

# String Algorithms and Data Structures

## Z-values and the Z-algorithm

CS 199-225

January 31, 2022

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UNIVERSITY OF  
**ILLINOIS**  
URBANA - CHAMPAIGN

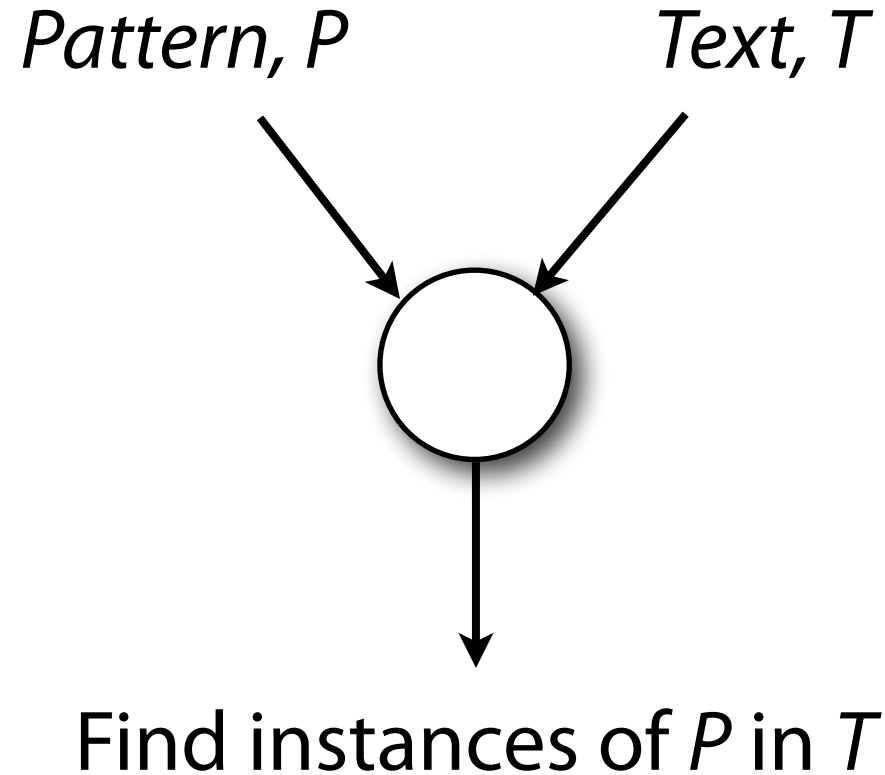
Department of Computer Science

# Assignment 1: a\_naive due today!

Don't forget to submit feedback on Moodle

- About how many hours did you spend in total on this assignment?  [Edit](#) 
- Under 1 hour
  - Between 1-2 hours
  - Between 2-3 hours
  - Between 3-4 hours
  - Over 4 hours
- The lecture was helpful for completing this assignment.  [Edit](#) 
- 1 - Strongly disagree
  - 2 - Disagree
  - 3 - Neither agree nor disagree
  - 4 - Agree
  - 5 - Strongly agree
- After completing this assignment, I have a good understanding of the material taught.  [Edit](#) 
- 0 - I already knew the material
  - 1 - Strongly disagree
  - 2 - Disagree
  - 3 - Neither agree nor disagree
  - 4 - Agree
  - 5 - Strongly agree

# Exact Pattern Matching



'instances': An exact, full length copy

# Exact Pattern Matching

What's a simple algorithm for exact matching?

P: word

T: There would have been a time for such a word

word word word word word word word word word word **word**

word word word word word word word word word

word word word word word word word word word

word word word word word word word word word

word word word word word word word word word

← One occurrence

Try all possible alignments. For each, check if it matches. This is the *naïve algorithm*.

# Exact Pattern Matching

What is good about the naive solution?

What is bad?

# Exact Pattern Matching

What is our time complexity?

