# Undecidability

Lecture 21





#### Undecidable Problems

#### Proving undecidability

#### Using reductions to prove more undecidability

# Language of Universal TM

Language recognized by U:

 $L(U) = \{ (z,w) \mid U \text{ accepts } (z,w) \}$  $= \{ (z,w) \mid M_z \text{ accepts } w \}$ 

pair of binary strings encoded as a binary string

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We will call L(U) = ACCEPT

Today:

 $M_z$  is the TM encoded by the string  $M_z$ 

ACCEPT is undecidable!

No matter what encoding schemes are used

# Cantor's Diagonal Slash



Is the set of all infinitely long binary strings countable?

Suppose it was: consider *enumerating* them in a table

Consider the string corresponding to the "flipped diagonal"

Si									
S <sub>1</sub> =	1	0	0	1	0	0	0	0	1
S <sub>2</sub> =	0	0	1	0	1	0	0	1	1
S <sub>3</sub> =	1	1	1	1	1	1	1	0	0
S4 =	1	1	0	1	0	1	0	1	1
S <sub>5</sub> =	1	1	0	0	0	0	1	0	0
S <sub>6</sub> =	0	0	0	0	0	0	1	1	0
S <sub>7</sub> =	0	1	0	1	0	1	0	1	1

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### Undecidability



Table of languages <u>recognized</u> by TMs

T(z,w) = 1 iff  $M_z$  accepts w

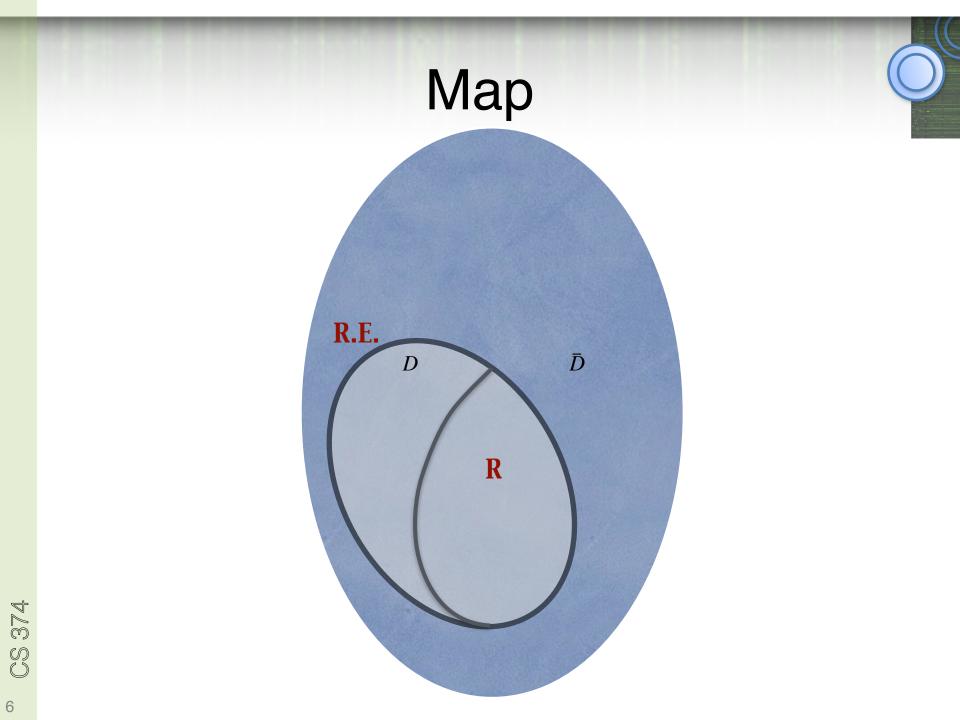
D = "diagonal language" $= \{ w \mid M_w \text{ accepts } w \}$ 

 $\overline{D} = \{ w \mid M_w \text{ doesn't accept } w \}$ 

 $\bar{D}$  does not appear as a row in this table. Hence not recognizable!

