## Undecidabiliky

## Lecture 21

## Today

## Undecidable Problems

## Proving undecidability

Using reductions to prove more undecidability

## Language of Universal TM

## Language recognized by $U$ :

$$
\begin{aligned}
L(U) & =\{(z, w) \mid U \text { accepts }(z, w)\} \\
& =\left\{(z, w) \mid M_{z} \text { accepts } w\right\}
\end{aligned}
$$

pair of binary We will call $L(U)=A C C E P T$ strings encoded as a binary string

Today:

$M_{z}$ is the TM encoded by the string $M_{z}$
$A C C E P T$ is undecidable!

No matter what encoding schemes are used

## Cantor's Diagonal Slash

## $\begin{array}{llllllll}0 & 1 & 0 & 0 & 1 & 1 & 1\end{array}$.

Is the set of all infinitely long binary strings countable?

Suppose it was: consider enumerating them in a table

Consider the string corresponding to the "flipped diagonal"

It doesn't appear in this table!

| $\mathrm{S}_{i}$ |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{S}_{1}=$ |  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| $\mathrm{S}_{2}=$ | 0 | 0 |  | 0 | 1 | 0 | 0 | 1 | 1 |
| $\mathrm{S}_{3}=$ | 1 |  | 1 |  | 1 | 1 | 1 | 0 | 0 |
| $\mathrm{S}_{4}=$ | 1 | 1 | 0 |  |  | 1 | 0 | 1 | 1 |
| $\mathrm{S}_{5}=$ | 1 | 1 | 0 | 0 | 0 |  | 1 | 0 | 0 |
| $\mathrm{S}_{6}=$ | 0 | 0 | 0 | 0 | 0 |  |  | 1 | 0 |
| $\mathrm{S}_{7}=$ | 0 | 1 | 0 | 1 | 0 |  |  |  | 1 |

## Undecidability

Table of languages recognized by TMs
$T(z, w)=1$ iff $M_{z}$ accepts $w$
$D=$ "diagonal language"
$=\left\{w \mid M_{w}\right.$ accepts $\left.w\right\}$
$\bar{D}=\left\{w \mid M_{w}\right.$ doesn't accept $\left.w\right\}$
$\bar{D}$ does not appear as a row in this table. Hence not recognizable!

| $y$ | $w$ | 0 | 1 | 00 | 01 | 10 | 11 | 000 | 001 | 010 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $z$ | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |  |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 |  |
| 00 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 |  |
| 01 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |  |
| 10 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |  |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |  |
| 000 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |  |

Map


## Undecidability

Table of languages Entries indicate if $(z, w) \in A C C E P T$
$\begin{array}{lllllll}0 & 1 & 0 & 0 & 1 & 1 & 1\end{array}$ recognized by TMs
$T(z, w)=1$ iff $M_{z}$ accepts $w$
If $A C C E P T$ decidable, can compute $T(z, w)$ using a TM that halts on every input

Then $\bar{D}$ would be decidable too: On input $w$, compute $T(w, w)$ and accept iff it is 0

Hence ACCEPT undecidable!

| $w$ <br> $z$ |  | 0 | 1 | 00 | 01 | 10 | 11 | 000 | 001 | 010 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |  |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 |  |
| 00 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 |  |
| 01 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |  |
| 10 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |  |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |  |
| 000 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |  |

Map

## Reduction

We just saw how a "reduction" can show impossibility

1. Showed that if $A C C E P T$ is decidable, then $\bar{D}$ decidable (using a "reduction" from $\bar{D}$ to ACCEPT )
2. We already saw $\bar{D}$ not decidable
3. Hence ACCEPT not decidable

| $z w$ | 0 | 1 | 00 | 01 | 10 | 11 | 000 | 001 | 010 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $z$ | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 |  |  |
| 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 1 | 1 |
| 00 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 |
| 01 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |
| 10 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| 11 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 |
| 000 | 0 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 1 |

## Reduction

## Reduction from $L_{1}$ to $L_{2}\left(L_{1} \leq L_{2}\right)$ :

Any instance of $L_{1}$ can be solved by solving an instance of $L_{2}$ (and there is an algorithm to change the $L_{1}$-instance to the $L_{2}$-instance)

