

# **Lecture 3: Direct Link Networks**

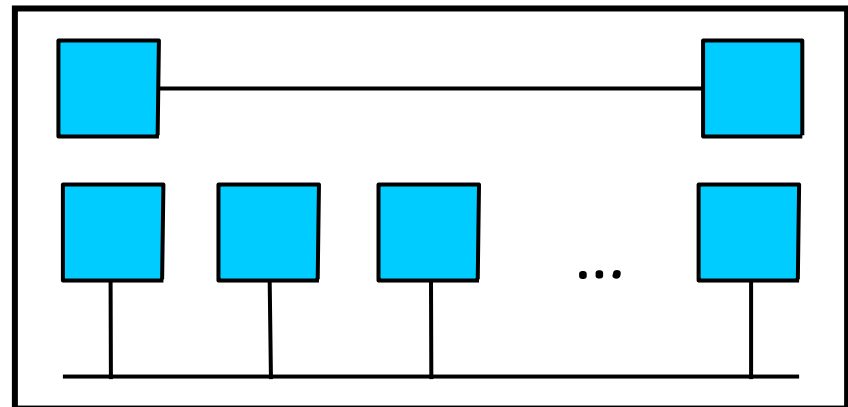
CS/ECE 438: Communication Networks

Prof. Matthew Caesar

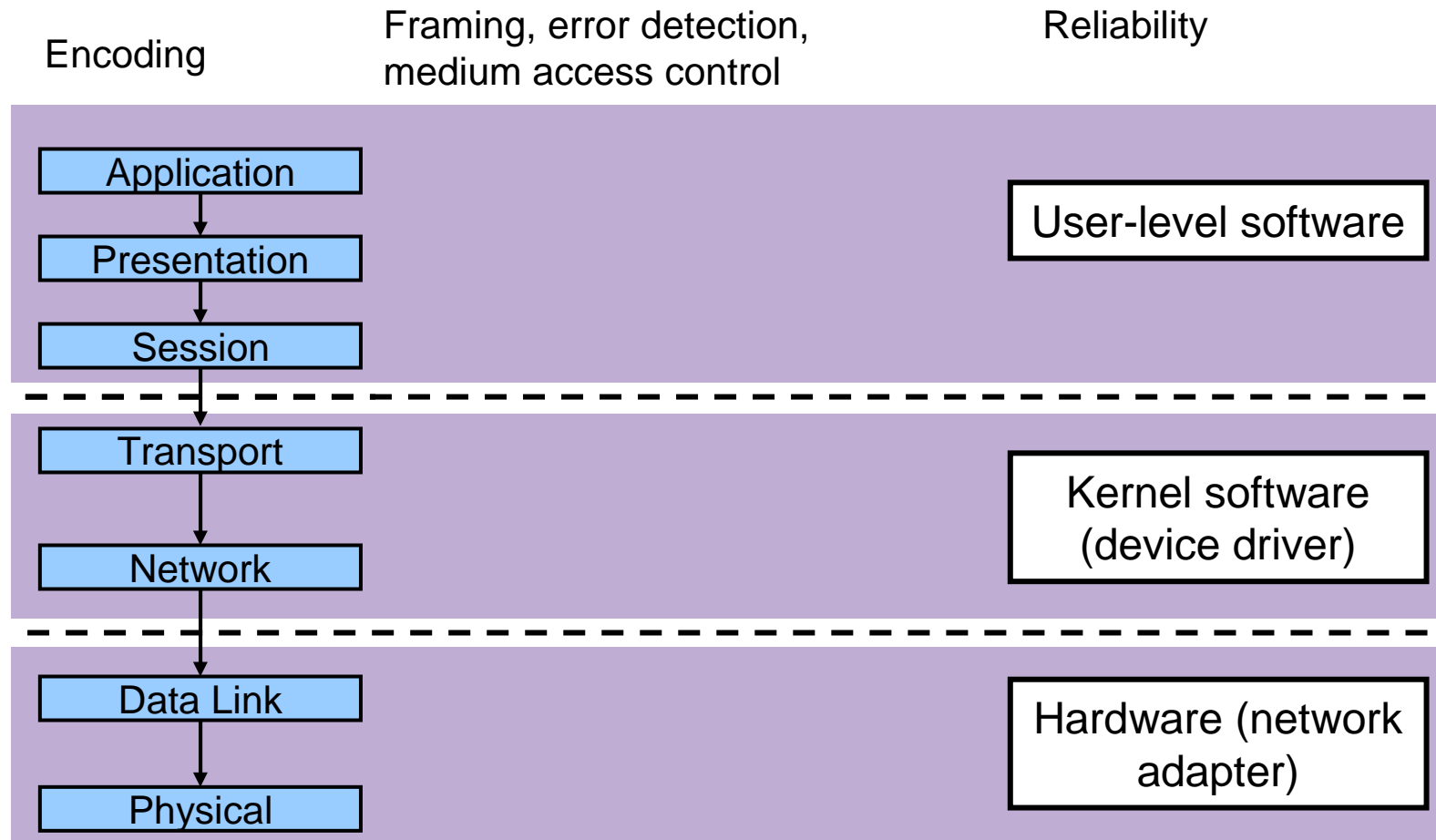
January 29, 2010

# Direct Link Networks

- All hosts are directly connected by a physical medium
- Key points
  - Encoding and Modulation
  - Framing
