

# Data Structures

## Extra Credit Project and Disjoint Sets

CS 225

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**ILLINOIS**  
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# Learning Objectives

Discuss extra credit project

Finish analyzing efficiency of minHeap

Introduce disjoint sets

November 1



# Big Picture: Extra credit project

**Do something that is of personal interest to you!**

Want to do undergrad research? Find a foundational algorithm!

↳ Look at website of faculty!

↳ Get project ideas!

Want to go off into industry? Demonstrate knowledge with code!

↳ Do something cool!

Want extra credit points? Use one of the suggested algorithms!

↳ The structure of this project requires work

# ECP Proposal

Approved By November 1

## You are 'writing' your own assignment skeleton

1. Function I/O (in written proposal)

↳ Make a header file w/ comments

2. Tests (in Github repo)

↳ What correct alg does (manually computed!)

3. Datasets (in Github repo)

↳ 5 + datasets of different sizes

↳ Order of magnitude sizes

No details on code needed!

# ECP Proposal

Approved By November 1

**You dont need to know how to implement to propose a structure!**

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↳ Dont start coding until approved project!

# ECP Mid-Project Check-in

Meet by November 20

**Meet with your mentor to confirm your algorithm works!**

↳ Come to meeting once your algorithm is complete

↳ Benchmark after project is correct!

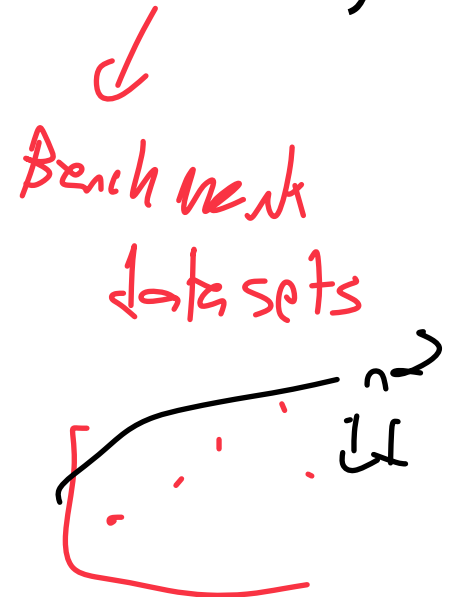
# ECP Final Deliverables

Due December 6



**Prove your algorithm is correct and estimate runtime**

1. Submit code base (GitHub repo)
2. Write a report that describes proof of correctness and efficiency
3. Present your work! Highlight what you did!

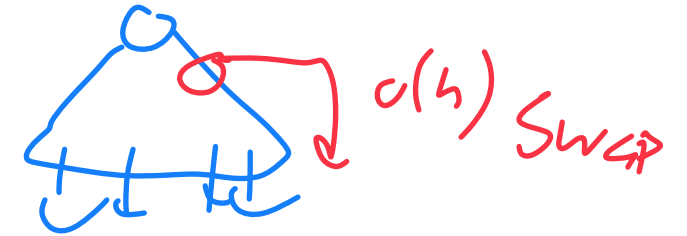


# Proving buildHeap Running Time

**Theorem:** The running time of buildHeap on array of size  $n$  is  $O(n)$

## Proof Strategy:

1. Call heapifyDown() on every non-leaf node
2. Every node we heapifyDown() has its height as worst case work.



**Summing the total heights of every node is our worst case time!**

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# Proving buildHeap Running Time

**Theorem:** The running time of buildHeap on array of size  $n$  is  $O(n)$

$$S(h) = 2^{h+1} - 2 - h$$

How can we relate  $h$  and  $n$ ?

$$h = O(\log n)$$

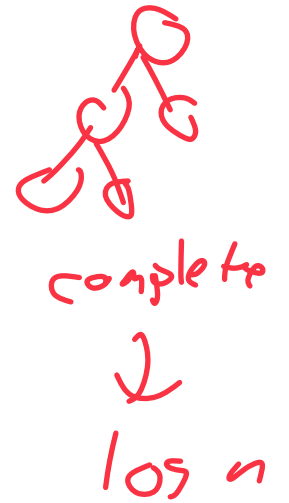
$$h \leq \log(n)$$

How can we estimate running time?

