CS 374: Algorithms & Models of Computation, Fall 2015

# Breadth First Search, Dijkstra's Algorithm for Shortest Paths

Lecture 16 October 20, 2015

# Part I

## Breadth First Search

## Breadth First Search (BFS)

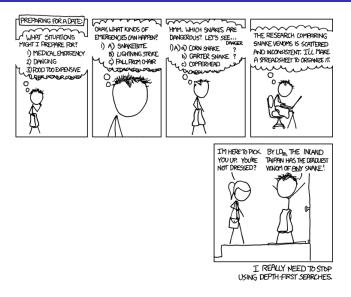
#### Overview

- (A) **BFS** is obtained from **BasicSearch** by processing edges using a data structure called a **queue**.
- (B) It processes the vertices in the graph in the order of their shortest distance from the vertex **s** (the start vertex).

#### As such...

- DFS good for exploring graph structure
- **2 BFS** good for exploring *distances*

#### xkcd take on $\mathrm{DFS}$



## Queue Data Structure

#### Queues

A queue is a list of elements which supports the operations:

• enqueue: Adds an element to the end of the list

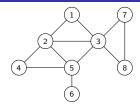
**2 dequeue**: Removes an element from the front of the list Elements are extracted in **first-in first-out (FIFO)** order, i.e., elements are picked in the order in which they were inserted.

## $\operatorname{BFS}$ Algorithm

Given (undirected or directed) graph G = (V, E) and node  $s \in V$ 

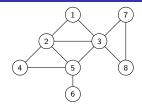
```
BFS(s)
    Mark all vertices as unvisited
    Initialize search tree T to be empty
    Mark vertex s as visited
    set Q to be the empty queue
    enq(s)
    while Q is nonempty do
        u = deq(Q)
        for each vertex v \in Adj(u)
             if v is not visited then
                 add edge (\mathbf{u}, \mathbf{v}) to T
                 Mark v as visited and enq(v)
```

# Proposition BFS(s) runs in O(n + m) time.



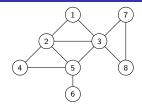
(1)

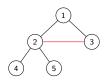
1. [1]

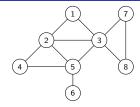


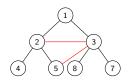


1. [1] 2. [2,3]

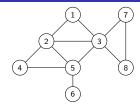


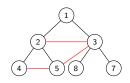






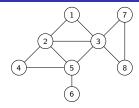
1. [1] 2. [2,3] 3. [3,4,5] 4. [4,5,7,8]

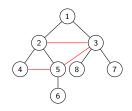




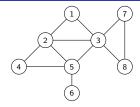
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3.	[3,4,5]

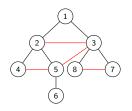
4. [4,5,7,8]
 5. [5,7,8]





1.	[1]
2.	[2,3]
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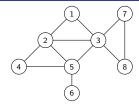


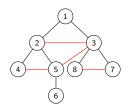


1.	[1]
2.	[2,3]
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 4. [4,5,7,8]
 7. [8,6]

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 6. [7,8,6]

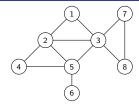


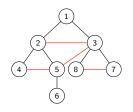


1.	[1]
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7. [8,6] 8. [6]

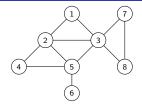


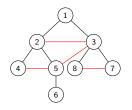


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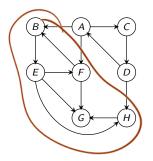
[8,6] 7. 8. [6] 9. []

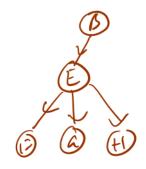




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**BFS** tree is the set of black edges.







