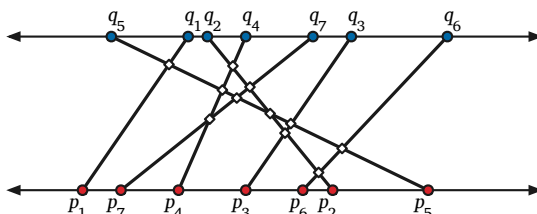


- 1 Suppose we are given two sets of  $n$  points, one set  $\{p_1, p_2, \dots, p_n\}$  on the line  $y = 0$  and the other set  $\{q_1, q_2, \dots, q_n\}$  on the line  $y = 1$ . Consider the  $n$  line segments connecting each point  $p_i$  to the corresponding point  $q_i$ . Describe and analyze a divide-and-conquer algorithm to determine how many pairs of these line segments intersect, in  $O(n \log n)$  time. See the example below.



Seven segments with endpoints on parallel lines, with 11 intersecting pairs.

Your input consists of two arrays  $P[1..n]$  and  $Q[1..n]$  of  $x$ -coordinates; you may assume that all  $2n$  of these numbers are distinct. No proof of correctness is necessary, but you should justify the running time.

## Solution:

We begin by sorting the array  $P[1..n]$  and permuting the array  $Q[1..n]$  to maintain correspondence between endpoints, in  $O(n \log n)$  time. Then for any indices  $i < j$ , segments  $i$  and  $j$  intersect if and only if  $Q[i] > Q[j]$ . Thus, our goal is to compute the number of pairs of indices  $i < j$  such that  $Q[i] > Q[j]$ . Such a pair is called an *inversion*.

We count the number of inversions in  $Q$  using the following extension of mergesort; as a side effect, this algorithm also sorts  $Q$ . If  $n < 100$ , we use brute force in  $O(1)$  time. Otherwise:

- Recursively count inversions in (and sort)  $Q[1.. \lfloor n/2 \rfloor]$ .
- Recursively count inversions in (and sort)  $Q[\lfloor n/2 \rfloor + 1.. n]$ .
- Count inversions  $Q[i] > Q[j]$  where  $i \leq \lfloor n/2 \rfloor$  and  $j > \lfloor n/2 \rfloor$  as follows:
  - Color the elements in the Left half  $Q[1.. \lfloor n/2 \rfloor]$  **blue**.
  - Color the elements in the Right half  $Q[\lfloor n/2 \rfloor + 1.. n]$  **red**.
  - Merge  $Q[1.. \lfloor n/2 \rfloor]$  and  $Q[\lfloor n/2 \rfloor + 1.. n]$ , maintaining their colors.
  - For each **blue** element  $Q[i]$ , count the number of smaller **red** elements  $Q[j]$ .

The last substep can be performed in  $O(n)$  time using a simple for-loop:

```

COUNTREDBLUE(A[1..n]):
    count ← 0
    total ← 0
    for i ← 1 to n
        if A[i] is red
            count ← count + 1
        else
            total ← total + count
    return total
    
```

In fact, we can execute the third merge-and-count step directly by modifying the MERGE algorithm, without any need for “colors”. Here changes to the standard MERGE algorithm are indicated in red.

```

MERGEANDCOUNT( $A[1..n], m$ ):
 $i \leftarrow 1$ ;  $j \leftarrow m + 1$ ;  $count \leftarrow 0$ ;  $total \leftarrow 0$ 
for  $k \leftarrow 1$  to  $n$ 
  if  $j > n$ 
     $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ ;  $total \leftarrow total + count$ 
  else if  $i > m$ 
     $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ ;  $count \leftarrow count + 1$ 
  else if  $A[i] < A[j]$ 
     $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ ;  $total \leftarrow total + count$ 
  else
     $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ ;  $count \leftarrow count + 1$ 
for  $k \leftarrow 1$  to  $n$ 
   $A[k] \leftarrow B[k]$ 
return  $total$ 

```

We can further optimize this algorithm by observing that  $count$  is always equal to  $j - m - 1$ . (Proof: Initially,  $j = m + 1$  and  $count = 0$ , and we always increment  $j$  and  $count$  together.)

```

MERGEANDCOUNT2( $A[1..n], m$ ):
 $i \leftarrow 1$ ;  $j \leftarrow m + 1$ ;  $total \leftarrow 0$ 
for  $k \leftarrow 1$  to  $n$ 
  if  $j > n$ 
     $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ ;  $total \leftarrow total + j - m - 1$ 
  else if  $i > m$ 
     $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ 
  else if  $A[i] < A[j]$ 
     $B[k] \leftarrow A[i]$ ;  $i \leftarrow i + 1$ ;  $total \leftarrow total + j - m - 1$ 
  else
     $B[k] \leftarrow A[j]$ ;  $j \leftarrow j + 1$ 
for  $k \leftarrow 1$  to  $n$ 
   $A[k] \leftarrow B[k]$ 
return  $total$ 

```

The modified MERGE algorithm still runs in  $O(n)$  time, so the running time of the resulting modified mergesort still obeys the recurrence  $T(n) = 2T(n/2) + O(n)$ . We conclude that the overall running time is  $O(n \log n)$ , as required.

*Rubric:* 10 points = 2 for base case + 3 for divide (split and recurse) + 3 for conquer (merge and count) + 2 for time analysis. Max 3 points for a correct  $O(n^2)$ -time algorithm. This is neither the only way to correctly describe this algorithm nor the only correct  $O(n \log n)$ -time algorithm. No proof of correctness is required.