  - Error Detection
  - Reliable Transmission
  - Medium Access Control



# Internet Protocols



# Direct Link Networks - Outline

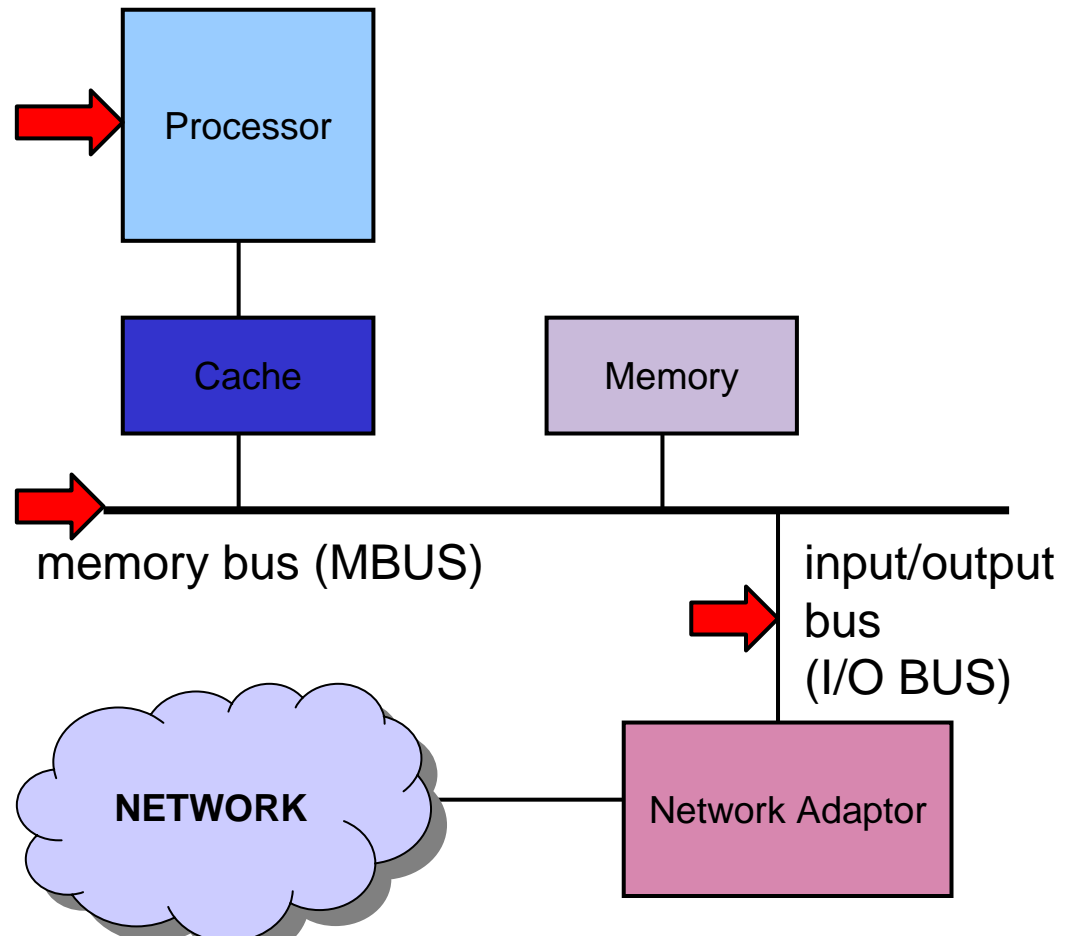
- Hardware building blocks
- Encoding
- Framing
- Error detection
- Reliable transmission
- Multiple access media (MAC examples)
- Network adapters