	0	1	0	0	1	1	1	•	•
W Z	0	1	00	01	10	11	000	001	010
0	1	0	0	1	0	0	0	0	1
1	0	0	1	0	1	0	0	1	1
00	1	1	1	1	1	1	1	0	0
01	1	1	0	1	0	1	0	1	1
10	1	1	0	0	0	0	1	0	0
11	0	0	0	0	0	0	1	1	0
000	0	1	0	1	0	1	0	1	1

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# Undecidability

Table of languages recognized by TMs

T(z,w) = 1 iff  $M_z$  accepts w

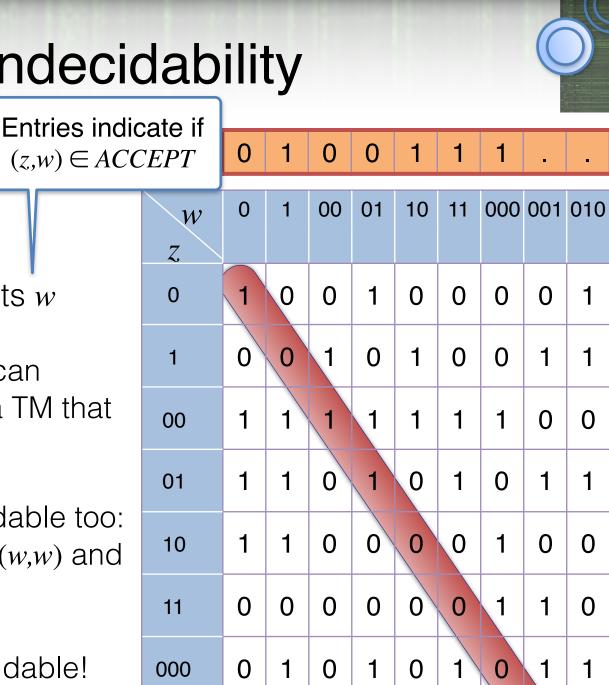
If ACCEPT decidable, can compute T(z,w) using a TM that halts on every input

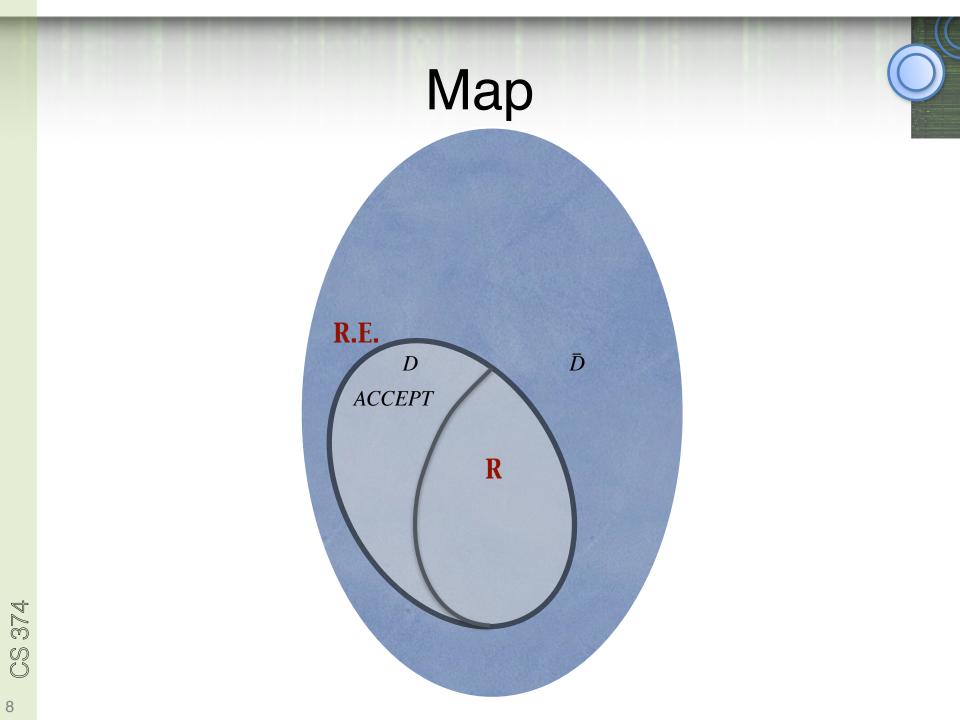
Then  $\overline{D}$  would be decidable too: On input w, compute T(w,w) and accept iff it is 0

Hence ACCEPT undecidable!

