

# 1 Computing Using a Stack

## Beyond Finite Memory: The Stack

- So far we considered automata with finite memory
- Today: automata with access to an infinite *stack*
- The stack can contain an unlimited number of characters. But
  - can read/erase only the top of the stack: *pop*
  - can add to only the top of the stack: *push*
- On longer inputs, automaton may have more items in the stack

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## Keeping Count Using the Stack

- An automaton can use the stack to recognize  $\{0^n 1^n \mid n \geq 0\}$ 
  - On reading a 0, push it into the stack
  - After the 0s, on reading each 1, pop a 0
  - (If a 0 comes after a 1, reject)
  - If attempt to pop an empty stack, reject
  - If stack not empty at the end, reject
  - Else accept

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## Matching Parenthesis Using the Stack

- An automaton can use the stack to recognize balanced parenthesis
- e.g.  $(( ))()$  is balanced, but  $( ))()$  and  $(( )$  are not
  - On seeing a ( push it on the stack
  - On seeing a ) pop a ( from the stack
  - If attempt to pop an empty stack, reject
  - If stack not empty at the end, reject
  - Else accept

## 2 Definition of Pushdown Automata

### Pushdown Automata (PDA)

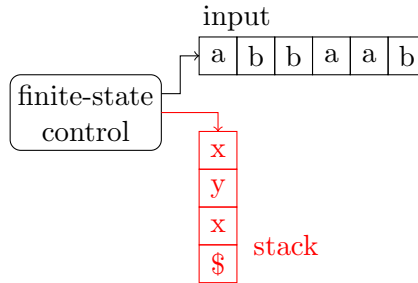
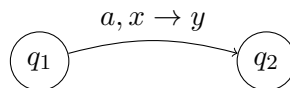


Figure 1: A Pushdown Automaton

- Like an *NFA with  $\epsilon$ -transitions*, but with a stack
  - Stack depth unlimited: not a finite-state machine
  - Non-deterministic: accepts if any thread of execution accepts
- Has a non-deterministic finite-state control
- At every step:
  - Consume next input symbol (or none) and pop the *top symbol on stack* (or none)
  - Based on current state, consumed input symbol and popped stack symbol, do (non-deterministically):
    1. push a symbol onto stack (or push none)
    2. change to a new state



If at  $q_1$ , with next input symbol  $a$  and top of stack  $x$ , then *can* consume  $a$ , pop  $x$ , push  $y$  onto stack and move to  $q_2$  (any of  $a, x, y$  may be  $\epsilon$ )

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### Pushdown Automata (PDA): Formal Definition

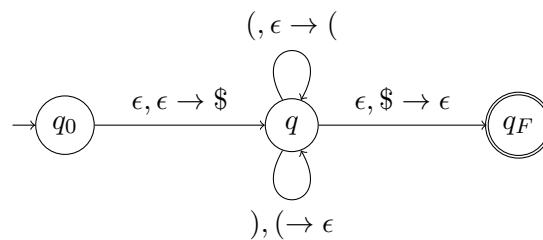
A PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  where

- $Q$  = Finite set of states
- $\Sigma$  = Finite input alphabet

- $\Gamma$  = Finite stack alphabet
  - $q_0$  = Start state
  - $F \subseteq Q$  = Accepting/final states
  - $\delta : Q \times (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\epsilon\}) \rightarrow \mathcal{P}(Q \times (\Gamma \cup \{\epsilon\}))$
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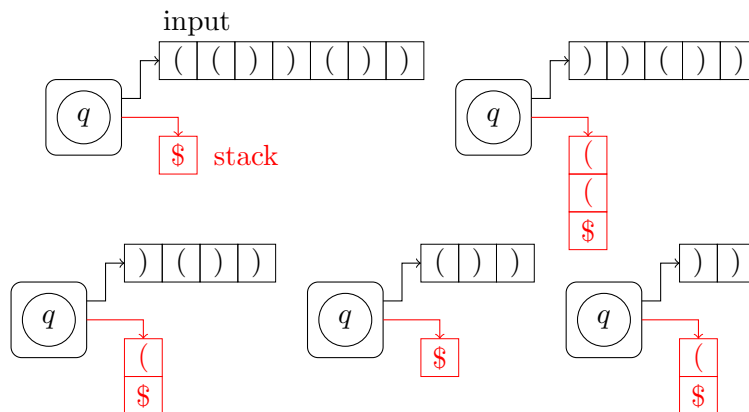
### 3 Examples of Pushdown Automata

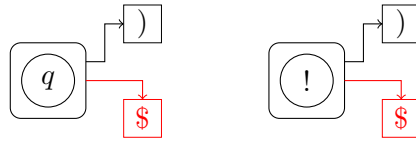
#### Matching Parenthesis: PDA construction



- First push a “bottom-of-the-stack” symbol \$ and move to  $q$
  - On seeing a ( push it onto the stack
  - On seeing a ) pop if a ( is in the stack
  - Pop \$ and move to final state  $q_F$
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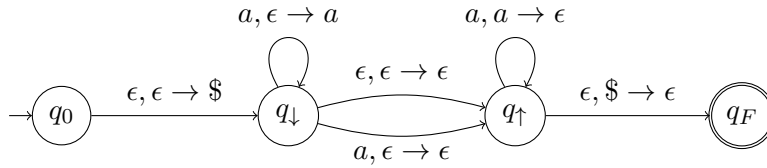
#### Matching Parenthesis: PDA execution






