CS 475: Formal Models of Computation

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Turing Machine



- A semi-infinite tape with \vdash in leftmost cell
- \bullet Initially input stored on tape, with rest of the cell \sqcup
- In one step, machine reads symbol under head, and based on current state, changes state, writes a new symbol in cell, and moves head either L or R.

(Deterministic) Turing Machine Formal Definition

A TM is $M = (Q, \Sigma, \Gamma, \vdash, \sqcup, \delta, s, t, r)$ where



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- Γ is a finite tape alphabet consisting of symbols written and read from the tape; $\Sigma \subsetneq \Gamma,$

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- δ : (Q \ {t, r}) × Γ → Q × Γ × {L, R} is the transition function that never overwrites ⊢.

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Configuration, and One step

A configuration of a TM must describe the state, contents of the tape, and position of the head. Thus,
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- The starting configuration on input x is $(s, \vdash x \sqcup^{\omega}, 0)$

Configuration, and One step

- A configuration of a TM must describe the state, contents of the tape, and position of the head. Thus, α ∈ Q × {y ⊔^ω | y ∈ Γ*} × ℕ.
- The starting configuration on input x is $(s, \vdash x \sqcup^{\omega}, 0)$
- For a tape z = y⊔^ω (y ∈ Γ*), sⁿ_b(z) is the string obtained from z by substituting b for z_n. The next configuration relation is given by

$$\delta(p, z_i) = (q, b, \mathsf{L}) \Rightarrow (p, z, i) \xrightarrow{1}_{M} (q, s_b^i(z), i-1),$$

 $\delta(p, z_i) = (q, b, \mathsf{R}) \Rightarrow (p, z, i) \xrightarrow{1}_{M} (q, s_b^i(z), i+1).$

Acceptance, Rejection, and Halting

Let
$$\xrightarrow{*}_{M}$$
 be the reflexive, transitive closure of $\xrightarrow{1}_{M}$.

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Acceptance, Rejection, and Halting

Let
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• M accepts x if $(s, \vdash x \sqcup^{\omega}, 0) \xrightarrow{*}_{M} (t, z, n)$ for some z, n

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Image: A matrix and a matrix

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- *M* does not halt on *x* if *M* neither accepts nor rejects *x*.

Acceptance, Rejection, and Halting

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- *M* does not halt on *x* if *M* neither accepts nor rejects *x*.
- M is total if it halts on all inputs x

Language, RE, REC

 Language accepted/recognized by M is L(M) = {x ∈ Σ* | M accepts x}.

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Language, RE, REC

- Language accepted/recognized by M is $L(M) = \{x \in \Sigma^* \mid M \text{ accepts } x\}.$
- A language/decision problem *L* is recursively enumerable (RE) if *L* = L(*M*) for some TM *M*.

Language, RE, REC

- Language accepted/recognized by M is $L(M) = \{x \in \Sigma^* \mid M \text{ accepts } x\}.$
- A language/decision problem L is recursively enumerable (RE) if L = L(M) for some TM M.
- A language/decision problem L is recursive (REC) if L = L(M) for some total TM M.

Multi-Tape TM Nondeterministic TM

Multi-Tape Turing Machine



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Multi-Tape Turing Machine



• Input on Tape 1, with left endmarker in cell 0

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Multi-Tape TM Nondeterministic TM

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- Input on Tape 1, with left endmarker in cell 0
- Initially all heads scanning cell 0, and tapes 2 to k blank except for the left endmarker

Multi-Tape TM Nondeterministic TM

Multi-Tape Turing Machine



- Input on Tape 1, with left endmarker in cell 0
- Initially all heads scanning cell 0, and tapes 2 to k blank except for the left endmarker
- In one step: Read symbols under each of the *k*-heads, and depending on the current control state, write new symbols on the tapes, move the each tape head (possibly in different directions), and change state.