# Hardware Building Blocks

- Nodes
  - Hosts: general purpose computers
  - Switches: typically special purpose hardware
  - Routers: varied

# Nodes: Workstation Architecture

- Finite memory
  - Scarce resource
- Generally limited by bus speeds, NOT processor speeds



# Hardware Building Blocks

- Links
  - Physical medium carrying
  - Media
    - Copper wire with electronic signaling
    - Glass fiber with optical signaling
    - Wireless with electromagnetic (radio, infrared, microwave) signaling

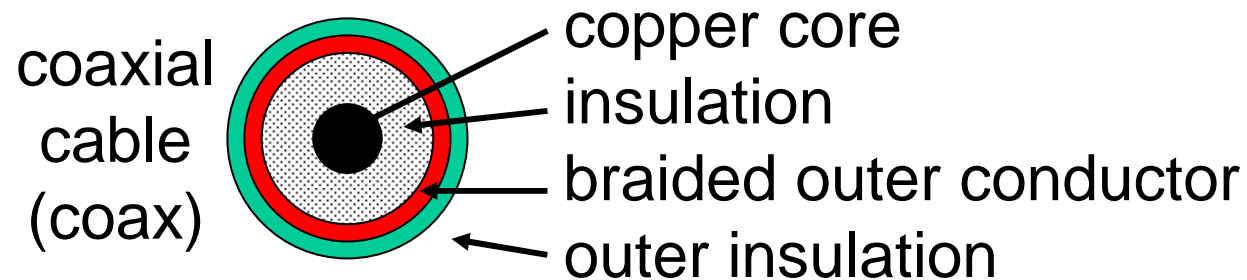
# Links - Copper

- Copper-based Media
  - Category 3 Twisted Pair
  - Category 5 Twisted Pair
  - ThinNet Coaxial Cable
  - ThickNet Coaxial Cable

more twists, less crosstalk, better signal over longer distances

10-100Mbps	100m
10-100Mbps	200m
10-100Mbps	500m

twisted pair 



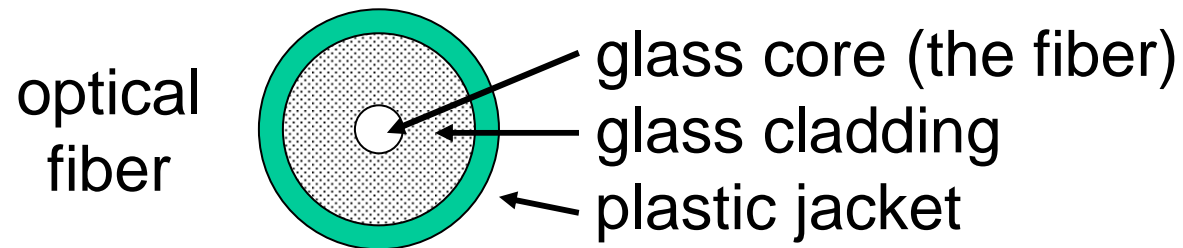
More expensive than twisted pair  
High bandwidth and excellent noise immunity



# Links - Optical

- Optical Media

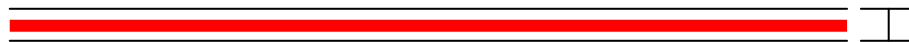
- Multimode Fiber      100Mbps      2km
- Single Mode Fiber    100-2400Mbps    40km



