accepting state.

Q: what is the state we end on?

we'd like to give it a label like

$$\delta^*(w) \in Q$$

Define extended transition for  $\delta^*$

$$\delta^* : Q \times \Sigma^* \rightarrow Q$$

$\delta^*(q, w)$  = state we end on if, starting from  $q$ , we read  $w$ .

$$\delta^*(q, w) = \begin{cases} q & \text{if } w = \epsilon \\ \delta^*(\delta(q, a), x) & \text{if } w = ax \end{cases}$$

String is either  
 $\epsilon$   
or  
 $ax$

$$L(M) = \{ w \in \Sigma^* \mid \delta^*(s, w) \in A \}$$

Def. A language is automatic if there is a DFA

Def. A language is automatic if there is a DFA that accepts it

specif. to 374

Spoilers:  
automatic  
regular

### Closure properties

recall regular languages are closed under

- (finite) union
- (finite) concat
- Kleene star

if we do this  
to (a) regular language(s)  
we get a reg lang

What can we do to automatize langs  
to get an auto lang?

→ gives a way of building complex DFAs for harder langs from simpler DFAs for easier langs.

- Complement                                    regex:  $\frac{\text{not } 0}{\epsilon + 1 + (0+1)(0+1)(0+1)^*}$

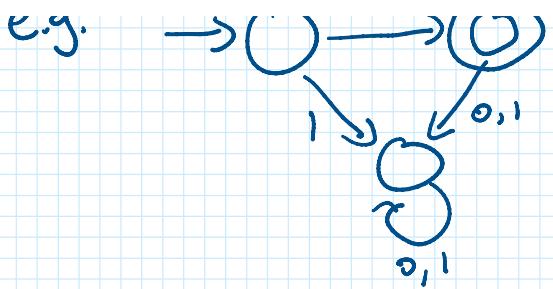
$$\begin{aligned}\overline{L(M)} &= \Sigma^* \setminus L(M) \\ &= \Sigma^* \setminus \{ w \in \Sigma^* \mid \delta^*(s, w) \in A \} \\ &= \{ w \in \Sigma^* \mid \delta^*(s, w) \notin A \} \\ &= \{ w \in \Sigma^* \mid \delta^*(s, w) \in Q \setminus A \}\end{aligned}$$

Given  $M = (Q, \Sigma, \delta, s, A)$

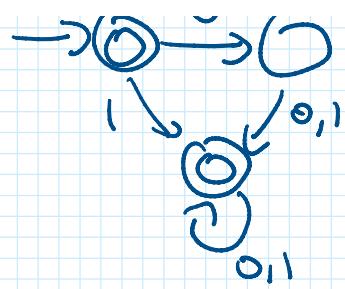
define  $\bar{M} = (Q, \Sigma, \delta, s, Q \setminus A)$

the preceding derivation proves that  $L(\bar{M}) = \overline{L(M)}$





DRA for  $\{03\}$



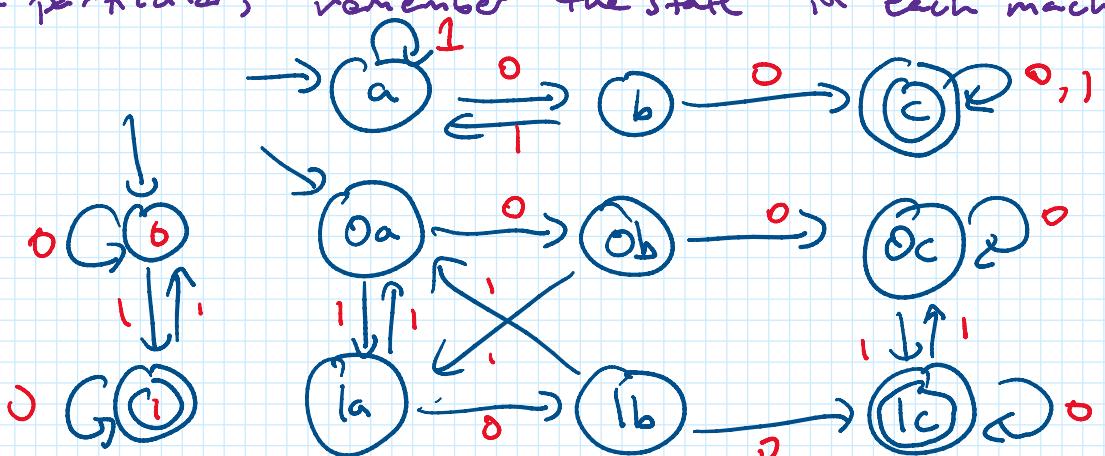
DFA for  $\Sigma^* \setminus \{03\} = \overline{\{03\}}$

### - Intersection

Ex.  $\#(1, w) \Rightarrow \text{odd AND contains } 00$

Idea. simulate keeping track of both machines.

In particular, remember the state in each machine.



state seq's:  $\rightarrow 0 \rightarrow 1 \rightarrow 1 \rightarrow 1 \rightarrow 0 \rightarrow 1$   
 $\rightarrow a \rightarrow a \rightarrow b \rightarrow c \rightarrow c \rightarrow e$

Procedure: Product Construction

Given  $M_1 = (Q_1, \Sigma, \delta_1, S_1, A_1)$

$M_2 = (Q_2, \Sigma, \delta_2, S_2, A_2)$

Same!

$M = (Q, \Sigma, \delta, S, A)$

$Q = Q_1 \times Q_2$  states are tuples of states

$Q = Q_1 \times Q_2$  states are tuples of states

$$= \{(q_1, q_2) \mid q_1 \in Q_1, q_2 \in Q_2\}$$

$\Sigma = \Sigma$   $s = (s_1, s_2)$  ( $\in Q_1 \times Q_2$ )

$$A = \{(q_1, q_2) \mid q_1 \in A_1, q_2 \in A_2\} = A_1 \times A_2 \subseteq Q_1 \times Q_2$$

$$\delta((q_1, q_2), a) = (\delta_1(q_1, a), \delta_2(q_2, a))$$

Thm:  $L(M) = L(M_1) \cap L(M_2)$

Pf: (see textbook)

The DFA given by prod construction  
might not be the smallest DFA for  $L(M_1) \cap L(M_2)$ .

We don't care (for now)

- Union  $L(M_1) \cup L(M_2)$

also by product construction except

$$A = \{(q_1, q_2) \mid q_1 \in A_1 \text{ or } q_2 \in A_2\}$$

everything else is the same.

- Set Difference  $L(M_1) \setminus L(M_2)$

for sets  $S, T$ .  $S \setminus T = S \cap \overline{T}$ .

$$\text{so Given } M_1, M_2, \quad = \{x \mid x \in S \text{ and } x \notin T\}$$

do product construction on  
 $M_1, \overline{M}_2$

Ex.  $\#(l, \omega)$  is odd, except the ones containing 00

