

## CS477 Formal Software Development Methods

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## Review

$$\frac{?}{(y := i; \text{while } i > 0 \text{ do } \{i := i - 1; y := y * i\}, \langle i \mapsto 3 \rangle) \Downarrow \underline{?}}$$

## Natural Semantics Models Hoare Logic

### Definition

Say a pair of states (aka assignments)  $(m_1, m_2)$  satisfies, or models the Hoare triple  $\{P\} C \{Q\}$  if  $m_1 \models P$  and  $m_2 \models Q$ . Write  $(m_1, m_2) \models \{P\} C \{Q\}$

### Theorem

Let  $\{P\} C \{Q\}$  be a valid Hoare triple. Let  $m_1$  be a state (aka assignment) such that  $m_1 \models P$ . Let  $m_2$  be a state such that  $(C, m_1) \Downarrow m_2$ . Then  $(m_1, m_2) \models \{P\} C \{Q\}$

## Simple Imperative Programming Language #2

$I \in \text{Identifiers}$   
 $N \in \text{Numerals}$   
 $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid I ::= E$   
 $B ::= \text{true} \mid \text{false} \mid B \& B \mid B \text{ or } B \mid \text{not } B$   
 $\quad \mid E < E \mid E = E$   
 $C ::= \text{skip} \mid C; C \mid \{C\} \mid E$   
 $\quad \mid \text{if } B \text{ then } C \text{ else } C \text{ fi}$   
 $\quad \mid \text{while } B \text{ do } C$

## Changes for Expressions

- Need new type of *result* for expressions

$$(E, m) \Downarrow (v, m')$$

- Modify old rules for expressions:

Atomic Expressions:

$$(I, m) \Downarrow (m(I), m) \quad (N, m) \Downarrow (N, m)$$

Binary Operators:

$$\frac{(E, m) \Downarrow (U, m') \quad (E', m') \Downarrow (V, m'') \quad U \oplus V = N}{(E \oplus E', m) \Downarrow (N, m'')}$$

## New Rule for Expressions

$$\frac{(E, m) \Downarrow (V, m')}{(I ::= E, m) \Downarrow (V, m'[I \leftarrow V])}$$

## Changes for Commands

- Replace rule for Assignment by one for Expressions as Commands:

$$\frac{(E, m) \Downarrow (v, m')}{(E, m) \Downarrow m'}$$

- Unfortunately, can't stop there
  - Relations use Expressions; must be changed
  - Relations produce Booleans; all Booleans must be changed
  - `if_then_else` and `while` use Booleans; must be changed

## Relations

- Must thread state through the relations:

$$\frac{(E, m) \Downarrow (U, m') \quad (E', m') \Downarrow (V, m'') \quad U \sim V = b}{(E \sim E', m) \Downarrow (b, m'')}$$

## Changes for Boolean Expressions

- Arithmetic Expressions occur in Boolean Expression; must change type of result for Booleans:

$$(B, m) \Downarrow (b, m')$$

- Modify old rules for Booleans to reflect new type:  
Atomic Booleans:

$$\begin{aligned} &(\text{true}, m) \Downarrow (\text{true}, m) \\ &(\text{false}, m) \Downarrow (\text{false}, m) \end{aligned}$$

## Changes for Boolean Expressions

$$\begin{aligned} &\frac{(B, m) \Downarrow (\text{false}, m') \quad (B, m) \Downarrow (\text{true}, m') \quad (B', m') \Downarrow (b, m'')}{(B \& B', m) \Downarrow (\text{false}, m') \quad (B \& B', m) \Downarrow (b, m'')} \\ &\frac{(B, m) \Downarrow (\text{true}, m') \quad (B, m) \Downarrow (\text{false}, m') \quad (B', m') \Downarrow (b, m'')}{(B \text{ or } B', m) \Downarrow (\text{true}, m') \quad (B \text{ or } B', m) \Downarrow (b, m'')} \\ &\frac{(B, m) \Downarrow (\text{true}, m')}{(\text{not } B, m) \Downarrow (\text{false}, m')} \quad \frac{(B, m) \Downarrow (\text{false}, m')}{(\text{not } B, m) \Downarrow (\text{true}, m')} \end{aligned}$$

