## **ECE 190**

# Introduction to Computing Systems

### Lab Manual

University of Illinois at Urbana-Champaign

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#### 1 LC-3 Convert

The lc3convert program converts machine code to an executable that can be run in the simulator.

*Note:* The first line in the program contains the address of the first instruction. For example, if the first line of the program is  $0011 \ 0000 \ 0000$ , the first instruction will be located at  $\times 3000$  when running the simulator.

Command	Description
lc3convert yourfile.bin	lc3convert will create yourfile.obj, which
	can then be used in the simulator.
lc3convert -b2 yourfile.bin	Signifies that "yourfile.bin" has ma-
	chine code in binary (base 2). Same as
	"lc3convert yourfile.bin".
lc3convert -b16 yourfile.bin	Signifies that "yourfile.bin" has machine code
	in hex (base 16).

Errors	Description	
line contains only x digits	Indicates that only <i>x</i> digits of a 16-bit LC-3 instruc-	
	tion was specified.	
line contains more than 16 digits	Indicates that the line has too many digits.	
contains unrecognizable characters	Indicates that invalid characters were used.	
constant outside of allowed range	Immediate values such as imm5, PCoffset11,	
	PCoffset9, offset6, and trapvect8 have a	
	valid range of $[-2^{num\_bits-1}, 2^{num\_bits-1} - 1]$ .	
	(This error only applies if the file is in hex.)	

#### 2 LC-3 Assembler

The LC-3 Assembler (1c3as) assembles the file so it can be run in the simulator.

Command	Description
lc3as yourfile.asm	Creates yourfile.obj, which is the object file that can be
	loaded into the simulator and yourfile.sym, which is the sym-
	bol table.

Errors	Description
file contains only comments	Indicates that there are no assembly instructions in the file,
	only comments.
no .ORIG or .END directive found	All programs must begin with .ORIG followed by the start-
	ing address of the program, and must end with . END.
no .ORIG directive found	All programs must begin with .ORIG followed by the start-
	ing address of the program.
multiple .ORIG directives found	Only one .ORIG command is allowed per file.
instruction appears before .ORIG	Instructions must start after the .ORIG directive.
no .END directive found	All programs must end with .END.
label appears before .ORIG	All code must be after the .ORIG directive.
label x has already appeared	Indicates that a second label with the same name has been
	found.
unknown label x	Indicates that label <i>x</i> is referenced, but does not exist.
label has already appeared	Each label in a program must only be used once. However,
	it can be referenced multiple times.
illegal operands for x	Indicates that the instruction has invalid operands.
unterminated string	Indicates that a string specified by the .STRINGZ directive
	was not closed with quotation marks.
contains unrecognizable charac-	Indicates the line has characters that the assembler cannot
ters	parse.
WARNING: All text after .END ig-	Any instructions after the . END directive are not processed
nored	by the assembler.
constant outside of allowed range	All constants are limited by their bitwidth. The valid range is $[-2^{num\_bits-1}, 2^{num\_bits-1} - 1]$ .

Note: the assembler will tell the total number of errors found in each pass and the line on which these errors occur. However, the assembler only checks for syntax errors, not whether the code is actually doing what it is supposed to.

#### 3 LC-3 Simulator

The Linux/UNIX version of the simulator is used in this class and will be the version that all the programs are tested against. It is STRONGLY recommended that you use this version rather than the Windows version.

Basic Commands		
Command	Description	
lc3sim yourfile.obj	Load your program into the command-line simulator.	
	You can also type lc3sim and use the command	
	"file yourfile.obj" to load the program.	
lc3sim-tk yourfile.obj	Load your program into the graphical simulator. You	
	can also type lc3sim-tk and load a file by clicking the	
	"Browse" button at the bottom of the screen.	
reset	Reset the LC-3 and reload the last file.	
quit	Quit the simulator.	
help	Print the help message.	

Running the program		
Command	Description	
continue	Continues (or starts) execution of the program.	
break	Sets a breakpoint. In the graphical version, you can double-click on a	
	memory location to set a breakpoint. The line will turn red when a break-	
	point is set.	
finish	Execute until the end of the current subroutine.	
next	Execute next instruction. If the next instruction is a subroutine (JSR) or	
	TRAP, it will execute the entire subroutine or TRAP.	
step	Execute one instruction. If the next instruction is a subroutine (JSR) or	
	TRAP, it will <i>step into</i> the subroutine or TRAP.	

Examining Memory and Registers <sup>1</sup>		
Command	Description	
list	List instructions at the PC, an address, or label.	
dump	Dump memory at the PC, and address, or a label.	
translate <addr></addr>	Show the value of a label and print the contents.	
printregs	Print registers and current instruction.	
memory <addr> <val></val></addr>	Set the value stored at a memory location.	
register <reg> <val></val></reg>	Set a register to a value.	
execute <file name=""></file>	Execute a script file.	

<sup>&</sup>lt;sup>1</sup>The graphical version displays memory and registers, so these commands are specific to the command-line version. *After editing a register or memory value in the graphical version, press the Enter key to apply the change.* 

#### 4 GNU C Compiler - gcc

Programs written in C for the class will be compiled using the GNU C compiler (gcc). gcc is available on all the Linux EWS machines. Although you may work on other machines to write your code, all C programs will be compiled using gcc for grading, so it is your responsibility to make sure it works on the Linux EWS machines.

gcc has many flags and command-line options, so only those used by the class are described here. For more information about gcc, see <a href="http://gcc.gnu.org">http://gcc.gnu.org</a> or type "man gcc" on a Linux machine. For each MP, we will provide the correct command to compile your program with gcc.

The typical command to compile C programs for this class is gcc -Wall -ansi -g -o mp\_num mp\_num.c

Flag	Description
-Wall	Turns on all warnings when compiling.
-ansi	Makes gcc use the ISO C89 standard.
-g	Creates debugging symbols so you can run your program in a debugger.
-0	Specifies the name of the compiled program. In the example above, the pro-
	gram would be named "mp_num".

After all the flags comes the source file(s). In the example above, the source file is mp\_num.c.

#### 5 Debugging with GDB and DDD

The GNU Project Debugger, gdb, is a command-line program that allows you to debug the C programs that you will write. The DataDisplayDebugger, ddd, is a graphical front-end for gdb, so all the gdb commands work for it as well. It will be useful to know how to use a debugger since some of the C programming assignments at the end of the semester are more challenging. Even if you do not need a debugger for this class, you will need one at some point in the future, so now is a good time to learn. More documentation on gdb is available at <a href="http://www.gnu.org/software/gdb/">http://www.gnu.org/software/gdb/</a>, and more information on ddd can be found at <a href="http://www.gnu.org/software/ddd/">http://www.gnu.org/software/ddd/</a>.

Compiling: When compiling with gcc, make sure to use the "-g" flag, which will compile with debugging symbols for use with gdb.

Basic Commands	
<b>Command Description</b>	
gdb my_program_name	Start GDB.
ddd my_program_name	Start DDD.
quit	Quit GDB or DDD.

Program Control Flow	
Command	Description
run	Start the debugged program. If the program takes command-line argu-
	ments, you may specify them as well. For example, if you normally start
	the program with the command "my_program argument1", you would
	use the command "run argument1".
finish	Finish executing until the selected stack frame returns.
continue	Continue to run the program until the next breakpoint. Use the continue
	command after you have hit a breakpoint and want to continue running
	the program.
step	Step through a line of source in the program. step will step into function
	(subroutine) calls.
next	Step through the program, but <i>step over</i> the function (subroutine) calls
	(the function calls will still execute).

Displaying Information		
Command	Description	
list	List the source code of the program with line numbers. You can also	
	specify a line number to display the source code around that line (e.g.,	
	list 100.	
print	Print out an expression (variables, etc.).	
info break	Print out breakpoint information.	
info locals	Print out local variables.	
info function	Print out all function names.	
info variables	Print out all global and static variables.	

Breakpoints		
Command	Description	
break <line #="" function="" name="" or=""></line>	Set a breakpoint at the specified line (e.g.,	
	break 100) or at the specified function (e.g.,	
	break foo).	
cond <bre>cond <bre>cond <bre>cond <bre>cond <bre>cond <bre>cond spread cond<b< td=""><td>Set a conditional breakpoint that will only</td></b<></bre></bre></bre></bre></bre></bre>	Set a conditional breakpoint that will only	
	break when the C expression evaluates to true	
	(e.g., cond 1 if $(x > 0)$ will make break-	
	point 1 only break if the variable x is greater	
	than 0).	
delete <breakpoint #=""></breakpoint>	Delete the breakpoint.	

Stack Information		
Command	Description	
where or bt	Print a backtrace of all the stack frames.	
up	Move up to the stack that called this one.	
down	Move down to the stack called by this one.	

#### 6 Vim and gVim

Vim is different than most editors because it has several modes, such as normal mode (command mode), visual mode, and insert mode. Typing "vimtutor" in an xterm window will start a tutorial that teaches the basics of Vim. For more detailed information on Vim see <a href="http://www.vim.org">http://www.vim.org</a>.

Basic Commands		
Command	Description	
vim or gvim	Start Vim or gVim.	
vim my_file	Open a file in Vim.	
i	Switch to insertion mode. Vim starts in command mode, which does not	
	allow you to edit text. Switching to insertion mode allows you to edit text.	

Command Mode Operations	
Command	Description
escape	Switches to command mode. Being in command mode allows you to per-
	form the operations listed below.
:w	Save (write) the file you are currently editing.
:q	Quit Vim.
:q!	Quit Vim without saving changes.
:line	Jump to line number <i>line</i> (e.g., ": 30" will jump to line 30.)
:u	Undo.
CTRL-R	Redo.
У	Copy (yank) highlighted text.
d	Cut highlighted text.
р	Paste text.

**Visual mode:** Another mode that lets you select text for copying and pasting. To switch to visual mode press CTRL-V. You can then use the arrow keys to select text. After selecting text, press escape to switch back to command mode.

#### 7 Emacs and XEmacs

Unlike Vim, Emacs does not have modes. Emacs commands are typically written in the form "C-x C-s", which means hold CTRL and x, then press CTRL and s. Some commands are written such as "M-x", where M stands for the meta key, which corresponds to ALT or escape on most machines. For more detailed information on Emacs visit http://www.gnu.org/software/emacs/.

Basic Commands		
Command	Description	
emacs or xemacs	Start Emacs or XEmacs.	
emacs my_new_file	Create a new file.	
C-h t	Start the Emacs tutorial.	
C-x C-s	Save the file.	
C-x C-c	Exit Emacs.	
M-x goto-line	Go to a specific line number.	
M-w	Copy highlighted text.	
C-w	Cut highlighted text.	
C	Undo (hold CTRL, shift, and the dash).	
С-у	Paste text.	

#### ECE190 Tutorial One

Introduction to Linux and LC-3 Tools

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#### Logging In and Account Access

- You can log in **locally** using any of the EWS machines located in Everitt, Engineering Hall, DCL, or Grainger.
- Any of these machines can also be accessed remotely.
- Once you login, enter the command ece190 to access your ece190 directory located under: '/work2/ece190/<net id>'
- From this directory you will have access to all the LC-3 commands and the handin command to submit your completed Machine Problems.
- Note: Grading is done on EWS Linux machines—make sure your code works on one of these machines before turning it in.

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#### **Basic Command Reference**

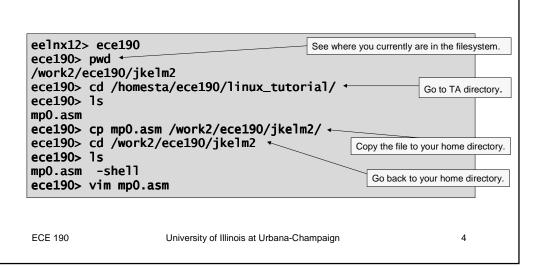
Command man <command/>	Description Prints the manual page help information (usage:'man ssh')
ls, ls -al	List contents of directory
cd <directory></directory>	Change the current directory—with no options, takes you to your home directory
rm <filename></filename>	Remove a file (Caution!)
pwd	Print the current directory
cp <src file=""> <dest file=""></dest></src>	Copy a file from one location to another
mv <src file=""> <dest file=""></dest></src>	Move a file from one location to another (Caution!)

### A Sample LC-3 ASM File

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Run the command ece190 and follow the steps below.

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#### **Text Editor Choices**

- Editors:
  - Vim (or gVim)
    - . Check out <a href="http://www.vim.org">http://www.vim.org</a> for more details.
  - Emacs (or XEmacs)
    - Go to <a href="http://www.gnu.org/software/emacs/">http://www.gnu.org/software/emacs/</a> to learn about this option.
- Make sure you know how to open, edit, close, save files—this may sound trivial, but both have large learning curves due to their immense power and utility.

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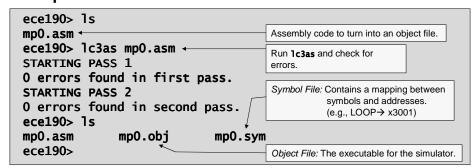
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#### LC-3 Assembler

**Purpose**: Convert human-readable LC-3 assembly code into machine-readable *object* (.obj) file.

#### **Example:**



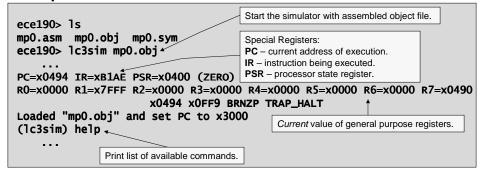
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#### LC-3 Simulator

**Purpose**: To step through the output of an LC-3 program cycle by cycle.

#### **Example:**



Note: There is a GUI version (1c3sim-tk) that can also be used.