$$(n = |P|, \quad m = |T|)$$

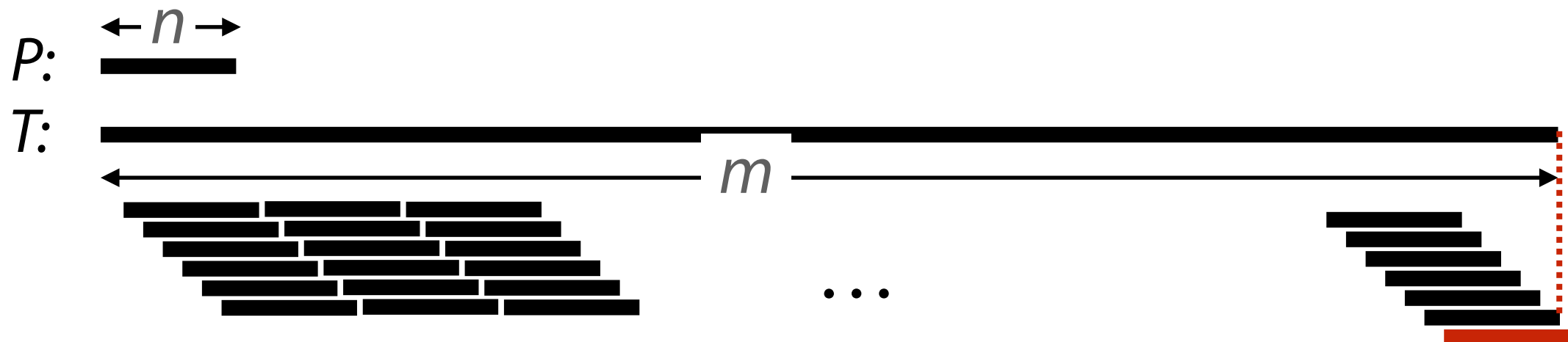
(# of alignments) x (cost of an alignment)

# Exact Pattern Matching

What is our time complexity?

$$(n = |P|, \quad m = |T|)$$

(# of alignments) x (cost of an alignment)



P can fit at each 'position' along T except the **edge**

# Exact Pattern Matching

What is our time complexity?

$$(n = |P|, \quad m = |T|)$$

( \_\_\_\_\_ ) x (cost of an alignment)

*P*: aaaa

*T*: aaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa **aaaa**

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa **aaaa**

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa **aaaa**

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

There are \_\_\_\_\_ positions which extend past the edge of T



# Exact Pattern Matching

What is our time complexity?

$$(n = |P|, \quad m = |T|)$$

$$(m-n+1) \times (\text{cost of an alignment})$$

*P*: aaaa

*T*: aaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

aaaa aaaa aaaa aaaa aaaa aaaa aaaa aaaa

Each alignment compares \_\_\_\_\_ characters.

# Exact Pattern Matching

What is our time complexity?

$(n = |P|, m = |T|)$

$$\theta((m - n + 1) \times n)$$

# String Algorithms in Genomics

P: Read (  $n = \sim 50-150$  )

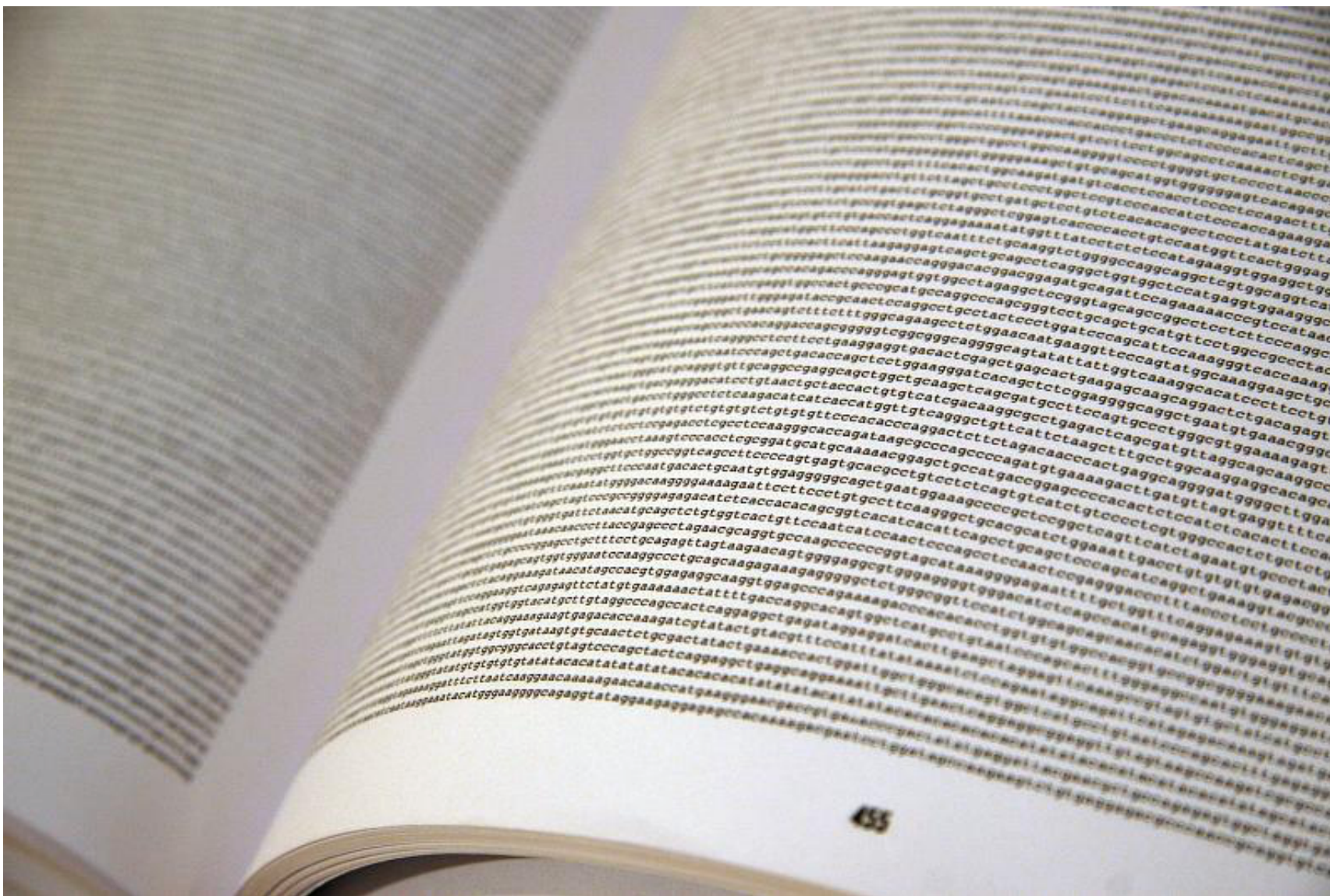
CTCAAACCTCTGACCTTTGGTGATCCACCCGCCTAGGCCTTC

