

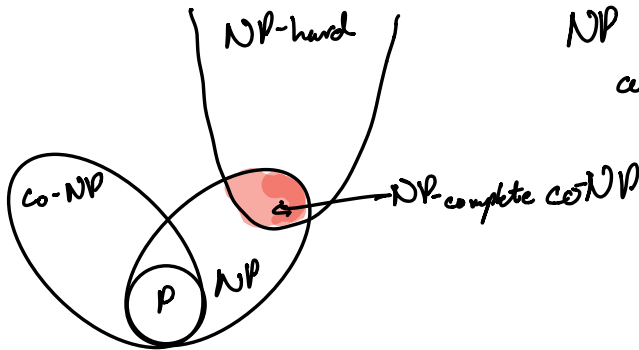
Lecture 25 Scribble

Chat Moderators: Eliot & Tanvi

Topics: Cook-Levin Theorem

3SAT to Subset-Sum

3SAT to CSAT



NP if it has a poly-time certifier for all YES instances

" for all No instances tautology if a statement is always true

$$"y = x \text{ or } y \neq x"$$

A problem (x) is NP-hard if for every problem $(y) \in NP$, y is reducible to x .

$$NP\text{-complete} = NP \wedge NP\text{-hard}$$

So far:

Cook-Levin Theorem: SAT is NP-complete

For SAT to be NP-complete

- Must be in NP ← Easy: poly-time certifier

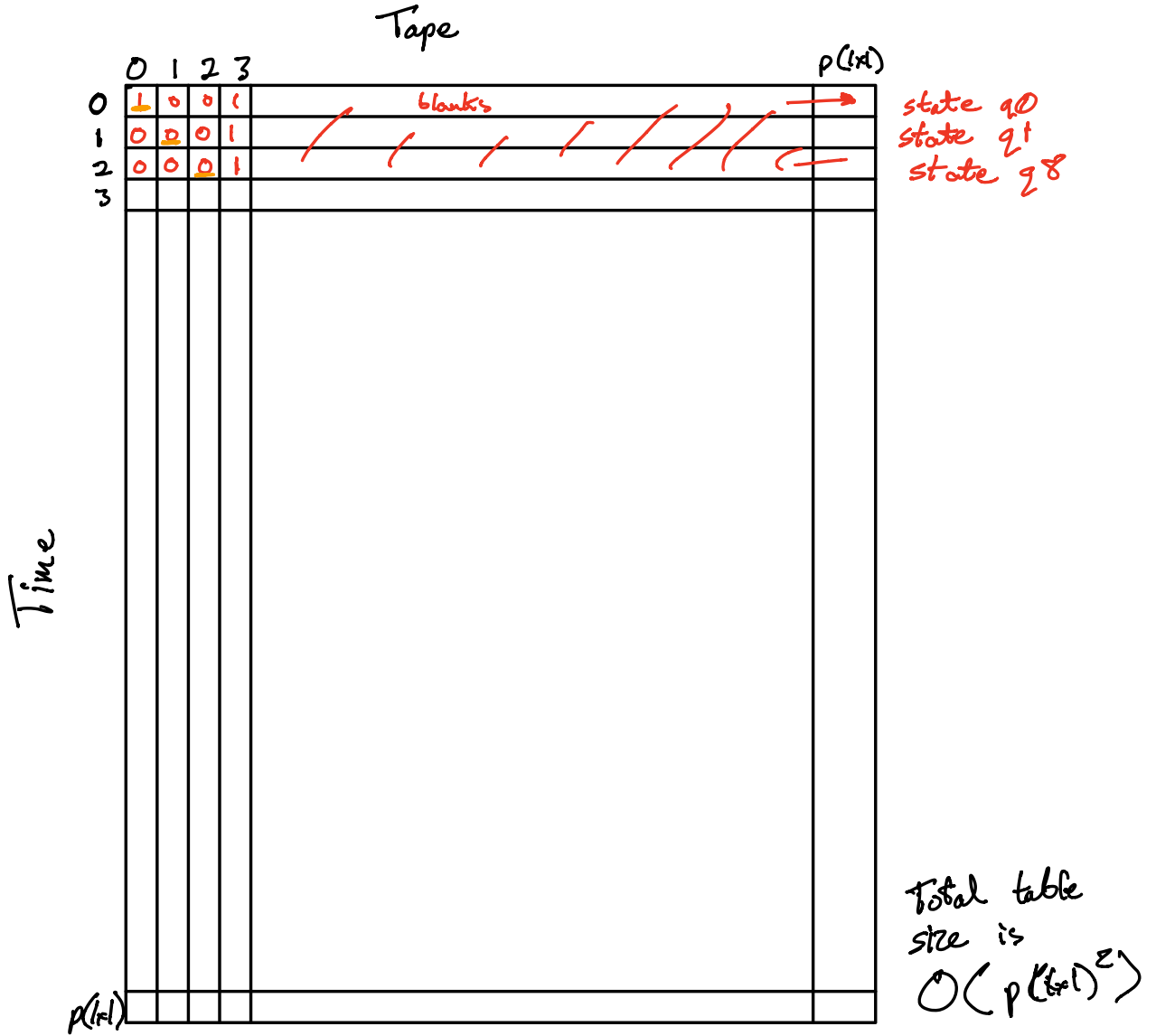
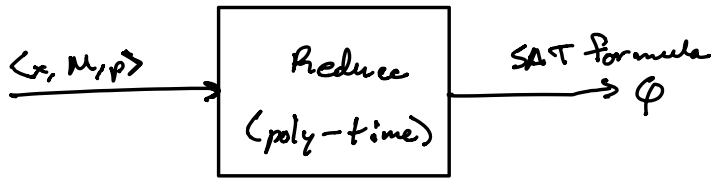
- Must be NP-hard ←