$$2^{\log(n)+1} - 2 - \log(n)$$

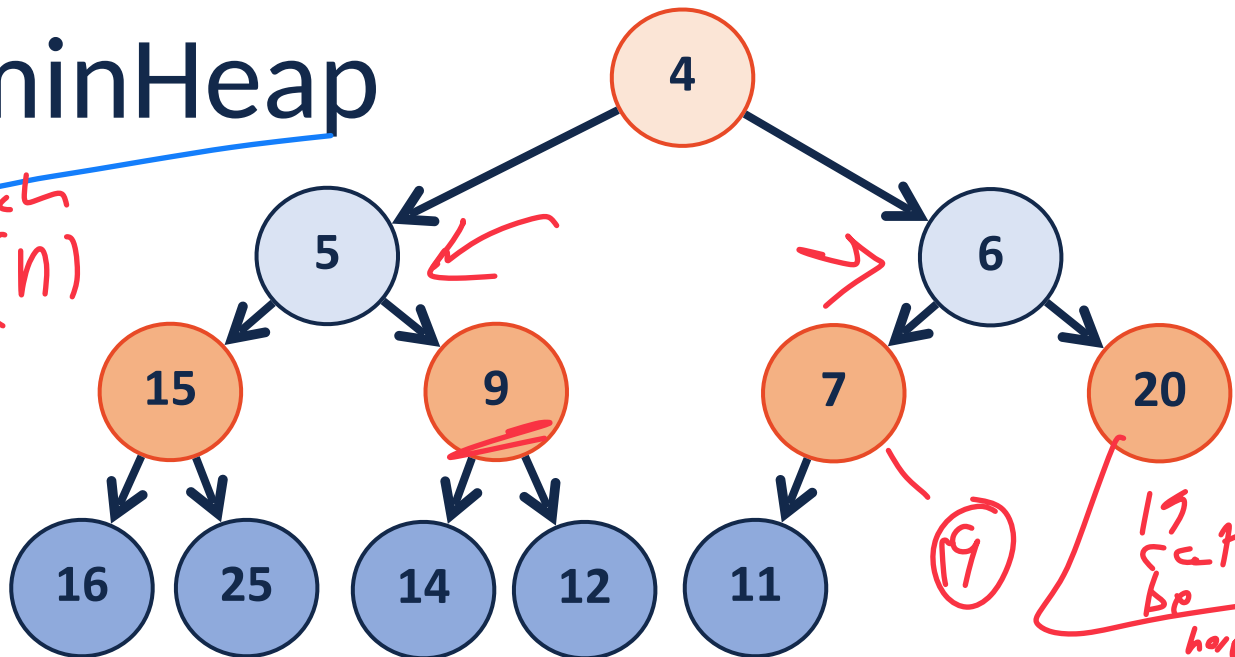
$$2 \cdot 2^{\log(n)} - 2 - \log(n)$$

$$2 \cdot n - 2 - \log(n) \approx O(n)$$



# minHeap

Search  $O(n)$



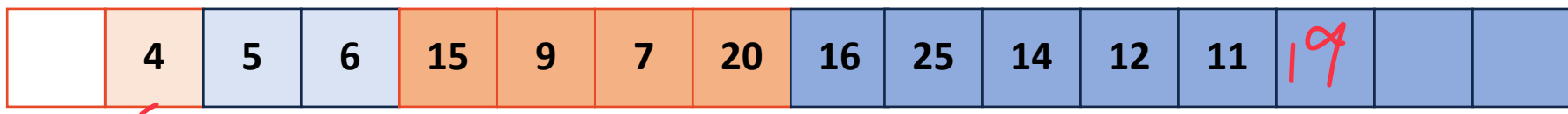
1. Construction  $\rightarrow O(n)$



2. Insert  $\rightarrow O(\log n)$

3. RemoveMin  $\rightarrow O(\log n)$

Just an array in storage