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#### LC-3 Command Reference

- break (clear | list | set <label | address> ) Sets a breakpoint where execution will stop.
- **step/next** move from one instruction to the next (i.e., state transition/clock tick)
- continue/finish Run the code up until the next breakpoint (or run it until complete).
- list <label | address > Print the code/data around the address or label given as an argument.
- dump <label | address> Display values in memory near location taken as argument.
- memory/register Allows the user to set value in memory or register, respectively.
- reset/quit Restart the simulation at .ORIG address or leave the simulation, respectively.

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### Submitting Your Finished Work

- 1) Log in to an EWS machine.
- 2) Run the ece190 command and make sure the file you are submitting is located in the current directory
- 3) Submit your MP by running handin.

#### **Example:**

```
mycomputer$ ssh -ljkelm2 eelnx12.ews.uiuc.edu
  please enter your password: *****
                                                Make sure you are in your ece190 account.
  eelnx12> ece190 <
  ece190> ls ∢
                                                Check to make sure file exists
  mp0.asm
  ece190> handin --MP 0 mp0.asm +
                                                Turn in the file (can be done multiple
  The file "mp0.asm" has been copied.
                                                times before deadline).
  ece190> exit
                         Check handin output to make sure file was copied.
  eelnx12> exit
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                                                                                  9
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```

#### Advice

- . Backup often
  - Example: Create backup and disable writing

```
cp mp1.bin mp1_backup0.bin
chmod a-w mp1_backup0.bin
```

- Learn to use command line tools
  - Example:
    - · Vim instead of gVim.
    - Commands: cp, rm, mv, etc. instead of a GUI FS manager.

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### Supplemental: Remote Login

- EWS only allows secure logins—i.e., ssh, putty, sftp
- CITES has information on obtaining and installing SSH clients: <a href="http://www.cites.uiuc.edu/security/ssh/index.html">http://www.cites.uiuc.edu/security/ssh/index.html</a>
- There is a listing of EWS machines at <a href="http://www.ews.uiuc.edu/labs/">http://www.ews.uiuc.edu/labs/</a>.

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#### **ECE190 Tutorial Two**

Introduction to C Programming

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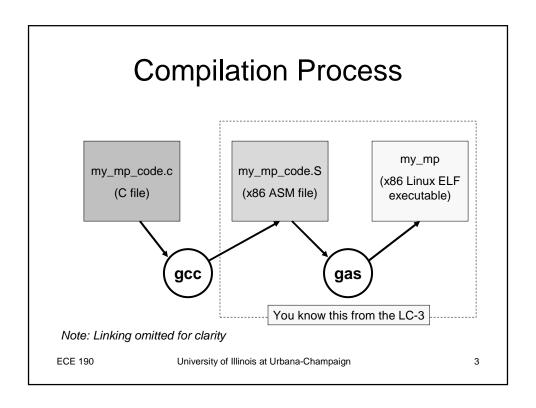
### Vim/Emacs Setup

- Use syntax highlighting and automatic indentation to make bug finding easier.
- We created setup files for Emacs and Vim.

```
cp /homesta/ece190/for_students/.vimrc ~/.vimrc
...or...
cp /homesta/ece190/for_students/.vimrc ~/.gvimrc
...or...
cp /homesta/ece190/for_students/.emacs ~/.emacs
```

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### Creating a C Program

• Go to your favorite text editor (which of course is Vim)

```
#include <stdio.h>
This is what gives us printf()
int main() {
    printf("I <3 ECE190.\n");
    return 0;
}</pre>
```

• Save the file as: c\_tut.c

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#### Compiling First C Program

 In the same directory as where you just saved the file, compile it.

#### ece190> gcc -ansi -Wall -g -oc\_tut c\_tut.c

- gcc The GNU compiler (Important: GNU is Not Unix!)
- Wall -ansi Turn on all warnings (More on this soon)
- o<output\_file> Where the executable will go
- g Include a symbol table with the executable (For debugging)
- Run the program:

```
ece190> ./c_tut
I <3 ECE190!
ece190>
```

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#### Warnings and Errors

- The -Wall -ansi command line arguments will force gcc to show **ALL** warnings.
- We require that your program create NO warnings or errors.
- A **warning** is a friendly reminder that although what you are doing functions, it is incorrect.
- An error is a not so friendly reminder that something is incorrect and compilation cannot proceed.

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### Adding User Input

 We now want to allow the user to input a number and then print it back out to the screen.

```
#include <stdio.h>
int main() {
    int input;
    printf("Input: ");
    scanf("%d", &input);
    printf("You entered: %d\n", input);
    return 0;
}

Further Note: input is the value
```

• Recompile!

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#### Conditionals

 What if we only want to print out numbers greater than 5?

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### **Looping Constructs**

What if we want to say we really love the class?

 Note: We used braces ('{' and '}'), but here we did not need to. If the block of code inside the loop (or conditional!) is more than one line, they are required.

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#### Switch Statements

 Used when you need to make a decision that may have many outcomes

```
char input;
printf("select a state ('g', 'y', 'r'): ");
scanf("%c", &input);
switch (input) {
    case 'g':
        printf("Green!\n");
        break;
    /* other cases */
    default:
        printf("Bad input!\n");
        Fall-through case
        break;
}
```

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### **Debugging Tips**

- Errors and warnings will happen, but not in MP's that get turned in for all the credit you deserve
   .
- Use printf() calls to diagnose problems.
- Think about whether you are dealing with addresses (i.e., pointers) or values (i.e., locations in memory)
- Know what \*, \*\*, & are doing to alter the meaning of your variables.
- The GNU debugger, **gdb**, is a vital tool--we will introduce it at a later date.

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#### **ECE190 Tutorial Three**

Debugging with GDB

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### Role of Debugging

- A way to find and correct runtime errors.
- **Pointer** analysis is hard to do statically (i.e., at compile time).
- Semantics and Algorithms: Code looks correct, but produces incorrect results. Check by stepping through execution.
- Debugging can answer one important question: Where is this darn segfault coming from?

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#### Common Error Types

• Dereferencing null pointers

\*ptr = 5
What if we set **ptr** to NULL by mistake?

(e.g., str\_ptr = NULL instead of \*str\_ptr = NULL)

• Buffer overflow

int array[10]; Where is array in memory?
... What if i == -4? Will this always
crash?

Infinite looping

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#### **GDB** Commands

- run arg1 arg2 ... Start the program in motion.
- **step** Go one line of code forward (may be multiple instructions).
- next Like step, but steps over function calls (i.e., you
  do not start stepping through a function call, rather
  you step to the line proceeding the call).
- break label When the application is about to execute this line, stop.
- delete <bp #> Remove a breakpoint.
- continue Restart execution from a breakpoint.
- Ctrl+C Stop gdb. Useful when you are stuck in an infinite loop.

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### GDB Commands (cont.)

- print symbol Print a variable (can use \*ptr to get value of a pointer).
- •list Print C code for where we are in the program.
- •info locals Display the local variables.
- disassemble View x86 assembly language of our program.
- •bt Get a back trace (i.e., view the call stack)
- •frame The current stack frame.
- •kill Terminate the program (send it a SIGKILL—more on this in 391 or an OS class).
- •quit Exit gdb.

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#### **Getting Started**

- Log into your ece190 account.
- Get the file:
  - cp /homesta/ece190/for\_students/gdb\_test.c ~/
- Compile the file (Must have -g flag):
  - gcc -g -ogdb\_test gdb\_test.c
- Try executing gdb\_test and watch it fail :-(.
- Start the application in gdb:

gdb ./gdb\_test

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### Stepping

- Concept: Just like Ic3sim, but a whole line of C code (which could be any number of x86 instructions).
- This is the basic form of movement through programs that you will do in ECE190.
- But the program just executes and either crashes or finishes—how do I 'step'?

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#### **Breakpoints**

 Stop program prior to execution of a specific line of code. How does GDB do it?

#### How do I do it?

basic method for stopping execution immediately and allowing you to step through it from the start is by setting a breakpoint at main(). For this to work, the breakpoint must be set prior to typing run.

GDB is smart and places the equivalent of a TRAP in LC-3 at the point you want to break (gdb for x86 machines use the int3 instruction). When the program being traced (for more information look into the Linux ptrace facilities) by gdb hits that point, the OS is summoned and runs a special routine that hands control off to gdb. You can consider it magic.

(qdb) break main

Breakpoint 1 at 0x80483bb: file gdb\_test.c, line 6.

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### Start Running!

• We can view the breakpoint:

```
(gdb) info breakpoints
```

 We will now let execution begin and go to our first breakpoint:

```
(gdb) run
   ...GDB Information stuff...
char str[10] = "Howdy";
(gdb)
```

We can view the where we are in the code too:

```
(gdb) list
```

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#### Move Around a Little

• Now we can proceed a little bit, step a few times:

```
(gdb) step
11 for (i = 0; i > -10; i++) {
```

Where are we in the stack? A less trivial example:

```
(gdb) kill
(gdb) b bar
(gdb) run
(gdb) bt

#0 bar (d=12472013) at gdb_test.c:35
#1 0x080483fe in foo() at gdb_test.c:31
#2 0x08048378 in main () at gdb_test.c:13

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```

#### **Displaying Values**

 We can stop the program, but what information can we get about that current process' state?

The command 'print' allows us to view the value of a particular variable that is in the current scope of execution. This is the main piece of information you will be interested while debugging in ECE190.

(gdb) print str\_ptr
\$1 = 0xbfffb220 "Howdy"

gdb can do auto-completion by hitting tab after print. You may also want to look deeper into the use of info commands. (Type 'help info' at the (gdb) prompt).

To dump all local variable values try: info locals

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#### How Does Any This Help Us?

- It may not be clear at first, but not all problems can be solved by strategically placing printf() (even with fflush(stdout)!)
- If the application just segfaults, like this one, what can we do?
  - Randomly change things until it works.
  - Scatter printf statements everywhere.
  - Use the debugger, of course!

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### What is a Segmentation Fault?

 Requires understanding paging (note: segmentation is a bit of a misnomer and really a relic from old architectures like x86 that think segmentation is cool)—All of this is way beyond the scope of ECE190.

#### What you need to know:

- You own a certain area of memory (e.g., your application space, your stack space, your global variables)
- You cannot touch other parts of memory (e.g., whatever really is at address 0x0 (NULL pointer—usually nothing is here), or thinking in terms of LC3—A regular user should not be able to alter the trap space that the OS owns).
- If you try to dereference (i.e., get the value at) a pointer to a location you
  do not own, Linux causes your program to die. (Your program becomes
  OXDEADBEEF or rather, a SIGSEGV AKA a segmentation violation).

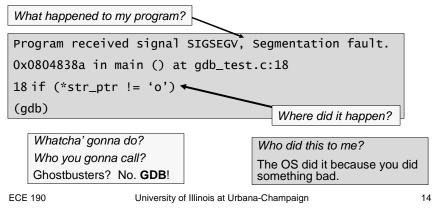
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#### Let Us Find That Segfault

 Load the program back into gdb and start the application with the 'run' command.



### How to be Sure This is Wrong

 Remember, we can view values at runtime? Well we can even see them after the crash:

```
Let us take a look at that pointer we are dereferencing first.

(gdb) print str_ptr

$1 = 0x1 <Address 0x1 out of bounds>

(gdb)

Intuition: What do all of the addresses in our program look like? Does address '0x1' look the same way?
```

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### Determine What We Did Wrong

 Now we may want to look at the code and see why Linux crashed our hapless application.

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This handout describes the first problem known to be undecidable. This material is beyond the scope of the course but is nonetheless reasonably accessible and important, and you should eventually (in future semesters) be able to recognize it readily. This material is not intended to be part of the core material for the class, and you will not be tested on it; it's just for fun and the future.

#### The Halting Problem

Another thing that Alan Turing did in his paper in 1936 was to introduce (and prove) that there are in fact problems that cannot be computed by a universal computing machine, or *Turing machine*, as we've come to call them today (remember also that everything that we call a computer today is equivalent to a Turing machine). The problem that proved indecidable, using proof techniques almost identical to those developed for similar problems in the 1880s, is now known as the halting problem, and is the subject of this document.

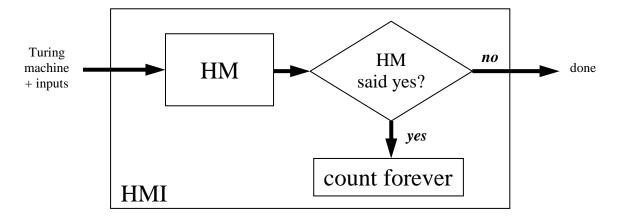
Turing also conjectured that his definition of computable was identical to the "natural" definition. In other words, a problem that cannot be solved by a Turing machine cannot be solved in any systematic manner, with any machine, or by any person. **This thesis remains unproven!** However, neither has anyone been able to disprove the thesis, and it is widely believed to be true. Disproving the thesis requires that one demonstrate a systematic technique (or a machine) capable of solving a problem that cannot be solved by a Turing machine. No one has been able to do so to date.