T: Reference (  $m = \sim 3$  billion )

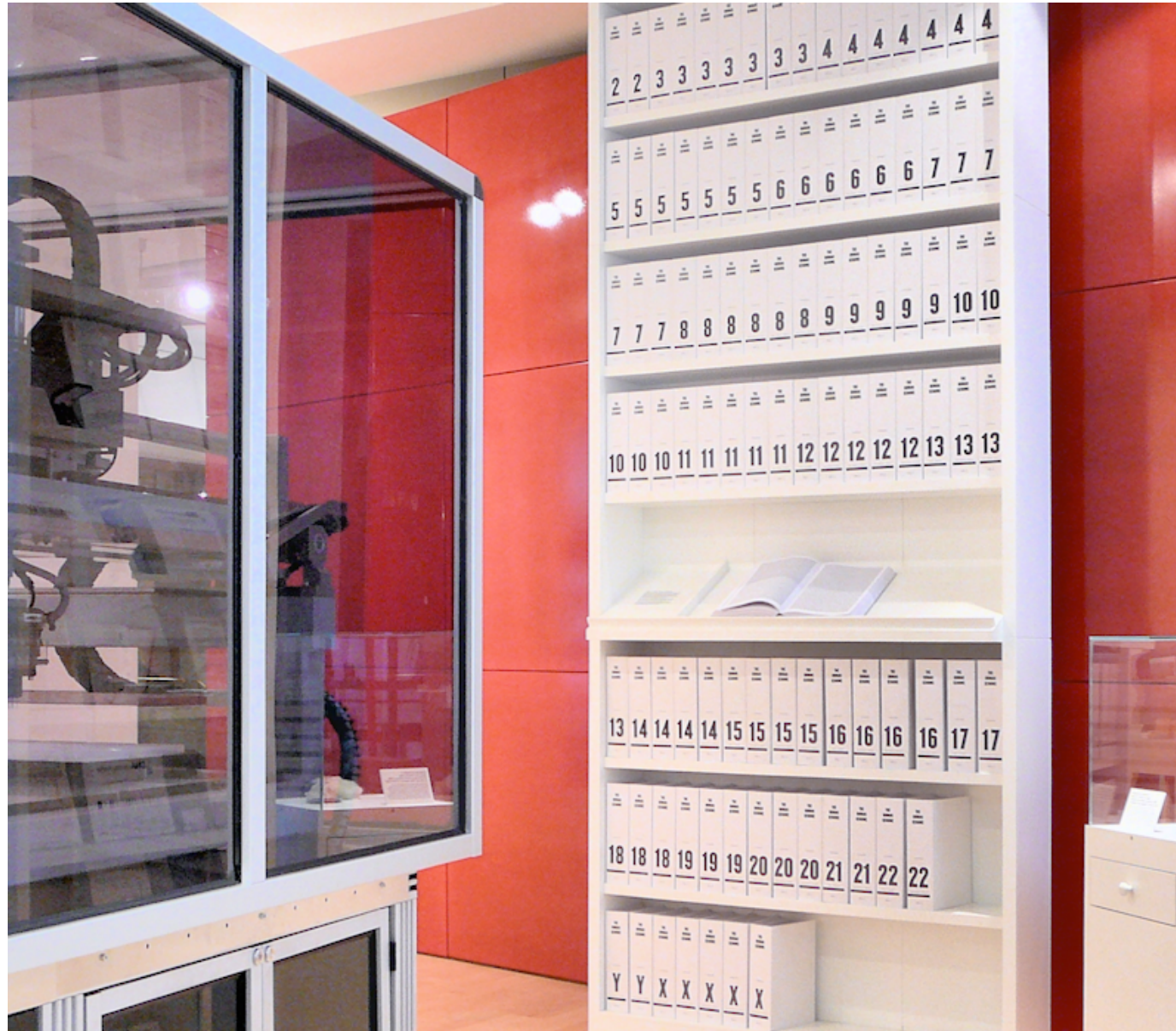
GATCACAGGTCTATCACCTATTAACCACTCACGGGAGCTCTCCATGCATTTGGTATTTT  
CGTCTGGGGGATGCACGCGATAGCATTGCGAGACGCTGGAGCCGGAGCACCTATGTC  
GCAGTATCTGTCTTTGATTCTGCCTCATCTATTATTTATCGCACCTACGTTCAATATT  
ACAGGCGAACATACTTACTAAAGTGTGTTAATTAATTAATGCTTGTAGGACATAATAATA  
ACAATTGAATGTCTGCACAGCCACTTTCCACACAGACATCATAACAAAAATTTCCACCA  
AACCCCCCTCCCCGCTTCTGGCCACAGCACTTAAACACATCTCTGCCAAACCCAAAA  
ACAAAGAACCCTAACACCAGCCTAACAGATTTCAAATTTTATCTTTTGGCGGTATGCAC  
TTTTAACAGTCACCCCCCACTAACACATTATTTTCCCCTCCCCTCCACTCCATACTACTAAT  
CTCATCAATACAACCCCCGCCATCCTACCCAGCACACACACACCCGCTGCTAACCCATA  
CCCCGAACCAACCAACCCCAAGACACCCCCACAGTTTATGTAGCTTACCTCCTCAA  
GCAATACACTGACCCGCTCAAACCTCTGGATTTTGGATCCACCCAGCGCCTTGGCCTAAA  
CTAGCCTTTCTATTAGCTCTTAGTAAGATTACACATGCAAGCATCCCCGTTCCAGTGAGT  
TCACCTCTAAATCACCACGATCAAAGGAACAAGCATCAAGCACGCAATGCAGCTC  