## Revised if\_then\_else Rule

$$\frac{(B, m) \Downarrow (\text{true}, m') \quad (C, m') \Downarrow m''}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m''}$$

$$\frac{(B, m) \Downarrow (\text{false}, m') \quad (C', m') \Downarrow m''}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m''}$$

## Revised while Rule

$$\frac{(B, m) \Downarrow (\text{false}, m')}{(\text{while } B \text{ do } C, m) \Downarrow m'}$$

$$\frac{(B, m) \Downarrow (\text{true}, m') \quad (C, m') \Downarrow m'' \quad (\text{while } B \text{ do } C, m'') \Downarrow m'''}{(\text{while } B \text{ do } C, m) \Downarrow m'''}$$

## Termination and Errors in SOS

- $(C, m)$ ,  $(E, m)$ ,  $(B, m)$  called **configurations**
- A configuration  $c$  **evaluates** to a result  $r$  if  $c \Downarrow r$ .
- If a configuration  $c$  evaluates to a result  $r$ , then  $c$  terminates without error
- Problem: Can not distinguish between undertermination (e.g. a while loop that runs forever), versus error (e.g. referencing an unassigned value)
  - Roughly doubles number of rules
- Can be (partially) remedied by adding **error** result

## Transition Semantics

- Aka "small step structured operational semantics"
- Defines a relation of "one step" of computation, instead of complete evaluation
  - Determines granularity of atomic computations
- Typically have two kinds of "result": configurations and final values
- Written  $(C, m) \rightarrow (C', m')$  or  $(C, m) \rightarrow m'$

## Simple Imperative Programming Language #1 (SIMPL1)

$I \in \text{Identifiers}$   
 $N \in \text{Numerals}$   
 $E ::= N \mid I \mid E + E \mid E * E \mid E - E$   
 $B ::= \text{true} \mid \text{false} \mid B \& B \mid B \text{ or } B \mid \text{not } B$   
 $\quad \mid E < E \mid E = E$   
 $C ::= \text{skip} \mid C; C \mid \{C\} \mid I ::= E$   
 $\quad \mid \text{if } B \text{ then } C \text{ else } C \text{ fi}$   
 $\quad \mid \text{while } B \text{ do } C$

## Transitions for Atomic Expressions

Identifiers:  $(I, m) \rightarrow m(I)$

Numerals are values:  $(N, m) \rightarrow N$

Booleans:  $(\text{true}, m) \rightarrow \text{true}$   
 $(\text{false}, m) \rightarrow \text{false}$

## Booleans:

- Values = {true, false}
- Operators: (short-circuit)

$(\text{false} \& B, m) \rightarrow \text{false}$   
 $(\text{true} \& B, m) \rightarrow (B, m)$   
 $(\text{true} \text{ or } B, m) \rightarrow \text{true}$   
 $(\text{false} \text{ or } B, m) \rightarrow (B, m)$   
 $(\text{not true}, m) \rightarrow \text{false}$   
 $(\text{not false}, m) \rightarrow \text{true}$

## Relations

- Let  $U, V$  be arithmetic values

$$\frac{(E, m) \rightarrow (E'', m)}{(E \sim E', m) \rightarrow (E'' \sim E', m)}$$

$$\frac{(E, m) \rightarrow (E', m)}{(V \sim E, m) \rightarrow (V \sim E', m)}$$

$$(U \sim V, m) \rightarrow b$$

where  $U \sim V = b$

## Arithmetic Expressions

$$\frac{(E, m) \rightarrow (E'', m)}{(E \oplus E', m) \rightarrow (E'' \oplus E', m)}$$

$$\frac{(E, m) \rightarrow (E', m)}{(V \oplus E, m) \rightarrow (V \oplus E', m)}$$

$$(U \oplus V, m) \rightarrow N$$

where N is the specified value for  $U \oplus V$

## Commands - in English

- **skip** means done evaluating
- When evaluating an assignment, evaluate expression first
- If the expression being assigned is a value, update the memory with the new value for the identifier
- When evaluating a sequence, work on the first command in the sequence first
- If the first command evaluates to a new memory (ie completes), evaluate remainder with new memory