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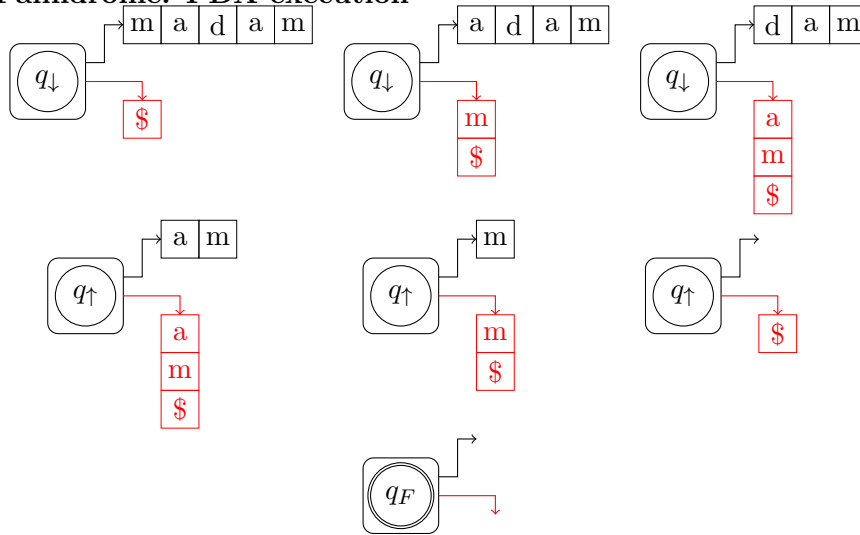
**Palindrome: PDA construction**



- First push a “bottom-of-the-stack” symbol \$ and move to a pushing state
- Push input symbols onto the stack
- Non-deterministically move to a popping state (with or without consuming a single input symbol)
- If next input symbol is same as top of stack, pop
- If \$ on top of stack move to accept state

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**Palindrome: PDA execution**



## 4 Semantics of a PDA

### 4.1 Computation

#### Instantaneous Description

In order to describe a machine's execution, we need to capture a "snapshot" of the machine that completely determines future behavior

- In the case of an NFA (or DFA), it is the state
- In the case of a PDA, it is the state + *stack contents*

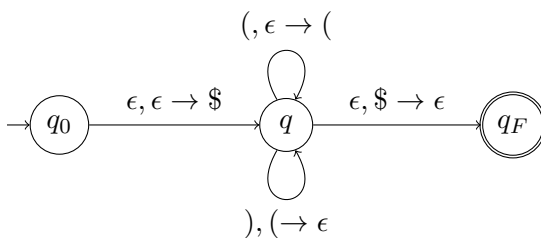
**Definition 1.** An *instantaneous description* of a PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  is a pair  $\langle q, \sigma \rangle$ , where  $q \in Q$  and  $\sigma \in \Gamma^*$

#### Computation

**Definition 2.** For a PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$ , string  $w \in \Sigma^*$ , and instantaneous descriptions  $\langle q_1, \sigma_1 \rangle$  and  $\langle q_2, \sigma_2 \rangle$ , we say  $\langle q_1, \sigma_1 \rangle \xrightarrow{w}_P \langle q_2, \sigma_2 \rangle$  iff there is a sequence of instantaneous descriptions  $\langle r_0, s_0 \rangle, \langle r_1, s_1 \rangle, \dots, \langle r_k, s_k \rangle$  and a sequence  $x_1, x_2, \dots, x_k$ , where for each  $i$ ,  $x_i \in \Sigma \cup \{\epsilon\}$ , such that

- $w = x_1 x_2 \cdots x_k$ ,
- $r_0 = q_1$ , and  $s_0 = \sigma_1$ ,
- $r_k = q_2$ , and  $s_k = \sigma_2$ ,
- for every  $i$ ,  $(r_{i+1}, b) \in \delta(r_i, x_{i+1}, a)$  such that  $s_i = as$  and  $s_{i+1} = bs$ , where  $a, b \in \Gamma \cup \{\epsilon\}$  and  $s \in \Gamma^*$

#### Example of Computation



Example 3.

$\langle q_0, \epsilon \rangle \xrightarrow{()()} \langle q, ((\$)$  because

$$\langle q_0, \epsilon \rangle \xrightarrow{x_1=\epsilon} \langle q, \$ \rangle \xrightarrow{x_2=(} \langle q, (\$ \rangle \xrightarrow{x_3=(} \langle q, ((\$ \rangle \xrightarrow{x_4=(} \langle q, (\$ \rangle \xrightarrow{x_5=(} \langle q, ((\$ \rangle$$

## 4.2 Language Recognized

### Acceptance/Recognition

**Definition 4.** A PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  accepts a string  $w \in \Sigma^*$  iff for some  $q \in F$  and  $\sigma \in \Gamma^*$ ,  $\langle q_0, \epsilon \rangle \xrightarrow{w}_P \langle q, \sigma \rangle$

**Definition 5.** The language recognized/accepted by a PDA  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  is  $\mathbf{L}(P) = \{w \in \Sigma^* \mid P \text{ accepts } w\}$ . A language  $L$  is said to be accepted/recognized by  $P$  if  $L = \mathbf{L}(P)$ .

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## 4.3 Expressive Power

### Expressive Power of CFGs and PDAs

CFGs and PDAs have equivalent expressive powers. More formally, ...

**Theorem 6.** For every CFG  $G$ , there is a PDA  $P$  such that  $\mathbf{L}(G) = \mathbf{L}(P)$ . In addition, for every PDA  $P$ , there is a CFG  $G$  such that  $\mathbf{L}(P) = \mathbf{L}(G)$ . Thus,  $L$  is context-free iff there is a PDA  $P$  such that  $L = \mathbf{L}(P)$ .

*Proof.* Skipped. □

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