# String Algorithms and Data Structures The Z-algorithm <br> CS 199-225 <br> September 19, 2022 <br> Brad Solomon 



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## Exact Pattern Matching w/ Z-algorithm



Find instances of $P$ in $T$
'instances': An exact, full length copy

The Z-value $\left[Z_{i}(S)\right]$
Given a string $S, Z_{i}(S)$ is the length of the longest substring in $S$, starting at position $i>0$, that matches a prefix of $S$.

$$
\begin{array}{ll}
0123456789 & \\
\text { S: ABCDABCAB } & \\
& \\
\text { S: C GCGA ? ? ? ? ? } & \\
& Z_{5}(S)=3 \\
\text { S: A ? ? ? ? ? ? ? ? ? } & Z_{1}(S)=7
\end{array}
$$

The Z-Algorithm

```
S:101$101011
    01$101011
    1$101011
    $101011
    101011
    01011
    1011
    011
    1
    1
```

The Z-Algorithm

$$
\begin{aligned}
& Z_{1}=3 \\
& Z_{2}=
\end{aligned}
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | B | B | B | B |
| A | A | A | A | B | B | B | B |

We track our current knowledge of $S$ using three values: $i, r, l$
$i$ gets updated every iteration (as we compute $Z_{i}$ )
$r$ gets updated when $Z_{i}>0$ AND $r_{\text {new }}>r_{\text {old }}$
$l$ gets updated whenever $r$ is updated (it stores the index of $r^{\prime} s \mathrm{Z}$-value)

The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |  |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |

The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |

The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |
| 1 | 0 | 1 | $\$$ | 1 | 0 | 1 | 0 | 1 | 1 |

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 7 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 1: $i>r$
$\mathrm{Ex}: i=1, r=0, l=0$
We must compute $Z_{i}$ explicitly!

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 |  | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 1: $i>r$
$\mathrm{Ex}: i=5, r=2, l=1$
We must compute $Z_{i}$ explicitly!

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | B | A | A | A |
| A | A | A | B | B | A | A | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
$\mathrm{Ex}: i=6, r=7, l=5$
To find $Z_{6}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | B | A | B | C | A |
| A | B | C | B | A | B | C | A |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
$\mathrm{Ex}: i=5, r=6, l=4$
To find $Z_{6}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | B | A | A | A | B | C |
| A | A | B | A | A | A | B | C |

The values of $i, r, l$ tell us how much work we need to do to compute $Z_{i}$
Case 2: $i \leq r$
Ex: $i=4, r=4, l=3$
To find $Z_{4}$, we can save time by looking up the value $\qquad$

## The Z-Algorithm

Let $l=0, r=0$, for $i=[1, \ldots,|S|-1]$ :
Compute $Z_{i}$ using irl:
Case $1(i>r)$ : Compute explicitly; update irl
Case $2(i \leq r)$ :
Use previous Z-values to avoid work
Explicitly compute only 'new' characters
How can we tell the difference between cases?

The Z-Algorithm

$$
i=6, r=7, l=5
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{C}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{B}$ |
| A | A | A | A | C | A | A | A | B |
| A | A | A | A | C | A | A | A | B |

The amount of work required depends on two pieces of information

1. \# of characters at or after $i$ that we have seen before
2. The $\mathbf{Z}$-value that matches part or all of the string starting at $i$

The Z-Algorithm

$$
i=6, r=7, l=5
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{C}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{B}$ |
| A | A | A | A | C | A | A | A | B |
| A | A | A | A | C | A | A | A | B |

The amount of work required depends on two pieces of information

1. \# of characters at or after $i$ that we have seen before

Call this value $|\beta|$. What is $|\beta|$ in terms of $i, r, l$ ?

The Z-Algorithm

$$
i=6, r=7, l=5
$$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{C}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{A}$ | $\mathbf{B}$ |
| A | A | A | A | C | A | A | A | B |
| A | A | A | A | C | A | A | A | B |

The amount of work required depends on two pieces of information
2. The $\mathbf{Z}$-value that matches part or all of the string starting at $i$

Call this value $Z_{k}$. What is $k$ in terms of $i, r, l$ ?