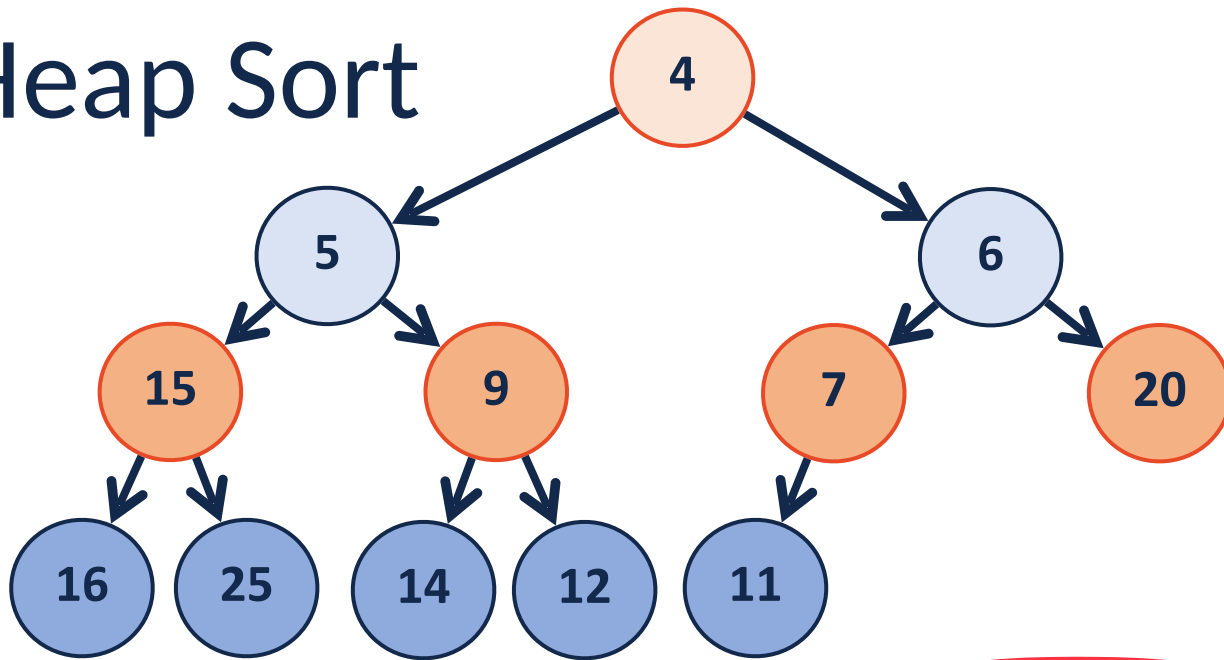
minHeap is a good example of tradeoffs:

Nearly optimal\* for every function

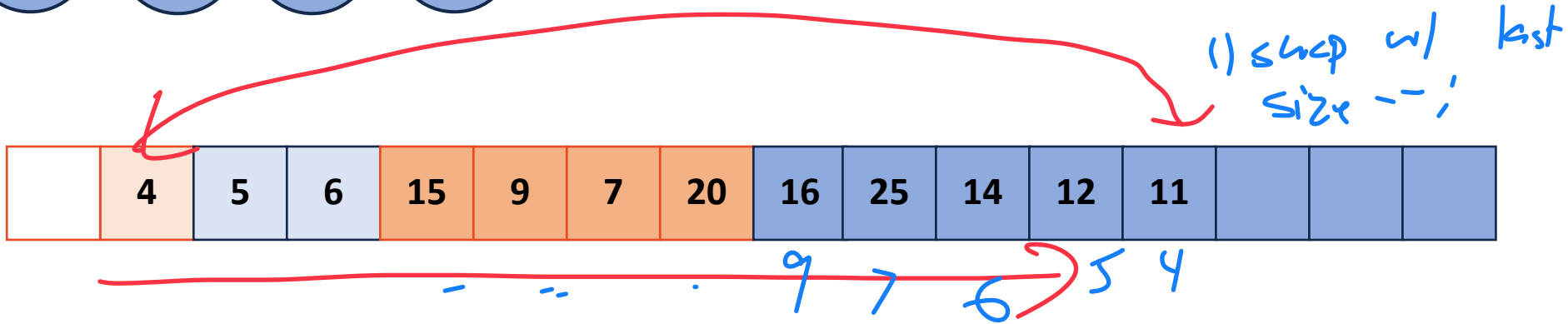
Cost of access!

Not suitable for random access or find

# Heap Sort



1. Construction  $\rightarrow O(n)$
2. Call `removeMin()`  $n$  times  
 $n = O(\log n)$
3. Reverse list is our sorted list