The halting problem is easy to state and easy to prove undecidable. The problem is this: given a Turing machine and an input to the Turing machine, does the Turing machine finish computing in a finite number of steps (a finite amount of time)? In order to solve the problem, an answer, either yes or no, must be given in a finite amount of time regardless of the machine or input in question. Clearly some machines never finish. For example, we can write a Turing machine that counts upwards starting from one.

To see that no Turing machine can solve the halting problem, we begin by assuming that such a machine exists, and then show that its existence is self-contradictory. We call the machine the "Halting Machine," or HM for short. HM is a machine that operates on another machine and its inputs to produce a yes or no answer in finite time: either the machine in question finishes in finite time (HM returns "yes"), or it does not (HM returns "no"). The figure below shows its operation:



From HM, we construct a second machine that we call the HM Inverter, or HMI. This machine inverts the sense of the answer given by HM. In particular, the inputs are fed directly into a copy of HM, and if HM answers "yes," HMI enters an infinite loop. If HM answers "no," HMI halts. A diagram appears on the next page.



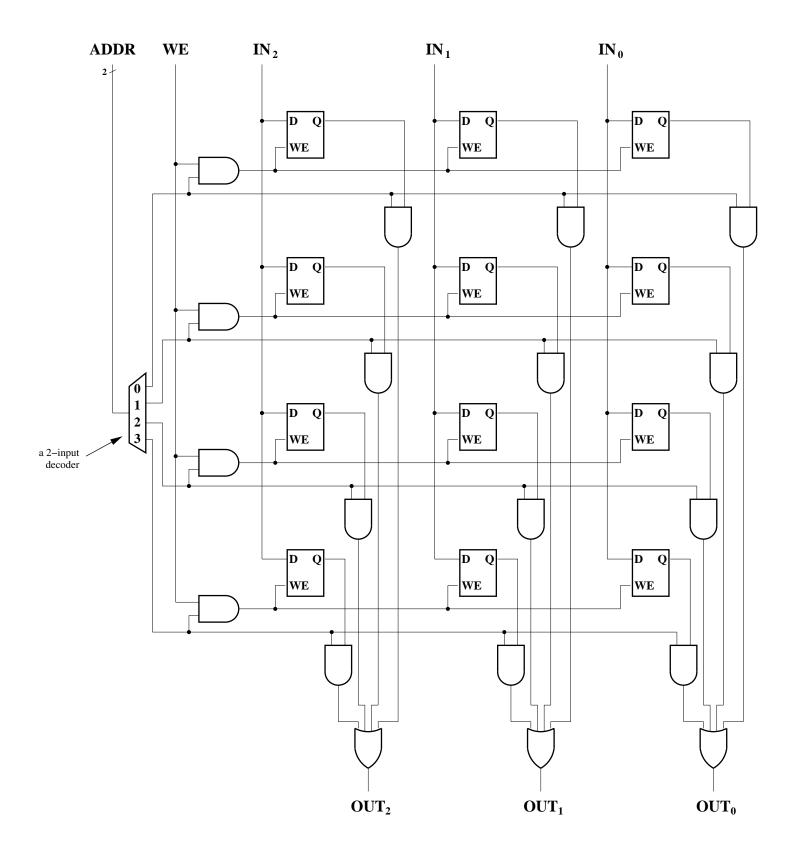
The inconsistency can now be seen by asking HM whether HMI halts when given itself as an input (repeatedly). Two copies of HM are thus being asked the same question. One copy is the one that we are using, and the second is embedded in the HMI machine that we are using as the input to our HM. As the two copies of HM operate on the same input (HMI operating on HMI), they should return the same answer: a Turing machine either halts on an input, or it does not; they are deterministic.

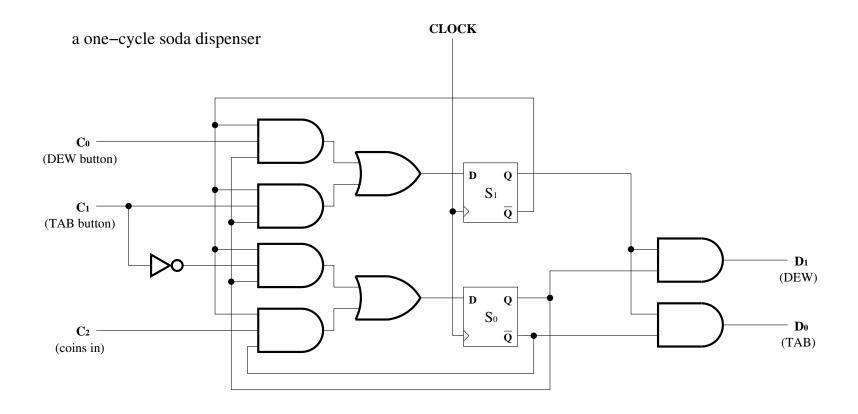
Let's assume that the HM tells us that HMI operating on itself halts. Then the copy of HM in HMI (when HMI executes on itself, with itself as an input) must also say "yes." But this answer implies that HMI doesn't halt (see the figure above), so the answer should have been no!

Alternatively, we can assume that HM says that HMI operating on itself does not halt. Again, the copy of HM in HMI must give the same answer. But in this case HMI halts, again contradicting our assumption.

Since neither answer is consistent, no consistent answer can be given, and the original assumption that HM exists is incorrect. Thus no Turing machine can solve the halting problem.

You may be familiar with a related problem known as the Liar's paradox (which is at least 2,300 years old). In its stengthened form, it is the following sentence: "This sentence is not true."





# counting to ten with PC-relative addressing

0x3000	0010 010 010011111	
0x3001	0001 010 010 1 00001 _	
0x3002	0011 010 010011101 _	
	(something that we want	to do ten times)
0x3010	0001 010 010 1 10110 _	
0x3011	0000 100 111101110 _	
· ·		
	000000000000000000	
		ith indirect addressing
0x3000	1010 011 010011111 _	
0x3001	0001 100 011 1 00001 _	
0x3002	1011 100 010011101 _	
· ·	(something that we want	to do ten times)
0x3010	0001 100 100 1 10110 _	
0x3011	0000 100 111101110 _	
•		
0x30A0	0100000100100011 _	
· ·		
0x4123	000000000000000	

## counting to ten with base+offset addressing

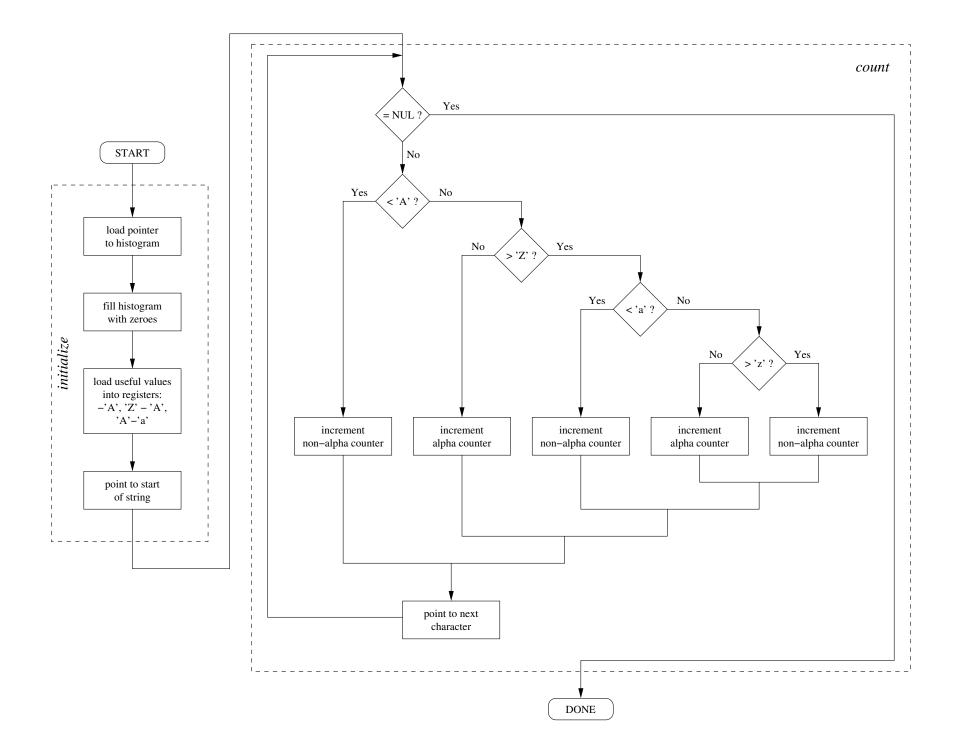
0x3000	TTT0 TT0 0T00TTTTT	
0x3001	0110 001 110 000000	
0x3002	0001 001 001 1 00001	
0x3003	0111 001 110 000000	
· ·	(some more complex task	that we want to do ten times)
0x3018	0001 001 001 1 10110	
0x3011	0000 100 111100111	
0x30A0	000000000000000	

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### readnum.bin

```
; read a decimal number from the keyboard.
; convert it from ASCII to 2's complement, and
; store it in a predefined memory location. If
; any non-numeric character is pressed, or the
; number overflows, store a 0 and print an error
; RO holds the value of the last key pressed
; R1 holds the current value of the number being input
; R2 holds the additive inverse of ASCII '0' (0xFFD0)
; R3 is used as a temporary register
                    ; starting address is x3000
00110000 00000000
0010 010 000010100 ; LD R2,x14
                                      (put the value -x30 in R2)
0101 001 001 1 00000 ; AND R1,R1,#0
                                     (clear the current value)
1111 0000 00100000 ; TRAP x20
                                      (read a character)
1111 0000 00100001 ; TRAP x21
                                      (echo it back to monitor)
0001 011 000 1 10110 ; ADD R3,R0,#-10 (compare with ENTER)
0000 010 000010001 ; BRz x11
                                      (ENTER pressed, so done)
0001 000 000 0 00 010 ; ADD R0,R0,R2
                                      (subtract x30 from R0)
0000 100 000010001 ; BRn x11
                                      (smaller than '0' means error)
0001 011 000 1 10110 ; ADD R3,R0,#-10 (check if > '9')
0000 011 000001111 ; BRzp xF
                                      (greater than '9' means error)
0001 011 001 0 00 001 ; ADD R3,R1,R1
                                      (sequence of adds multiplies R1 by 10)
0000 100 000010101 ; BRn x15
                                      (overflow, but not really necessary here)
0001 011 011 0 00 011 ; ADD R3,R3,R3
0000 100 000010011 ; BRn x13
                                      (overflow, but not really necessary here)
0001 001 001 0 00 011 ; ADD R1,R1,R3
0000 100 000010001 ; BRn x11
                                      (overflow)
0001 001 001 0 00 001 ; ADD R1,R1,R1
0000 100 000001111 ; BRn xF
                                      (overflow)
0001 001 001 0 00 000 ; ADD R1,R1,R0
                                      (finally, add in new digit)
                                      (overflow)
0000 100 000001101 ; BRn xD
0000 111 111101101
                    ; BRnzp 0x1ED
                                      (get another digit)
11111111 11010000
                    ; the additive inverse of ASCII '0'
11111111 11111111
                     ; storage for the result
; done
0011 001 111111110
                    ; ST R1.x1FE
1111 0000 00100101
                    ; TRAP x25
; print error message: "non-digit pressed"
; R4 holds pointer to character
; R0 used to pass output character to trap
; end of string marked with negative value
1110 100 000001001 ; LEA R4.x9
                                      (point R4 to the start of the string)
0110 000 100 000000 ; LDR R0,R4,#0
                                     (read character pointed to by R4)
0000 100 000000011 ; BRn x3
                                      (done printing)
1111 0000 00100001
                    ; TRAP x21
                                      (print the character)
0001 100 100 1 00001 ; ADD R4,R4,#1
                                      (point to next character)
                                      (loop to read string)
0000 111 111111011 ; BRnzp x1FB
0101 001 001 1 00000 ; AND R1,R1,#0
                                      (clear the current value)
0000 111 111110110 ; BRnzp x1F6
                                      (store the zero and end)
; print error message: "overflow"
1110 100 000010101 ; LEA R4,x15
                                      (point R4 to the start of the string)
0000 111 111110111 ; BRnzp x1F7
                                      (branch back to the string-printing code)
```

```
; first error message (all in ASCII)
00000000 00001010
                      ; LF (line feed)
00000000 01101110
                      ; 'n'
00000000 01101111
                      ; '0'
00000000 01101110
                      ; 'n'
00000000 00101101
                      ; '-'
00000000 01100100
                      ; 'd'
00000000 01101001
                      ; 'i'
00000000 01100111
                      ; 'g'
00000000 01101001
                      ; 'i'
00000000 01110100
                      ; '+'
00000000 00100000
00000000 01110000
                      ; 'p'
00000000 01110010
                      ; 'r'
00000000 01100101
                      ; 'e'
00000000 01110011
                      ; 's'
00000000 01110011
                      ; 's'
00000000 01100101
                      ; 'e'
00000000 01100100
                      ; 'd'
00000000 00001010
                      ; LF (line feed)
11111111 11111111
                      ; end of string marker
; second error message (all in ASCII)
00000000 00001010
                      ; LF (line feed)
00000000 01101111
                      ; '0'
00000000 01110110
                      ; 'v'
00000000 01100101
                      ; 'e'
00000000 01110010
                      ; 'r'
00000000 01100110
                      ; 'f'
00000000 01101100
                      ; '1'
00000000 01101111
                     ; '0'
                     ; 'w'
00000000 01110111
00000000 00001010
                     ; LF (line feed)
11111111 11111111
                      ; end of string marker
```



