#### Authentication

CS461/ECE422 Fall 2009

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## Reading

- Chapter 12 from *Computer Security*
- Chapter 10 from *Handbook of Applied Cryptography*

http://www.cacr.math.uwaterloo.ca/hac/abo

#### Overview

- Basics of an authentication system
- Passwords
  - Storage
  - Selection
  - Breaking them
  - One time
- Challenge Response
- Biometrics

## Ivanhoe, Sir Walter Scott

#### • Paraphrased:

- (Wamba gains entry to the castle dressed as a friar)
- Wamba: Take my disguise and escape, I will stay and die in your place.
- Cedric: I can't possibly impersonate a friar, I only speak English.
- Wamba: If anyone says anything to you, just say "*Pax vobiscum*."
- Cedric: What does that mean?
- Wamba: I don't know, but it works like a charm!

#### Basics

- Authentication: binding of identity to subject
  - Identity is that of external entity (my identity, the Illini Union Bookstore, *etc.*)
  - Subject is computer entity (process, network connection, *etc.*)

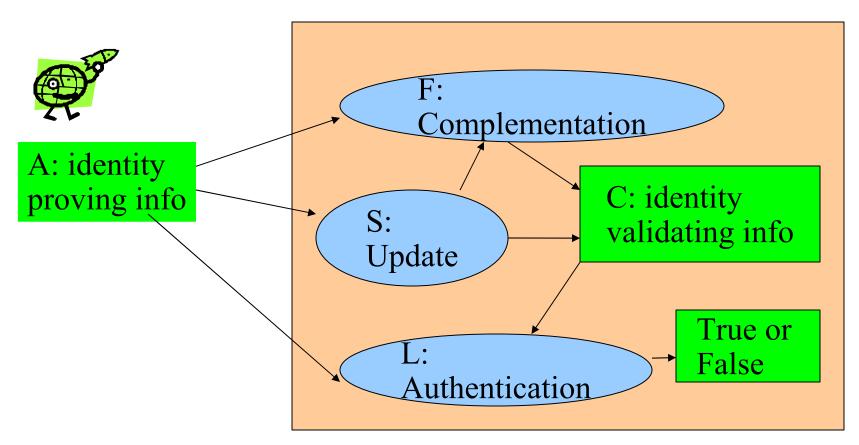
# Establishing Identity

- One or more of the following
  - What entity knows (e.g. password, private key)
  - What entity has (e.g. badge, smart card)
  - What entity is (e.g. fingerprints, retinal characteristics)
  - Where entity is (*e.g.* In front of a particular terminal)
- Example: scene from *Ivanhoe*
- Example: Credit card transaction

## Authentication System

- (A, C, F, L, S)
  - -A: information that proves identity
  - C: information stored on computer and used to validate authentication information
  - *F*: set of complementation functions that generates *C*;  $f: A \rightarrow C$
  - *L*: set of authentication functions that verify identity;  $l: A \times C \rightarrow \{ \text{ true, false } \}$
  - S: functions enabling entity to create, alter information in A or C

### Authentication System



# Example

- Password system, with passwords stored online in clear text
  - -A set of strings making up passwords
  - -C = A
  - -F singleton set of identity function { I(a) = a }
  - *L* single equality test function { *eq* }
  - *S* function to set/change password

## Storage

- Store as cleartext
  - If password file compromised, *all* passwords revealed
- Encipher file
  - Need to have decipherment, encipherment keys in memory
  - Reduces to previous problem
- Store one-way hash of password
  - If file read, attacker must still guess passwords or invert the hash

# Example

- Original UNIX system standard hash function
  - Hashes password into 13 char string using one of 4096 hash functions
- As authentication system:
  - $-A = \{ \text{ strings of 8 chars or less } \}$
  - $-C = \{ 2 \text{ char hash id } || 11 \text{ char hash } \}$
  - $-F = \{$  4096 versions of modified DES  $\}$

$$-L = \{ login, su, \dots \}$$

 $-S = \{ passwd, nispasswd, passwd+, ... \}$ 

## Dictionary Attacks

- Trial-and-error from a list of potential passwords
  - Off-line (type 1): know f and c's, and repeatedly try different guesses  $g \in A$  until the list is done or passwords guessed
    - Examples: *crack*, *john-the-ripper*
  - On-line (type 2): have access to functions in L and try guesses g until some l(g,c) succeeds
    - Examples: trying to log in by guessing a password

# Preventing Attacks

- How to prevent this:
  - Hide information so that either *a*, *f*, or *c* cannot be found
    - Prevents obvious attack from above
    - Example: UNIX/Linux shadow password files
      - Hides c's
  - Block access to all  $l \in L$  or result of l(a,c)
    - Prevents attacker from knowing if guess succeeded
    - Example: preventing *any* logins to an account from a network
      - Prevents knowing results of *l* (or accessing *l*)