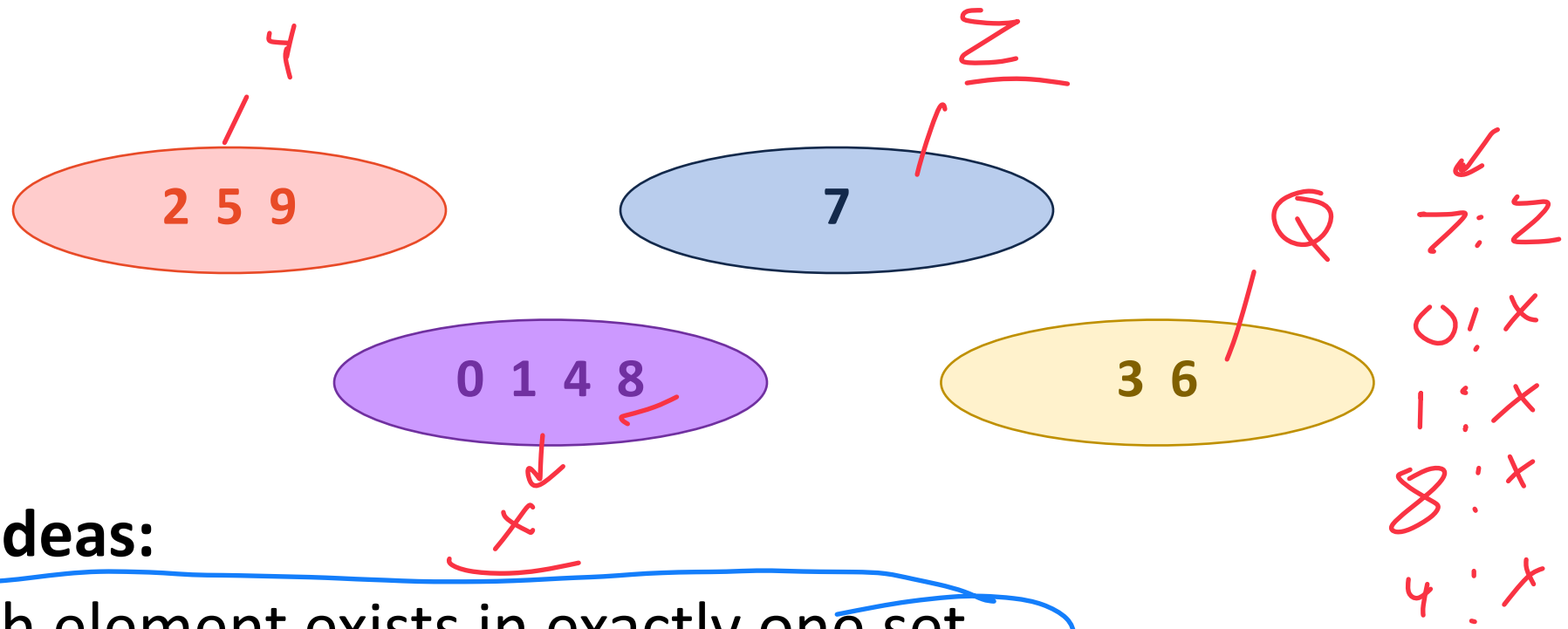
Running time?  $O(n \log n)$



# Disjoint Sets

Sort of a dictionary

keys = my #s  
Value = their SP +



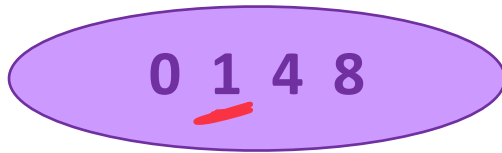
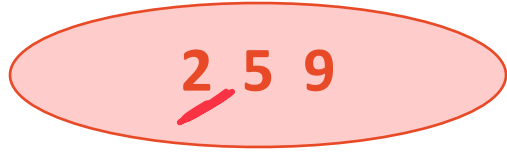
## Key Ideas:

- Each element exists in exactly one set.
- Every item in each set has the same representation
  - In other words:  $\text{find}(4) == \text{find}(8) == \text{find}(0) \dots$
- Each set has a different representation
  - In other words:  $\text{find}(7) \neq \text{find}(4)$

# Disjoint Sets

pick a representative in each set  
Don't care how

Each set is represented by a **canonical element** (internally defined)



telling me  
what set I  
am in

0:1 4:1  
1:1 8:1

**Operation:**

`find(4) == find(8)`

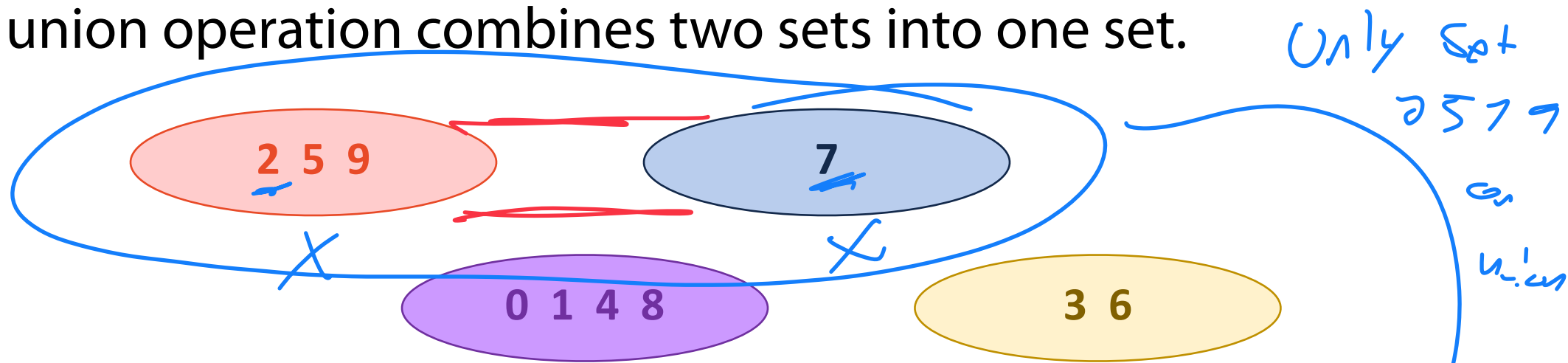
1 == 1 ✓

`find(7) == find(6)`

7 X 6

# Disjoint Sets

The union operation combines two sets into one set.