1

# 09/26/05 15:17:43

```
; Count the occurrences of each letter (A to Z)
; in an ASCII string terminated by a NUL character.
; Lower case and upper case should be counted
; together, and a count also kept of all
; non-alphabetic characters (not counting the
; terminal NUL).
; The string starts at x4000.
; The resulting histogram (which will NOT be
; initialized in advance) should be stored starting
; at x3100, with the non-alphabetic count at x3100,
; and the count for each letter in x3101 (A) through
; R0 holds a pointer to the histogram (x3100)
; R1 holds a pointer to the current position in the string
    and holds the loop count during histogram initialization
; R2 holds the current character being counted
    and is also used to point to the histogram entry
; R3 holds the additive inverse of ASCII '@' (0xFFC0)
; R4 holds the difference between ASCII '@' and 'Z' (xFFE6)
; R5 holds the difference between ASCII '@' and '\' (xFFE0)
; R6 is used as a temporary register
00110000 00000000
                     ; starting address is x3000
1110 000 011111111
                    ; LEA RO,xFF
                                        (point R0 to the start of the histogram)
; fill the histogram with zeroes
0101 110 110 1 00000 ; AND R6,R6,#0
                                       (put a zero into R6)
0010 001 000100000 ; LD R1.x20
                                       (initialize loop count to 27)
0001 010 000 1 00000 ; ADD R2,R0,#0
                                      (copy start of histogram into R2)
; loop to fill histogram starts here
0111 110 010 000000 ; STR R6,R2,#0
                                       (write a zero into histogram)
0001 010 010 1 00001 ; ADD R2,R2,#1
                                       (point to next histogram entry)
0001 001 001 1 11111 ; ADD R1,R1,#-1 (decrement loop count)
0000 001 1111111100 ; BRp x1FC
                                       (continue until loop count reaches zero)
; initialize R1, R3, R4, and R5 from memory
0010 011 000011011
                    ; LD R3.x1B
                                       (set R3 to additive inverse of ASCII '@')
0010 100 000011011
                     ; LD R4,x1B
                                       (R4 holds difference between ASCII '@' and 'Z')
0010 101 000011011
                     ; LD R5.x1B
                                       (R5 holds difference between ASCII '@' and '\')
0010 001 000011011
                    ; LD R1.x1B
                                       (point R1 to start of string)
```

```
; the counting loop starts here
0110 010 001 000000 ; LDR R2,R1,#0
                                      (read the next character from the string)
0000 010 000010100
                    ; BRz x14
                                      (found the end of the string)
0001 010 010 0 00 011 ; ADD R2,R2,R3
                                      (subtract '@' from the character)
0000 001 000000100
                                      (branch if > '@', i.e., >= 'A')
                    ; BRp x4
0110 110 000 000000 ; LDR R6,R0,#0
                                      (load the non-alpha count)
0001 110 110 1 00001 ; ADD R6,R6,#1
                                      (add one to it)
0111 110 000 000000 ; STR R6,R0,#0
                                      (store the new non-alpha count)
0000 111 000001100
                                      (branch to end of conditional structure)
                   ; BRnzp xC
0001 110 010 0 00 100 ; ADD R6.R2.R4
                                      (compare with 'Z')
0000 001 000000101 ; BRp x5
                                      (branch if > 'Z')
; note that we no longer need the current character
; so we can reuse R2 for the pointer to the correct
; histogram entry for incrementing
0001 010 010 0 00 000 ; ADD R2,R2,R0
                                      (point to correct histogram entry)
0110 110 010 000000 ; LDR R6,R2,#0
                                      (load the count)
0001 110 110 1 00001 ; ADD R6,R6,#1
                                      (add one to it)
0111 110 010 000000 ; STR R6,R2,#0
                                      (store the new count)
0000 111 000000101
                    ; BRnzp x5
                                      (branch to end of conditional structure)
; subtracting as below yields the original character minus '''
0001 010 010 0 00 101 ; ADD R2.R2.R5
                                     (subtract ''' - '@' from the character)
                                      (if <= ''', i.e., < 'a', increment non-alpha)
0000 110 111110011 ; BRnz x1F3
0001 110 010 0 00 100 ; ADD R6,R2,R4
                                      (compare with 'z')
0000 110 111110111
                    ; BRnz x1F7
                                      (if <= 'z', go increment alpha count)
0000 111 111110000
                     ; BR x1F0
                                      (otherwise, go increment non-alpha)
0001 001 001 1 00001 ; ADD R1,R1,#1
                                      (point to next character in string)
0000 111 111101010
                     ; BRnzp x1EA
                                      (go to start of counting loop)
1111 0000 00100101
                     ; TRAP x25
                                      (done)
; the data needed by the program
00000000 00011011
                     ; 27 loop iterations
11111111 11000000
                     ; the additive inverse of ASCII '@'
11111111 11100110
                     ; the difference between ASCII '@' and 'Z'
11111111 11100000
                     ; the difference between ASCII '@' and '\'
01000000 00000000
                     ; string starts at x4000
```

letterfreq.bin

## letterfreqasm.asm

. END

```
; (An assembly-language version of the original binary code.)
; Count the occurrences of each letter (A to Z)
; in an ASCII string terminated by a NUL character.
; Lower case and upper case should be counted
; together, and a count also kept of all
; non-alphabetic characters (not counting the
; terminal NUL).
; The string starts at x4000.
; The resulting histogram (which will NOT be
; initialized in advance) should be stored starting
; at x3100, with the non-alphabetic count at x3100,
; and the count for each letter in x3101 (A) through
: x3111 (7)
; RO holds a pointer to the histogram (x3100)
; R1 holds a pointer to the current position in the string
    and as the loop count during histogram initialization
; R2 holds the current character being counted
     and is also used to point to the histogram entry
; R3 holds the additive inverse of ASCII '@' (0xFFC0)
; R4 holds the difference between ASCII '@' and 'Z' (xFFE6)
; R5 holds the difference between ASCII '@' and ''' (xFFE0)
; R6 is used as a temporary register
        .ORIG x3000
                                ; starting address is x3000
       LEA RO, HIST
                               ; point RO to the start of the histogram
        ; fill the histogram with zeroes
                               ; put a zero into R6
       AND R6,R6,#0
       LD R1, NUM BINS
                                ; initialize loop count to 27
       ADD R2,R0,#0
                               ; copy start of histogram into R2
        ; loop to fill histogram starts here
HFLOOP
      STR R6,R2,#0
                               ; write a zero into histogram
       ADD R2,R2,#1
                               ; point to next histogram entry
       ADD R1,R1,#-1
                               ; decrement loop count
       BRp HFLOOP
                               ; continue until loop count reaches zero
        ; initialize R1, R3, R4, and R5 from memory
                               ; R3 holds additive inverse of ASCII '@'
       LD R3.NEG AT
                               ; R4 holds difference between ASCII '@' and 'Z'
       LD R4,AT MIN Z
                               ; R5 holds difference between ASCII '@' and '''
       LD R5, AT_MIN_BQ
       LD R1,STR_START
                               ; point R1 to start of string
```

```
; the counting loop starts here
COUNTLOOP
                                ; read the next character from the string
       LDR R2,R1,#0
       BRz DONE
                                ; found the end of the string
       ADD R2,R2,R3
                                ; subtract '@' from the character
                                ; branch if > '@', i.e., >= 'A'
       BRp AT_LEAST_A
NON ALPHA
                                ; load the non-alpha count
       LDR R6,R0,#0
       ADD R6,R6,#1
                                ; add one to it
       STR R6.R0.#0
                                ; store the new non-alpha count
       BRnzp GET NEXT
                                ; branch to end of conditional structure
AT LEAST A
       ADD R6,R2,R4
                                ; compare with 'Z'
       BRp MORE THAN Z
                                ; branch if > 'Z'
; note that we no longer need the current character
; so we can reuse R2 for the pointer to the correct
; histogram entry for incrementing
ALPHA ADD R2,R2,R0
                                ; point to correct histogram entry
       LDR R6, R2, #0
                                ; load the count
                                ; add one to it
       ADD R6, R6, #1
                                ; store the new count
       STR R6.R2.#0
       BRnzp GET_NEXT
                                ; branch to end of conditional structure
; subtracting as below yields the original character minus '''
MORE THAN Z
       ADD R2,R2,R5
                                ; subtract '\' - '@' from the character
       BRnz NON_ALPHA
                                ; if <= '\', i.e., < 'a', go increment non-alpha
       ADD R6,R2,R4
                                ; compare with 'z'
       BRnz ALPHA
                                ; if <= 'z', go increment alpha count
       BRnzp NON_ALPHA
                                ; otherwise, go increment non-alpha
GET NEXT
       ADD R1,R1,#1
                                ; point to next character in string
       BRnzp COUNTLOOP
                                ; go to start of counting loop
DONE
       HALT
                                ; done
; the data needed by the program
NUM BINS
                .FILL #27
                                ; 27 loop iterations
NEG AT
                .FILL xFFC0
                                ; the additive inverse of ASCII '@'
AT MIN Z
                .FILL xFFE6
                                ; the difference between ASCII '@' and 'Z'
                                ; the difference between ASCII '@' and '\'
AT MIN BO
                .FILL xFFE0
                                ; string stored below for simplicity
STR START
                .FILL STRING
                .BLKW #27
HIST
                                ; space to store the histogram
STRING .STRINGZ "This is a test of the counting frequency code. AbCd...WxYz."
```

### readnumsub.asm

```
; read two numbers using a subroutine and store them to memory
        .ORIG x3000
                                ; starting address is x3000
        JSR READNUM
                                ; read two numbers and store them
        ST R0,NUM1
        JSR READNUM
        ST RO.NUM2
        HALT
; subroutine developed as an extension of the
; earlier binary code
; read a decimal number from the keyboard.
; convert it from ASCII to 2's complement, and
; return it in RO. If any non-numeric character
; is pressed, or the number overflows, print an
; error message and start over.
; RO holds the value of the last key pressed
; R1 holds the current value of the number being input
; R2 holds the additive inverse of ASCII '0' (0xFFD0)
; R3 is used as a temporary register
READNUM
                                ; the subroutine to read a number
        ST R7, SAVE R7
                                ; TRAP overwrites R7, so must save
        ST R3, SAVE R3
                                ; callee saves register values
        ST R2, SAVE_R2
        ST R1, SAVE R1
        LD R2, NEG_0
                                ; put the value -x30 in R2
                                ; clear the current value
        AND R1,R1,#0
READ LOOP
        GETC
                                ; read a character
        OUT
                                ; echo it back to monitor
                                ; compare with ENTER
        ADD R3,R0,#-10
        BRz DONE
                                ; if ENTER pressed, done
        ADD R0.R0.R2
                                ; subtract x30 from R0
        BRn BAD KEY
                                ; smaller than '0' means error
        ADD R3,R0,#-10
                                ; check if > '9'
                                ; greater than '9' means error
        BRzp BAD KEY
        ADD R3,R1,R1
                                ; sequence of adds multiplies R1 by 10
        BRn OVERFLOW
                                ; overflow, but not really necessary here
        ADD R3.R3.R3
        BRn OVERFLOW
                                ; overflow, but not really necessary here
        ADD R1.R1.R3
        BRn OVERFLOW
                                ; overflow
        ADD R1.R1.R1
        BRn OVERFLOW
                                ; overflow
        ADD R1.R1.R0
                                ; finally, add in new digit
        BRn OVERFLOW
                                ; overflow
        BRnzp READ LOOP
                                ; get another digit
DONE
        ADD R0,R1,#0
                                ; move R1 into R0
        LD R1, SAVE_R1
                                ; restore register values for caller
        LD R2, SAVE_R2
        LD R3, SAVE_R3
        LD R7, SAVE_R7
```

```
; print error message: "non-digit pressed"
BAD KEY
        LEA RO, BK MSG
                                ; point R0 to the start of the string
PRINT_ERR
       PUTS
                                ; the trap that you're not allowed to use in MP2
                                ; reset current value
       AND R1,R1,#0
       BRnzp READ_LOOP
                                ; try reading again
; print error message: "overflow"
OVERFLOW
       LEA RO,OF_MSG
                                ; point RO to the start of the string
       BRnzp PRINT ERR
SAVE R1 .BLKW 1
                        ; storage for saved register values
SAVE R2 .BLKW 1
SAVE R3 .BLKW 1
SAVE_R7 .BLKW 1
NEG_0 .FILL xFFD0
                        ; the additive inverse of ASCII '0'
                        ; storage for the results
NUM1
        .BLKW 1
NIIM2
       .BLKW 1
; error messages. The sequence \n means newline and is replaced
; with a single ASCII linefeed character (#10). Similar sequences
; include \r for #13 (carriage return), \t for #9 (TAB), \\ for
; backslash, etc.
BK_MSG .STRINGZ "\nnon-digit pressed\n"
OF MSG .STRINGZ "\noverflow\n"
        . END
```