Multi-Tape TM Nondeterministic TM

Expressive Power of multi-tape TM

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Theorem

For any k-tape Turing Machine M, there is a single tape TM single(M) such that L(single(M)) = L(M).



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Challenges

• How do we store k-tapes in one?

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Challenges

- How do we store k-tapes in one?
- How do we simulate the movement of k independent heads?

Multi-Tape TM Nondeterministic TM

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Storing Multiple Tapes



Multi-tape TM M

Store in cell i + 1 contents of cell i of all tapes.

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Multi-Tape TM Nondeterministic TM

Storing Multiple Tapes



Multi-tape TM M

Store in cell i + 1 contents of cell i of all tapes. "Mark" head position of tape with *.

Multi-Tape TM Nondeterministic TM

Storing Multiple Tapes



Multi-tape TM M

1-tape equivalent single(M)

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Multi-Tape TM Nondeterministic TM

Simulating One Step

Challenge 1: Head of 1-Tape TM is pointing to one cell. How do we find out all the k symbols that are being read by the k heads, which maybe in different cells?

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Multi-Tape TM Nondeterministic TM

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• Read the tape from left to right, storing the contents of the cells being scanned in the state, as we encounter them.
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Challenge 2: After this scan, 1-tape TM knows the next step of *k*-tape TM. How do we change the contents and move the heads?

Multi-Tape TM Nondeterministic TM

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• Once again, scan the tape, change all relevant contents, "move" heads (i.e., move *s), and change state.

Multi-Tape TM Nondeterministic TM

Overall Algorithm

On input w, the 1-tape TM will work as follows.

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 - Read from left-to-right remembering symbols read on each tape, and move all the way back to leftmost position.

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Formal construction in notes.

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Nondeterministic Turing Machine

Deterministic TM: At each step, there is one possible next state, symbols to be written and direction to move the head, or the TM may halt.

Multi-Tape TM Nondeterministic TM

Nondeterministic Turing Machine

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Q, Σ, Γ, ⊢, ⊔, s, t, r are as before for deterministic machine

•
$$\Delta : (Q \setminus \{t, r\}) \times \Gamma \to 2^{Q \times \Gamma \times \{L, R\}}$$

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Computation, Acceptance and Language

• A configuration of a nondeterministic TM is exactly the same as that of a 1-tape TM.

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- x is accepted by N, if from the starting configuration with x as input, N reaches the accepting state, for some sequence of choices at each step.

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det(N) will simulate N on the input.

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det(N) will simulate N on the input.

Idea 1: det(N) tries to keep track of all possible
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 Works for DFA simulation of NFA

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- Idea 1: det(N) tries to keep track of all possible
 "configurations" that N could possibly be after each step.
 Works for DFA simulation of NFA but not convenient here.
- Idea 2: det(N) will simulate N on each possible sequence of computation steps that N may try in each step.

Multi-Tape TM Nondeterministic TM

Nondeterministic Computation

 If r = max_{q,X} |Δ(q, X)| then the runs of M can be organized as an r-branching tree.

Multi-Tape TM Nondeterministic TM

Nondeterministic Computation



- If r = max_{q,X} |Δ(q, X)| then the runs of M can be organized as an r-branching tree.
- $\alpha_{i_1i_2\cdots i_n}$ is the configuration of *M* after *n*-steps, where choice i_1 is taken in step 1, i_2 in step 2, and so on.

Multi-Tape TM Nondeterministic TM

Nondeterministic Computation



- If r = max_{q,X} |Δ(q, X)| then the runs of M can be organized as an r-branching tree.
- $\alpha_{i_1i_2\cdots i_n}$ is the configuration of *M* after *n*-steps, where choice i_1 is taken in step 1, i_2 in step 2, and so on.
- Input x is accepted iff \exists accepting configuration in tree.

Multi-Tape TM Nondeterministic TM

Proof Idea

The machine det(N) will search for an accepting configuration in computation tree



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Multi-Tape TM Nondeterministic TM

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• The configuration at any vertex can be obtained by simulating *N* on the appropriate sequence of nondeterministic choices



Multi-Tape TM Nondeterministic TM

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- det(N) will explore the tree.