## $\operatorname{BFS}$ with Distance

```
BFS(s)
    Mark all vertices as unvisited; for each v set dist(v) = \infty
    Initialize search tree T to be empty
    Mark vertex s as visited and set dist(s) = 0
    set Q to be the empty queue
    enq(s)
    while Q is nonempty do
         u = deq(Q)
         for each vertex \mathbf{v} \in \mathrm{Adj}(\mathbf{u}) do
             if v is not visited do
                  add edge (\mathbf{u}, \mathbf{v}) to T
                  Mark v as visited, enq(v)
                  and set dist(v) = dist(u) + 1
```

## Properties of BFS: Undirected Graphs

#### Theorem

The following properties hold upon termination of BFS(s)

- (A) The search tree contains exactly the set of vertices in the connected component of **s**.
- (B) If dist(u) < dist(v) then u is visited before v.
- (C) For every vertex u, dist(u) is the length of a shortest path (in terms of number of edges) from s to u.
- (D) If  $\mathbf{u}, \mathbf{v}$  are in connected component of  $\mathbf{s}$  and  $\mathbf{e} = {\mathbf{u}, \mathbf{v}}$  is an edge of  $\mathbf{G}$ , then  $|\operatorname{dist}(\mathbf{u}) \operatorname{dist}(\mathbf{v})| \leq 1$ .

## Properties of BFS: Directed Graphs

#### Theorem

The following properties hold upon termination of **BFS**(s):

- (A) The search tree contains exactly the set of vertices reachable from **s**
- (B) If dist(u) < dist(v) then u is visited before v
- (C) For every vertex  $\mathbf{u}$ ,  $dist(\mathbf{u})$  is indeed the length of shortest path from  $\mathbf{s}$  to  $\mathbf{u}$
- (D) If u is reachable from s and e = (u, v) is an edge of G, then dist(v) dist(u) ≤ 1.
   Not necessarily the case that dist(u) dist(v) ≤ 1.

## $\operatorname{BFS}$ with Layers

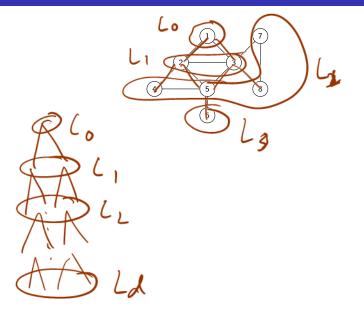
```
BFSLayers(s):
     Mark all vertices as unvisited and initialize T to be empty
    Mark s as visited and set L_0 = \{s\}
    \mathbf{i} = \mathbf{0}
     while L<sub>i</sub> is not empty do
               initialize L_{i+1} to be an empty list
              for each u in L_i do
                   for each edge (u, v) \in Adj(u) do
                    if v is not visited
                             mark v as visited
                             add (\mathbf{u}, \mathbf{v}) to tree T
                             add v to L_{i+1}
              i = i + 1
```

## $\operatorname{BFS}$ with Layers

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```

#### Running time: O(n + m)

### Example



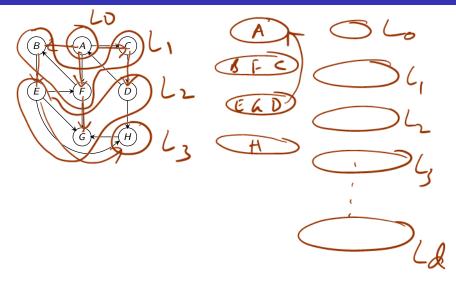
## BFS with Layers: Properties

#### Proposition

The following properties hold on termination of **BFSLayers**(s).

- BFSLayers(s) outputs a BFS tree
- 2 L<sub>i</sub> is the set of vertices at distance exactly i from s
- § If G is undirected, each edge  $e = \{u, v\}$  is one of three types:
  - 1 tree edge between two consecutive layers
  - onn-tree forward/backward edge between two consecutive layers
  - **③** non-tree **cross-edge** with both **u**, **v** in same layer
  - Every edge in the graph is either between two vertices that are either (i) in the same layer, or (ii) in two consecutive layers.

## Example



#### Proposition

The following properties hold on termination of **BFSLayers**(**s**), if **G** is directed.

For each edge  $\mathbf{e} = (\mathbf{u}, \mathbf{v})$  is one of four types:

- ${\small \textcircled{0}}$  a tree edge between consecutive layers,  $u\in L_i, v\in L_{i+1}$  for some  $i\geq 0$
- a non-tree forward edge between consecutive layers
- a non-tree backward edge
- a cross-edge with both u, v in same layer

# Part II

# Shortest Paths and Dijkstra's Algorithm

#### Shortest Path Problems

Input A (undirected or directed) graph G = (V, E) with edge lengths (or costs). For edge e = (u, v),  $\ell(e) = \ell(u, v)$ is its length.