# Links - Optical

- Single mode fiber
  - Expensive to drive (Lasers)
  - Lower attenuation (longer distances)  $\leq 0.5$  dB/km
  - Lower dispersion (higher data rates)
- Multimode fiber
  - Cheap to drive (LED's)
  - Higher attenuation
  - Easier to terminate

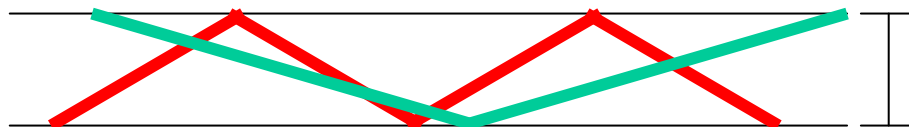
core of single mode fiber



~1 wavelength thick =

~1 micron

core of multimode fiber (same frequency; colors for clarity)



O(100 microns) thick

# Links - Optical

- Advantages of optical communication
  - Higher bandwidths
  - Superior attenuation properties
  - Immune from electromagnetic interference
  - No crosstalk between fibers
  - Thin, lightweight, and cheap (the fiber, not the optical-electrical interfaces)

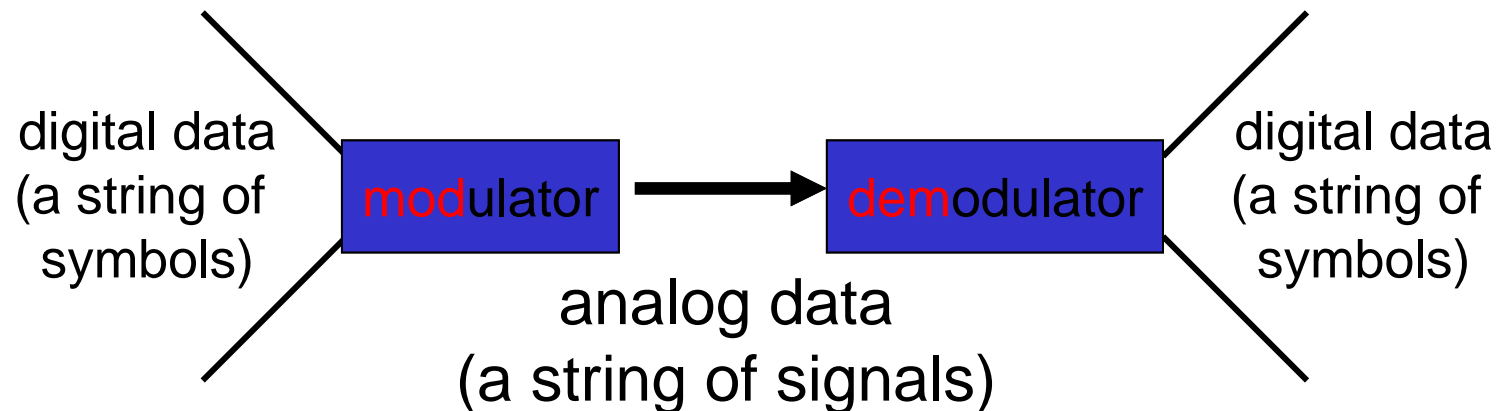
# Leased Lines

- POTS 64Kbps
- ISDN 128Kbps
- ADSL 1.5-8Mbps/16-640Kbps
- Cable Modem 0.5-2Mbps
- DS1/T1 1.544Mbps
- DS3/T3 44.736Mbps
- STS-1 51.840Mbps
- STS-12 (ATM rate) 622.080Mbps (ATM)
- OC-48 2.5 Gbps
- OC-192 10 Gbps