## dump\_mem.c

```
ECE190 Fall 2005
 * Program name: dump_memory.c, a procedure to print the contents of memory
 * Description: This function uses the LC-3 simulator's read_memory function
               to print the contents of memory from a starting address to
               an ending address (both given as parameters).
#include <stdio.h>
                          /* Include C's standard I/O header file.
#include "lc3sim.h"
                          /* Include the header file for the LC-3 simulator. */
* Function: dump_memory
 * Description: print a range of LC-3 memory in hexadecimal
 * Parameters: the starting and ending addresses; the range printed does
              not include the ending address, but stops at the previous
              location
 * Return Value: nothing
void
dump_memory (int addr_s, int addr_e)
   int start;
                 /* First address of line being printed.
   int addr;
                 /* Address being printed.
   int index;
                 /* Index of address being printed on current line (0-11). */
   /* Simplify code by not using modular arithmetic for address range.
       If given range wraps around 0, replace the ending address with
       one that is larger than the starting address, but equivalent
       modulo the size of the memory space. */
   if (addr_s >= addr_e)
       addr_e += 0x10000;
   /* Loop 12 addresses at a time. Starting addresses for lines must
       be multiples of 12. */
   for (start = (addr_s / 12) * 12; start < addr_e; start = start + 12) {
        /* Print an address at the start of each line. Since start
           is not necessarily in the valid range 0 to 0xFFFF, we first
          AND it with 0xFFFF. */
       printf ("%04X: ", start & 0xFFFF);
        /* This loop goes over all 12 addresses in the current line.
          The index variable runs from 0 to 11 (counts to 12), while
          the addr variable tracks the address currently being printed. */
       for (index = 0, addr = start; index < 12; index++, addr++) {
            /* We only print an address if it lies within the requested
              range. The value in memory is returned by the call to
              read_memory. If an address is not within the requested
              range, we print blank space for printing alignment purposes. */
            if (addr >= addr_s && addr < addr_e)
               printf ("%04X ", read_memory (addr & 0xFFFF));
            else
               printf ("
                             ");
        /* End the printed line. */
       puts ("");
```

```
/* a sample of the output

* 01F8:

* 0204: 0057 0065 006C 0063 006F 006D 0065 0020 0074 006F 0020 0074

* 0210: 0068 0065 0020 004C 0043 002D 0033 0020 0073 0069 006D 0075

* 021C: 006C 0061 0074 006F 0072
```

```
* ECE190 Fall 2005
 * Program name: factorial.c, a factorial calculator
 * Description: This program asks for an integer, then calculates and
               prints the factorial of the number.
 * /
/* The following two lines are preprocessor directives. */
#include <stdio.h>
                    /* Include C's standard I/O header file. */
#define STOP 1
                         /* Stop when we reach one. */
* Function: main
 * Description: prompt player for name and bet, then play game and announce
               the outcome
 * Parameters: none (we're ignoring the standard ones to main for now)
 * Return Value: 0, which by convention indicates success
int
main ()
    /* variable declarations */
    int number; /* number given by user
    int factorial; /* factorial of user's number */
    /* Print a welcome message, followed by a blank line. */
    printf (">--- Welcome to the factorial calculator! ---<\n\n");</pre>
    /* Ask for and read the player's bet into a variable. */
    printf ("What factorial shall I calculate for you today? ");
    scanf ("%d", &number);
    /* Calculate and report the answer (no overflow checking!). */
    for (factorial = number; number > STOP; number = number - 1)
       factorial = factorial * (number - 1);
    printf ("\nThe factorial is %d.\n", factorial);
    /* Program finished successfully. */
    return 0;
```

```
* ECE190 Fall 2005
 * Program name: translate.c, a number translator
\ ^{\star} Description: This program asks for a decimal number, then prints out
               the absolute value of the number in hexadecimal form.
* /
                          /* Include C's standard I/O header file. */
#include <stdio.h>
int the_number; /* the number -- no good reason to be a global variable
                    other than to serve the purpose of the example */
* Function: find_abs
* Description: convert an integer to its absolute value
* Parameters: the number to convert
 * Return Value: the absolute value of the number passed
int
find_abs (int num)
   int abs_value;
   if (num >= 0) {
       /* Don't change positive numbers. */
       abs_value = num;
       /* Negative of negative number is the absolute value. */
       abs_value = -num;
   return abs_value;
 * Function: main
 * Description: prompt user for a decimal number, then print absolute value
               in hexadecimal
* Parameters: none (we're ignoring the standard ones to main for now)
* Return Value: 0, which by convention indicates success
int
main ()
   /* no local variable declarations */
   /* Ask for and read the player's bet into a variable. */
   printf ("Please enter a decimal number: ");
   scanf ("%d", &the_number);
   /* Find the absolute value. */
   the_number = find_abs (the_number);
   /* Print the answer. */
   printf ("The absolute value in hexadecimal is %x.\n", the_number);
```

/\* Program finished successfully. \*/

return 0;

translate.c

```
insertion sort -- performs an assertion sort on an array of integers
     inputs: values -- a pointer to an array of integers
             num_vals -- the number of values in the array
     outputs: values -- returned in sorted order
     returns: nothing, but changes array in place
 * NOTE: does nothing if num_vals < 2
* /
void
insertion_sort (int values[], int num_vals)
   int sorted;
                        /* outer loop index; number of values sorted
   int current;
                        /* current value being placed into sorted subarray */
   int index;
                        /* inner loop index for placing current value
   /* Checks on input parameters should go here.
       What kinds of things might you check? */
   /* We start with a subarray of length 1 already sorted, so
       we need iterations to sort each larger subarray from length 2
       up to the full length of the array. */
   for (sorted = 2; sorted <= num_vals; sorted++) {</pre>
       /* Keep track of the value being moved into position. */
       current = values[sorted - 1];
        /* Move other array entries aside to make room for "current." */
       for (index = sorted - 1; index > 0; index--) {
            /* Check the order of "current" against the value before
               that at index. If it's still smaller, move the value
               and continue the loop. Otherwise, we've found the place
               to which we must move "current." */
            if (current < values[index - 1])</pre>
                values[index] = values[index - 1];
               break;
        /* Store current in the right place. */
       values[index] = current;
    /* No return value. */
```

### Input and Output in Unix and C

#### **Basic Abstractions**

Unix and C support a unified abstraction for input and output (I/O) known as *file descriptors*. Input and output from everything ranging from devices to files to network connections uses the same abstraction. In particular, the operating system maintains an array of structures with information about I/O channels, with each channel occupying one place in this table. The array index at which a given channel appears can thus be used to locate the corresponding information within the table, and a file descriptor is nothing more than an integer. Most operating systems limit the size of the table to 1,024 entries by default, so descriptors are typically in the range 0 to 1,023. A diagram appears in Figure 1.

Notice that the first three entries in the array of I/O channels are occupied by the "standard" I/O channels for a program. These channels are set up by the operating system before a program starts. If you execute a program by itself from within a shell, input comes from the keyboard, and output (both normal and error output) goes to the monitor. However, these defaults are easily overridden. In fact, you probably use a graphical window manager when working, in which case the output from your programs does not go to the monitor, but instead to the window manager for display in the window in which your program was started. In the original scheme for providing network services on Unix machines, known as inetd (for "Internet Daemon"), the operating system started programs in response to incoming network connections, replacing the standard input and output channels for the new program with the incoming connection. Network services could thus be written and tested easily from any standard shell, then simply redirected to accept input and send output across the network when they were ready.

The information in the I/O channel structure allows the operating system to differentiate between the different types of I/O channels as necessary, and this information can be accessed and manipulated by a wide array of generic and special-purpose system calls, but most of these are beyond the scope of our class. We will consider only a certain class of fairly general-purpose calls in this discussion.

In particular, we focus on the calls that use streams. A *stream* is a logical array of bytes that flow from one place to another through an I/O channel. Some types of I/O channels do not fit readily into the stream model; some network protocols, for example, break data into packets; most devices have control/status registers as well as data registers, and the access pattern necessary to control these devices is generally not the simple linear progression that the stream provides. Channels that can fit into the stream model include input from a keyboard, output to a monitor, files on a disk<sup>1</sup>, and certain types of network protocols.

The stream abstraction also provides support for buffering part of the stream in order to improve performance. Files on disk are stored in blocks of four or eight kB, but can take tens of milliseconds to retrieve (tens of millions of processor clock cycles). If this delay were incurred for each byte read from a file, a program would run quite slowly. Even interacting with the operating system through a system call is relatively slow, however, often requiring tens or hundreds of thousands of cycles or more. Buffering reduces the number of interactions with the operating system by bringing data into the program in large blocks and using C library functions to handle most of the actual data transfer for a stream.

Buffering also helps to simplify the implementation of certain expected behaviors. For example, reading from the keyboard typically returns nothing until the user presses the RETURN/ENTER key. If this buffering is turned off, every application must process BACKSPACE, since a keystroke delivered to the application cannot otherwise be taken back. With the default buffering strategy, BACKSPACE is handled by keyboard-processing code, and application programs see only lines that have been completed by pressing RETURN.

<sup>&</sup>lt;sup>1</sup>The disk itself is a block device, but the filesystem serves to translate this abstraction into a stream for any given file.

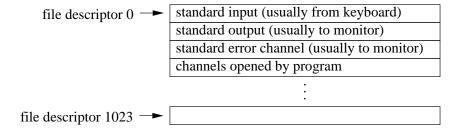


Figure 1: The array of I/O channel structures maintained for a program by the operating system. The array index for a channel's structure is used to identify the channel, and is passed around as a small integer known as a file descriptor.

In C, a stream is represented by a pointer to a structure containing information about the kind of buffering desired as well as the file descriptor to be used by the stream. The structure is written FILE (all capitals), and is allocated by library code, allowing a program to simply declare pointers to these structures in order to manipulate streams. For example:

```
FILE* my_file;
```

declares a variable to refer to a stream.

#### **Default and New Streams**

The three default file descriptors created by the operating system are also associated with streams before a program begins to execute. The input stream is named stdin, the output stream stdout, and the error stream stderr. Like the file descriptors, stdin can only be read, not written, while stdout and stderr can only be written, not read.

Other streams can be created with several functions, the simplest of which are those used to access the files stored on disk. As you should already be aware, Unix uses a hierarchical file system in which the names of files can consist of sequence of directory names followed by a name for the file within its local directory.<sup>2</sup> Directories correspond exactly to the folders used by graphical file system browsers. The file names used within a program are the same as those used within a Unix shell (a shell is just a program, after all); also like a shell, each program has a notion of a current directory, so files with no directory names in front of them refer to those files in the directory in which the program was started (assuming that the program has not changed its current directory).

The function below opens a file:

```
FILE* fopen (const char* file_name, const char* mode);
```

The first argument is a string containing the name of the file. The second argument is a string specifying what types of operations are to be performed on the new stream. The function returns NULL if the open fails, and the perror function can be called to print a human-readable error message in this case, such as "file not found" or "file not readable." If the file is opened successfully, the function returns a new stream, which should eventually be closed with fclose, as described below.

The mode consists of a letter followed by an optional plus sign. If the plus sign is included, the file is opened for both reading and writing. If the plus sign is not included, the file is opened for either reading or writing (depending on the letter, as we discuss shortly), but not both. The letter "r" is used to open an existing file; an error is returned if the file does not exist, and the file is opened for reading if no plus sign is included in the mode. The letter "w" creates a new file for writing, first deleting an existing file of the given name if it exists, and allowing only writing if no plus sign is included in the mode string. Finally, the letter "a" is used to write to the end of an existing file; in this case, if no file exists yet, a new one is created. With the append option, the default mode is writing, and writing begins at the end of the file.

<sup>&</sup>lt;sup>2</sup>It may interest you to know that Unix "files" can actually be other channels in disguise, including everything from devices to network connections to channels to existing programs.

Finally, the letter "b" can optionally be included after the first letter (or the plus sign) with any mode, but has no effect. On some older systems, the "b" signified that binary data were being stored in the file, and that certain standard translations on ASCII text should not be performed to avoid corrupting the binary data. However, these translations are for the most part obsolete, and are never performed on Unix platforms.

When a program is done using a stream, it should close the stream to free up the I/O channel resource, of which a limited number are available for each program. For this purpose, use the following function:

```
int fclose (FILE* stream);
```

This function takes a stream and attempts to close it. If no errors occurred in accesses to the stream, the function returns 0. If an error occurred, it returns EOF (-1).

All streams are closed when a program finishes execution, but it is a bad habit to rely on program termination rather than using the fclose function. While you are unlikely to see any difference in this class, consider the impact of not closing network connections in a web server: after the first 1,021 connections, the server begins to drop all requests, as it has no free I/O channels.

## Character by Character I/O

Our description of I/O functions now parallels the textbook, but we will cover a few more functions than are described in the text. We will also differentiate between the functions that operate on any stream and the shortcut functions that operate on stdin and stdout.

The functions below support reading and writing single characters to streams:

```
int fgetc (FILE* stream); /* read one character (a function) */
int getc (FILE* stream); /* read one character (a macro) */
int fputc (int c, FILE* stream); /* write one character (a function) */
int putc (int c, FILE* stream); /* write one character (a macro) */
```

The first two functions return the ASCII character read from the stream (converted into an integer), or EOF (-1) if the read attempt failed. These calls by default *block* until input is available. That is, a user's not having typed a character yet does not cause the call to fail. Instead, the operating system puts the program to sleep until a character is typed. Recall that the same thing occurs in the LC-3 system calls, which wait for a character to be available rather than returning a failure indicator. Failure thus indicates conditions such as reaching the end of an input file.