Multi-Tape TM Nondeterministic TM

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The machine det(N) will search for an accepting configuration in computation tree

- The configuration at any vertex can be obtained by simulating *N* on the appropriate sequence of nondeterministic choices
- det(N) will explore the tree.

Observe that det(N) may not terminate if x is not accepted.

Variants of Turing Machines

Proof Details

Nondeterministic TM

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det(N) will use 3 tapes to simulate N

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Variants of Turing Machines

Proof Details

Nondeterministic TM

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Multi-Tape TM Nondeterministic TM

Proof Details

det(N) will use 3 tapes to simulate N (note, multitape TMs are equivalent to 1-tape TMs)

• Tape 1, called input tape, will always hold input x

Multi-Tape TM Nondeterministic TM

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- Tape 1, called input tape, will always hold input x
- Tape 2, called simulation tape, will be used as *N*'s tape when simulating *N* on a sequence of nondeterministic choices

Multi-Tape TM Nondeterministic TM

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- Tape 1, called input tape, will always hold input x
- Tape 2, called simulation tape, will be used as *N*'s tape when simulating *N* on a sequence of nondeterministic choices
- Tape 3, called choice tape, will store the current sequence of nondeterministic choices
Multi-Tape TM Nondeterministic TM

Execution of det(N)

- Initially: Input tape contains x, simulation tape and choice tape are blank
- 2 Copy contents of input tape onto simulation tape
- Simulate N using simulation tape as its (only) tape
 - At the next step of N, if state is q, simulation tape head reads X, and choice tape head reads i, then simulate the ith possibility in Δ(q, X); if i is not valid, then goto step 4
 - Ø After changing state, simulation tape contents, and head position on simulation tape, move choice tape's head to the right. If Tape 3 is now scanning □, then goto step 4

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- If N accepts then accept and halt, else goto step 3(1) to simulate the next step of N.
- Write the lexicographically next choice sequence on choice tape, erase everything on simulation tape and goto step 2.

Multi-Tape TM Nondeterministic TM

Deterministic Simulation

In a nutshell

• det(N) simulates N over and over again, for different sequences, and for different number of steps.

Multi-Tape TM Nondeterministic TM

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Deterministic Simulation

In a nutshell

- det(N) simulates N over and over again, for different sequences, and for different number of steps.
- If N accepts x then there is a sequence of choices that will lead to acceptance. det(N) will eventually have this sequence on choice tape, and then its simulation N will accept.
- If N does not accept x then no sequence of choices leads to acceptance. det(N) will therefore never halt!

Universality of the Model Church-Turing Thesis

Robustness of the Class of TM Languages

Various efforts to capture mechanical computation have the same expressive power.

Universality of the Model Church-Turing Thesis

Robustness of the Class of TM Languages

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Various efforts to capture mechanical computation have the same expressive power.

• Non-Turing Machine models: random access machines, λ -calculus, type 0 grammars, first-order reasoning, π -calculus,

Robustness of the Class of TM Languages

Various efforts to capture mechanical computation have the same expressive power.

- Non-Turing Machine models: random access machines, λ -calculus, type 0 grammars, first-order reasoning, π -calculus,
- Enhanced Turing Machine models: TM with 2-way infinite tape, multi-tape TM, nondeterministic TM, probabilistic Turing Machines, quantum Turing Machines . . .

Robustness of the Class of TM Languages

Various efforts to capture mechanical computation have the same expressive power.

- Non-Turing Machine models: random access machines, λ -calculus, type 0 grammars, first-order reasoning, π -calculus,
- Enhanced Turing Machine models: TM with 2-way infinite tape, multi-tape TM, nondeterministic TM, probabilistic Turing Machines, quantum Turing Machines ...
- Restricted Turing Machine models: queue machines, 2-stack machines, 2-counter machines, ...

