

## Lecture #7

Nondeterministic finite automata  
and  
Deterministic finite automata  
are equivalent



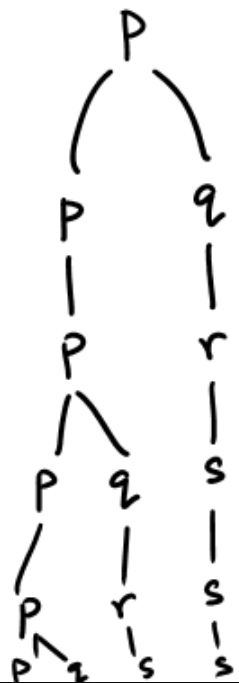
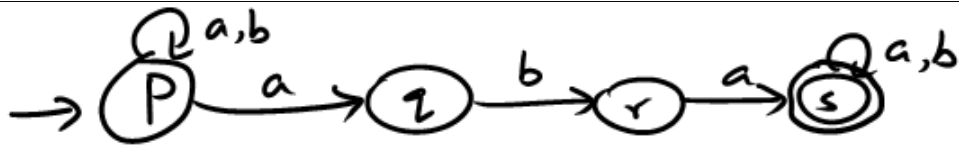
DFA  $\hookrightarrow$  NFA

Formally. DFA  $A = (Q, \Sigma, \delta, q_0, F)$

NFA  $B = (Q, \Sigma, \delta', q_0, F)$

$$\forall q \in Q, a \in \Sigma : \delta'(q, a) = \{\delta(q, a)\}$$

$$\forall q \in Q \quad \delta'(q, \epsilon) = \emptyset$$

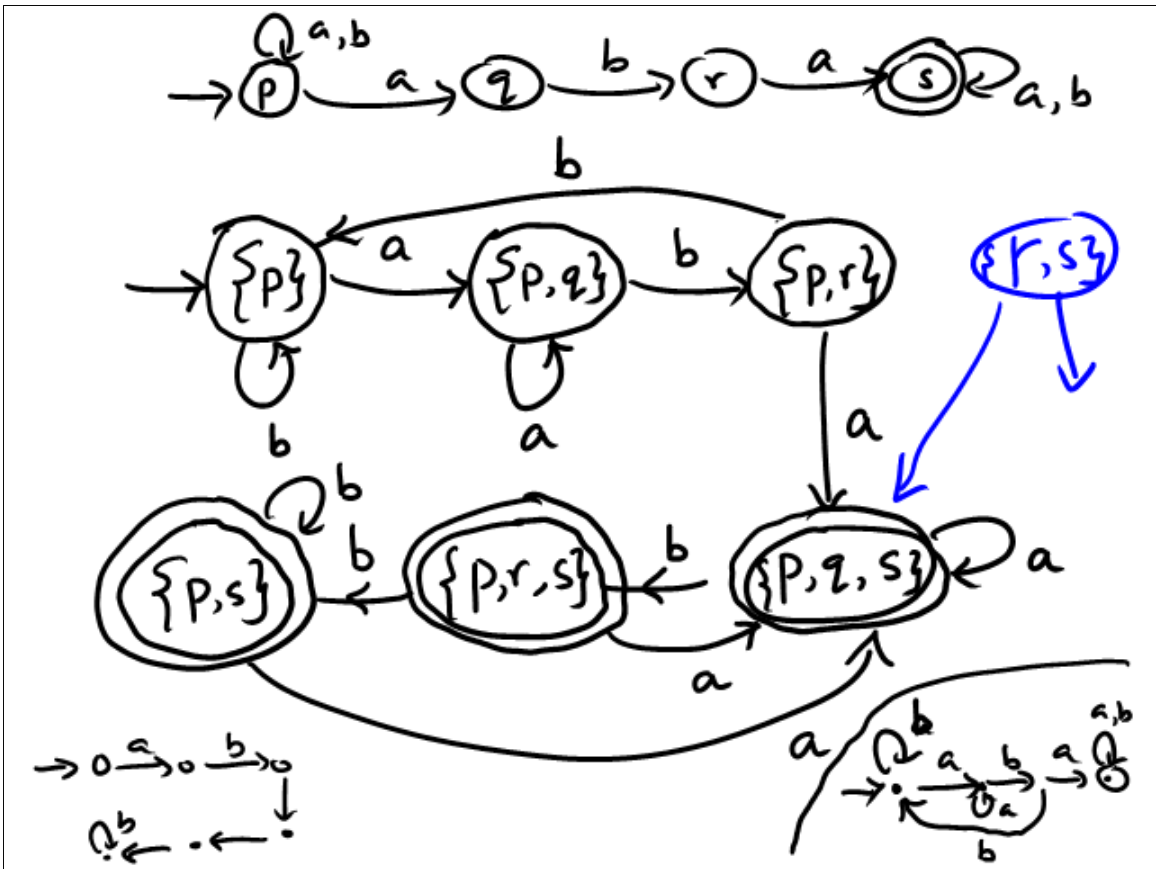


ababa

a  
b  
a  
b  
a

What must a DFA remember after reading  $w$ ?

The precise set of states the NFA can be in after reading  $w$ .



Lemma For any NFA without  $\epsilon$ -transitions, there is a DFA that accepts the same language.

Construction. NFA  $A = (Q, \Sigma, \delta, q_0, F)$   
DFA  $B = (\mathcal{P}(Q), \Sigma, \hat{\delta}, \{q_0\}, \hat{F})$

$$\hat{F} = \{ X \subseteq Q \mid X \cap F \neq \emptyset \}$$

(or  $X \in \mathcal{P}(Q)$ )

$$\hat{\delta}(X, a) = \bigcup_{q \in X} \delta(q, a) \quad X \subseteq Q, a \in \Sigma$$

note: this is a single state of the DFA

Proof that the construction works.

