

# 1 Closure Properties

## 1.1 Homomorphisms

### Homomorphism

**Proposition 1.** *Context free languages are closed under homomorphisms.*

*Proof.* Let  $G = (V, \Sigma, R, S)$  be the grammar generating  $L$ , and let  $h : \Sigma^* \rightarrow \Gamma^*$  be a homomorphism. A grammar  $G' = (V', \Gamma, R', S')$  for generating  $h(L)$ :

- Include all variables from  $G$  (i.e.,  $V' \supseteq V$ ), and let  $S' = S$
- Treat terminals in  $G$  as variables. i.e., for every  $a \in \Sigma$ 
  - Add a new variable  $X_a$  to  $V'$
  - In each rule of  $G$ , if  $a$  appears in the RHS, replace it by  $X_a$
- For each  $X_a$ , add the rule  $X_a \rightarrow h(a)$

$G'$  generates  $h(L)$ . (*Exercise!*) □

*Example 2.* Let  $G$  have the rules  $S \rightarrow 0S0|1S1|\epsilon$ .

Consider the homomorphism  $h : \{0, 1\}^* \rightarrow \{a, b\}^*$  given by  $h(0) = aba$  and  $h(1) = bb$ .

Rules of  $G'$  s.t.  $L(G') = L(h(G))$ :

$$\begin{aligned} S &\rightarrow X_0SX_0|X_1SX_1|\epsilon \\ X_0 &\rightarrow aba \\ X_1 &\rightarrow bb \end{aligned}$$

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## 1.2 Inverse Homomorphisms

### Inverse Homomorphisms

*Recall:* For a homomorphism  $h$ ,  $h^{-1}(L) = \{w \mid h(w) \in L\}$

**Proposition 3.** *If  $L$  is a CFL then  $h^{-1}(L)$  is a CFL*

#### Proof Idea

For regular language  $L$ : the DFA for  $h^{-1}(L)$  on reading a symbol  $a$ , simulated the DFA for  $L$  on  $h(a)$ . Can we do the same with PDAs?

- Key idea: store  $h(a)$  in a “buffer” and process symbols from  $h(a)$  one at a time (according to the transition function of the original PDA), and the next input symbol is processed only after the “buffer” has been emptied.
- Where to store this “buffer”? In the state of the new PDA!

*Proof.* Let  $P = (Q, \Delta, \Gamma, \delta, q_0, F)$  be a PDA such that  $\mathbf{L}(P) = L$ . Let  $h : \Sigma^* \rightarrow \Delta^*$  be a homomorphism such that  $n = \max_{a \in \Sigma} |h(a)|$ , i.e., every symbol of  $\Sigma$  is mapped to a string under  $h$  of length at most  $n$ . Consider the PDA  $P' = (Q', \Sigma, \Gamma, \delta', q'_0, F')$  where

- $Q' = Q \times \Delta^{\leq n}$ , where  $\Delta^{\leq n}$  is the collection of all strings of length at most  $n$  over  $\Delta$ .
- $q'_0 = (q_0, \epsilon)$
- $F' = F \times \{\epsilon\}$
- $\delta'$  is given by

$$\delta'((q, v), x, a) = \begin{cases} \{(q, h(x)), \epsilon\} & \text{if } v = a = \epsilon \\ \{(p, u), b \mid (p, b) \in \delta(q, y, a)\} & \text{if } v = yu, x = \epsilon, \text{ and } y \in \Delta \end{cases}$$

and  $\delta'(\cdot) = \emptyset$  in all other cases.

We can show by induction that for every  $w \in \Sigma^*$

$$\langle q'_0, \epsilon \rangle \xrightarrow{w}_{P'} \langle (q, v), \sigma \rangle \text{ iff } \langle q_0, \epsilon \rangle \xrightarrow{w'}_P \langle q, \sigma \rangle$$

where  $h(w) = w'v$ . Again this induction proof is left as an exercise. Now,  $w \in \mathbf{L}(P')$  iff  $\langle q'_0, \epsilon \rangle \xrightarrow{w}_{P'} \langle (q, \epsilon), \sigma \rangle$  where  $q \in F$  (by definition of PDA acceptance and  $F'$ ) iff  $\langle q_0, \epsilon \rangle \xrightarrow{h(w)}_P \langle q, \sigma \rangle$  (by exercise) iff  $h(w) \in \mathbf{L}(P)$  (by definition of PDA acceptance). Thus,  $\mathbf{L}(P') = h^{-1}(\mathbf{L}(P)) = h^{-1}(L)$ .  $\square$