- Given nodes s, t find shortest path from s to t.
- **2** Given node **s** find shortest path from **s** to all other nodes.
- Sind shortest paths for all pairs of nodes.

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Many applications!

#### Single-Source Shortest Paths: Non-Negative Edge Lengths

#### Single-Source Shortest Path Problems

- Input: A (undirected or directed) graph G = (V, E) with non-negative edge lengths. For edge e = (u, v), l(e) = l(u, v) is its length.
- Given nodes s, t find shortest path from s to t.
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- **③** Given node **s** find shortest path from **s** to all other nodes.
- Restrict attention to directed graphs
- Output of the second second
  - Given undirected graph G, create a new directed graph G' by replacing each edge {u, v} in G by (u, v) and (v, u) in G'.
  - set  $\ell(\mathbf{u}, \mathbf{v}) = \ell(\mathbf{v}, \mathbf{u}) = \ell(\{\mathbf{u}, \mathbf{v}\})$
  - Service: show reduction works. Relies on non-negativity!

Special case: All edge lengths are 1.

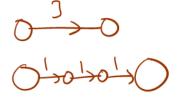
#### Special case: All edge lengths are 1.

- Run BFS(s) to get shortest path distances from s to all other nodes.
- **O**(m + n) time algorithm.

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Special case: Suppose  $\ell(e)$  is an integer for all e? 1,2,10 Can we use **BFS**?





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**Special case:** Suppose  $\ell(e)$  is an integer for all e? Can we use **BFS**? Reduce to unit edge-length problem by placing  $\ell(e) - 1$  dummy nodes on e

## Single-Source Shortest Paths via $\operatorname{BFS}$

#### Special case: All edge lengths are 1.

- Run BFS(s) to get shortest path distances from s to all other nodes.
- **2** O(m + n) time algorithm.

Special case: Suppose  $\ell(e)$  is an integer for all e? Can we use **BFS**? Reduce to unit edge-length problem by placing  $\ell(e) - 1$  dummy nodes on e

Let  $L = \max_{e} \ell(e)$ . New graph has O(mL) edges and O(mL + n) nodes. BFS takes O(mL + n) time. Not efficient if L is large.

## Towards an algorithm

Why does **BFS** work?

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Why does **BFS** work? **BFS**(s) explores nodes in increasing distance from s

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Why does **BFS** work? **BFS**(s) explores nodes in increasing distance from **s** 

#### Lemma

Let **G** be a directed graph with non-negative edge lengths. Let dist(s, v) denote the shortest path length from s to v. If  $s = v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \ldots \rightarrow v_k$  is a shortest path from s to  $v_k$  then for  $1 \leq i < k$ :

 ${\tt 0}~{\tt s}={\tt v}_0 \rightarrow {\tt v}_1 \rightarrow {\tt v}_2 \rightarrow \ldots \rightarrow {\tt v}_i$  is a shortest path from  ${\tt s}$  to  ${\tt v}_i$ 

**2**  $dist(s, v_i) \leq dist(s, v_k)$ . Relies on non-neg edge lengths.

#### Lemma

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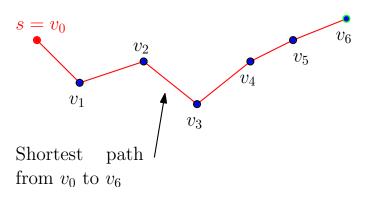
 ${\tt 0}~s=v_0 \rightarrow v_1 \rightarrow v_2 \rightarrow \ldots \rightarrow v_i$  is a shortest path from s to  $v_i$ 

 $@ \operatorname{dist}(s,v_i) \leq \operatorname{dist}(s,v_k). \ \textit{Relies on non-neg edge lengths}.$ 

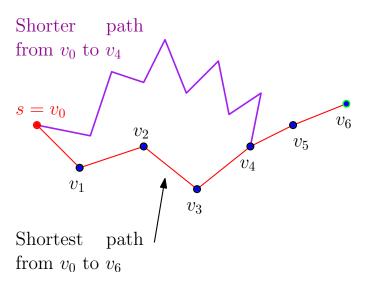
### Proof.