The difference between fgetc and getc is that the first is a function and creates a function call in the assembly code generated by a compiler, while the second is a preprocessor macro-operation that copies the necessary code in place of the call before compilation. In older machines, these functions allowed a tradeoff between superior performance (getc) and reduced program size (fgetc). In more modern machines, you're probably better off using fgetc.

The fputc and putc functions write a single character (specified by the first argument) to a stream. Although an integer is passed, only a single unsigned character is actually written. These functions both return the value written if successful, or EOF (-1) on failure.

Shortcut functions, both based the macro version of the functions above, are available for reading and writing single characters to stdin and stdout. These functions are declared as follows:

```
int getchar (void);    /* read one character from stdin (a macro) */
int putchar (int c);    /* write one character to stdout (a macro) */
```

The return values and argument are the same as for the corresponding previous functions, but the values of the stream parameters are implicit in each case.

### **Reading and Writing Lines**

For text files, it is usually most convenient to work with a line at a time, reading each line into an array of characters and treating it as a string, or writing each line into an array of characters before sending it off to the file. The two functions used for these purposes with streams are:

```
char* fgets (char* s, int n, FILE* stream); /* read one line */
int fputs (const char* s, FILE* stream); /* write a string */
```

The fgets function reads one line of text from a stream into the array of characters given by its first argument. The second argument specifies the size of the array, and fgets also stops reading if it runs out of room. The function always appends an ASCII NUL character to terminate the string, so it reads at most n-1 characters from the stream. If a full line is read, the linefeed (LF, or "\n" in C) character is also written into the specified array of characters. The function returns its first argument when successful, and NULL when no further data are available from the stream (or some other error occurs).

The fputs function writes a string to a stream. No additional characters are sent, so the string must include a linefeed character at the end if it is to appear as a line in a text file. The function returns the number of characters written or EOF on failure.

Shortcut functions are available for both of these functions, but the shortcut for reading a line does not allow the caller to specify the length of the array, and thus poses a security hazard. **You should never use the gets function!** The majority of network attacks use strategies based on exactly this type of function, so you should simply never use it. The shortcut function used to write a string to stdout is declared as:

```
int puts (char* s);  /* write one line to stdout */
```

This function differs from fputs not only in implicitly writing to stdout, but also in that it also writes a linefeed character to stdout after writing the string passed. A string meant to become a single line of output must therefore NOT include a linefeed character at the end. The return value meanings are the same as for fputs.

#### Formatted I/O

You have already seen the scanf and printf functions used to translate between the ASCII text representing human-readable text and the binary forms understood by a computer. These two functions are simply the shortcut forms of the more general functions for reading and writing formatted data to streams:

```
int fscanf (FILE* stream, const char* fmt, ...);
int fprintf (FILE* stream, const char* fmt, ...);
```

The only difference between these functions and those already familiar to you is the need to include the stream as the first argument. With scanf, the stdin stream is used implicitly, while printf implicitly writes to stdout. Note that any information that should instead be delivered to stderr must use the more general form.

A third form of these functions is also useful, particularly in combination with the functions described in the previous section for reading lines and writing strings to streams:

```
int sscanf (const char* s, const char* fmt, ...);
int sprintf (char* s, const char* fmt, ...);
```

These functions read and write formatted data to strings (arrays of characters). Note that the printing function does not allow the caller to specify the size of the array, and can thus be attacked in certain cases. Be careful to allocate enough space for a printed string; you may prefer to use the snprintf function instead, but I'm not sure that it is required by the ANSI standard, thus you may need to write this function yourself for fully portable code.

## **Binary I/O**

The last set of functions that we cover allow you to send binary data, such as the contents of an array, directly to and from a stream. While Unix does not perform any translations on bytes, none of the preceding functions allow you to transfer arbitrary sequences of bytes, a fact often overlooked by novice programmers. Pretending that an array of integers is a string does not generally work, for example, as any zero byte in the array ends the "string."

Before describing the functions, we need to explain some possibly new types. To reflect growth in file and memory sizes, ANSI C actually uses a separate type to specify sizes in bytes, allowing this type to grow (to 64 bits, for example) without necessarily growing the size of an integer. This type is called size\_t, but you can think of it as unsigned 32-bit integer, and on most systems it is just that.

A second type, a pointer to a null type (void\*), is used to allow automatic conversions to and from any other pointer type. In particular, if the type of a parameter to a function is void\*, any pointer type can be passed without causing the compiler to repond with warnings or errors

The functions are declared as follows:

```
size_t fread (void* ptr, size_t size, size_t n_items, FILE* stream);
size_t fwrite (const void* ptr, size_t size, size_t n_items, FILE* stream);
```

The list of arguments to both functions is essentially the same. The first argument is a pointer to the memory to be filled with bytes from the stream (in the case of fread) or from which bytes should be written to the stream (in the case of fwrite). The second argument specifies the size of items to be read or written, typically using the sizeof() built-in function, which is evaluated at compile time to the size of a type (or variable's type) in bytes. The third argument specifies how many such items should be read or written, and the last argument gives the stream. Both functions return the number of items (NOT the number of bytes) read or written to the stream. Typically, a return value equal to the third argument indicates that the call was completely successful, but partial success or total failure is also possible, such as when a disk fills up or a user exceeds a disk quota.

No shortcut functions are available, as binary data are not normally delivered by or to human users.

```
* ECE190 Fall 2005
 * Program name: line_sort.c, a sorting program
 * Description: This program alphabetically sorts lines from stdin.
               Lines are stored using dynamically allocated memory.
 * /
#include <stdio.h>
                          /* Include C's standard I/O header file. */
#include <string.h>
                          /* Include C's string library.
static const int max num lines = 5000; /* limit on number of lines */
static const int max line len = 500; /* limit on line length */
/* My favorite exit condition definitions. */
enum {
   EXIT\_SUCCEED = 0,
   EXIT FAIL
   EXIT_BAD_ARGS = 2,
   EXIT_PANIC = 3
/* function declarations */
/* read lines from stdin into an array; returns number of lines read */
static int read lines (unsigned char* lines[], int max lines);
/* sort strings in an array alphabetically using insertion sort */
static void sort lines (unsigned char* lines[], int n lines);
/* print an array of strings in order to stdout */
static void print lines (unsigned char* const lines[], int n lines);
 * Function: main
 * Description: read stdin one line at a time, copying the lines
               into dynamically allocated memory, then sort and
               print the lines
  Parameters: argc -- the number of arguments, including the executable name
               argy -- an array of strings containing each argument
               argc must equal 1; no other arguments are allowed
 * Return Value: EXIT_SUCCEED for success
                 EXIT_BAD_ARGS if the wrong number of arguments are given
main (int argc, char* argv[])
   unsigned char* lines[max_num_lines];
                                                /* array of lines */
                                                /* number of lines */
   int num lines;
   /* Program must receive exactly one argument. */
   if (argc != 1) {
        /* Print an error message. argv[0] is the executable name. */
       fprintf (stderr, "syntax: %s\n", argv[0]);
       return EXIT_BAD_ARGS;
   /* Read, sort, and print lines from stdin. */
   num lines = read_lines (lines, max_num_lines);
   sort_lines (lines, num_lines);
   print_lines (lines, num_lines);
```

```
/* Program finished successfully. */
   return EXIT SUCCEED;
* read_lines -- reads lines from stdin into an array
     inputs: lines -- an (empty) array of strings
             max_lines -- the size of the array
     outputs: nothing
     returns: number of lines read
* /
read_lines (unsigned char* lines[], int max_lines)
   unsigned char buf[max_line_len + 1];
                                                /* holds current line */
   int num lines;
                                                /* number of lines */
   /* Initialize the line count. */
   num lines = 0;
   /* Read lines until we find the end of the input. */
   while (fgets (buf, max_line_len + 1, stdin) != NULL) {
        /* Are more lines available than we can read? If so, print
          a warning message and stop reading. */
       if (num_lines == max_lines) {
            fprintf (stderr, "WARNING: Cannot sort more than %d lines.\n",
                     max lines);
           break;
       /* Make duplicate copy of line just read in heap memory, then
          store pointer to new copy in lines array. */
       lines[num lines++] = strdup (buf);
   /* Return number of lines read to caller. */
   return num lines;
* sort_lines -- performs an insertion sort on an array of integers
     inputs: lines -- an array of strings
             n_lines -- the number of lines in the array
     outputs: lines -- returned in sorted order
     returns: nothing, but changes array in place
* NOTE: does nothing if n lines < 2
* /
static void
sort_lines (unsigned char* lines[], int n_lines)
   int sorted;
                       /* outer loop index; number of lines sorted
   char* current;
                        /* current line being placed into sorted subarray */
   int index;
                       /* inner loop index for placing current line
   /* We start with a subarray of length 1 already sorted, so
       we need iterations to sort each larger subarray from length 2
       up to the full length of the array. */
```

```
for (sorted = 2; sorted <= n_lines; sorted++) {</pre>
        /* Keep track of the line being moved into position. */
        current = lines[sorted - 1];
        /* Move other array entries aside to make room for "current." */
        for (index = sorted - 1; index > 0; index--) {
            /* Check the order of "current" against the line before
               that at index. If it's still smaller, move the line
               and continue the loop. Otherwise, we've found the place
               to which we must move "current." */
            if (strcmp (current, lines[index - 1]) < 0)</pre>
                lines[index] = lines[index - 1];
            else
                break;
        /* Store current in the right place. */
        lines[index] = current;
    /* No return value. */
 * print_lines -- print an array of strings (lines)
     inputs: lines -- an array of strings
             n_lines -- the number of lines in the array
     outputs: nothing
     returns: nothing, but prints all lines in order to stdout
static void
print_lines (unsigned char* const lines[], int n_lines)
    int index; /* loop index for printing */
    /* Print all lines in order. */
    for (index = 0; index < n_lines; index++)</pre>
        fputs (lines[index], stdout);
    /* No return value. */
```

```
* ECE190 Fall 2005
 * Program name: unique_count.c, a unique line counting program
 * Description: This program reads lines from stdin, merges identical
               lines, and prints each line with a number prefix
               indicating how many times the same line appeared
               consecutively in the input.
#include <stdio.h>
                         /* Include C's standard I/O header file. */
static const int max_word_len = 500; /* limit on word length */
/* My favorite exit condition definitions. */
enum {
   EXIT\_SUCCEED = 0,
   EXIT FAIL
                = 1,
   EXIT_BAD_ARGS = 2,
   EXIT_PANIC = 3
 * Function: main
 * Description: read lines from stdin, merge duplicate consecutive lines,
               and print lines prefixed by their multiplicities in the
               input (consecutive counts only; appearance elsewhere is
               ignored)
  Parameters: argc -- the number of arguments, including the executable name
              argy -- an array of strings containing each argument
              argc must equal 1; no additional argument are allowed
 * Return Value: EXIT_SUCCEED for success
                EXIT_FAIL if the input contains no lines
                EXIT_BAD_ARGS if the wrong number of arguments are given
int
main (int argc, char* argv[])
   unsigned char bufl[max word len + 1];
                                               /* a line
   unsigned char buf2[max_word_len + 1];
                                               /* a second line
   unsigned char* last line;
                                               /* points to last line
   unsigned char* cur_line;
                                               /* points to current line
   unsigned char* tmp;
                                               /* a temporary for swapping */
   int count;
                                               /* multiplicity of last_line */
   /* Program must receive exactly one argument. */
   if (argc != 1) {
       /* Print an error message. argv[0] is the executable name. */
       fprintf (stderr, "syntax: %s\n", argv[0]);
       return EXIT_BAD_ARGS;
   /* Read the first line. */
   if (fgets (buf1, max_word_len + 1, stdin) == NULL) {
       fputs ("Could not read any lines!\n", stderr);
       return EXIT_FAIL;
    /* Initialize the double buffering scheme based on the first line's
      residing in buf1. */
   last_line = buf1;
   count = 1;
   cur_line = buf2;
```

```
/* Read lines until we find the end of the input. */
while (fgets (cur_line, max_word_len + 1, stdin) != NULL) {
    /* Check for duplication. */
    if (strcmp (cur_line, last_line) == 0) {
        count++;
        continue;
    /* Print last line (it already includes a carriage return). */
   printf ("%5d %s", count, last_line);
    /* Switch buffering for lines, and reset count. */
    tmp = cur_line;
    cur line = last line;
    last_line = tmp;
   count = 1;
/* Print final line (it already includes a carriage return). */
printf ("%5d %s", count, last_line);
/* Program finished successfully. */
return EXIT_SUCCEED;
```

```
ECE190 Fall 2005
 * Program name: word_split.c, an English word splitting program
 * Description: This program splits its input into a list of lower-case
               words, with one word per line. Words are defined as
               contiguous sequences of alphabetic characters, hyphens,
               and apostrophes. Words must begin with an alphabetic
               character. All other characters are discarded.
#include <stdio h>
                          /* Include C's standard I/O header file. */
static const int max word len = 500; /* limit on word length */
/* My favorite exit condition definitions. */
enum {
   EXIT SUCCEED = 0,
   EXIT_FAIL
               = 1,
   EXIT_BAD_ARGS = 2,
   EXIT PANIC = 3
};
 * Function: main
 * Description: read a file one character at a time, break input into
               lower-case words (alphabetic, hyphens, or apostrophes),
               and print words found on separate lines without eliminating
               duplicates. Hyphens and apostrophes are not allowed to
               start words.
  Parameters: argc -- the number of arguments, including the executable name
               argv -- an array of strings containing each argument
               argc must equal 2, and the second argument is the file name
                 from which words are read
 * Return Value: EXIT SUCCEED for success
                EXIT_FAIL if file cannot be opened
                 EXIT_BAD_ARGS if the wrong number of arguments are given
main (int argc, char* argv[])
   FILE* in file;
                                                /* input file pointer
   unsigned char buf[max_word_len + 1];
                                                /* holds current word
   unsigned char* write;
                                                /* end of current word
                                                /* length of current word */
   int word len;
                                                /* last character read
   int a_char;
   /* Program must receive exactly two arguments. */
   if (argc != 2) {
        /* Print an error message. argv[0] is the executable name. */
       fprintf (stderr, "syntax: %s <file name>\n", argv[0]);
       return EXIT_BAD_ARGS;
   /* Open the file for reading. */
   in_file = fopen (argv[1], "r");
   if (in_file == NULL) {
       /* fopen failed: print an error message to stderr. */
       perror ("open file");
       return EXIT_FAIL;
```

```
/* Initialize the word writing variable to point to the start of
   the word buffer. */
write = buf;
word len = 0;
/* Read characters until we find the end of the input. */
while ((a_char = getc (in_file)) != EOF) {
    /* If necessary, change input character to lower case. */
    if (a_char >= 'A' && a_char <= 'Z')
        a_{char} = a_{char} - 'A' + 'a';
    /* Can character be part of a word? */
    if ((a char >= 'a' && a_char <= 'z') ||
        (word_len > 0 && (a_char == '-' | a_char == '\''))) {
        /* Write the character into our word buffer and increment
          the pointer and counter. */
        *write++ = a char;
        word_len++;
        /* Do we still have room in the buffer? If so, read
          another character (skip to next loop iteration). */
        if (word_len < max_word_len)</pre>
            continue;
   } else {
        /* Invalid character read. Is there a word that needs
           to be written out? If not, skip to next character. */
        if (word_len == 0)
            continue;
    /* Write out the current word, then reset the buffer pointer
       and character count. */
    *write = 0;
   puts (buf);
    write = buf;
    word len = 0;
/* Any last words? */
if (word len > 0) {
    *write = 0;
    puts (buf);
/* Close the input file, ignoring any errors. */
fclose (in_file);
/* Program finished successfully. */
return EXIT_SUCCEED;
```

```
tab:8
  mem199.h - header file for ECE199SJP's simple memory management package
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* Author:
                   Steve Lumetta
 * Version:
 * Creation Date:
                  4 December 2003
 * Filename:
                   mem199.h
 * History:
                       4 December 2003
               First written.
#if !defined( MEM199 H)
#define _MEM199_H
  These constants define the limitations on memory allocation with
  the package. Nothing larger can be compiled. Note that the code for
  the package must be recompiled if these numbers are changed.
#define MEM199 MAX ALLOC LOG 20
#define MEM199 MAX ALLOC
                             (1UL << MEM199_MAX_ALLOC_LOG)
  mem199 allocate
  Allocates n_bytes and returns a pointer to the new memory. If no memory
  is available, or if 0 bytes are requested, returns NULL. Note that the
  new memory may contain arbitrary values.
void* mem199_allocate (unsigned n_bytes);
  mem199_allocate_and_zero
  Allocates n_bytes, fills the new memory with zeroes, and returns a
  pointer to the new memory. If no memory is available, or if 0 bytes
  are requested, returns NULL.
void* mem199_allocate_and_zero (unsigned n_bytes);
  mem199 reallocate
```

```
Attempts to change the size of a previously allocated block of memory.
  The parameters passed are a pointer to the pointer to the old block
   (possibly NULL, if no previous block existed) and the new desired size.
  If possible, a new block of the appropriate size is allocated, any
  data in the old block are copied into the new block, the old block
  is freed, the pointer is changed, and 0 is returned. If the allocation
  of a new block fails, the pointer to the old block is not changed,
  the old block (if it existed) is not freed, and -1 is returned.
int mem199_reallocate (void** ptr_to_ptr, unsigned n_bytes);
   mem199 free
   Returns control of a block of memory to the memory management system.
   The block should not be accessed after a call to mem199_free. The
   block may be returned by a successive call to any of the allocation
   functions.
void mem199_free (void* ptr);
#endif /* !defined(_MEM199_H) */
```