↳ Every NP problem reducible (in poly-time) to SAT

What does it mean for $L \in NP$?

- $L \in NP$ it means that there is a nondeterministic TM (M) that will halt on a input (x) in a polynomial # of steps $(p(|x|))$

$$L = \{x \in \Sigma^* \mid M \text{ accepts } x \text{ in at most } p(|x|) \text{ steps}\}$$



Four types of computation that describe M on x

- $T(b, h, i)$ tape cell at position " h " holding character " b " at time " i "
- $H(h, i)$: head at position h at time i
- $S(q, i)$: state of M at i
- $I(j, i)$: instruction j is executed at time i

Definition:

$\bigoplus (x_1, x_2, x_3, \dots, x_m)$ means exactly one variable is true.

$$\equiv \bigwedge_{1 \leq i < j \leq k} (\bar{x}_i \vee \bar{x}_j) \wedge (x_1 \vee x_2 \vee x_3 \vee \dots \vee x_m)$$

↑
No two variables
are true

↑
at least one variable
is true

φ_1 : Input is encoded correctly

$$= S(q_0, 0)$$

$$\bigwedge_{h=1}^n T(x_N, h, 0) \quad \bigwedge_{h=1}^{k(w)} T(w, h, 0)$$

$$\wedge H(1, 0)$$

$$\varphi = \bigwedge_{i=1}^{l_2} \varphi_i$$

SAT is NP-hard

Knowing 3SAT is NP-hard

If we show $3SAT \leq_p X$ X is also NP-hard
 $NP \leq_p$

Example: $3SAT \leq_p$ Subset-Sum Problem

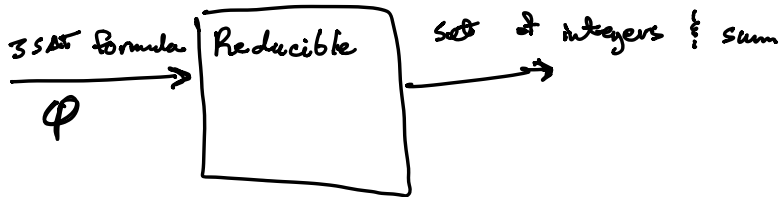
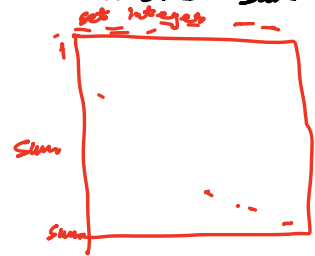
$\{3, 34, 4, 12, 5, 2\}$ $sum = 9?$ Yes
 $sum = 30?$

Given a set of ints, is there a non-empty subset whose sum is equal to $sum?$

Brute Force: $O(2^n \cdot n)$

Recursive: $O(2^n)$

Dynamic: $O(n \cdot sum)$



$$\varphi = (x_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3)$$

3 variable assignments

4 clauses that need to be satisfied

$\varphi =$ Any assignment

x_1	1	0	0	1	0	Sum = 1 1 1 1
\bar{x}_1	1	0	0	0	0	
x_2	0	1	0	0	1	$\varphi = x_1 \wedge x_2$
\bar{x}_2	0	1	0	0	0	
x_3	0	0	1	0	0	

$$\overline{x_3} \quad 0 \quad 0 \quad 1 \quad 0 \quad 0$$

$$\varphi = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3)$$

#	i			j			
	1	2	3	1	2	3	4
t ₁	1	0	0	1	0	0	1
f ₁	1	0	0	0	1	1	0
t ₂	0	1	0	1	0	1	0
f ₂	0	1	0	0	1	0	1
t ₃	0	0	1	1	1	0	1
f ₃	0	0	1	0	0	1	0

#	i			j			
	1	2	3	1	2	3	4
x ₁	0	0	0	1	0	0	0
γ ₁	0	0	0	1	0	0	0
x ₂	0	0	0	0	1	0	0
γ ₂	0	0	0	0	1	0	0
x ₃	0	0	0	0	0	1	0
γ ₃	0	0	0	0	0	1	0
x ₄	0	0	0	0	0	0	1
γ ₄	0	0	0	0	0	0	1

SS will use x_j if # of true literals in e_j is at most 2

SS will use γ_i if # of true literals in e_i are at most 1

$$\text{sum} = 1 \ 1 \ 1, \ 3 \ 3 \ 3 \ 3$$

If 3SAT is Yes then Subset-Sum is also
Yes

