### **Confidentiality Policies**

#### CS461/ECE422 Computer Security I Fall 2009 Guest Lecture by: Omid Fatemieh Based on slides provided by Matt Bishop for use with Computer Security: Art and Science

Slide #5-1

### Reading

- Chapter 5 in CS:
  - -5.1 and 5.2 up to the beginning of 5.2.3

- 5.3

- Chapter 30 in CS (Lattices)
- Bell-LaPadula and McLean papers linked on class web site if you are interested in the proofs

### Outline

- Overview
  - Mandatory versus discretionary controls
  - What is a confidentiality model
- Bell-LaPadula Model
  - General idea
  - Description of rules
- Tranquility

#### MAC vs DAC

- Discretionary Access Control (DAC)
  - Normal users can change access control state directly assuming they have appropriate permissions
  - Access control implemented in standard OS's, e.g., Unix, Linux, Windows
  - Access control is at the discretion of the user
- Mandatory Access Control (MAC)
  - Access decisions cannot be changed by normal rules
  - Generally enforced by system wide set of rules
  - Normal user cannot change access control schema
- "Strong" system security requires MAC
  - Normal users cannot be trusted

# **Confidentiality Policy**

- Goal: prevent the unauthorized disclosure of information
  - Deals with information flow
  - Unauthorized alteration of information is secondary
- Multi-level security models are best-known examples
  - Bell-LaPadula Model basis for many, or most, of these

### Bell-LaPadula Model, Step 1

- Security levels arranged in linear ordering
  - Top Secret: highest
  - Secret
  - Confidential
  - Unclassified: lowest
- Levels consist of *security clearance L(s)* 
  - Objects have security classification L(o)

### Example

security level	subject	object
Top Secret	Tamara	Personnel Files
Secret	Samuel	E-Mail Files
Confidential	Claire	Activity Logs
Unclassified	Bob	Telephone Lists

- Tamara can read all files
- Claire cannot read Personnel or E-Mail Files
- Bob can only read Telephone Lists

### **Reading Information**

- Information flows *up*, not *down* "Reads up" disallowed, "reads down" allowed
- Simple Security Condition (Step 1)
  - Subject *s* can read object *o* iff,  $L(o) \le L(s)$  and *s* has permission to read *o* 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no reads up" rule

### Writing Information

- Information flows up, not down
  "Writes up" allowed, "writes down" disallowed
- \*-Property (Step 1)
  - Subject *s* can write object *o* iff  $L(s) \le L(o)$  and *s* has permission to write *o* 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no writes down" rule

# Basic Security Theorem, Step 1

- If a system is initially in a secure state, and every transition of the system satisfies the simple security condition (step 1), and the \*-property (step 1), then every state of the system is secure
  - Proof: induct on the number of transitions

### Bell-LaPadula Model, Step 2

- Expand notion of security level to include categories (also called compartments)
- Security level is (*clearance*, *category set*)
- Examples
  - ( Top Secret, { PRJA, PRJB, PRJC } )
  - ( Confidential, { PRJB, PRJC } )
  - (Secret, { PRJA, PRJB } )

#### Levels and Lattices

- (A, C) dom (A', C') iff  $A' \leq A$  and  $C' \subseteq C$
- Examples
  - (Top Secret, {PRJA, PRJC}) *dom* (Secret, {PRJA})
  - (Secret, {PRJA, PRJB}) *dom* (Confidential, {PRJA, PRJB})
  - (Top Secret, {PRJA}) ¬*dom* (Confidential, {PRJB})
  - (Secret, {PRJA}) ¬*dom* (Confidential, {PRJA, PRJB})
- Let *C* be set of classifications, *K* set of categories. Set of security levels  $L = C \times K$ , *dom* form lattice
  - Partially ordered set
  - Any pair of elements
    - Have a greatest lower bound
    - *Have a least upper bound*