# Wireless

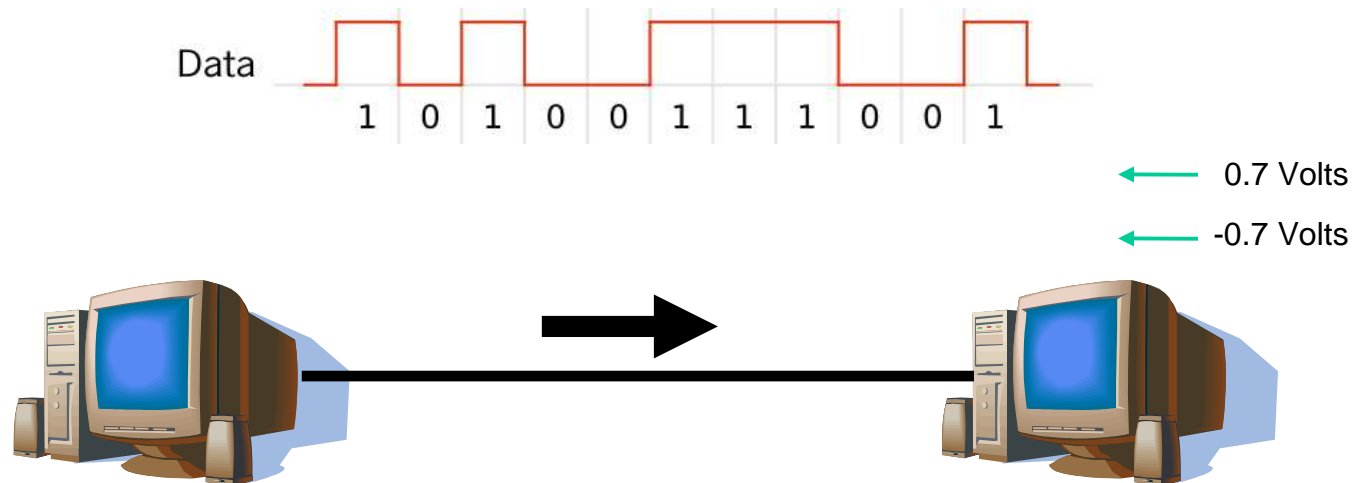
- Cellular
  - AMPS 13Kbps 3km
  - PCS, GSM 300Kbps 3km
- Wireless Local Area Networks (WLAN)
  - Infrared 4Mbps 10m
  - 900Mhz 2Mbps 150m
  - 2.4GHz 2Mbps 150m
  - 2.4Ghz 11Mbps 80m
  - 2.4Ghz 54Mbps 75m
  - 5Ghz 54Mbps 30m
  - Bluetooth 700Kbps 10m
- Satellites
  - Geosynchronous satellite 600-1000 Mbps continent
  - Low Earth orbit (LEO) ~400 Mbps world

# Encoding



- Problems with signal transmission
  - Attenuation: Signal power absorbed by medium
  - Dispersion: A discrete signal spreads in space
  - Noise: Random background "signals"

# How can two hosts communicate?



- Encode information on modulated “Carrier signal”
  - Phase, frequency, and amplitude modulation, and combinations thereof
  - Ethernet: self-clocking Manchester coding ensures one transition per clock
  - Technologies: copper, optical, wireless

# Encoding

- Goal
  - Understand how to connect nodes in such a way that bits can be transmitted from one node to another
- Idea
  - The physical medium is used to propagate signals
    - Modulate electromagnetic waves
    - Vary voltage, frequency, wavelength
  - Data is encoded in the signal



# Analog vs. Digital Transmission

- **Analog** and **digital** correspond roughly to **continuous** and **discrete**
- Data: entities that convey meaning
  - **Analog**: continuously varying patterns of intensity (e.g., voice and video)
  - **Digital**: discrete values (e.g., integers, ASCII text)
- Signals: electric or electromagnetic encoding of data
  - **Analog**: continuously varying electromagnetic wave
    - May be propagated over a variety of medium
  - **Digital**: sequence of voltage pulses
    - May be transmitted over a wire medium

# Analog vs. Digital Transmission

- Advantages of digital transmission over analog
  - Cheaper
  - Suffers more attenuation
    - But reasonably low-error rates over arbitrary distances
    - Calculate/measure effects of transmission problems
    - Periodically interpret and regenerate signal
  - Simpler for multiplexing distinct data types (audio, video, e-mail, etc.)
  - Easier to encrypt
- Two examples based on modulator-demodulators (modems)
  - Electronic Industries Association (EIA) standard: RS-232
  - International Telecommunications Union (ITU) V.32 9600 bps modem standard