Suppose not. Then for some i < k there is a path P' from s to  $v_i$  of length strictly less than that of  $s = v_0 \rightarrow v_1 \rightarrow \ldots \rightarrow v_i$ . Then P' concatenated with  $v_i \rightarrow v_{i+1} \ldots \rightarrow v_k$  contains a strictly shorter path to  $v_k$  than  $s = v_0 \rightarrow v_1 \ldots \rightarrow v_k$ . For the second part, observe that edge lengths are non-negative.

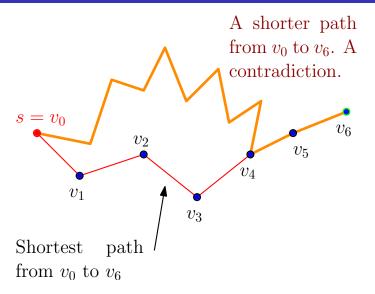
# A proof by picture



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## A Basic Strategy

Explore vertices in increasing order of distance from s: (For simplicity assume that nodes are at different distances from s and that no edge has zero length)

```
Initialize for each node v, dist(s, v) = \infty

Initialize X = {s},

for i = 2 to |V| do

(* Invariant: X contains the i - 1 closest nodes to s *)

Among nodes in V - X, find the node v that is the

i'th closest to s

Update dist(s, v)

X = X \cup {v}
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```

How can we implement the step in the for loop?

- $\textcircled{O} X \text{ contains the } \mathbf{i} \mathbf{1} \text{ closest nodes to } \mathbf{s}$
- Want to find the ith closest node from V X.

What do we know about the ith closest node?

- **()** X contains the i 1 closest nodes to s
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What do we know about the ith closest node?

### Claim

Let **P** be a shortest path from **s** to **v** where **v** is the **i**th closest node. Then, all intermediate nodes in **P** belong to **X**.

- **()** X contains the i 1 closest nodes to s
- **2** Want to find the **i**th closest node from V X.

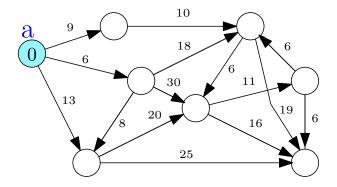
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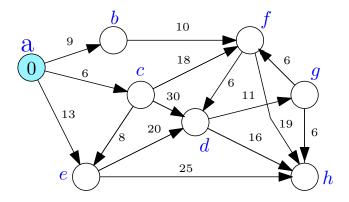
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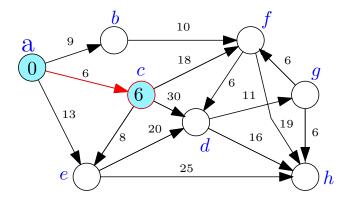
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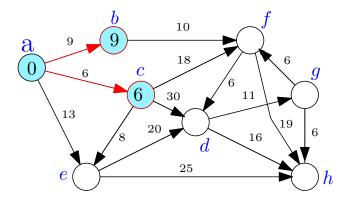
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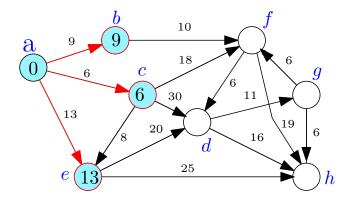
If **P** had an intermediate node **u** not in **X** then **u** will be closer to **s** than **v**. Implies **v** is not the **i**'th closest node to **s** - recall that **X** already has the  $\mathbf{i} - \mathbf{1}$  closest nodes.





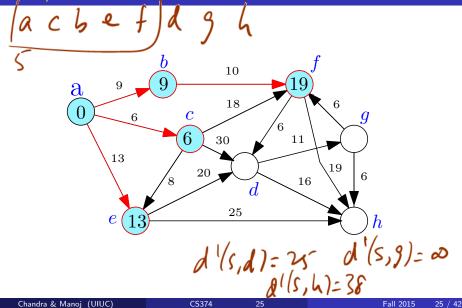


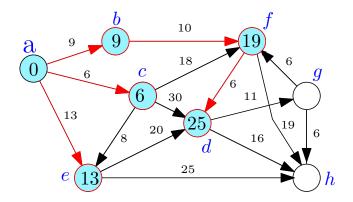


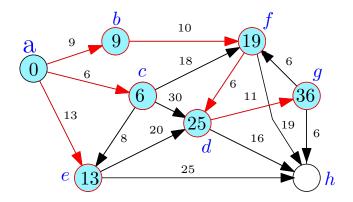


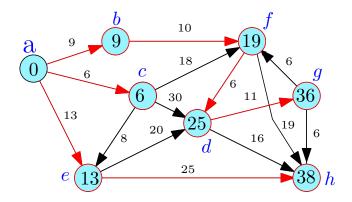
# Finding the ith closest node repeatedly

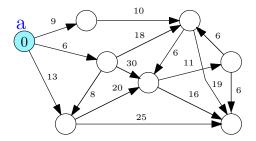
An Antiple











### Corollary

The ith closest node is adjacent to X.

- **Q** X contains the i 1 closest nodes to s
- Want to find the ith closest node from V X.
- For each u ∈ V − X let P(s, u, X) be a shortest path from s to u using only nodes in X as intermediate vertices.
- 2 Let d'(s, u) be the length of P(s, u, X)

- **Q** X contains the i 1 closest nodes to s
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- 2 Let d'(s, u) be the length of P(s, u, X)

Observations: for each  $\mathbf{u} \in \mathbf{V} - \mathbf{S}$ ,

- $dist(s, u) \le d'(s, u)$  since we are constraining the paths
- $e d'(s,u) = min_{t \in X}(\operatorname{dist}(s,t) + \ell(t,u)) Why?$

- **Q** X contains the i 1 closest nodes to s
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  - $e d'(s,u) = \min_{t \in X} (\operatorname{dist}(s,t) + \ell(t,u)) Why?$

#### Lemma

If  $\mathbf{v}$  is the *i*th closest node to  $\mathbf{s}$ , then  $\mathbf{d}'(\mathbf{s}, \mathbf{v}) = \operatorname{dist}(\mathbf{s}, \mathbf{v})$ .

#### Lemma

Given:

• X: Set of i - 1 closest nodes to s.

 $d'(s, u) = \min_{t \in X} (dist(s, t) + \ell(t, u))$ 

If v is an ith closest node to s, then d'(s, v) = dist(s, v).

### Proof.

Let **v** be the ith closest node to **s**. Then there is a shortest path **P** from **s** to **v** that contains only nodes in **X** as intermediate nodes (see previous claim). Therefore d'(s, v) = dist(s, v).

