# 1 Undecidability

# Undecidability

**Definition 1.** A language L is undecidable if L is not decidable. Thus, there is no Turing machine M that halts on every input and L(M) = L.

- This means that either L is not recursively enumerable. That is there is no turing machine M such that L(M) = L, or
- L is recursively enumerable but not decidable. That is, any Turing machine M such that L(M) = L, M does not halt on some inputs.

# Big Picture

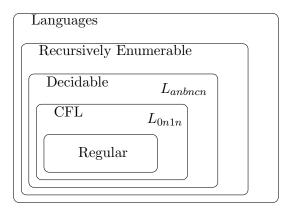


Figure 1: Relationship between classes of Languages

# 1.1 Diagonalization

# The Diagonal Language

**Definition 2.** Define  $L_d = \{\langle M \rangle \mid \langle M \rangle \notin \mathbf{L}(M)\}$ . Thus,  $L_d$  is the collection of Turing machines (programs) M such that M does not halt and accept when given itself as input.

### A non-Recursively Enumerable Language

Diagonalization: Cantor

**Proposition 3.**  $L_d$  is not recursively enumerable.

*Proof.* Recall that,

- Inputs are strings over  $\{0,1\}$
- Every Turing Machine can be described by a binary string and every binary string can be viewed as Turing Machine
- In what follows, we will denote the *i*th binary string (in lexicographic order) as the number i. Thus, we can say  $j \in \mathbf{L}(i)$ , which means that the Turing machine corresponding to *i*th binary string accepts the *j*th binary string.
- We can organize all programs and inputs as a (infinite) matrix, where the (i, j)th entry is Y Inputs  $\longrightarrow$

• Suppose  $L_d$  is recognized by a Turing machine, which is the jth binary string. i.e.,  $L_d = \mathbf{L}(j)$ . But  $j \in L_d$  iff  $j \notin \mathbf{L}(j)$ !

# Acceptor for $L_d$ ?

Consider the following program

```
On input \langle M \rangle  \text{Run program } M \text{ on } \langle M \rangle   \text{Output ''yes'' if } M \text{ does not accept } \langle M \rangle   \text{Output ''no'' if } M \text{ accepts } \langle M \rangle
```

The above program does not recognize  $L_d$  because it may never output "yes" if M does not halt on  $\langle M \rangle$ .

### Models for Decidable Languages

#### Question

Is there a machine model such that

- all programs in the model halt on all inputs, and
- for each problem decidable by a TM, there is a program in the model that decides it?

#### Answer

There is no such model! Suppose there is a programming language in which all programs always halt. Programs in this language can be described by binary strings, and can be simulated by TMs. Consider the Turing Machine  $M_d$ 

```
On input \langle M \rangle Run program M on \langle M \rangle Output ''yes'' if M does not accept \langle M \rangle Output ''no'' if M accepts \langle M \rangle
```

 $M_d$  always halts and solves a problem not solved by any program in our language! Inability to halt is essential to capture all computation.

# 1.2 The Universal Language

### Recursively Enumerable but not Decidable

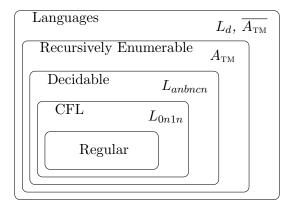
- $L_d$  not recursively enumerable, and therefore not decidable. Are there languages that are recursively enumerable but not decidable?
- Yes,  $A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$

**Proposition 4.**  $A_{\text{TM}}$  is r.e. but not decidable.

*Proof.* We have already seen that  $A_{\text{TM}}$  is r.e. Suppose (for contradiction)  $A_{\text{TM}}$  is decidable. Then there is a TM M that always halts and  $\mathbf{L}(M) = A_{\text{TM}}$ . Consider a TM D as follows:

```
On input \langle N \rangle
Run M on input \langle N, \langle N \rangle \rangle
Output ''yes'' if M rejects \langle N, \langle N \rangle \rangle
Output ''no'' if M accepts \langle N, \langle N \rangle \rangle
Observe that \mathbf{L}(D) = L_d! But, L_d is not r.e. which gives us the contradiction.
```

# A more complete Big Picture



# 2 Reductions

### Reductions

A *reduction* is a way of converting one problem into another problem such that a solution to the second problem can be used to solve the first problem. We say the first problem *reduces* to the second problem.

- Informal Examples: Measuring the area of rectangle reduces to measuring the length of the sides; Solving a system of linear equations reduces to inverting a matrix
- The problem  $L_d$  reduces to the problem  $A_{\text{TM}}$  as follows: "To see if  $\langle M \rangle \in L_d$  check if  $\langle M, \langle M \rangle \rangle \in A_{\text{TM}}$ ."

### Undecidability using Reductions

**Proposition 5.** Suppose  $L_1$  reduces to  $L_2$  and  $L_1$  is undecidable. Then  $L_2$  is undecidable.

### Proof Sketch.

Suppose for contradiction  $L_2$  is decidable. Then there is a M that always halts and decides  $L_2$ . Then the following algorithm decides  $L_1$ 

- On input w, apply reduction to transform w into an input w' for problem 2
- Run M on w', and use its answer.

This can be seen Pictorially as follows.

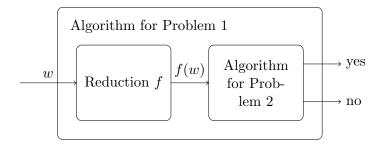


Figure 2: Reductions schematically

### The Halting Problem

**Proposition 6.** The language  $HALT = \{ \langle M, w \rangle \mid M \text{ halts on input } w \}$  is undecidable.

*Proof.* We will reduce  $A_{\text{TM}}$  to HALT. Based on a machine M, let us consider a new machine f(M) as follows:

```
On input x  {\rm Run}\ M \ {\rm on}\ x   {\rm If}\ M \ {\rm accepts}\ {\rm then}\ {\rm halt}\ {\rm and}\ {\rm accept}   {\rm If}\ M \ {\rm rejects}\ {\rm then}\ {\rm go}\ {\rm into}\ {\rm an}\ {\rm infinite}\ {\rm loop}
```

Observe that f(M) halts on input w if and only if M accepts w

Suppose HALT is decidable. Then there is a Turing machine H that always halts and  $\mathbf{L}(H) = \text{HALT}$ . Consider the following program T

```
On input \langle M,w\rangle Construct program f(M) Run H on \langle f(M),w\rangle Accept if H accepts and reject if H rejects
```

T decides  $A_{\rm TM}$ . But,  $A_{\rm TM}$  is undecidable, which gives us the contradiction.