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We just saw how a "reduction" can show impossibility

1. Showed that if ACCEPT is decidable, then  $\overline{D}$  decidable (using a *"reduction" from*  $\overline{D}$  to ACCEPT )

2. We already saw  $\bar{D}$  not decidable

3. Hence *ACCEPT* not decidable

W	0	1	00	01	10	11	000	001	010
z									
0	1	0	0	1	0	0	0	0	1
1	0	0	1	0	1	0	0	1	1
00	1	1	1	1	1	1	1	0	0
01	1	1	0	1	0	1	0	1	1
10	1	1	0	0	0	0	1	0	0
11	0	0	0	0	0	0	1	1	0
000	0	1	0	1	0	1	0	1	1

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Reduction from  $L_1$  to  $L_2$  ( $L_1 \leq L_2$ ):

Any instance of  $L_1$  can be solved by solving an instance of  $L_2$  (and there is an algorithm to change the  $L_1$ -instance to the  $L_2$ -instance)

The task of solving  $L_1$  is reduced to the task of solving  $L_2$ 

#### **Positive implication**:

If we can solve  $L_2$ , then we can solve  $L_1$ 

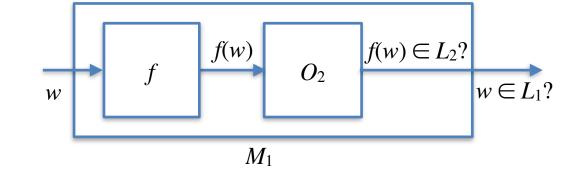
#### **Negative implication**:

If we can't solve  $L_1$ , then we can't solve  $L_2$ 

Our "reduction" of  $\overline{D}$  to ACCEPT does not fit this. It was from  $\overline{D}$  to ACCEPT<sup>C</sup>

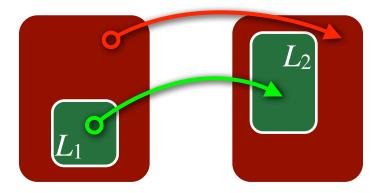
We use a simple notion of reduction (for most part). Algorithm for solving  $L_1$  should behave as follows:

On input *w*, compute f(w)Accept iff  $f(w) \in L_2$ 



A (mapping) reduction from  $L_1$  to  $L_2$ : a computable function f s.t.  $\forall w, w \in L_1 \Leftrightarrow f(w) \in L_2$ 

#### A (mapping) reduction from $L_1$ to $L_2$ : a computable function f s.t. $\forall w, w \in L_1 \Leftrightarrow f(w) \in L_2$

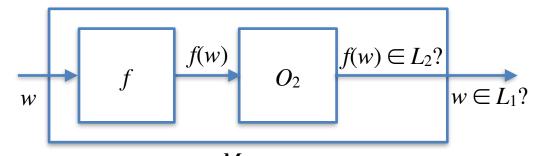


Note: a reduction from  $L_1$  to  $L_2$  is also a reduction from  $\overline{L}_1$  to  $\overline{L}_2$ 

 $L_1 \leq L_2 \Leftrightarrow \overline{L}_1 \leq \overline{L}_2$ 

A (mapping) reduction from  $L_1$  to  $L_2$ : a computable function f s.t.  $\forall w, w \in L_1 \Leftrightarrow f(w) \in L_2$ 

On input *w*, compute f(w)Accept iff  $f(w) \in L_2$ 



**Positive implication**:  $M_1$ 

If  $L_1 \leq L_2$  then: can "solve"  $L_2 \Rightarrow$  can "solve"  $L_1$ 

 $L_2$  decidable  $\Rightarrow L_1$  decidable  $L_2$  recognizable  $\Rightarrow L_1$  recognizable

#### **Negative implication**: If $L_1 \le L_2$ then: $L_1$ undecidable $\Rightarrow L_2$ undecidable $L_1$ unrecognizable $\Rightarrow L_2$ unrecognizable