The task of solving $L_{1}$ is reduced to the task of solving $L_{2}$
Positive implication:
If we can solve $L_{2}$, then we can solve $L_{1}$
Negative implication:
If we can't solve $L_{1}$, then we can't solve $L_{2}$

## Reduction

Our "reduction" of $\bar{D}$ to ACCEPT does not fit this. It was from $\bar{D}$ to $A C C E P T^{C}$

We use a simple notion of reduction (for most part). Algorithm for solving $L_{1}$ should behave as follows:

On input $w$, compute $f(w)$ Accept iff $f(w) \in L_{2}$


A (mapping) reduction from $L_{1}$ to $L_{2}$ :
a computable function $f$ s.t. $\forall w, w \in L_{1} \Leftrightarrow f(w) \in L_{2}$

## Reduction

A (mapping) reduction from $L_{1}$ to $L_{2}$ : a computable function $f$ s.t. $\forall w, w \in L_{1} \Leftrightarrow f(w) \in L_{2}$


Note: a reduction from $L_{1}$ to $L_{2}$ is also a reduction from $\bar{L}_{1}$ to $\bar{L}_{2}$

$$
L_{1} \leq L_{2} \Leftrightarrow \bar{L}_{1} \leq \bar{L}_{2}
$$

## Reduction

A (mapping) reduction from $L_{1}$ to $L_{2}$ :
a computable function $f$ s.t. $\forall w, w \in L_{1} \Leftrightarrow f(w) \in L_{2}$

On input $w$, compute $f(w)$ Accept iff $f(w) \in L_{2}$


## Positive implication:

If $L_{1} \leq L_{2}$ then: can "solve" $L_{2} \Rightarrow$ can "solve" $L_{1}$
$L_{2}$ decidable $\Rightarrow L_{1}$ decidable
$L_{2}$ recognizable $\Rightarrow L_{1}$ recognizable
Negative implication: If $L_{1} \leq L_{2}$ then:
$L_{1}$ undecidable $\Rightarrow L_{2}$ undecidable $L_{1}$ unrecognizable $\Rightarrow L_{2}$ unrecognizable

## Halting Problem

$$
H A L T=\left\{(z, w) \mid M_{z} \text { halts on input } w\right\}
$$

Claim: $A C C E P T \leq H A L T$
$f(z, w)=\left(z^{\prime}, w\right)$ where $M_{z^{\prime}}$ behaves as follows:
On input $x$, run $M_{z}$ on $x$.
If $M_{z}$ halts rejecting $x$, go into an infinite loop.
If $M_{z}$ halts accepting $x$, halt (and say, accept).

$$
\left(z^{\prime}, w\right) \in H A L T \Leftrightarrow(z, w) \in A C C E P T
$$

$A C C E P T$ undecidable $\Rightarrow H A L T$ undecidable

## Map

## Complement \& Undecidability

$A C C E P T$ is undecidable, but is recognizable (why?)
$A C C E P T^{\text {C }}$ is undecidable too (why?)


Claim: $A^{C C E P T C}$ is not recognizable
If not, $A C C E P T$ and $A C C E P T^{C}$ both recognizable, Then ACCEPT would be decidable! (why?)

## Map

## Empty Language Problem

$$
E M P T Y=\left\{z \mid L\left(M_{z}\right)=\emptyset\right\}
$$

$$
\text { Claim: ACCEPTC }{ }^{\mathrm{C}} \leq E M P T Y
$$

$f(z, w)=z^{\prime}$ where $M_{z^{\prime}}$ behaves as follows:
On input $x$, run $M_{z}$ on $w$. If $M_{z}$ halts rejecting $w$, reject $x$. If $M_{z}$ halts accepting w , accept $x$.

$$
z^{\prime} \in E M P T Y \Leftrightarrow(z, w) \notin A C C E P T
$$

$A C C E P T^{\mathrm{C}}$ unrecognizable $\Rightarrow E M P T Y$ is unrecognizable

## Map

## Dovetailing

Claim: EMPTY $^{\mathrm{C}}=\left\{z \mid L\left(M_{z}\right) \neq \emptyset\right\}$ is recognizable

$$
E M P T Y \mathrm{C}=\left\{z \mid \exists w M_{z} \text { accepts } w\right\} .
$$

Given $z$, how to check if there is some $w$ that $M_{z}$ accepts?
Run $M_{z}$ on all $w$, and if it accepts any, accept (if not keep trying) In "parallel"? Can't run infinitely many executions in parallel!