Claim: For any  $w \in \Sigma^*$ , the set of states reached by NFA on  $w$  is precisely the state reached by DFA on  $w$ .

i.e.  $\delta(q_0, w) = \hat{\delta}(\{q_0\}, w)$

Proof by induction on  $|w|$

$|w|=0$  :  $w = \epsilon$ . NFA can reach only  $\{q_0\}$   
DFA on  $\epsilon$  reaches  $\{q_0\}$

$|w|=k+1$  ( $k \geq 0$ ),  $w = w_1 a$ .

By Ind hypo, set of states reached by NFA on  $w_1$  is equal to the states reached by DFA on  $w_1$ .

$$\delta(q_0, w) = \bigcup_{q \in X} \delta(q, a)$$

$$= \hat{\delta}(X, a)$$

$$= \hat{\delta}(\{q_0\}, w, a)$$

$$= \hat{\delta}(\{q_0\}, w)$$

(since  $\hat{\delta}(X, a) = \bigcup_{q \in X} \delta(q, a)$  by defn.)

(since  $\hat{\delta}(\{q_0\}, w) = X$ ,  $\hat{\delta}(\{q_0\}, w, a) = \hat{\delta}(X, a)$ )

(since  $w = w, a$ )

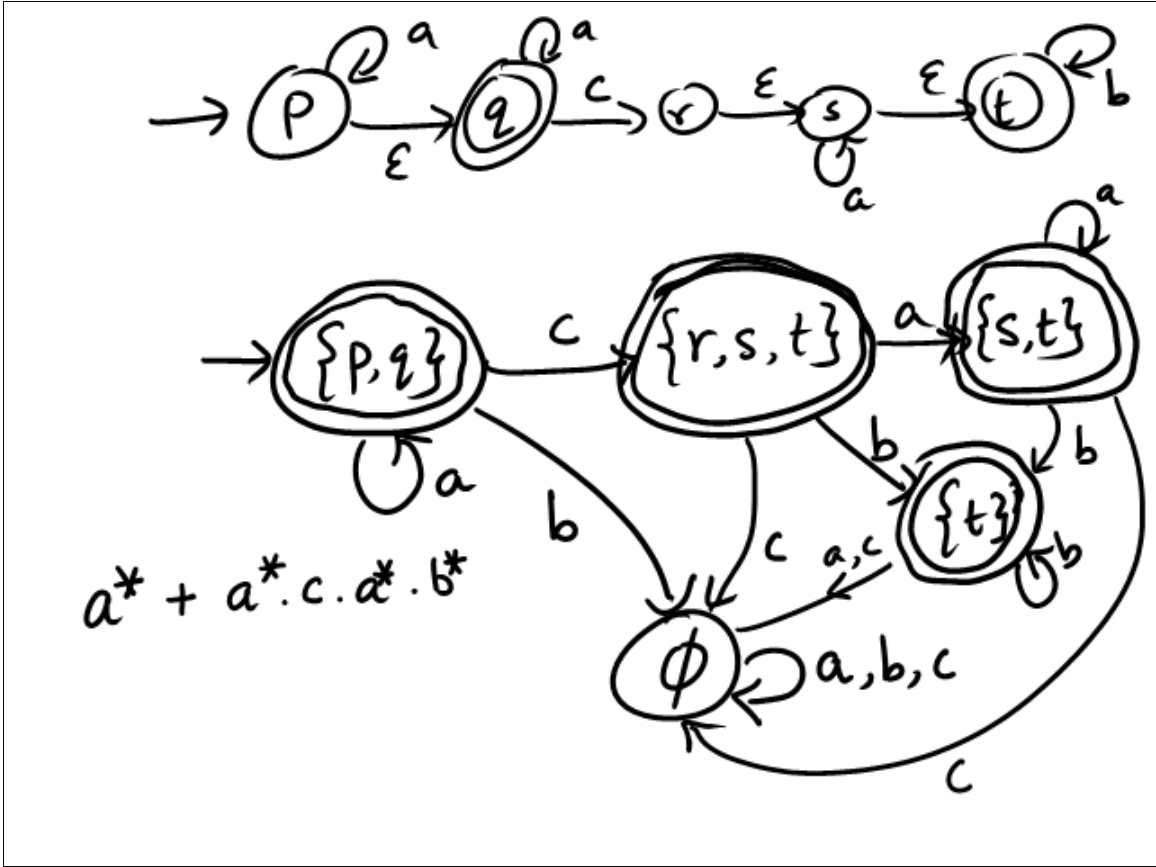
Now to show  $L(A) = L(B)$

Let  $w \in \Sigma^*$

$$w \in L(A) \text{ iff } \delta(q_0, w) \cap F \neq \emptyset$$

$$\text{iff } \hat{\delta}(\{q_0\}, w) \cap F \neq \emptyset$$

$$\text{iff } \hat{\delta}(\{q_0\}, w) \in \hat{F} \text{ iff } w \in L(B).$$





Formally, for any  $X \subseteq Q$

$$E(X) = \{ q \mid q \text{ can be reached from some state in } X \text{ using } 0 \text{ or more epsilon transitions} \}$$

$$X \subseteq E(X)$$

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$$\text{NFA: } A = (Q, \Sigma, \delta, q_0, F)$$

with or without  $\epsilon$ -trans.

$$\text{DFA } B = (\mathcal{P}(Q), \Sigma, \hat{\delta}, \hat{q}_0, \hat{F})$$

$$\hat{q}_0 = E(\{q_0\}) ; \hat{F} = \{X \subseteq Q \mid X \cap F \neq \emptyset\}$$

$$\hat{\delta}(X, a) = E\left(\bigcup_{q \in X} \delta(q, a)\right)$$