12/06/05 13:32:28

## mem199.c

```
tab:8
  mem199.c - a simple memory management package for ECE199SJP
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 * Author:
                   Steve Lumetta
 * Version:
 * Creation Date:
                  4 December 2003
 * Filename:
                   mem199 c
 * History:
                        4 December 2003
       SL
               First written.
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include "mem199.h"
  This memory manager allocates blocks in sizes of powers of two,
  allowing reasonably efficient reuse of freed blocks. As with almost
  all memory managers, management information is held in a header
  preceding the region allocated to the caller. For this implementation,
  we need only the block size in the header, which allows free to
  place the block into the correct bin. We actually store the index
  of the bin in our array of bins, which is equivalent to the log_2 of
  the block size.
* /
  The memory block header structure, stored at the front of each block
  of memory. It contains the size of the block and a pointer allowing
  us to chain free blocks together into a list.
typedef struct mem_block_t mem_block_t;
struct mem_block_t {
   unsigned size;
   mem_block_t* next;
};
```

```
/* static functions (not visible outside of this file) */
  mem199 init
  Initializes the memory management package. Called before any blocks
  are allocated.
static void mem199_init ();
  log2 ceil
  Calculates the logarithm base 2 of a number, rounded up to the
  nearest integer. Useful in determining what size block to allocate
  for a given memory request, as allocations are always made in powers
static int log2 ceil (unsigned value);
/* file scoped variables */
static char*
                    free_bytes;
                                                     /* unallocated memory
static int
                   n free bytes;
                                                     /* unallocated bytes
static mem_block_t* mem_bin[MEM199_MAX_ALLOC_LOG+1]; /* free block lists
static int
                    init done = 0;
                                                     /* package initialized? */
   mem199 allocate -- allocate a new block of n bytes
   INPUTS -- minimum number of bytes in block available to caller
   OUTPUTS -- none
   RETURN VALUE -- pointer to new block (past header), or
                   NULL if no more memory available
void*
mem199 allocate (unsigned n bytes)
                           /* minimum size of allocated block
   unsigned block size;
   int bin;
                            /* bin that holds blocks of appropriate size */
   mem block t* new block; /* the new block
   /* On first call, initialize static data for the memory manager. */
   if (!init done)
       mem199_init ();
   /* Add room for a header to find the necessary size. */
   block_size = n_bytes + sizeof (*new_block);
   /* Unsigned, so no need to check for requests < 0. */</pre>
   if (n_bytes == 0 | | block_size > MEM199_MAX_ALLOC)
       return NULL;
   /* Find the bin number. */
   bin = log2_ceil (block_size);
   /* Do we have a block sitting around? */
   if (mem_bin[bin] != NULL) {
           If so, remove the first one from the bin
          (a linked list of blocks).
```

```
new block = mem bin[bin];
       mem bin[bin] = new block->next;
   } else {
       /* No spare block, so try to allocate a new one. */
       /* Find the total block size. */
       n_bytes = (1UL << bin);
        /* Not enough space left in heap? Return failure. */
       if (n_free_bytes < n_bytes)</pre>
           return NULL;
        /* Allocate the block from the front of the heap. */
       new_block = (mem_block_t*)free_bytes;
       free bytes += n bytes;
       n_free_bytes -= n_bytes;
       /* Mark the block's size in the header area. */
       new_block->size = n_bytes;
    /* Return a pointer to the part AFTER the header. */
   return (new_block + 1);
   mem199_allocate_and_zero -- allocate a new block of n_bytes and fill
                                it with zeroes
   INPUTS -- minimum number of bytes in block available to caller
   OUTPUTS -- none
   RETURN VALUE -- pointer to new block (past header), or
                   NULL if no more memory available
void*
mem199_allocate_and_zero (unsigned n_bytes)
   void* new block;
   /* First allocate a block. If the attempt fails, so does this
       function. */
   new_block = mem199_allocate (n_bytes);
   if (new block == NULL)
       return NULL;
   /* Set the bytes to zero. Note that the pointer returned to us
       points past the memory header, so we only zero the data to be
       used by the caller, not the memory management information. ^{\star}/
   memset (new_block, 0, n_bytes);
    /* Return the new block. */
   return new block;
   mem199_reallocate -- change the size of a block of memory, allocating
                        a new block if necessary
   INPUTS -- ptr_to_ptr, a pointer to the pointer to the old block
              n_bytes, the minimum number of bytes in reallocated block
                       available to caller
   OUTPUTS -- *ptr_to_ptr, a pointer to the new block (on success only)
   RETURN VALUE -- 0 for success, in which case *ptr_to_ptr may have changed
```

```
-1 for failure, in which case *ptr_to_ptr does not change
   SIDE EFFECTS -- if a new block is necessary, and is created successfully.
                    data from the old block are copied into it, and the
                    old block is freed
* /
mem199_reallocate (void** ptr_to_ptr, unsigned n_bytes)
   mem_block_t* old_block; /* pointer to old block of data */
   mem_block_t* new_block; /* pointer to reallocated block */
      Calling with ptr_to_ptr equal to NULL should lead to an
      assertion (deliberate crash), but we'll just return failure.
   if (ptr_to_ptr == NULL)
       return -1;
   /* If the pointer is valid, read the old block pointer. */
   old_block = *ptr_to_ptr;
      If the new size (including the header) still fits in the
      current block, nothing need be done to succeed. Note the
       method used to access the header, which sits before the pointer
      returned by the earlier allocation call.
   if (old_block != NULL &&
       n bytes + sizeof (*old block) <= old block[-1].size)</pre>
       return 0;
       Try to create a new block. Return failure if necessary
       (without changing the old block pointer).
   new_block = mem199_allocate (n_bytes);
   if (new block == NULL)
       return -1;
       New block exists, so write it over the old pointer; we still
      have a copy in old block for the rest of this function.
    *ptr_to_ptr = new_block;
      The data block must have grown, so copy all old bytes if an old
      block existed, then free the old block. Note that the header
       bytes are not included, since old_block points past them, and
       the new block has its own header.
   if (old block != NULL) {
       memcpy (new_block, old_block,
               old block[-1].size - sizeof (*old block));
       mem199 free (old block);
   /* All done. Return success. */
   return 0;
   mem199_free -- free a block of memory
```

```
INPUTS -- a pointer to the old block
    OUTPUTS -- none
    RETURN VALUE -- none
    SIDE EFFECTS -- the block now belongs to the memory management package,
                   which may reuse it later
void
mem199_free (void* ptr)
    mem_block_t* mem_block = ptr; /* memory block pointer
    int bin;
                                  /* bin number for old block */
    /* Check for free of NULL pointer. Again, should probably have
       assertion rather than simple return. */
    if (ptr == NULL)
        return;
    /* Put the block into the appropriate bin. */
    bin = log2_ceil (mem_block[-1].size);
    mem_block[-1].next = mem_bin[bin];
    mem_bin[bin] = &mem_block[-1];
    mem199 init -- initialize memory management data
    INPUTS -- none
    OUTPUTS -- none
    RETURN VALUE -- none
    SIDE EFFECTS -- initializes static data and sets up pointers to
                   unallocated region of memory (a simulated heap)
static void
mem199_init ()
    /* All bins are empty (set pointers to NULL). */
    memset (mem_bin, 0, sizeof (mem_bin));
    /* Allocate a "heap" for us to manage. */
    n free bytes = 16 * MEM199 MAX ALLOC;
    free_bytes = malloc (n_free_bytes);
    if (free_bytes == NULL) {
        perror ("initialize (malloc) mem199 package");
        exit (3);
    /* Init has run; make a note of it. */
    init done = 1;
    log2_ceil -- calculate the logarithm base 2 of the value passed, rounded
                 up to the nearest integer
    INPUTS -- an unsigned value on which to operate
    OUTPUTS -- none
    RETURN VALUE -- ceil (log_2 (value)), as an integer, or
                   -1 if value == 0
static int
log2_ceil (unsigned value)
```

```
int ret_val;

/*
    If value is a power of 2, we start counting at -1, otherwise,
    we start counting at 0 (to round up).

*/
if ((value & (value - 1)) == 0)
    ret_val = -1;
else
    ret_val = 0;

/*
    Shift the value to the right until it disappears. Counting with
    a loop in this manner is not the fastest possible method, but it
    is the simplest.

*/
while (value > 0) {
    ret_val++;
    value >>= 1;
}
return ret_val;
```

# 14.1 Object-oriented programming

In C, it is only possible to hide code by putting it in a single file (file-scoped) and making the functions static. However, it is often nice to be able to create "code modules," where the only way internal data can be modified is through specific functions, or *methods*.

C++ supports this idea of "code modules" with *classes*. A *class* is a structure plus additional information that includes information hiding and scoping support, function prototypes, and static variables. C++ allows the class to be separated into visible (public) and private portions.

Visible (public)	Private
Existence of structures	Data within structures
Interface functions	Internal (implementation) functions
	Initialization (constructor <sup>1</sup> )
	Teardown code (destructor <sup>1</sup> )

Functions that operate on a single *instance* (one copy) of something are common when programming. Let's say we again have a player\_t structure below that has some information about one of the players in a game we are constructing.