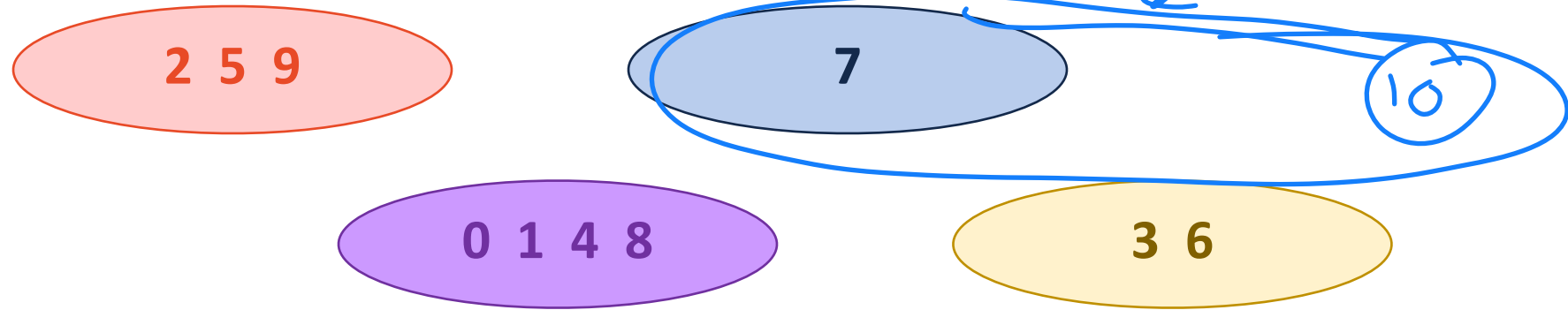
**Operation:**

```
if find(2) != find(7) {  
    union( find(2), find(7) );  
}
```



# Disjoint Sets

We add new items to our 'universe' by making new sets. *add 10 to 7*



**Operation:**

makeSet (10) ;

*union (>, 10)*

# Disjoint Sets ADT



Constructor

↘ minimal functions  
we expect

makeSet

insert into Set S  
-----  
makeSet ( )  
union ( )

Find

≡

Union



# Disjoint Sets

How might we implement a disjoint set?

Dictionary[key] = Set  
representation

(148) / (7)

B Tree (or any tree)  
↳ Dictionaries!

Tree (BST)

RB tree

Vector!

We use small #s  
here for example

↳ Make a other dictionary

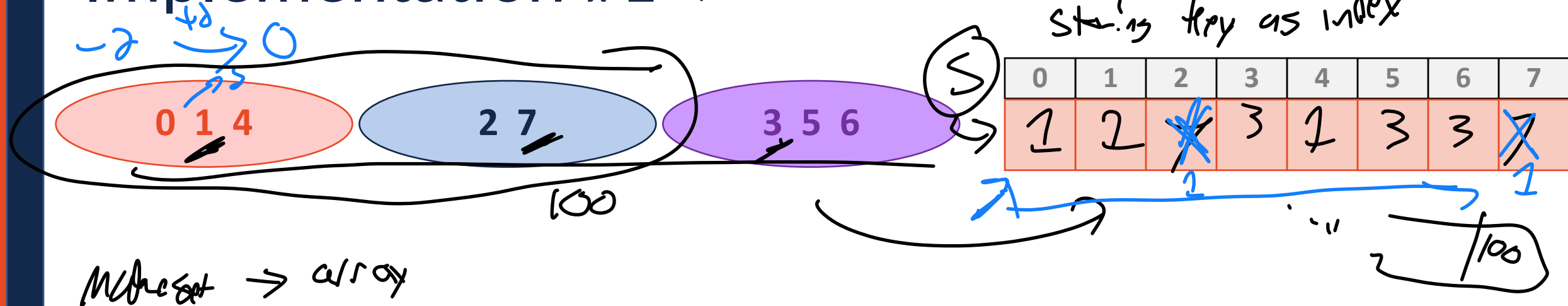
"My Book" : 0

→ 9

"Your Book" : 1

# Implementation #1

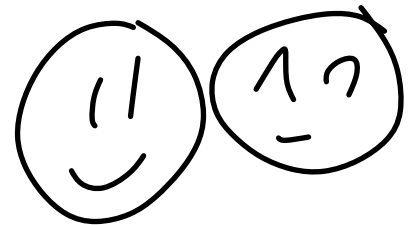
Allocate memory for key, max  
 storing key as index