# Halting Problem

 $HALT = \{ (z,w) | M_z \text{ halts on input } w \}$ 

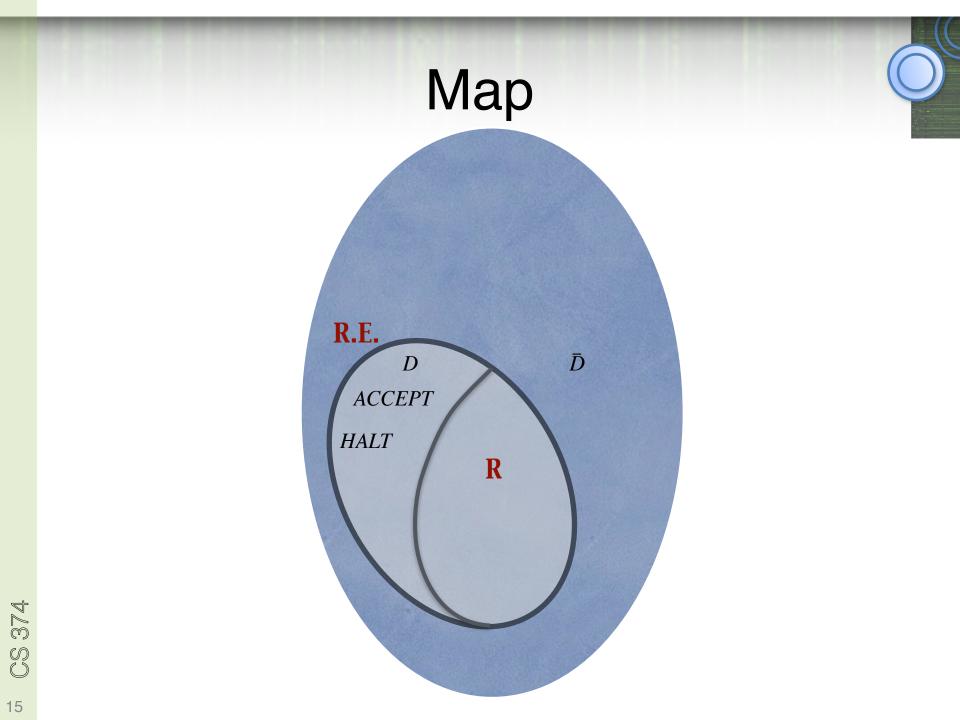
Claim:  $ACCEPT \leq HALT$ 

f(z,w) = (z',w) where  $M_{z'}$  behaves as follows:

On input *x*, run  $M_z$  on *x*. If  $M_z$  halts rejecting *x*, go into an infinite loop. If  $M_z$  halts accepting *x*, halt (and say, accept).

 $(z',w) \in HALT \Leftrightarrow (z,w) \in ACCEPT$ 

ACCEPT undecidable  $\Rightarrow$  HALT undecidable



# **Complement & Undecidability**

ACCEPT is undecidable, but is recognizable (why?)

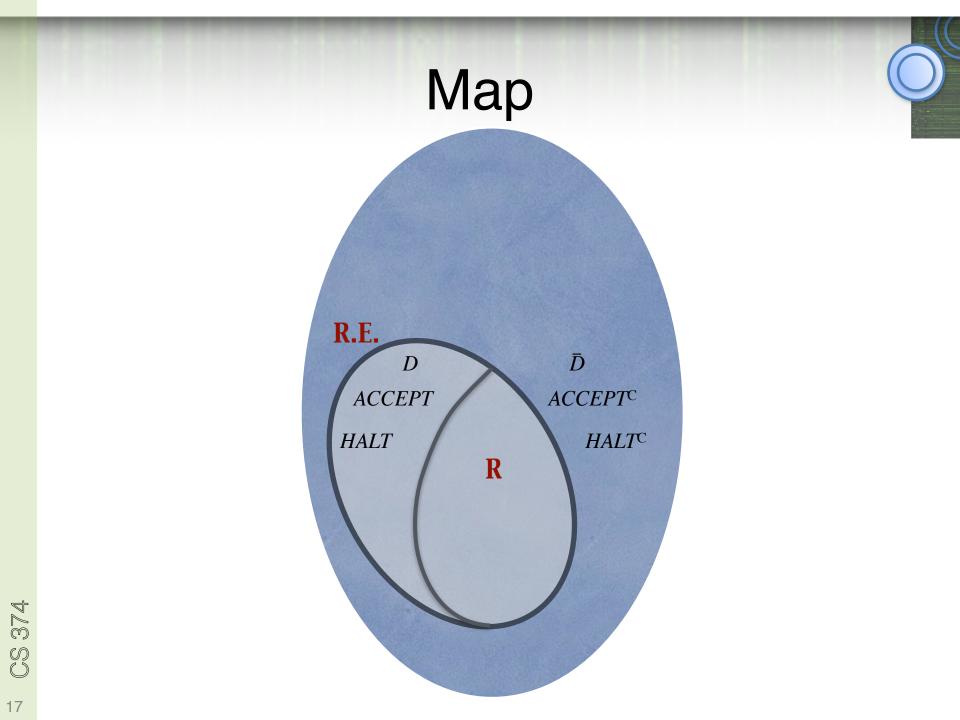
ACCEPT<sup>C</sup> is undecidable too (why?)