#### Lemma

If v is an ith closest node to s, then d'(s, v) = dist(s, v).

### Corollary

The ith closest node to s is the node  $v \in V - S$  such that  $d'(s, v) = \min_{u \in V - X} d'(s, u)$ .

### Proof.

For every node  $\mathbf{u} \in \mathbf{V} - \mathbf{X}$ , dist $(\mathbf{s}, \mathbf{u}) \leq \mathbf{d}'(\mathbf{s}, \mathbf{u})$  and for the ith closest node  $\mathbf{v}$ , dist $(\mathbf{s}, \mathbf{v}) = \mathbf{d}'(\mathbf{s}, \mathbf{v})$ . Moreover, dist $(\mathbf{s}, \mathbf{u}) \geq \text{dist}(\mathbf{s}, \mathbf{v})$  for each  $\mathbf{u} \in \mathbf{V} - \mathbf{S}$ .

Initialize for each node v:  $dist(s, v) = \infty$ Initialize  $X = \emptyset$ , d'(s, s) = 0for i = 1 to |V| do (\* Invariant: X contains the i-1 closest nodes to s \*) (\* Invariant: d'(s, u) is shortest path distance from u to susing only X as intermediate nodes\*) Let v be such that  $d'(s, v) = \min_{u \in V-x} d'(s, u)$ dist(s, v) = d'(s, v) $X = X \cup \{v\}$ for each node  $\mathbf{u}$  in  $\mathbf{V} - \mathbf{X}$  do  $d'(s, u) = min_{t \in X} (dist(s, t) + \ell(t, u))$ 

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Correctness: By induction on i using previous lemmas.

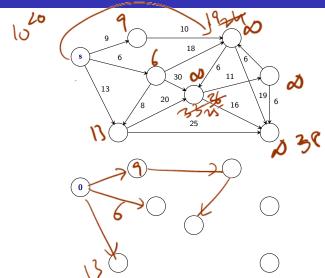
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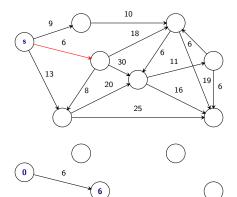
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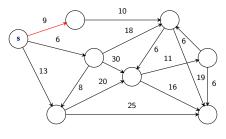
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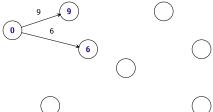
Correctness: By induction on **i** using previous lemmas. Running time:  $O(n \cdot (n + m))$  time.

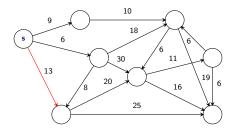
n outer iterations. In each iteration, d'(s, u) for each u by scanning all edges out of nodes in X; O(m + n) time/iteration.

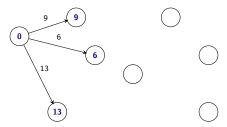




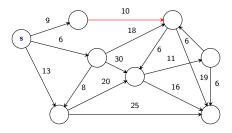


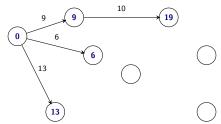


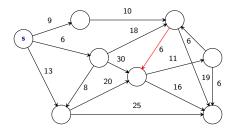


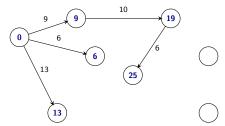


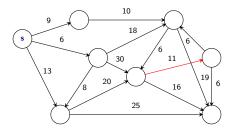
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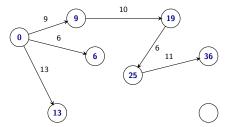






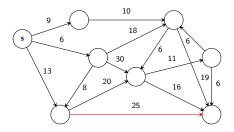


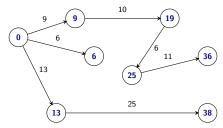




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### Improved Algorithm

Main work is to compute the d'(s, u) values in each iteration
d'(s, u) changes from iteration i to i + 1 only because of the node v that is added to X in iteration i.

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Running time:

### Improved Algorithm

#### Running time: $O(m + n^2)$ time.

outer iterations and in each iteration following steps

- updating d'(s, u) after v is added takes O(deg(v)) time so total work is O(m) since a node enters X only once
- Solution Finding v from d'(s, u) values is O(n) time

### Dijkstra's Algorithm

• eliminate d'(s, u) and let dist(s, u) maintain it

update dist values after adding v by scanning edges out of v

Priority Queues to maintain dist values for faster running time

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Priority Queues to maintain dist values for faster running time

- Using heaps and standard priority queues: O((m + n) log n)
- Oliver State St

# Priority Queues

Data structure to store a set **S** of **n** elements where each element  $\mathbf{v} \in \mathbf{S}$  has an associated real/integer key  $\mathbf{k}(\mathbf{v})$  such that the following operations:

- makePQ: create an empty queue.
- IndMin: find the minimum key in S.
- **a** extractMin: Remove  $\mathbf{v} \in \mathbf{S}$  with smallest key and return it.
- **()** insert(v, k(v)): Add new element v with key k(v) to **S**.
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All operations can be performed in **O(log n)** time. **decreaseKey** is implemented via **delete** and **insert**.

# Dijkstra's Algorithm using Priority Queues