Multiple set  $\rightarrow$  array

Find(k):  $S[k] \in$  that is my set

$O(1)$



How to mem:

- 1) Allocate array of length = largest key  
 $\hookrightarrow$  (7) so array = size 7
- 2) Each item stored at index  
 $\hookrightarrow$  what set 4 is in  $S[4]$

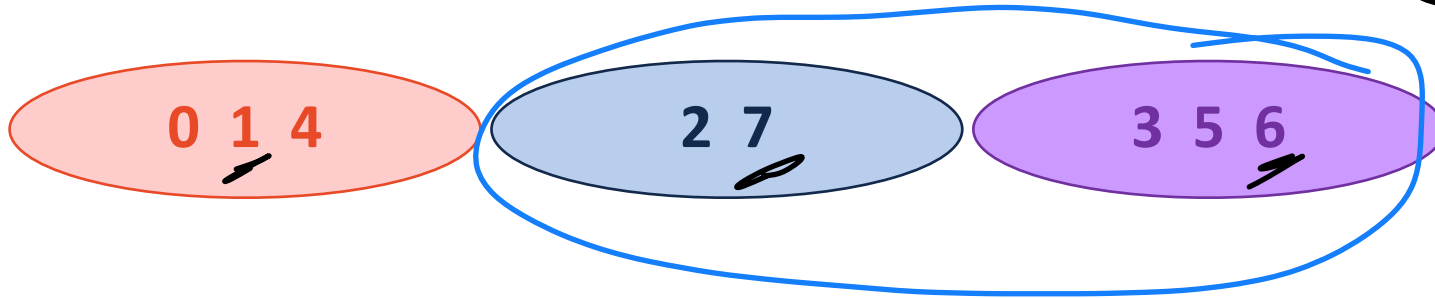
Union( $k_1, k_2$ ):

Replace one sets  
 Canonical (CP w/ other

$\hookrightarrow O(n)$

# Implementation #2

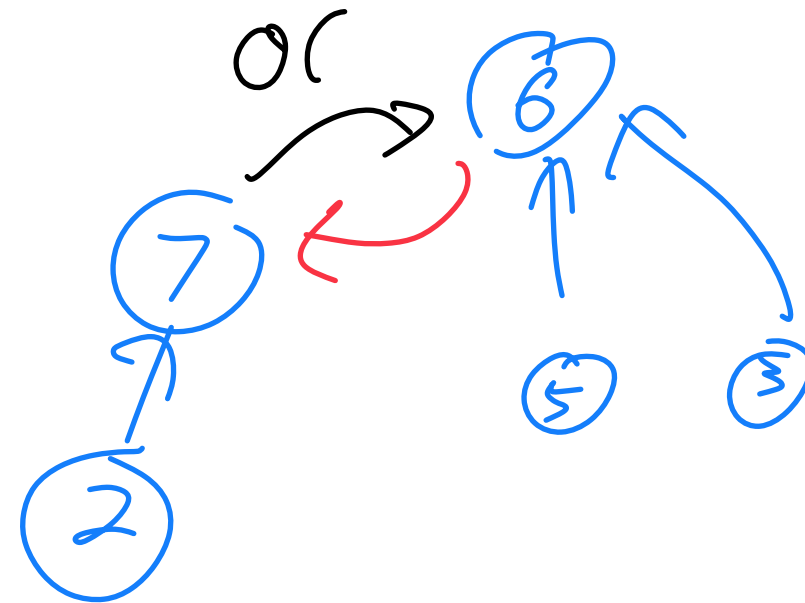
→ Some 'deg but skip canonical element  
 as  $\ominus$



0	1	2	3	4	5	6	7
1	-1	7	6	1	6	-1	-1

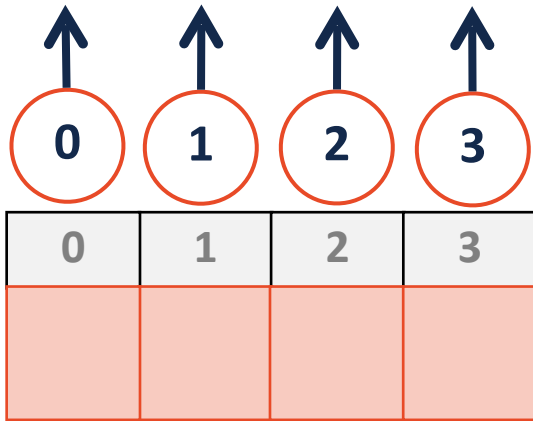
either  $\begin{matrix} 7 & -1 \\ -1 & 6 \end{matrix}$