#### Example Lattice



#### Subset Lattice



#### Total Order

- A total order (or "totally ordered set") is a set plus a relation on the set that satisfies the following properties (e.g. ≤ on the set of integer values N)
- Reflexivity:  $a \le a$
- Anti-symmetry:  $a \le b$  and  $b \le a$  implies a=b
- Transitivity:  $a \le b$  and  $b \le c$  implies  $a \le c$
- Comparability: For any a and b in N, either a ≤b or b ≤a

#### Partial Order

- Partial order has all the properties of Total Order, except for Comparability
- Example: The relation ≤ on the set of complex numbers C. For example

- Neither  $1+4i \le 2+3i$  nor  $2+3i \le 1+4i$ 

#### Lattice Definition

- A lattice is a combination of a set of elements S and a relation R meeting the following criteria:
  - R is reflexive, antisymmetric, and transitive on elements of S
  - For every s, t in S, there exists a greatest lower bound
  - For every s,t in S, there exists a least upper bound

### Levels and Ordering

- Security levels plus *dom* form a partial order
  - Any pair of security levels may (or may not) be related by *dom*
- "dominates" serves the role of "greater than" in step 1
  - "greater than" is a total ordering, though

### **Reading Information**

- Information flows *up*, not *down* "Reads up" disallowed, "reads down" allowed
- Simple Security Condition (Step 2)
  - Subject s can read object o iff L(s) dom L(o) and s has permission to read o
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no reads up" rule

### Writing Information

- Information flows up, not down
  "Writes up" allowed, "writes down" disallowed
- \*-Property (Step 2)
  - Subject s can write object o iff L(o) dom L(s) and s has permission to write o
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called "no writes down" rule

# Basic Security Theorem, Step 2

- If a system is initially in a secure state, and every transition of the system satisfies the simple security condition (step 2), and the \*-property (step 2), then every state of the system is secure
  - Proof: induct on the number of transitions
  - In actual Basic Security Theorem, discretionary access control treated as third property, and simple security property and \*-property phrased to eliminate discretionary part of the definitions — but simpler to express the way done here.

#### Problem

- Colonel has (Secret, {PROJ1, PROJ2}) clearance
- Major has (Secret, {PROJ2}) clearance
- Can Major write data that Colonel can read?
- Can Major read data that Colonel wrote?

#### Solution

- Define maximum, current levels for subjects
   *maxlevel(s) dom curlevel(s)*
- Example
  - Treat Major as an object (Colonel is writing to him/her)
  - Colonel has maxlevel (Secret, {PROJ1, PROJ2 })
  - Colonel sets curlevel to (Secret, { PROJ2 })
  - Now L(Major) dom curlevel(Colonel)
    - Colonel can write to Major without violating "no writes down"

# Principle of Tranquility

- Subjects and objects may not change their security levels once instantiated
- Raising object's security level
  - Information once available to some subjects is no longer available
  - Usually assume information has already been accessed, so this does nothing
- Lowering object's security level
  - The *declassification problem*
  - Essentially, a "write down" violating \*-property
  - Solution: define set of trusted subjects that *sanitize* or remove sensitive information before security level lowered

# Types of Tranquility

- Strong Tranquility: The clearances of subjects, and the classifications of objects, do not change during the lifetime of the system
  - Resolves the mentioned problems
  - Inflexible and not practical
- Weak Tranquility: The clearances of subjects, and the classifications of objects change in accordance with a specified policy
  - Moderates the restriction to allow harmless changes of security levels
  - Flexible

### Example

- DG/UX System
  - Only a trusted user (security administrator) can lower object's security level
  - In general, process MAC labels cannot change
    - If a user wants a new MAC label, needs to initiate new process
    - Cumbersome, so user can be designated as able to change process MAC label within a specified range
- Other systems allow multiple labeled windows to address users operating a multiple levels

## Key Points

- Confidentiality models restrict flow of information
- Bell-LaPadula defines security it in terms of 3 properties
  - simple security condition
  - \*-property
  - discretionary security property
- Theorems are assertions about these properties
- Cornerstone of much work in computer security