AAAACGCTTAGCCTAGCCACACCCCCACGGGAAACAGCAGTGATTAACCTTAGCTATAA  
ACGAAAGTTAACTAAGCTATACTAACCCAGGGTTGGTCAATTTGGTCCAGCCACCTC  
GGTCACACGATTAACCCAAGTCAATAGAAGCCGGCGTAAAGAGGTTTGTAGATCACCC  
TCCCCAATAAAGCTAAAACCTCACCTGAGTTGTAATAAACTCCGTTGACACAAAATAGAC  
TACGAAAGTGGCTTTAACATATCTGAACACACAATAGCTAAGCCCAAACCTGGGATAGA  
TACCCCACTATGCTTAGCCCTAAACCTCAACAGTTAAATCAAACAACTGCTCGCCAGAA  
CACTACGAGCCACAGCTTAAAACCTCAAAGGACCTGGCGGTGCTCATATCCCTCTAGAGG  
AGCCTGTTCTGTAATCGATAAACCCCGATCAACCTCACCACCTCTGCTCAGCCTATAT  
CCGCCATCTTCAGCAAACCCTGATGAAGGCTACAAAGTAAGCGCAACCTACCACGTA  
ACGTTAGGTCAAGGTGTAGCCCATGAGGTGGCAAGAAATGGGCTACATTTCTACCCCA  
AAAACCTACGATAGCCCTTATGAACTTAAAGGGTGAAGGTGGATTTAGCAGTAAACTAAG  
AGTAGAGTGCTTAGTTGAACAGGGCCCTGAAGCGGTACACACCCGCCGTCACCTCCTC  
AAGTATACTTCAAAGGACATTTAACTAAAACCCCTACGCATTTATATAGAGGAGACAAGT  
CGTAACCTCAAACCTCTGCCTTTGGTGATCCACCCGCCTTGGCCTACCTGCATAATGAAG  
AAGCACCCTAACCTTACACTTAGGAGATTTCAACTTAACTTACCCCTCTGAGCTAAAGCTA