**Find(k):**



<sup>6, 7</sup>  
**Union(k<sub>1</sub>, k<sub>2</sub>):**

# UpTrees

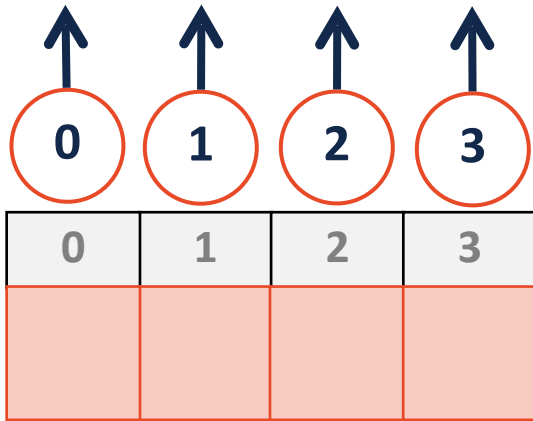


0	1	2	3

0	1	2	3

0	1	2	3

# UpTrees



0	1	2	3

0	1	2	3

0	1	2	3

# Disjoint Sets Representation

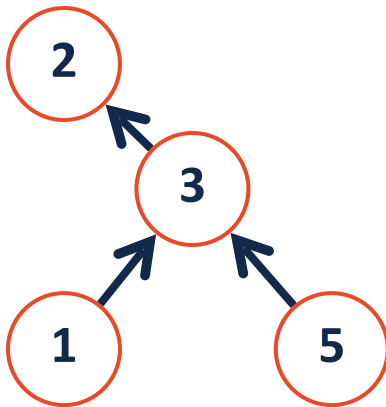


We can represent a disjoint set as an array where the key is the index

The values inside the array stores our sets as a pseudo-tree (UpTree)

The value **-1** is our representative element (the root)

All other set members store the index to a parent of the UpTree





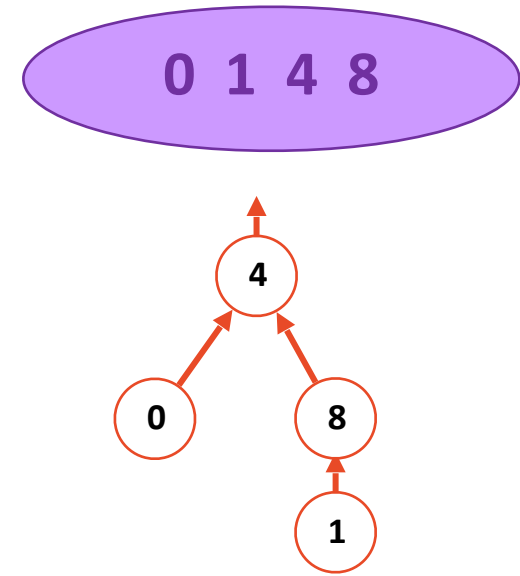
# Disjoint Sets Find

Find(1)

```
1 int DisjointSets::find(int i) {  
2   if ( s[i] < 0 ) { return i; }  
3   else { return find( s[i] ); }  
4 }
```

Running time?

What is ideal UpTree?



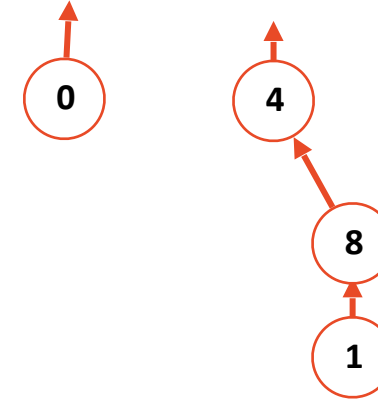
0	1	2	3	4	5	6	7	8	9
4	8			-1				4	



# Disjoint Sets Union

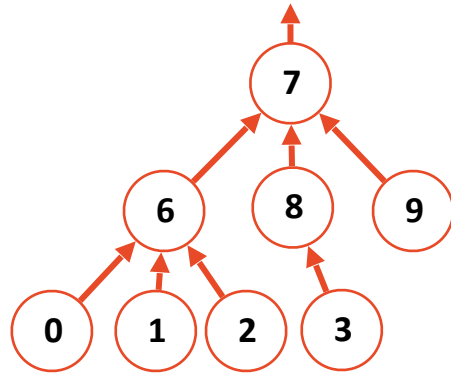
Union (0, 4)

```
1 int DisjointSets::union(int r1, int r2) {  
2  
3  
4  
5 }
```