 $L^{\mathrm{C}}$  stands for  $\overline{L}$ 

Is *ACCEPT*<sup>C</sup> recognizable?

Claim: ACCEPT<sup>C</sup> is not recognizable

If not, ACCEPT and ACCEPT<sup>C</sup> both recognizable, Then ACCEPT would be decidable! (why?)



### Empty Language Problem

#### $EMPTY = \{ z \mid L(M_z) = \emptyset \}$

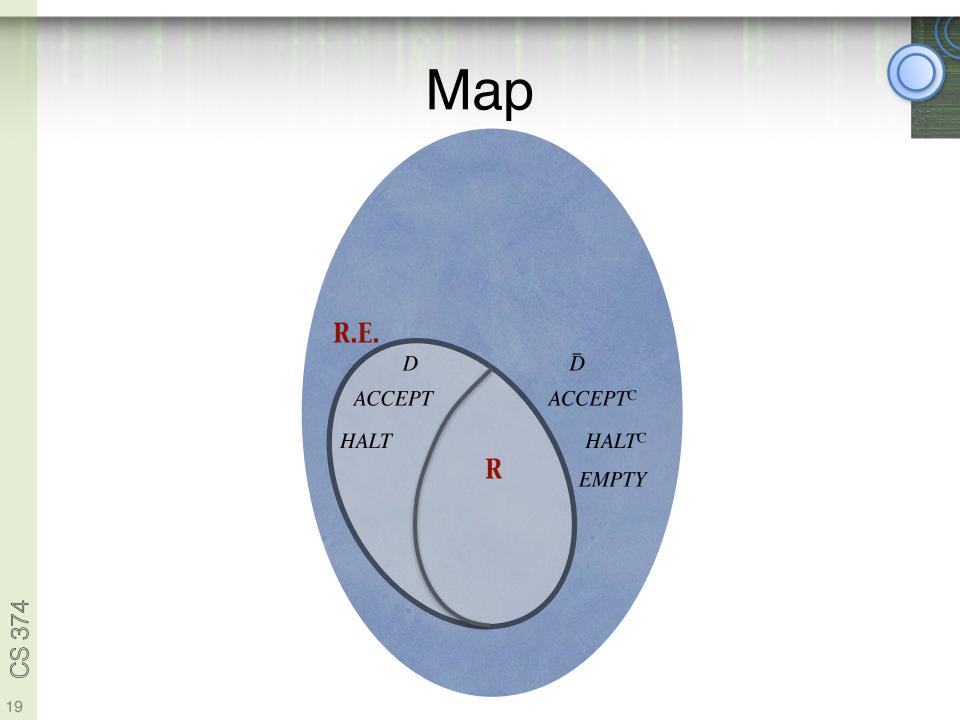
Claim:  $ACCEPT^C \leq EMPTY$ 

f(z,w) = z' where  $M_{z'}$  behaves as follows:

On input *x*, run *M<sub>z</sub>* on *w*. If *M<sub>z</sub>* halts rejecting *w*, reject *x*. If *M<sub>z</sub>* halts accepting w, accept *x*.

 $z' \in EMPTY \Leftrightarrow (z,w) \notin ACCEPT$ 

 $ACCEPT^{C}$  unrecognizable  $\Rightarrow EMPTY$  is unrecognizable



### Dovetailing



Claim:  $EMPTY^{C} = \{ z \mid L(M_z) \neq \emptyset \}$  is recognizable

 $EMPTY^{C} = \{ z \mid \exists w M_{z} \text{ accepts } w \}.$ Given *z*, how to check if there is some *w* that *M<sub>z</sub>* accepts?

Run  $M_z$  on all w, and if it accepts any, accept (if not keep trying)

In "parallel"? Can't run infinitely many executions in parallel!