# String Algorithms in Genomics



# String Algorithms in Genomics



# Improving exact pattern matching



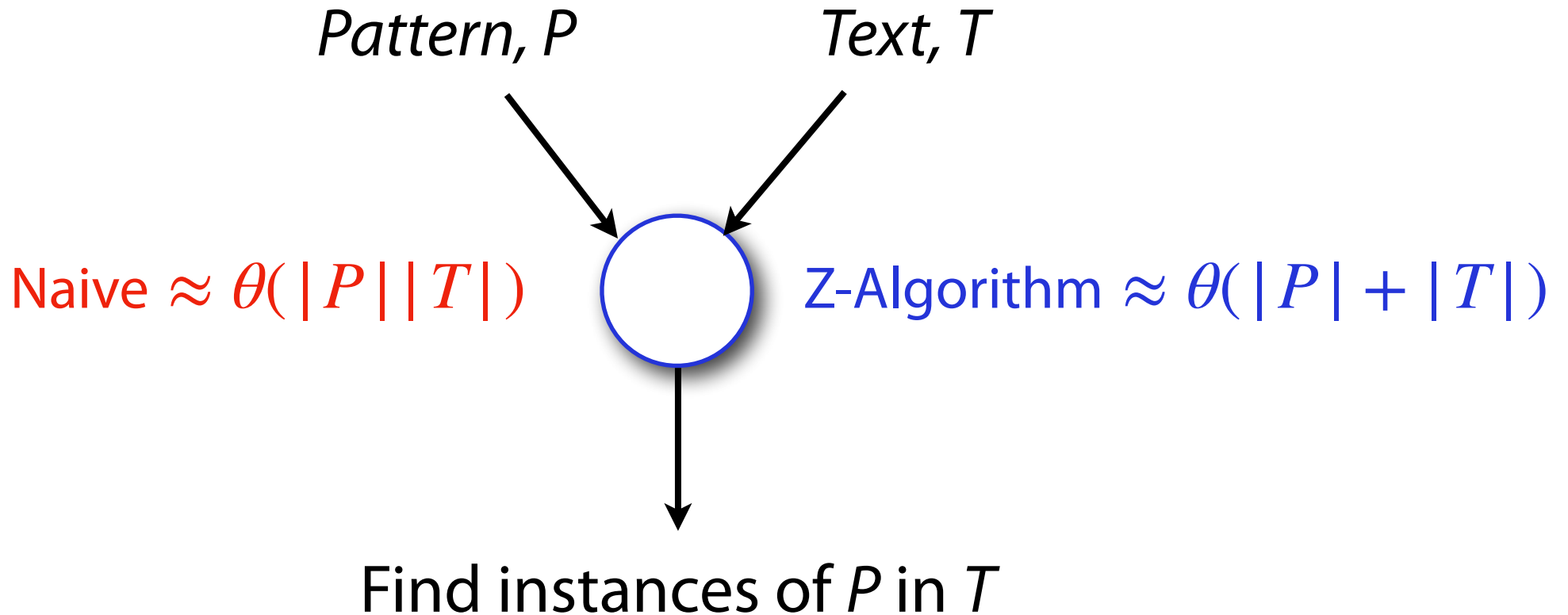
How can we do better than the naïve algorithm?

... If we have infinite space?

... If I tell you the pattern ahead of time?

... If I tell you the text ahead of time?

# Exact Pattern Matching w/ Z-algorithm



'instances': An exact, full length copy

# The Z-value [ $Z_i(S)$ ]

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i$ , that matches a prefix of  $S$ .

                  0 1 2 3 4 5 6 7 8 9  
S:    **T T C G T T A G C G**

$Z_0(S) =$

$Z_3(S) =$

$Z_1(S) =$

$Z_4(S) =$

$Z_2(S) =$

$Z_5(S) =$



# The Z-value [ $Z_i(S)$ ]

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i$ , that matches a prefix of  $S$ .

0 1 2 3 4 5 6 7 8 9  
S: **T T C G T T A G C G**

$$Z_0(S) = 10$$

$$Z_1(S) = 1$$

$$Z_2(S) = 0$$

$$Z_3(S) =$$

$$Z_4(S) =$$

$$Z_5(S) =$$

# The Z-value [ $Z_i(S)$ ]

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i > 0$ , that matches a prefix of  $S$ .

