

10.6.1

Proving that merge is correct

Proving Correctness

Obvious way to prove correctness of recursive algorithm: induction!

- Easy to show by induction on n that MergeSort is correct if you assume Merge is correct.
- How do we prove that Merge is correct? Also by induction!
- One way is to rewrite Merge into a recursive version.
- For algorithms with loops one comes up with a natural loop invariant that captures all the essential properties and then we prove the loop invariant by induction on the index of the loop.

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- Easy to show by induction on n that MergeSort is correct if you assume Merge is correct.
- How do we prove that Merge is correct? Also by induction!
- One way is to rewrite Merge into a recursive version.
- For algorithms with loops one comes up with a natural loop invariant that captures all the essential properties and then we prove the loop invariant by induction on the index of the loop.

Merge is correct..

```
Merge( $A[1\dots m]$ ,  $A[m+1\dots n]$ )
 $i \leftarrow 1$ ,  $j \leftarrow m+1$ ,  $k \leftarrow 1$ 
while ( $k \leq n$ ) do
    if  $i > m$  or ( $j \leq n$  and  $A[i] > A[j]$ )
         $B[k+] \leftarrow A[j+]$ 
    else
         $B[k+] \leftarrow A[i+]$ 
 $A \leftarrow B$ 
```

Claim

Assuming $A[1\dots m]$ and $A[m+1\dots n]$ are sorted (all values distinct).

For any value of k , in the beginning of the loop, we have:

- ① $B[1\dots k-1]$ contains the $k-1$ smallest elements in A .
- ② $B[1\dots k-1]$ is sorted.

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while ( $k \leq n$ ) do
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Merge is correct

Merge($A[1\dots m]$, $A[m + 1\dots n]$)

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i ← 1, j ← m + 1, k ← 1
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        B[k ++] ← A[j ++]
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A ← B
```

Claim

Assuming $A[1\dots m]$ and $A[m + 1\dots n]$ are sorted (all values distinct).

∀ k , in beginning of the loop, we have:

- ① $B[1\dots k - 1]$: $k - 1$ smallest elements in A .
- ② $B[1\dots k - 1]$ is sorted.

Proof:

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Base of induction: $k = 1$: Emptily true.

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Inductive hypothesis: Claim true for all $k \leq \alpha$.

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Inductive step: Need to prove claim true for $k = \alpha + 1$.

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Idea: Start at iteration $k = \alpha$, and use induction hypothesis, run the loop for one iter...

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If $i > m$ then true.

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If $i > m$ then true.

If $j > n$ then true.

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Idea: Start at iteration $k = \alpha$, and use induction hypothesis, run the loop for one iter...

If $i \leq m$ and $j \leq n$ then...

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Merge is correct!!!

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- ① $\mathbf{B}[1 \dots k - 1]$: $k - 1$ smallest elements in \mathbf{A} .
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Proved claim is correct. Plugging $k = n + 1$, implies.

Claim

By end of loop execution \mathbf{B} (and thus \mathbf{A}) contain the elements of \mathbf{A} in sorted order.

\implies Merge is correct.

THE END

...

(for now)