# Using Time

Anderson's formula:

- *P* probability of guessing a password in specified period of time
- *G* number of guesses tested in 1 time unit
- *T* number of time units
- N number of possible passwords (|A|)
- Then  $P \ge \frac{TG}{N}$

# Example

- Goal
  - Passwords drawn from a 96-char alphabet
  - Can test 10<sup>4</sup> guesses per second
  - Probability of a success to be 0.5 over a 365 day period
  - What is minimum password length?
- Solution
  - $-N \ge TG/P = (365 \times 24 \times 60 \times 60) \times 10^4/0.5 = 6.31 \times 10^{11}$
  - Choose *s* such that  $\sum_{j=0}^{s} 96^{j} \ge N$
  - So  $s \ge 6$ , meaning passwords must be at least 6 chars long
  - What exactly does that equation mean?

# Salting

- Have a set of n complementation functions
  - Randomly select one function when registering new authentication info (function S)
  - Store ID of complementation function with complementation info
- Attacker must try all n complementation functions to see if his guess matches any password
- When does this help? When does it not?

# Approaches: Password Selection

- Random selection
  - Any password from *A* equally likely to be selected
  - See previous example
  - Make sure it's random! (e.g. period of 2<sup>32</sup> is not enough for (26+10)<sup>8</sup> passwords)
- Key crunching (*e.g.* hashing) a long key to a sequence of shorter keys
- Pronounceable passwords
- User selection of passwords

## Pronounceable Passwords

- Generate phonemes randomly
  - Phoneme is unit of sound, *e.g. cv*, *vc*, *cvc*, *vcv*
  - Examples: helgoret, juttelon are; przbqxdfl, zxrptglfn are not
- $\sim 440$  possible phonemes
- 440<sup>6</sup> possible keys with 6 phonemes (12-18 characters long), about the same as 96<sup>8</sup>
- Used by GNU Mailman mailing list software (?)

#### User Selection

- Problem: people pick easy-to-guess passwords
  - Based on account names, user names, computer names, place names
  - Dictionary words (also reversed, odd capitalizations, control characters, "133t-speak", conjugations or declensions, Torah/Bible/Koran/... words)
  - Too short, digits only, letters only
  - License plates, acronyms, social security numbers
  - Personal characteristics or foibles (pet names, nicknames, etc.)

# Picking Good Passwords

- Examples from textbook
  - "LlMm\*2^Ap"
    - Names of members of 2 families
  - "OoHeO/FSK"
    - Second letter of each word of length 4 or more in third line of third verse of Star-Spangled Banner, followed by "/", followed by author's initials
- What's good here may be bad there
  - "DMC/MHmh" bad at Dartmouth ("<u>Dartmouth Medical</u> <u>Center/Mary Hitchcock memorial hospital</u>"), ok here
- Why are these now bad passwords?  $\otimes$

## Proactive Password Checking

- Analyze proposed password for "goodness"
  - Always invoked
  - Can detect, reject bad passwords for an appropriate definition of "bad"
  - Discriminate on per-user, per-site basis
  - Needs to do pattern matching on words
  - Needs to execute subprograms and use results
    - Spell checker, for example
  - Easy to set up and integrate into password selection system

# Salting

- Goal: slow dictionary attacks
- Method: perturb hash function so that:
  - Parameter controls *which* hash function is used
  - Parameter differs for each password
  - So given *n* password hashes, and therefore *n* salts, need to hash guess *n*
- Doesn't help against attacking a single user
  Does help in bulk attack

# Examples

- Vanilla UNIX method
  - Use DES to encipher 0 message with password as key; iterate 25 times
  - Perturb E table in DES in one of 4096 ways
    - 12 bit salt flips entries 0–11 with entries 24–35
    - E Table is per round expansion table
- Alternate methods
  - Use salt as first part of input to hash function

# Guessing Through L

• Cannot prevent these

- Otherwise, legitimate users cannot log in

- Make them slow
  - Backoff
  - Disconnection
  - Disabling
    - Be very careful with administrative accounts!
  - Jailing
    - Allow in, but restrict activities

# Leaking Information

- User friendly system gives cause of login failure
  - Bad user vs bad password
- Speed of response may give clue

# Detecting Trojan Login

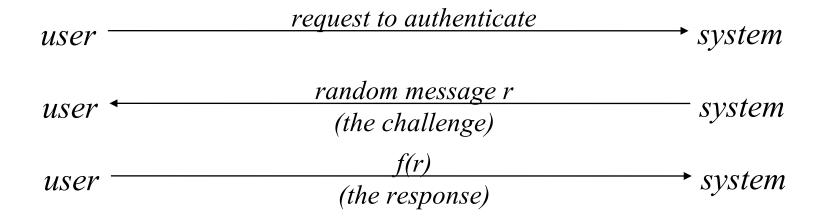
- Trusted path
  - More in next couple lectures
- Recognize last login time stamp
- Recognize previously selected picture
- Certificate