Solution: increasingly more executions in parallel

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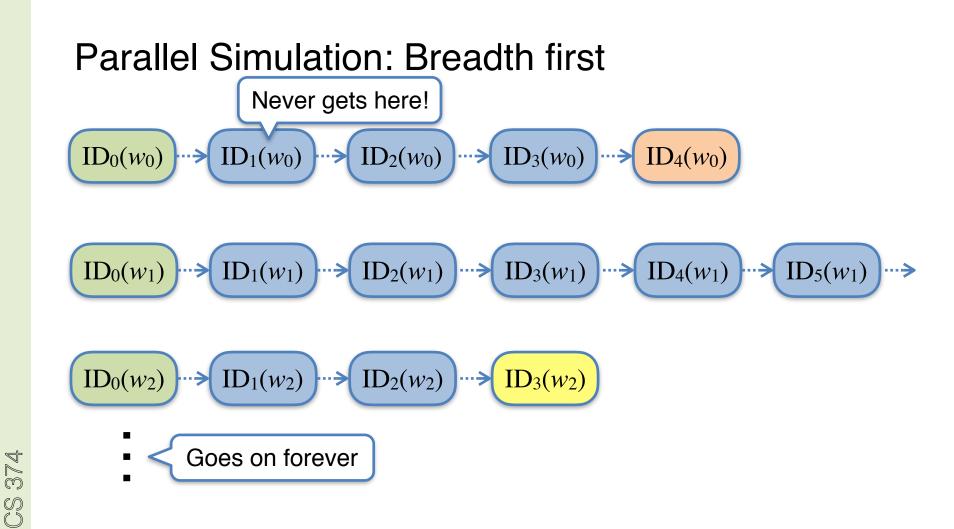
# Exploring the ID Graph

#### Sequential Simulation: Depth first

$$\begin{array}{c} \hline D_{0}(w_{0}) & \rightarrow & \boxed{D_{1}(w_{0})} & \rightarrow & \boxed{D_{2}(w_{0})} & \rightarrow & \boxed{D_{3}(w_{0})} & \rightarrow & \boxed{D_{4}(w_{0})} \\ \hline \hline D_{0}(w_{1}) & \rightarrow & \boxed{D_{1}(w_{1})} & \rightarrow & \boxed{D_{2}(w_{1})} & \rightarrow & \boxed{D_{3}(w_{1})} & \rightarrow & \boxed{D_{4}(w_{1})} & \rightarrow & \boxed{D_{5}(w_{1})} & \rightarrow$$

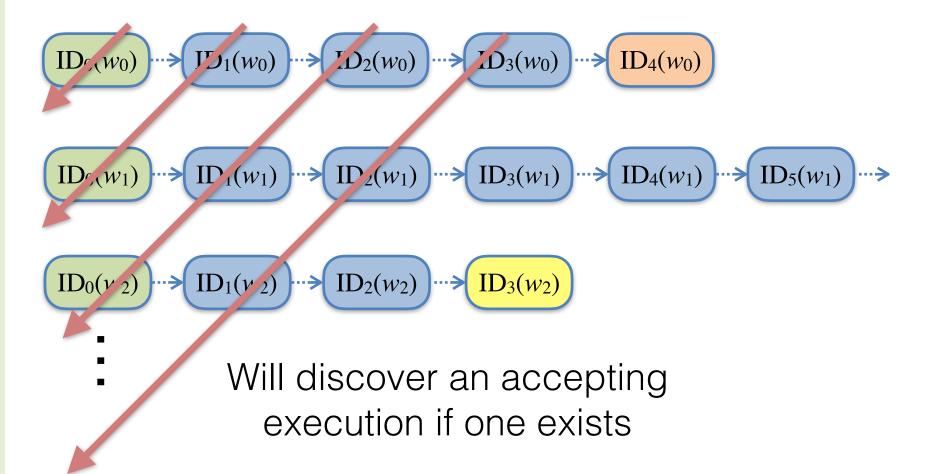
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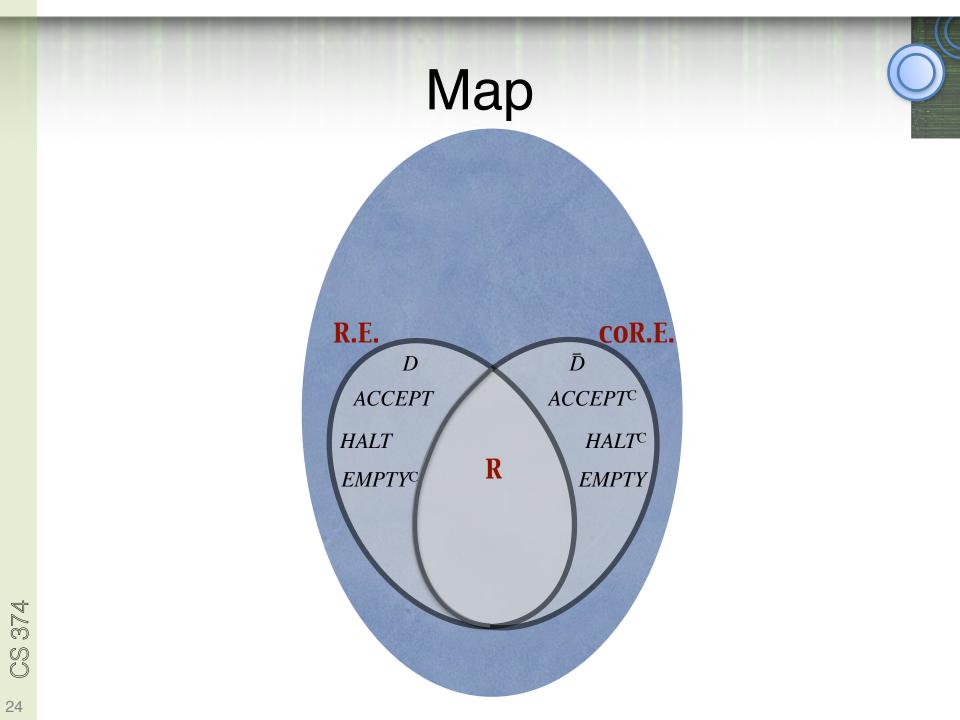
# Exploring the ID Graph