Universality of the Model Church-Turing Thesis

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Church-Turing Thesis

"Anything solvable via a mechanical procedure can be solved on a Turing Machine."

Universality of the Model Church-Turing Thesis

Church-Turing Thesis

"Anything solvable via a mechanical procedure can be solved on a Turing Machine."

 Not a mathematical statement that can be proved or disproved!

Universality of the Model Church-Turing Thesis

Church-Turing Thesis

"Anything solvable via a mechanical procedure can be solved on a Turing Machine."

- Not a mathematical statement that can be proved or disproved!
- Strong evidence based on the fact that many attempts to define computation yield the same expressive power

Universality of the Model Church-Turing Thesis

Consequences

• In the course, we will use an informal pseudo-code to argue that a problem/language can be solved on Turing machines

Universality of the Model Church-Turing Thesis

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- In the course, we will use an informal pseudo-code to argue that a problem/language can be solved on Turing machines
- To show that something can be solved on Turing machines, you can use any programming language of choice, *unless the problem specifically asks you to design a Turing Machine*

Encoding Turing machines Universal Tuing machines

Universal Turing Machine

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Encoding Turing machines Universal Tuing machines

Encoding Turing Machines



Encoding Turing machines Universal Tuing machines

Encoding Turing Machines

Consider an arbitrary Turing machine $M = (Q, \Sigma, \Gamma, \vdash, \sqcup, \delta, s, t, r)$.

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Encoding Turing Machines

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Encoding Turing Machines

- We will encode M using the alphabet $\{0, 1, [,], \cdot, |\}$.
- Encode each $a \in \Gamma$ as a binary string enc(a) of length log $|\Gamma|$; we will assume that $\vdash = 0^{\log |\Gamma|}$ and $\sqcup = 0^{\log |\Gamma|-1}1$
- A string x = a₁a₂···a_n ∈ Γ* will be encoded as [enc(a₁)•enc(a₂)•···•enc(a_n)]
- Each state q ∈ Q is encoded as a binary string enc(q) of length log |Q|; we will assume that s = 0^{log |Q|}, t = 0^{log |Q|-1}1 and r = 0^{log |Q|-2}10
- Directions L and R will be encoded as 0 and 1, respectively.

Encoding Turing machines Universal Tuing machines

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• We will denote the encoding of machine M and input x as $\langle M,x\rangle$

Encoding Turing machines Universal Tuing machines

Featuring of the Encoding

- The precise choice of the alphabet and encoding is not important; it is merely to illustrate one precise encoding
 - In fact, when we write out TMs on paper using the english alphabet, punctuation marks, and set notation is perfectly good as well, as long as it is consistent.

Encoding Turing machines Universal Tuing machines

Universal Turing Machine



Schematic picture of Universal TM

U will store the configuration of M by storing, the state of M on the state tape, and the tape of M on the simulation tape.

Encoding Turing machines Universal Tuing machines

UTM algorithm

Check to see if the code for *M* is a valid TM code; if not reject the input. For example, for our code, it involves checking to see if the string as exactly one "|" between [and], etc.

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- Write 0...0, the start state of *M*, on the third tape, and scan the "first cell" of tape 2.

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- Solution Write $0 \cdots 0$, the start state of M, on the third tape, and scan the "first cell" of tape 2.
- To simulate a move of *M*, search for a transition [enc(p)•enc(a)|enc(q)•enc(b)•enc(d)] on tape 1, where enc(p) is on tape 3 (current state) and enc(a) is read from tape 2 from the current "cell", i.e., between two successive • symbols from the current head position. Then, write enc(q) on tape 3 (after erasing its current contents), write enc(b) on tape 2 instead of enc(a), and finally move the head on tape 2 to the appropriate "cell".

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- **(3)** If state on tape 3 is $0 \cdots 01$ then accept; if state is $0 \cdots 010$ then reject.

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