Solution: increasingly more executions in parallel

## Exploring the ID Graph

## Sequential Simulation: Depth first



Never gets here!

## Exploring the ID Graph

## Parallel Simulation: Breadth first



- Goes on forever


## Dovetailing

## Explore increasingly more executions for increasingly more steps



## Map

## Language Equality Problem

$$
E Q U A L=\left\{\left(z, z^{\prime}\right) \mid L\left(M_{z}\right)=L\left(M_{z^{\prime}}\right)\right\}
$$

Claim: $E M P T Y \leq E Q U A L$

$$
\begin{gathered}
f(z)=\left(z, z^{\prime}\right) \text { where } M_{z^{\prime}} \text { rejects all inputs } \\
\left(z, z^{\prime}\right) \in E Q U A L \Leftrightarrow z \in E M P T Y
\end{gathered}
$$

EMPTY unrecognizable $\Rightarrow$ EQUAL unrecognizable

## Language Equality Problem

$$
E Q U A L=\left\{\left(z, z^{\prime}\right) \mid L\left(M_{z}\right)=L\left(M_{z^{\prime}}\right)\right\}
$$

$$
\text { Claim: } A C C E P T \leq E Q U A L\left\{\begin{array}{l}
A C C E P T^{\mathrm{C}} \leq E Q U A L^{C}
\end{array}\right.
$$

$f(z, w)=\left(z_{1}, z_{2}\right)$ where $M z_{1} \& M z_{2}$ behave as follows:
$M z_{1}$ accepts all strings. i.e., $L\left(M z_{1}\right)=\Sigma^{*}$
$M_{z_{2}}$ runs $M_{z}$ on $w$ and if it accepts, accepts its input

$$
\left(z_{1}, z_{2}\right) \in E Q U A L \Leftrightarrow(z, w) \in A C C E P T
$$

Hence EQUAL is not decidable.
Also, EQUAL ${ }^{\text {C }}$ is not recognizable. (Why?)

## Map



## Post Correspondence Problem

Theorem [Post'46]: HALT reduces to Post $C P$

- a "combinatorial" problem

Post $C P$ is undecidable.

Given: Dominoes, each with a top-word and a bottom-word

| $\mathbf{b}$ | $\mathbf{b a}$ | $\mathbf{a b b}$ | $\mathbf{a b b}$ | $\mathbf{a}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{b b b}$ | $\mathbf{b b b}$ | $\mathbf{a}$ | $\mathbf{b a a}$ | $\mathbf{a b}$ |

Can one arrange them (using any number of copies of each type) so that the top and bottom strings are identical?

| abb | ba | abb | a | abb | b |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{a}$ | bbb | a | ab | baa | bbb |

## Map



## Recap

- If $L_{1} \leq L_{2}$ then:
- If $L_{1}$ is undecidable, so is $L_{2}$
- If $L_{1}$ is unrecognizable, so is $L_{2}$
- $\bar{L}_{1} \leq \bar{L}_{2}$
- $L$ and $\bar{L}$ recognizable $\Leftrightarrow L$ and $\bar{L}$ decidable $\Leftrightarrow L$ decidable
- Corollary: If $L$ recognizable but undecidable, then $\bar{L}$ not recognizable
- e.g., $A C C E P T^{\mathrm{C}}$ is not recognizable
- e.g.: If $A C C E P T \leq L$, then $\bar{L}$ not recognizable (Why?)
- If $L$ is recognizable, then so is $L^{\prime}=\{x \mid \exists w,(x, w) \in L\}$ (via dovetailing)