# Password Aging

- Force users to change passwords after some time has expired
  - How do you force users not to re-use passwords?
    - Record previous passwords
    - Block changes for a period of time
  - Give users time to think of good passwords
    - Don't force them to change before they can log in
    - Warn them of expiration days in advance

### Challenge-Response

• User, system share a secret function *f* (in practice, *f* is a known function with unknown parameters, such as a cryptographic key)



### One-Time Passwords

- Password that can be used exactly *once*After use, it is immediately invalidated
- Challenge-response mechanism
  - Challenge is one of a number of authentications; response is password for that particular number
- Problems
  - Synchronization of user, system
  - Generation of good random passwords
  - Password distribution problem

- One-time password scheme based on idea of Lamport
- *h* one-way hash function (MD5 or SHA-1, for example)
- User chooses initial seed k
- System calculates:

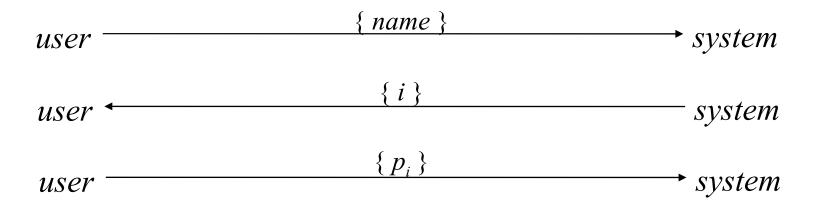
$$h(k) = k_1, h(k_1) = k_2, \dots, h(k_{n-1}) = k_n$$

• Passwords are reverse order:

$$p_1 = k_n, p_2 = k_{n-1}, \dots, p_{n-1} = k_2, p_n = k_1$$

## S/Key Protocol

System stores maximum number of authentications n, number of next authentication i, last correctly supplied password  $p_{i-1}$ .



System computes  $h(p_i) = h(k_{n-i+1}) = k_{n-i+2} = p_{i-1}$ . If match with what is stored, system replaces  $p_{i-1}$  with  $p_i$  and increments *i*. (Note error in the *CSA&S* textbook.) <sup>31</sup>

# Hardware Support

- Token-based
  - Used to compute response to challenge
    - May encipher or hash challenge
    - May require PIN from user
- Temporally-based
  - Every minute (or so) different number shown
    - Computer knows what number to expect when
  - User enters number and fixed password

#### Biometrics

- Automated measurement of biological, behavioural features that identify a person
  - Fingerprints: optical or electrical techniques
    - Maps fingerprint into a graph, then compares with database
    - Measurements imprecise, so approximate matching algorithms used
  - Voices: speaker verification or recognition
    - Verification: uses statistical techniques to test hypothesis that speaker is who is claimed (speaker dependent)
    - Recognition: checks content of answers (speaker independent)

#### Other Characteristics

- Can use several other characteristics
  - Eyes: patterns in irises unique
    - Measure patterns, determine if differences are random; or correlate images using statistical tests
  - Faces: image, or specific characteristics like distance from nose to chin
    - Lighting, view of face, other noise can hinder this
  - Keystroke dynamics: believed to be unique
    - Keystroke intervals, pressure, duration of stroke, where key is struck
    - Statistical tests used

### Biometric

- Physical characteristics encoded in a template
  - The C or complement information
- User registers physical information (S)
   Generally with multiple measurements
- The L function takes a measurement and tries to line up with template

## Authentication vs Identification

- Used for surveillance
  - Subject is motivated to avoid detection
- Used for authentication
  - Subject is motivated to positively identify
  - Perhaps pick up other's characteristics
- False positives vs false negatives

### Cautions

- These can be fooled!
  - Assumes biometric device accurate *in the environment it is being used in!*
  - Transmission of data to validator is tamperproof, correct (remember *pax vobiscum*)
- Physical characteristics change over time
- Some people may not be able to identify via specific characteristics
  - Albinos and iris scans

#### Location

- If you know where user is, validate identity by seeing if person is where the user is
  - Requires special-purpose hardware to locate user
    - GPS (global positioning system) device gives location signature of entity
    - Host uses LSS (location signature sensor) to get signature for entity

## Multiple Methods

- Example: "where you are" also requires entity to have LSS and GPS, so also "what you have"
- Can assign different methods to different tasks
  - As users perform more and more sensitive tasks, must authenticate in more and more ways (presumably, more stringently)
  - File describes authentication required
    - Also includes controls on access (time of day, *etc.*), resources, and requests to change passwords
  - Pluggable Authentication Modules

# Key Points

• Authentication ≠ cryptography

- You have to consider system components

• Passwords are here to stay

- They provide a basis for most forms of authentication

• Biometrics can help but not magic bullet