The Z-Algorithm $i=6, r=7, l=5$

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | A | A | A | A | C | A | A | A | B |
|  | A | A | A | A | C | A | A | A | B |
| $Z_{k}=Z_{1}=3$ | A | A | A | A | C | A | A | A | B |

The amount of work required depends on two pieces of information

1. \# of characters at or after $i$ that we have seen before

$$
|\beta|=7-6+1=2
$$

2. The Z-value that matches part or all of the string starting at $i$

$$
k=6-5=1
$$

The Z-Algorithm $i=5, r=7, l=4$

| 0 | 1 | 2 | 3 | 4 | 5 |  | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | B | A | A | A | B |
| A | A | A | B | A | A | A | B |

Case 2a: $i \leq r, Z_{k}<|\beta|$
$|\beta|=\ldots, k=, Z_{k}=$
$Z_{i}=$

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<\beta$
$Z_{l}$ (defined by $r, l$ ) tells us that $\beta$ matches earlier.

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.

The Z-Algorithm $i=5, r=7, l=4$


Case 2a: $i \leq r, Z_{k}<|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
Because $Z_{k}<|\beta|, Z_{i}=$

The Z-Algorithm $i=4, r=4, l=3$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | B | A | A | A | B | C |
| A | A | B | A | A | A | B | C |

Case 2b: $i \leq r, Z_{k}=|\beta|$
$|\beta|=\ldots, k=\ldots, Z_{k}=$
$Z_{i}=$

The Z-Algorithm $i=4, r=4, l=3$


Case 2b: $i \leq r, Z_{k}=|\beta|$
$Z_{l}$ (defined by $r, l$ ) tells us that $\beta$ matches earlier.

## The Z-Algorithm

 $i=4, r=4, l=3$

Case 2b: $i \leq r, Z_{k}=|\beta|$
$Z_{l}$ (defined by $r, l$ ) tells us that $\beta$ matches earlier.
$Z_{k}$ tells us how much matches the prefix... but not everything!

The Z-Algorithm $i=4, r=4, l=3$


Case 2b: $i \leq r, Z_{k}=|\beta|$

We have all the same info as before but we have unseen characters!

Because $Z_{k}=|\beta|, Z_{i}=$

The Z-Algorithm $i=3, r=5, l=1$

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | A | A | A | A | A | B | C |
| A | A | A | A | A | A | B | C |

Case 2c: $i \leq r, Z_{k}>|\beta|$
$|\beta|=\ldots, k=, Z_{k}=$
$Z_{i}=$

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{k}$ tells us how much matches the prefix.

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
What do we know about yellow?

## The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that our entire range ( $\beta$ included) matches earlier
... and that it failed to match the next character.

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ matches earlier. $Z_{k}$ tells us how much matches the prefix.
$Z_{l}$ also tells us that yellow and green can't be equal!

The Z-Algorithm

$$
i=3, r=5, l=1
$$



Case 2c: $i \leq r, Z_{k}>|\beta|$
$Z_{l}$ tells us that $\beta$ is our prefix. $Z_{k}$ is also a previously computed prefix.
Because $Z_{k}>|\beta|, Z_{i}=$

## The Z-Algorithm

Let $l=0, r=0$, for $i=[1, \ldots,|S|-1]$ :
Compute $Z_{i}$ using irl:
Case $1(i>r)$ : Compute explicitly; update irl
Case $2(i \leq r)$ :

$$
\begin{aligned}
& \text { 2a: }\left(Z_{k}<|\beta|\right): Z_{i}=Z_{k} \\
& \text { 2b: }\left(Z_{k}=|\beta|\right): Z_{i}=Z_{k}+\operatorname{explicit(r+1);~\text {update}irl} \\
& \text { 2c: }\left(Z_{k}>|\beta|\right): Z_{i}=|\beta|
\end{aligned}
$$

## Assignment 3: a_zalg

## Learning Objective:

Construct the full Z-algorithm and measure its efficiency

Demonstrate use of Z-algorithm in pattern matching

## Consider: Our goal is $\theta(|P|+|T|)$. Does Z-alg search match this?

## Next week:

If I gave you the pattern I was interested in ahead of time, what could you pre-compute to speed up search?

Ex: I'm going to try to look up the word 'arrays' - but you don't know what text l'm going to search through.