### Dovetailing

# Explore increasingly more executions for increasingly more steps





## Language Equality Problem

#### $EQUAL = \{ (z, z') | L(M_z) = L(M_{z'}) \}$

Claim: *EMPTY* ≤ *EQUAL* 

f(z) = (z, z') where  $M_{z'}$  rejects all inputs

 $(z, z') \in EQUAL \Leftrightarrow z \in EMPTY$ 

*EMPTY* unrecognizable  $\Rightarrow$  *EQUAL* unrecognizable

## Language Equality Problem

 $EQUAL = \{ (z, z') \mid L(M_z) = L(M_{z'}) \}$ 

Claim: ACCEPT ≤ EQUAL ACCEPT<sup>C</sup> ≤ EQUAL<sup>C</sup>

 $f(z,w) = (z_1,z_2)$  where  $M_{z_1} \& M_{z_2}$  behave as follows:

 $M_{z_1}$  accepts all strings. i.e.,  $L(M_{z_1}) = \Sigma^*$  $M_{z_2}$  runs  $M_z$  on w and if it accepts, accepts its input

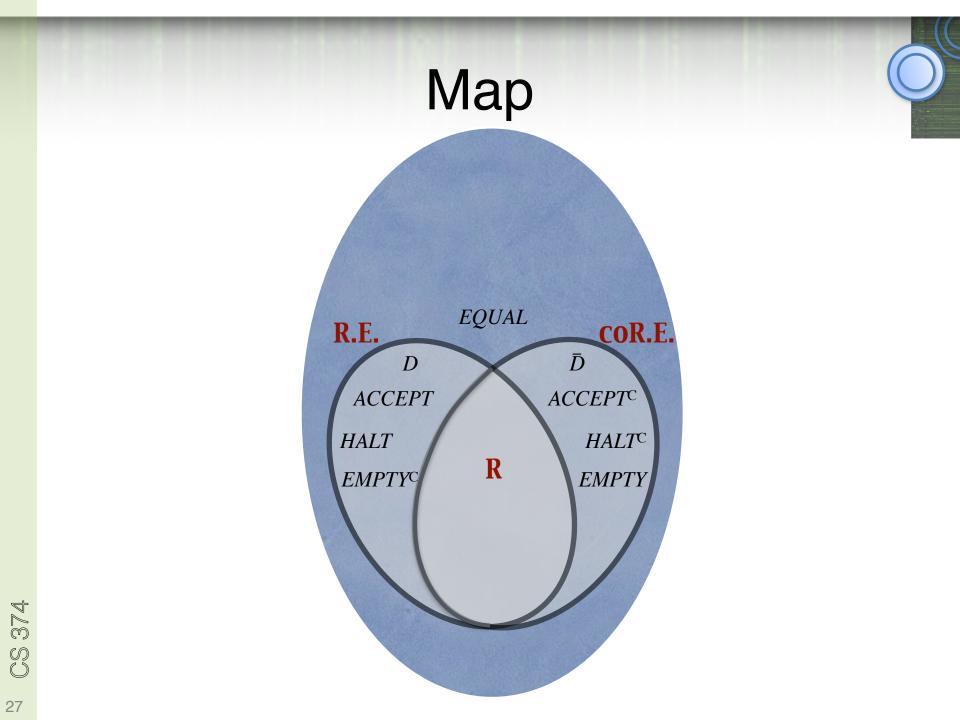
 $(z_1, z_2) \in EQUAL \Leftrightarrow (z, w) \in ACCEPT$ 