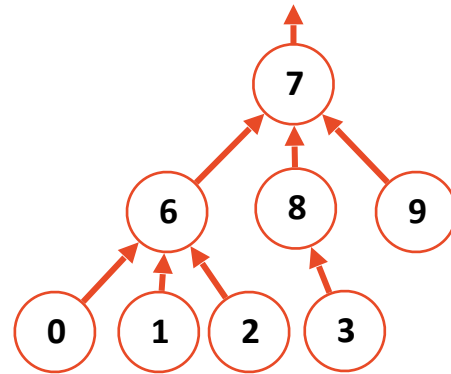
0	1	2	3	4	5	6	7	8	9
-1	8			-1				4	

# Disjoint Sets – Union



0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8	-1	10	7	-1	7	7	4	5

# Disjoint Sets – Smart Union

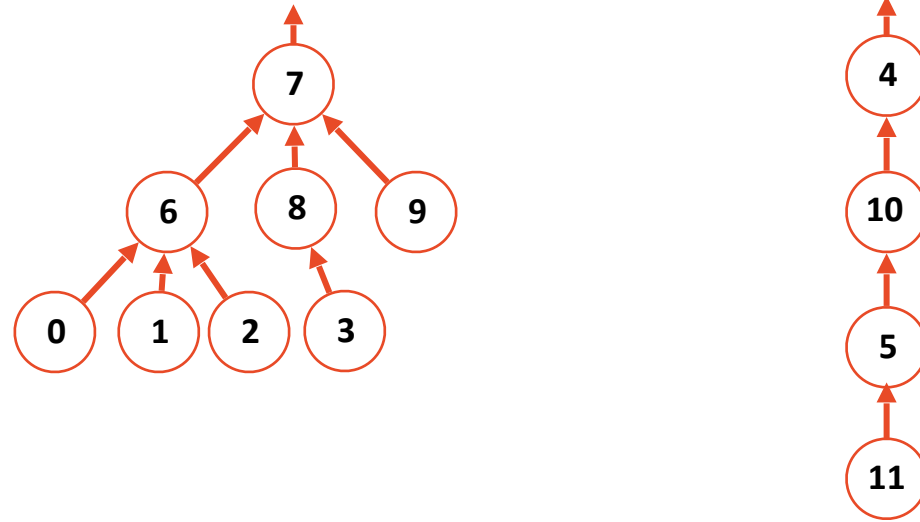


**Union by height**

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8		10	7		7	7	4	5

*Idea: Keep the height of the tree as small as possible.*

# Disjoint Sets – Smart Union

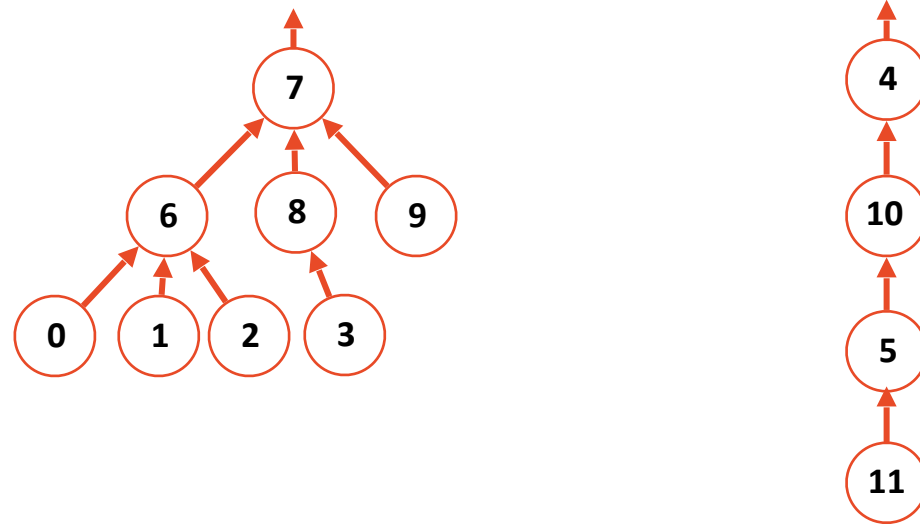


**Union by size**

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8		10	7		7	7	4	5

*Idea: Minimize the number of nodes that increase in height*

# Disjoint Sets – Smart Union



**Union by height**

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8		10	7		7	7	4	5

*Idea: Keep the height of the tree as small as possible.*

**Union by size**

0	1	2	3	4	5	6	7	8	9	10	11
6	6	6	8		10	7		7	7	4	5

*Idea: Minimize the number of nodes that increase in height*

Both guarantee the height of the tree is: \_\_\_\_\_.