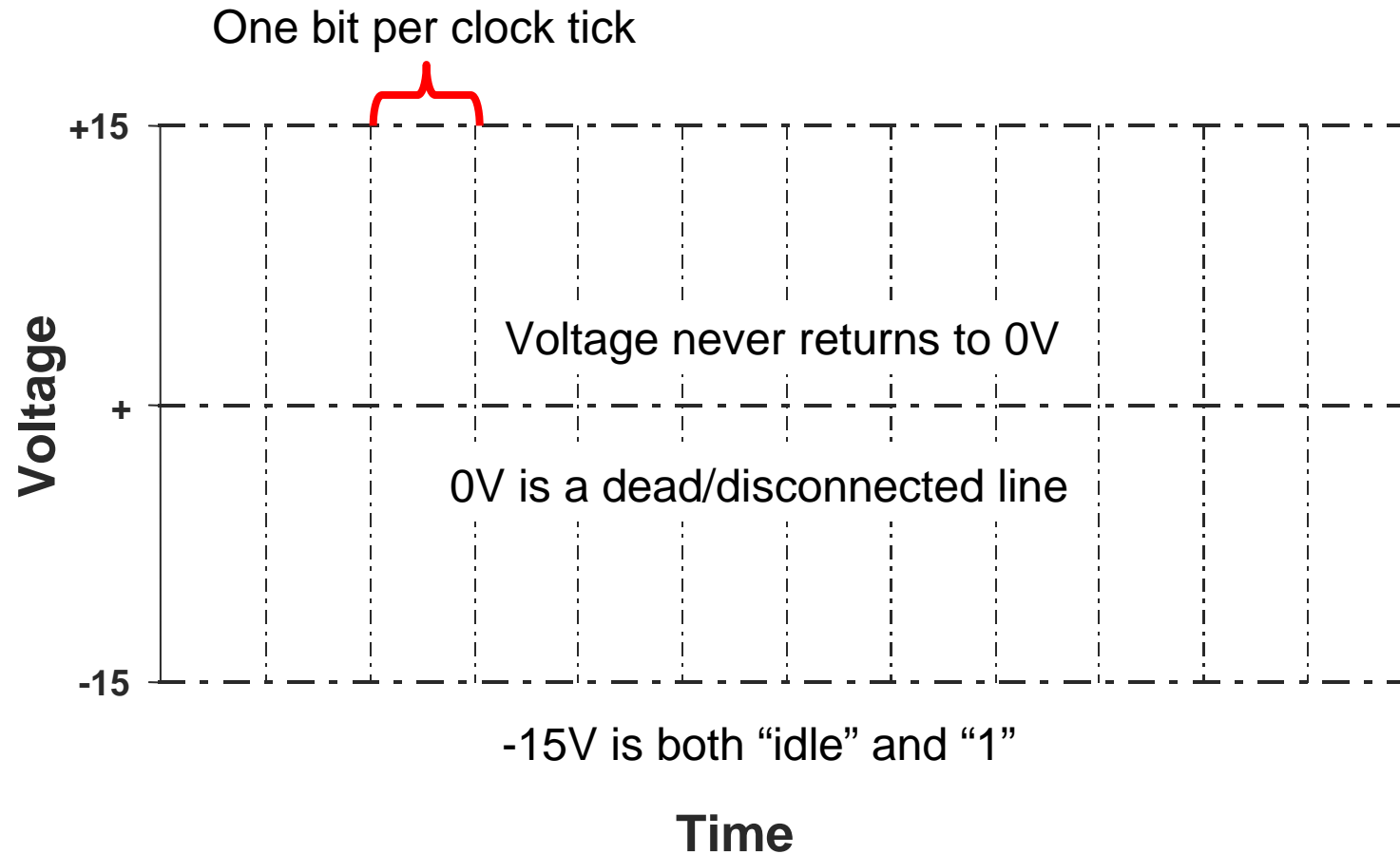
# Bauds and Bits

- Baud rate
  - Number of symbols transmitted per second
- Bit rate
  - Actual number of bits transmitted per second
- Relationship
  - Depends on the number of bits encoded in each symbol