```
\begin{split} & \mathsf{Q} \leftarrow \mathsf{makePQ}() \\ & \mathsf{insert}(\mathsf{Q}, (\mathsf{s}, \mathsf{0})) \\ & \mathsf{for} \; \mathsf{each} \; \mathsf{node} \; \mathsf{u} \neq \mathsf{s} \; \mathsf{do} \\ & \mathsf{insert}(\mathsf{Q}, \; (\mathsf{u}, \infty)) \\ & \mathsf{X} \leftarrow \emptyset \\ & \mathsf{for} \; \mathsf{i} = 1 \; \mathsf{to} \; |\mathsf{V}| \; \mathsf{do} \\ & (\mathsf{v}, \mathsf{dist}(\mathsf{s}, \mathsf{v})) = \mathsf{extractMin}(\mathsf{Q}) \\ & \mathsf{X} = \mathsf{X} \cup \{\mathsf{v}\} \\ & \mathsf{for} \; \mathsf{each} \; \mathsf{u} \; \mathsf{in} \; \mathsf{Adj}(\mathsf{v}) \; \mathsf{do} \\ & \quad \mathsf{decreaseKey}\Big(\mathsf{Q}, (\mathsf{u}, \mathsf{min}(\mathsf{dist}(\mathsf{s}, \mathsf{u}), \; \mathsf{dist}(\mathsf{s}, \mathsf{v}) + \ell(\mathsf{v}, \mathsf{u})))\Big). \end{split}
```

Priority Queue operations:

- O(n) insert operations
- O(n) extractMin operations
- O(m) decreaseKey operations

# Implementing Priority Queues via Heaps

#### Using Heaps

Store elements in a heap based on the key value

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#### Dijkstra's algorithm can be implemented in $O((n + m) \log n)$ time.

- extractMin, insert, delete, meld in O(log n) time
- **decreaseKey** in **O(1)** *amortized* time:

- extractMin, insert, delete, meld in O(log n) time
- **2** decreaseKey in O(1) amortized time:  $\ell$  decreaseKey operations for  $\ell \ge n$  take together O( $\ell$ ) time
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- Dijkstra's algorithm can be implemented in  $O(n \log n + m)$  time. If  $m = \Omega(n \log n)$ , running time is linear in input size.
- Data structures are complicated to analyze/implement. Recent work has obtained data structures that are easier to analyze and implement, and perform well in practice. Rank-Pairing Heaps (European Symposium on Algorithms, September 2009!)

#### Shortest Path Tree

Dijkstra's algorithm finds the shortest path distances from s to V. **Question:** How do we find the paths themselves?

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```
\mathbf{Q} = \mathbf{makePQ}()
insert(Q, (s, 0))
prev(s) \leftarrow null
for each node u \neq s do
     insert(\mathbf{Q}, (\mathbf{u}, \infty))
     prev(u) \leftarrow null
X = \emptyset
for i = 1 to |V| do
     (v, dist(s, v)) = extractMin(Q)
     X = X \cup \{v\}
     for each u in Adj(v) do
           if (dist(s, v) + \ell(v, u) < dist(s, u)) then
                decreaseKey(Q, (u, dist(s, v) + \ell(v, u)))
                prev(u) = v
```