          0 1 2 3 4 5 6 7 8 9  
S:    **T T C G T T A G C G**

$$Z_0(S) = 10$$

$$Z_1(S) = 1$$

$$Z_2(S) = 0$$

$$Z_3(S) = 0$$

$$Z_4(S) = 2$$

$$Z_5(S) = 1$$

# Calculating the Z-values

**Naive:** Compute the Z-values by *explicitly* comparing characters (left-to-right scan):

$$Z_1 =$$

A A A A B A A C A A B A A ...

A A A A B A A C A A B A A ...

$$Z_5 =$$

A A A A B A A C A A B A A ...

A A A A B A A C A A B A A ...

*What is our time complexity?*

# Calculating the Z-values



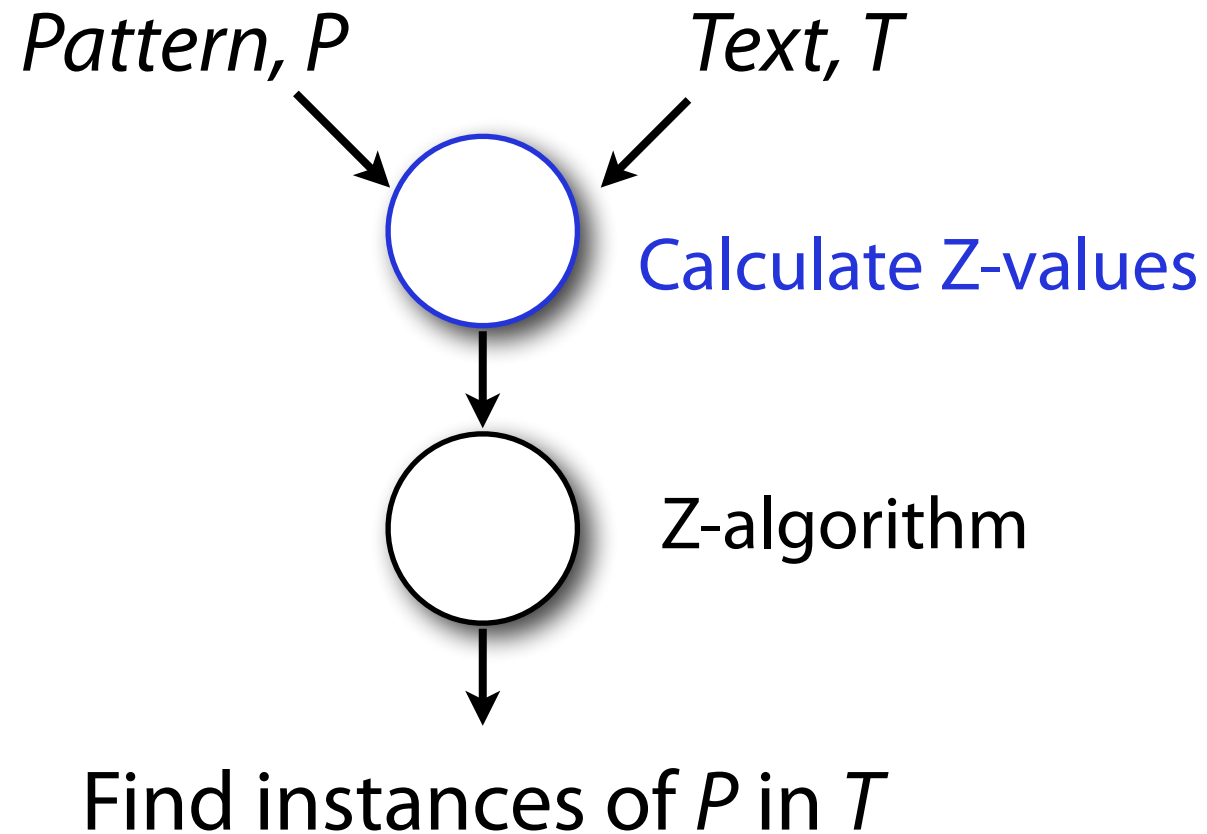
**Naive:** Compute the Z-values by *explicitly* comparing characters (left-to-right scan):

```
S : 1 1 0 1 1 0 0 1
    1 0 1 1 0 0 1
    0 1 1 0 0 1
    1 1 0 0 1
    1 0 0 1
    0 0 1
    0 1
    1
```