Hence EQUAL is not decidable.

Also, *EQUAL*<sup>C</sup> is not recognizable. (Why?)

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### **Post Correspondence Problem**

<u>Theorem</u> [Post'46]: *HALT* reduces to *PostCP* 

— a "combinatorial" problem

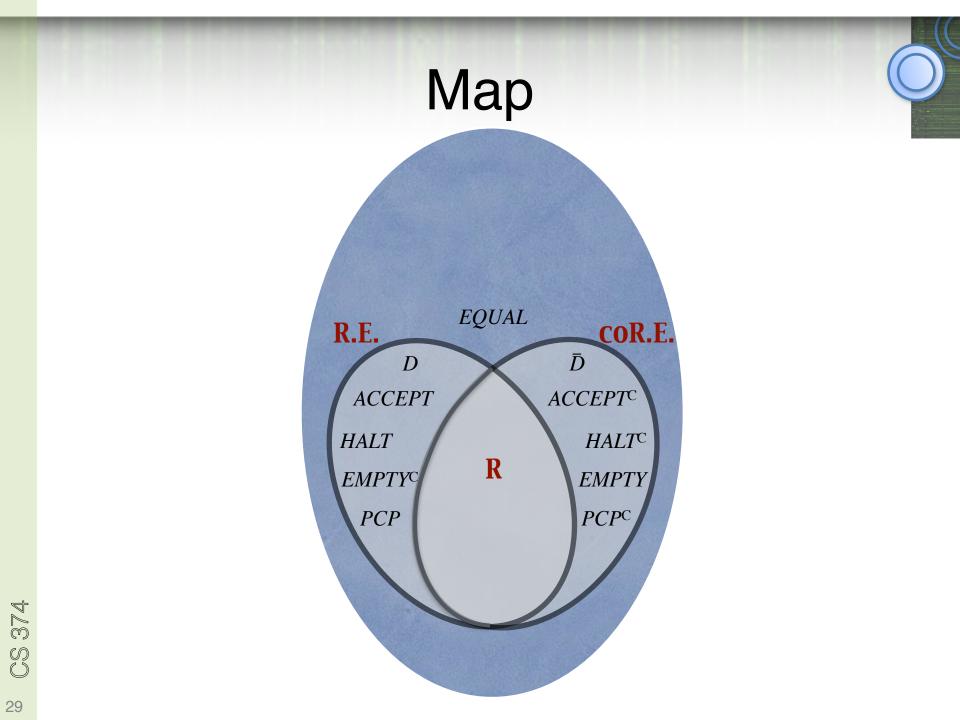
PostCP is undecidable.

Given: Dominoes, each with a top-word and a bottom-word

Ъ	ba	abb	abb	a
bbb	bbb	a	baa	ab

Can one arrange them (using <u>any number of copies of each</u> <u>type</u>) so that the top and bottom strings are identical?

abb	ba	abb	a	abb	Ъ
a	bbb	a	ab	baa	bbb



## Recap

- If  $L_1 \leq L_2$  then:
  - If  $L_1$  is undecidable, so is  $L_2$
  - If  $L_1$  is unrecognizable, so is  $L_2$
  - $\overline{L}_1 \leq \overline{L}_2$
- L and  $\overline{L}$  recognizable  $\Leftrightarrow$  L and  $\overline{L}$  decidable  $\Leftrightarrow$  L decidable
  - Corollary: If L recognizable but undecidable, then  $\overline{L}$  not recognizable
  - e.g., *ACCEPT*<sup>C</sup> is not recognizable
- e.g.: If  $ACCEPT \leq L$ , then  $\overline{L}$  not recognizable (Why?)
- If L is recognizable, then so is  $L' = \{ x | \exists w, (x,w) \in L \}$ (via dovetailing)

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