## Commands

Skip:  $(\text{skip}, m) \rightarrow m$

Assignment:  $\frac{(E, m) \rightarrow (E', m)}{(I ::= E, m) \rightarrow (I ::= E', m)}$

$$(I ::= V, m) \rightarrow m[I \leftarrow V]$$

Sequencing:  $\frac{(C, m) \rightarrow (C'', m')}{(C; C', m) \rightarrow (C'', C', m')}$   $\frac{(C, m) \rightarrow m'}{(C; C', m) \rightarrow (C', m')}$

## Block Command

- Choice of level of granularity:
  - Choice 1: Open a block is a unit of work

$$(\{C\}, m) \rightarrow (C, m)$$

- Choice 2: Blocks are syntactic sugar

$$\frac{(C, m) \rightarrow (C', m')}{(\{C\}, m) \rightarrow (C', m')} \quad \frac{(C, m) \rightarrow m'}{(\{C\}, m) \rightarrow m'}$$

## If Then Else Command - in English

- If the boolean guard in an **if\_then\_else** is true, then evaluate the first branch
- If it is false, evaluate the second branch
- If the boolean guard is not a value, then start by evaluating it first.

## If Then Else Command

$$(\text{if true then } C \text{ else } C' \text{ fi}, m) \rightarrow (C, m)$$

$$(\text{if false then } C \text{ else } C' \text{ fi}, m) \rightarrow (C', m)$$

$$\frac{(B, m) \rightarrow (B', m)}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \rightarrow (\text{if } B' \text{ then } C \text{ else } C' \text{ fi}, m)}$$

## While Command

$$\begin{array}{c} (\text{while } B \text{ do } C, m) \\ \longrightarrow \\ (\text{if } B \text{ then } C; \text{while } B \text{ do } C \text{ else skip fi}, m) \end{array}$$

- In English: Expand a **while** into a test of the boolean guard, with the true case being to do the body and then try the while loop again, and the false case being to stop.

## Example

$$\begin{array}{c} (y := i; \text{while } i > 0 \text{ do } \{i := i - 1; y := y * i\}, (i \mapsto 3)) \\ \longrightarrow \underline{\quad ? \quad} \end{array}$$

## Alternate Semantics for SIMPL1

- Can mix Natural Semantics with Transition Semantics to get larger atomic computations
- Use  $(E, m) \Downarrow v$  and  $(B, m) \Downarrow b$  for arithmetics and boolean expressions
- Revise rules for commands

## Revised Rules for SIMPL1

$$\text{Skip: } (\text{skip}, m) \longrightarrow m$$

$$\text{Assignment: } \frac{(E, m) \Downarrow v}{(I ::= E, m) \longrightarrow m[I \leftarrow V]}$$

$$\text{Sequencing: } \frac{(C, m) \longrightarrow (C'', m')}{(C; C', m) \longrightarrow (C''; C', m')} \quad \frac{(C, m) \longrightarrow m'}{(C; C', m) \longrightarrow (C', m')}$$

$$\text{Blocks: } \frac{(C, m) \longrightarrow (C', m')}{(\{C\}, m) \longrightarrow (C', m')} \quad \frac{(C, m) \longrightarrow m'}{(\{C\}, m) \longrightarrow m'}$$

## If Then Else Command

$$\frac{(B, m) \Downarrow \text{true}}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \longrightarrow (C, m)}$$

$$\frac{(B, m) \Downarrow \text{false}}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \longrightarrow (C', m)}$$

## Transition Semantics for SIMPL2?

- What are the choices and consequences for giving a transition semantics for the Simple Concurrent Imperative Programming Language #2, SIMP2?

## Simple Concurrent Imperative Programming Language

$I \in \text{Identifiers}$

$N \in \text{Numerals}$

$E ::= N \mid I \mid E + E \mid E * E \mid E - E$

$B ::= \text{true} \mid \text{false} \mid B \& B \mid B \text{ or } B \mid \text{not } B$   
 $\mid E < E \mid E = E$

$C ::= \text{skip} \mid C; C \mid \{C\} \mid I ::= E \mid C \parallel C'$   
 $\mid \text{if } B \text{ then } C \text{ else } C \text{ fi}$   
 $\mid \text{while } B \text{ do } C$