*What is our time complexity?*

# Pattern matching with the Z-value

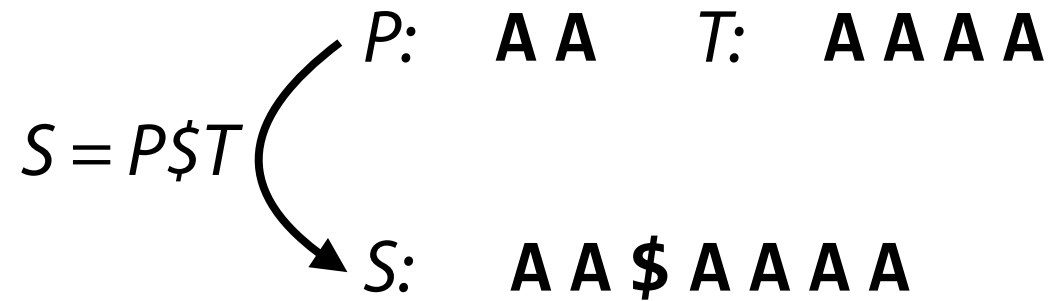
Given a  $Z_i$  value calculator, how do we solve pattern matching?



# Z-value Pattern Matching

To solve pattern matching (given  $P$  and  $T$ ), let  $S = P\$T$

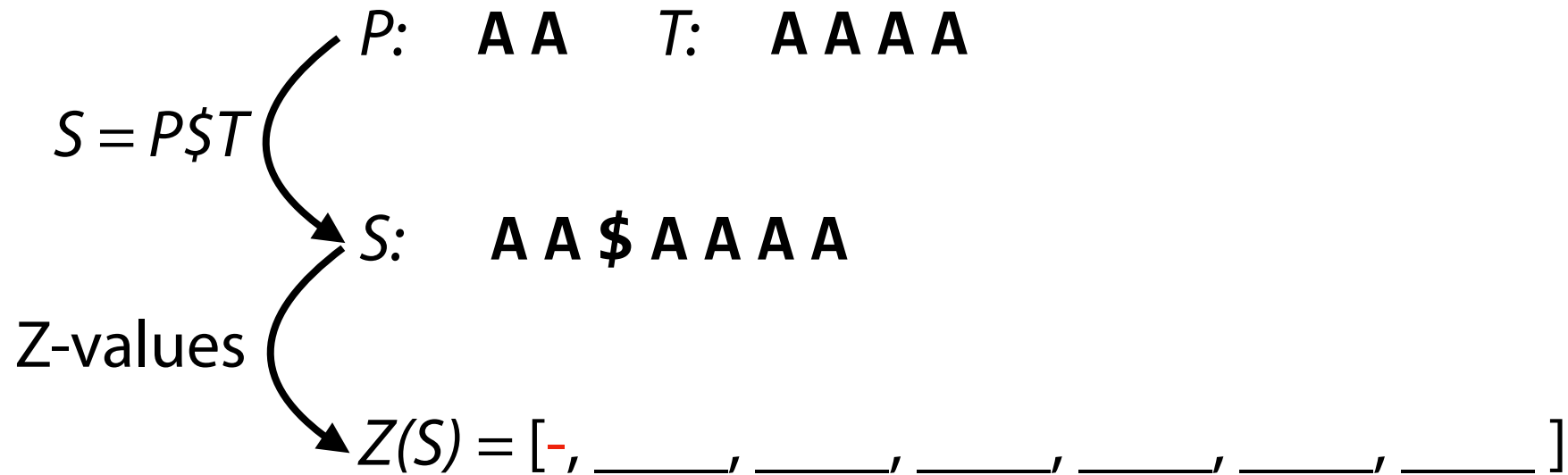
$\$$  = 'terminal character', outside alphabet



# Z-value Pattern Matching

To solve pattern matching (given  $P$  and  $T$ ), let  $S = P\$T$

$\$$  = 'terminal character', outside alphabet



# Z-value Pattern Matching

To solve pattern matching (given  $P$  and  $T$ ), let  $S = P\$T$

$\$$  = 'terminal character', outside alphabet

$P$ : A A     $T$ : A A A A

0 1 2 3 4 5 6  
 $S$ : A A \$ A A A A  
0 1 2 3

$Z(S) = [-, 1, 0, 2, 2, 2, 1]$

What  $Z_i$  values are matches?

What are the matching indices in  $T$ ?



# Z-value Pattern Matching



$P$ : TT     $T$ : CTTA

## Z-value search pseudo-code

$S$ :

1. Concatenate ( $S=P\$T$ )

$Z(S)$ :

2. Calculate Z-values for  $S$

3. For  $i < 0$ , match if  $Z_i =$  \_\_\_\_\_

Match is **not** at  $i$ , but instead at

\_\_\_\_\_

# Assignment 2: a\_zval

Learning Objective:

Construct a Z-value calculator and measure its efficiency

Demonstrate use of Z-values in pattern matching

Due: February 7th 11:59 PM

Consider: Our goal is  $\theta(|P| + |T|)$ . Does Z-value search match this?