In C, we might declare a function that is called when the player wins the game. It will update num\_played, win\_percent, and the win\_guesses array when called:

```
void player_win(player_t* p, int num_guesses);
```

In C, we would call this function the following way:

```
player_t player1; /* assume player already initialized */
/* some code that plays the game */
/* player1 wins the game after 5 guesses*/
player_win(&player1, 5);
```

<sup>&</sup>lt;sup>1</sup>Automatically called by the compiler.

Let's recreate the player with a C++ class:

In C++ the player\_t\* argument is implicit when we call the player\_win function. We have written the following inside the class:

```
void player_win(int num_guesses); /* player_t* arg is implicit */
```

The function above is called a method, which means that the first argument is implicit and its type is a pointer to this class. A method operates on the object that calls it.

Let's see how to use the C++ class:

The player\_t followed by two colons above means that this method is a member of the player\_t class. This notation is called *scoping*, and you must provide the correct scoping when writing C++ definitions. The "this" pointer above is an implicit pointer to the object that called the method, in this case a player\_t\*. For example, in the above code we have

<sup>&</sup>lt;sup>2</sup>This isn't quite right because the memory has not been allocated for it and it hasn't been initialized by the constructor. For now assume that those things have been done.

p->player\_win(5); in which case "this" would be the same as p. Since it is somewhat cumbersome to always write "this", C++ allows you to leave it out inside the definition, and the compiler will automatically fill it in. The definition for player\_win then becomes:

```
int player_t::player_win(int num_guesses)
{
    win_guesses[num_played] = num_guesses;
    win_percent = ((win_percent * num_played)+1)/(num_played+1);
    num_played++;
}
```

## 14.2 Constructor and Destructor

C++ allows one to write code that is run whenever a new object is created or destroyed. This code is called the *constructor* and *destructor*, respectively. For example, we might want to initialize all of the player structure values in our player\_t class, so we add a constructor and destructor to our class<sup>3</sup>:

<sup>&</sup>lt;sup>3</sup>The C++ compiler will automatically create a constructor and destructor if you do not specify one.

```
/* Constructor
 * We initialize the values and also allocate dynamic
 * memory for the name and password using the new operator (see
 * description of new below)
 */
player_t::player_t()
{
    num_played = 0;
    for (int i=0; 13 > i; i++) {
        win_quesses[i] = 0;
    win_percent = 0;
    name = new char[10]; /* dynamic memory for 9 char string + NULL */
    password = new char[10];
}
/* Destructor
 * We need to deallocate the dynamic memory that was created in
 * the constructor so we don't have any memory leaks
 * /
player_t:: player_t()
    delete[] name; /* free dynamic memory allocated in constructor */
    delete[] password;
}
```

Now, whenever we create a new player\_t object, the constructor will get called by the compiler, and the object will be initialized. The initialization will zero out all of the fields and allocate dynamic memory for the name and password strings. The destructor is then called by the compiler when the object needs to be destroyed. The destructor will then free the dynamic memory that was initially allocated by the constructor. For example, consider the following function:

```
void play_round()
{
    player_t player1; /* calls player_t constructor implicitly */
    player_t player2; /* calls player_t constructor implicitly */
    /* some code to play the game */
}
```

The play\_round function declares two local variables: player1 and player2. The compiler inserts code to call the player\_t constructor when each of these local variables is

created at the start of the function. After the function has ended, the local variables are no longer needed; before the function returns to the caller, the compiler inserts code to call the player\_t destructor on the player1 and player2 objects. Note that in this case the calls to the constructor and destructor were *implicit* and taken care of by the compiler.

# **14.3** The New and Delete Operators

Rather than using malloc and free, we can allocate dynamic memory using the new and delete operators. The operators also provide support for calling the object's *constructor* and *destructor*. The new operator calls malloc followed by the constructor; the delete operator calls the destructor followed by free.

## 14.4 Data Inheritance

C++ also provides data inheritance. This can be useful when creating objects that share information. We say that the data is inherited. Consider the illustration in Figure 14.1.

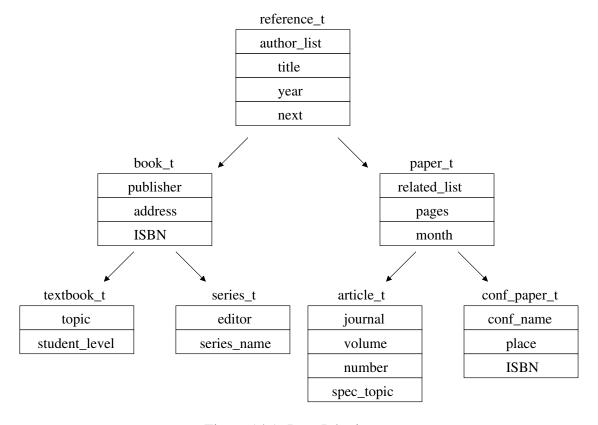


Figure 14.1: Data Inheritance

At the top of 14.1 we have a reference\_t, which includes an author\_list, title, year, and a next pointer to the next reference\_t. However, we also have books and papers, for which we want to store more information. For a book, we want to have the publisher, address, and ISBN. Furthermore, an academic paper should have different information such as a list of related papers, the number of pages, and the month it was written. Likewise, we have textbooks and series, which contain book and reference information in addition to new textbook and series-specific information.

How can we make this abstraction work in C++? The answer is that classes in C++ can inherit other classes. As a result a class hierarchy is created with *superclasses* being "above" a class and *subclasses* being "below" the class. If we just created a reference\_t class only the data in Figure 14.2 would be included. However, if we create a series\_t, it will be laid out as shown in Figure 14.3.

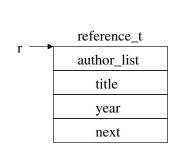


Figure 14.2: reference\_t layout

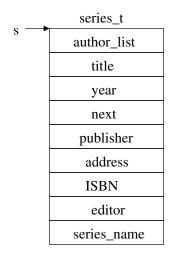


Figure 14.3: series\_t layout

Suppose we create a function <code>void print\_cite()</code>; that is defined in the <code>reference\_t class</code>. What happens if we do the following:

```
series_t* s;  /* assume memory has been allocated and initialized */
s->print_cite();
```

The above will work even though we are calling a reference\_t method on a series\_t object. The reason is that conversion to superclass is automatic in C++. Notice how s points to the same data as the pointer r to the reference\_t object. When a series\_t object calls print\_cite, the print\_cite function simply ignores all the data after next.

# 14.5 The static keyword

The static keyword means that the function is not a method and therefore is not associated with an instance of a class. For example:

```
class reference_t
{
    public:
        static reference_t* find_author(const char* find);
    private:
        author_list* author_list;
        char* title;
        int year;
        reference_t* next;
};
```

When calling find\_author, we do not want to call it on a specific reference\_t object, but rather want to search the list of reference\_t objects for a certain author.

On the other hand, the following function should be a method because it needs to operate on a specific reference:

# **14.6** Virtual functions (Functional Inheritance)

What if we are in the middle of creating our subclasses, but don't know how many subclasses we are going to create or are in the middle of creating them? How can we write a function to print all the information about each of our objects? The solution is *virtual functions*.

```
virtual void print_all_cites();
reference_t* r;
r->print_all_cites();
```

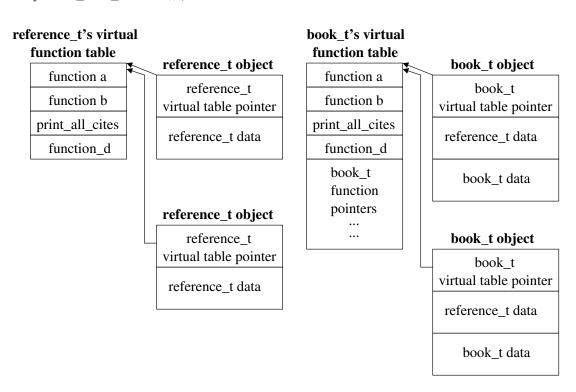


Figure 14.4: Virtual Functions

Note that each class in Figure 14.4 has a virtual function table that contains pointers to functions. One of the functions in the reference\_t virtual function table is print\_all\_cites. The book\_t virtual function table inherits the reference\_t virtual functions. One thing we can do is replace the print\_all\_cites function pointer in book\_t's virtual function table with a pointer to another function. For example, we could replace

reference\_t::print\_all\_cites in the book\_t virtual function table on the right with a function pointer to a new function book\_t::print\_all\_cites. Now if we do

```
book_t* b;
b->print_all_cites();
```

the <code>book\_t::print\_all\_cites</code> function will be called instead of the <code>reference\_t::print\_all\_cites</code> function. How exactly does this work? As can be seen from Figure 14.4, each object has an implicit field inserted by the compiler that points to its virtual function table. For <code>reference\_t</code> objects, the pointer refers to the <code>reference\_t</code> virtual function table, while for <code>book\_t</code> objects, the pointer refers to the <code>book\_t</code> virtual function table. When the <code>book\_t</code> object calls the <code>print\_all\_cites</code> function, the address of the function is looked up by following the pointer from the <code>book\_t</code> object to the virtual function table. From the virtual function table, we can find the pointer to the function we want to invoke, which is <code>print\_all\_cites</code> in this case.

```
class reference_t
    public:
        void print_reference_cites()
            printf("Title: %s\n", title);
            printf("Year: %d\n", year);
        virtual void print_all_cites() /* (1) */
            print_reference_cites();
        }
    private:
        author_list* author_list;
        char* title;
        int year;
        reference_t* next;
};
class book_t : public reference_t
{
    public:
        virtual void print_all_cites(); /* (2) */
        {
             print_reference_cites(); /* inherited method from
                                           reference t class */
             printf("Publisher: %s\n", publisher);
             printf("ISBN: %d\n", ISBN);
        }
    private:
        char* publisher; /* publisher name
        char* address;
                         /* publisher's address */
        double ISBN;
                          /* ISBN number
};
```

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The code above defines the reference\_t class with the virtual function print\_all\_cites. The book\_t class is a subclass (derived class) of the reference\_t class, which is indicated by ": public reference\_t". These class definitions tell the compiler that the virtual function table for the book\_t class should contain a function pointer to the

book\_t::print\_all\_cites function rather than the reference\_t::print\_all\_cites function. Looking at Figure 14.4, this means that the reference\_t virtual function table on the left will have a pointer to the function defined by (1) for print\_all\_cites, and the book\_t virtual function table on the right will have a pointer to the function defined by (2) for print\_all\_cites.

What will each print if we call it?

```
reference_t* r;
r->print_all_cites();
```

This will call the function defined by (1) and print the title and the year.

```
book_t* b;
b->print_all_cites();
```

This will call the function defined by (2) and print the title, year, publisher, and ISBN.

You may wonder why the print\_reference\_cites method exists. The reason is that only public members of a class are inherited, which means that the book\_t subclass does not have direct access to author\_list, title, year, and next. However, since the print\_reference\_cites method is public, the book\_t subclass does have access to this method.<sup>5</sup>

<sup>&</sup>lt;sup>4</sup>The public keyword preceding reference\_t indicates that all of the public members of the reference\_t superclass will remain public in the book\_t subclass. You can also use private to indicate that public members of the reference\_t superclass should become private in the book\_t subclass.

<sup>&</sup>lt;sup>5</sup>C++ also provides the protected access specifier, which is the same as private, except that subclasses have access to protected members.

## 14.7 C++ References

In C++ a reference is a pointer with implicit dereference. For example:

Why is this used? It is often used in *operator overloading*. Operator overloading lets us change the way a certain operator (+ - / \*, etc.) behaves for a class. For example, if we create a new class to represent a complex number called <code>complex\_t</code>, we might want to do the following:

```
complex_t x, y, z;
z = x + y;
```

If we overload the addition operator (+), we can specify exactly how the class should behave when adding two complex\_t objects.<sup>6</sup> We would declare the overloaded function as follows:

```
complex_t operator+(const complex_t& a, const complex_t& b);
```

The reference operator forces the compiler to pass in a pointer, so that the value passed in can be modified by the overloaded function. However, in this example we do not need to modify the arguments (a and b) in order to implement the addition operator, so we use the const keyword<sup>7</sup>, which prevents the function from modifying the arguments passed in. The advantage of passing arguments by const reference is that less stack overhead is needed for large objects.<sup>8</sup>

<sup>&</sup>lt;sup>6</sup>A complex number has both a real and imaginary part.

<sup>&</sup>lt;sup>7</sup>Never use non-const references outside of operator overloading because doing so will make the code incredibly hard to read and understand; others that use your code will not be expecting the function arguments they pass in to be able to be modified by the callee function.

<sup>&</sup>lt;sup>8</sup>The extra time needed to indirectly access the value through a pointer may be greater than just copying the values to the stack if the objects are small. However, the actual size of an object may be difficult to determine, so the best solution is usually passing by const reference.

# An Incomplete List of Advice for Sophomore System Builders

- 1. Take on a big project during your next few years.
- 2. Learn to use a debugger.
- 3. Don't put off learning about tools (such as make, CVS, perl, etc...).
- 4. Avoid optimizing prematurely.
- 5. Build. Burn. Rebuild.
- 6. The best designers are the best testers and debuggers.
- 7. Good code is like good prose.
- 8. Take on a big team project during your next few years.
- 9. Don't be afraid to break things.
- 10. Turn drudge work into opportunities for invention.