# RS-232

- Communication between computer and modem
- Uses two voltage levels (+15V, -15V), a binary voltage encoding
- Data rate limited to 19.2 kbps (RS-232-C); raised in later standards
- Characteristics
  - Serial
    - One signaling wire, one bit at a time
  - Asynchronous
    - Line can be idle, clock generated from data
  - Character-based
    - Send data in 7- or 8-bit characters

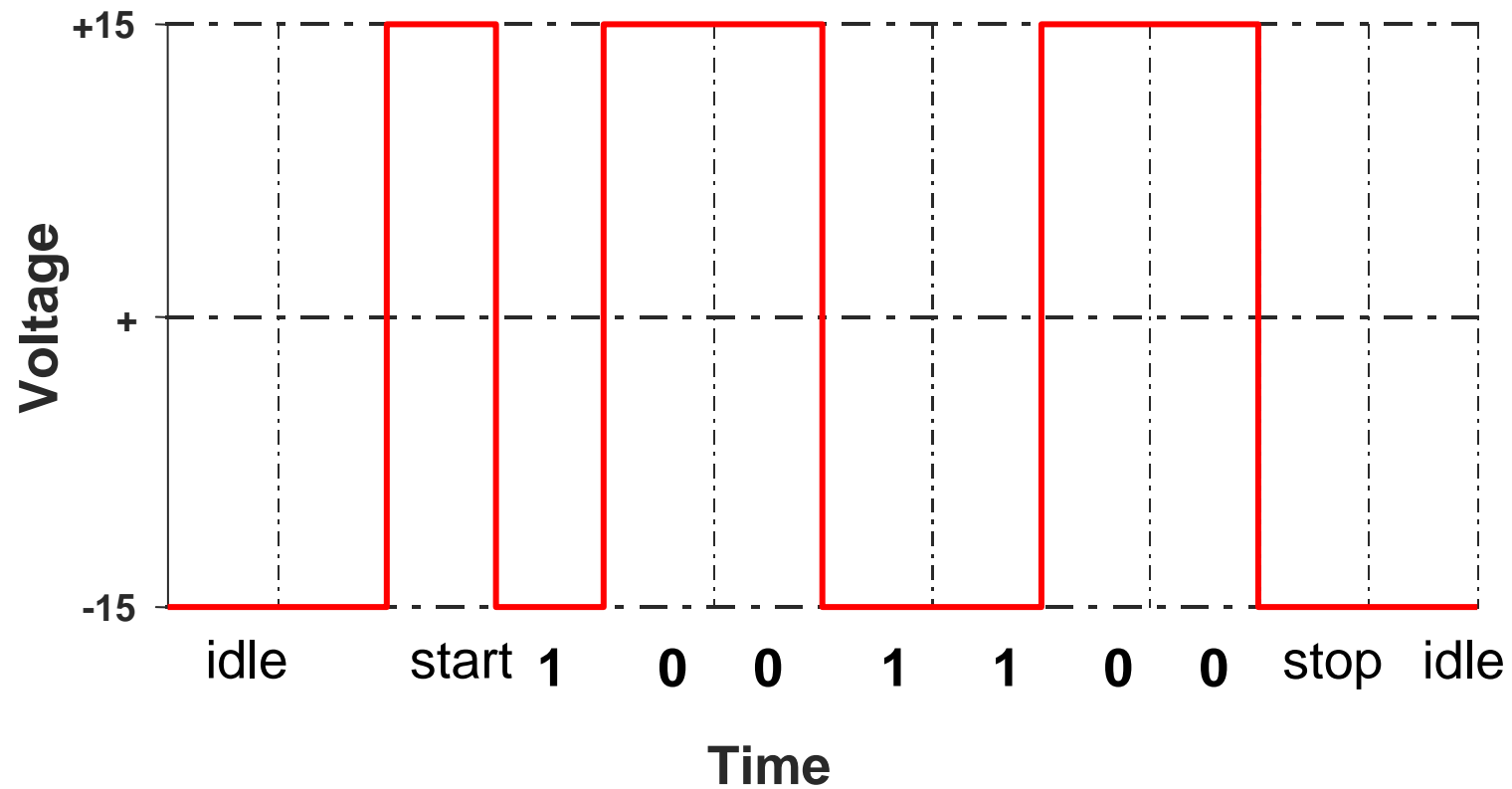
# RS-232 Timing Diagram



# RS-232

- Initiate send by
  - Push to 15V for one clock (start bit)
- Minimum delay between character transmissions
  - Idle for one clock at -15V (stop bit)
- One character
  - 2+ voltage transitions
- Total Bits
  - 9 bits for 7 bits of data (78% efficient)