# End-of-class brainstorm



What information does a single Z-value tell us?

If I know  $Z_{i-1}(S)$ , can I use that information to help me compute  $Z_i(S)$ ?



# The Z-value (Take 2)

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i$ , that matches a prefix of  $S$ .

What information does this give us?

$S$ : **XYXYXABCD**

$$Z_4 = 2$$

0 1 2 3 4 5 6 7 8 9 ...

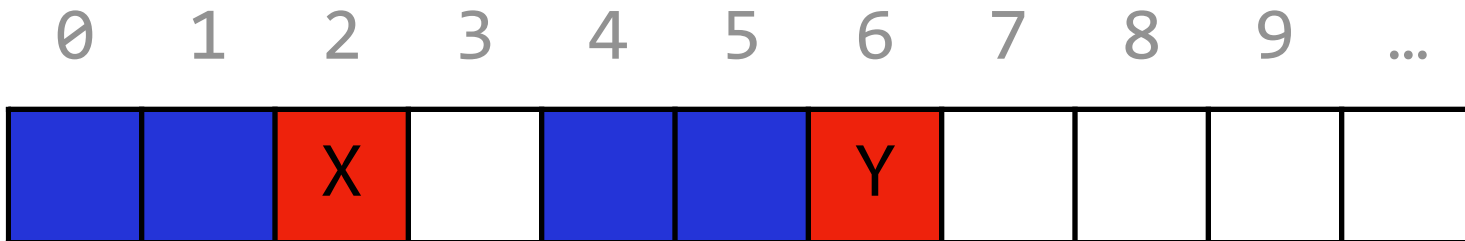


# The Z-value (Take 2)

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i$ , that matches a prefix of  $S$ .

What information does this give us?

$\begin{matrix} \color{red}{0} & 1 & 2 & 3 & \color{blue}{4} & 5 & 6 & 7 & 8 & 9 \\ S: & \boxed{T} & \boxed{T} & \color{red}{C} & \color{red}{G} & \boxed{T} & \boxed{T} & \color{red}{A} & \color{red}{G} & \color{red}{C} & \color{red}{G} \end{matrix}$        $Z_4 = 2$

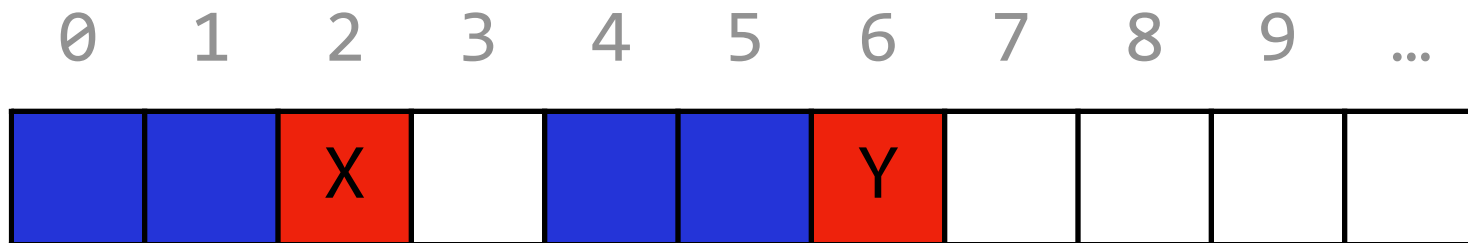


# The Z-value (Take 2)

Given a string  $S$ ,  $Z_i(S)$  is the length of the longest substring in  $S$ , starting at position  $i$ , that matches a prefix of  $S$ .

$Z_i \neq 0$  means that my *substring*  $(i, Z_i)$  matches my *prefix*  $(0, Z_i)$

The characters after my substring and prefix ***must not match!***



# Calculating the Z-values (Take 2)

**Intuition:** We can use the previous  $Z_1, \dots, Z_i$  to compute  $Z_{i+1}$ !

	0	1	2	3	4	5	6	7
$Z_1 = 3$	A	A	A	A	B	A	A	A
	A	A	A	A	B	A	A	A

$Z_2 = 2$	A	A	A	A	B	A	A	A
	A	A	A	A	B	A	A	A

$Z_5 = 3$	A	A	A	A	B	A	A	A
	A	A	A	A	B	A	A	A

$Z_6 = ?$	A	A	A	A	B	A	A	A
	A	A	A	A	B	A	A	A



# Calculating the Z-values (Take 2)



**Intuition:** We can use the previous  $Z_1, \dots, Z_i$  to compute  $Z_{i+1}$ !

The **Z-algorithm** (next week) will formalize this process.