### Shortest Path Tree

#### Lemma

The edge set (u, prev(u)) is the reverse of a shortest path tree rooted at s. For each u, the reverse of the path from u to s in the tree is a shortest path from s to u.

#### Proof Sketch.

- Intering the edge set {(u, prev(u)) | u ∈ V} induces a directed in-tree rooted at s (Why?)
- Ose induction on |X| to argue that the tree is a shortest path tree for nodes in V.

#### Shortest paths to s

Dijkstra's algorithm gives shortest paths from s to all nodes in V. How do we find shortest paths from all of V to s?

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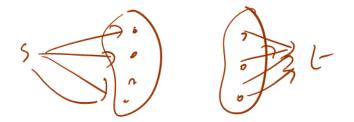
- In undirected graphs shortest path from s to u is a shortest path from u to s so there is no need to distinguish.
- In directed graphs, use Dijkstra's algorithm in G<sup>rev</sup>!

#### Shortest paths between sets of nodes

Suppose we are given  $S \subset V$  and  $T \subset V$ . Want to find shortest path from S to T defined as:

$$\operatorname{dist}(\mathsf{S},\mathsf{T}) = \min_{\mathsf{s}\in\mathsf{S},\mathsf{t}\in\mathsf{T}}\operatorname{dist}(\mathsf{s},\mathsf{t})$$

How do we find dist(**S**, **T**)?



You want to go from your house to a friend's house. Need to pick up some dessert along the way and hence need to stop at one of the many potential stores along the way. How do you calculate the "shortest" trip if you include this stop?

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**Basic solution:** Compute for each  $x \in X$ , d(s, x) and d(x, t) and take minimum. 2|X| shortest path computations.  $O(|X|(m + n \log n))$ .

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**Better solution:** Compute shortest path distances from **s** to every node  $\mathbf{v} \in \mathbf{V}$  with one Dijkstra. Compute from every node  $\mathbf{v} \in \mathbf{V}$  shortest path distance to **t** with one Dijkstra.

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