- Start and stop bits also provide framing

# RS-232 Timing Diagram



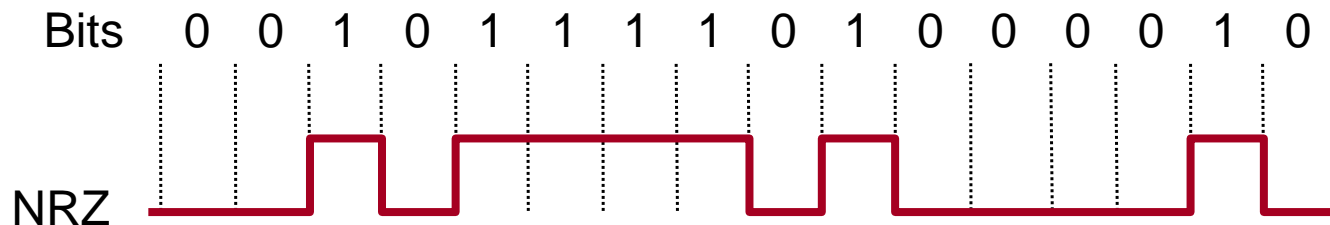
# Voltage Encoding

- Binary voltage encoding
  - Done with RS-232 example
  - Generalize before continuing with V.32 (not a binary voltage encoding)
- Common binary voltage encodings
  - Non-return to zero (NRZ)
  - NRZ inverted (NRZI)
  - Manchester (used by IEEE 802.3—10 Mbps Ethernet)
  - 4B/5B



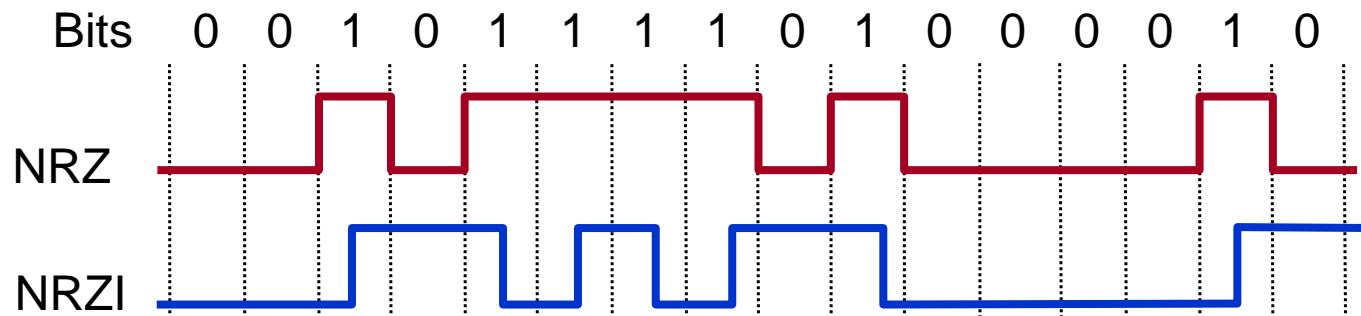
# Non-Return to Zero (NRZ)

- Signal to Data
  - High  $\Rightarrow$  1
  - Low  $\Rightarrow$  0
- Comments
  - Transitions maintain clock synchronization
  - Long strings of 0s confused with no signal
  - Long strings of 1s causes baseline wander
  - Both inhibit clock recovery



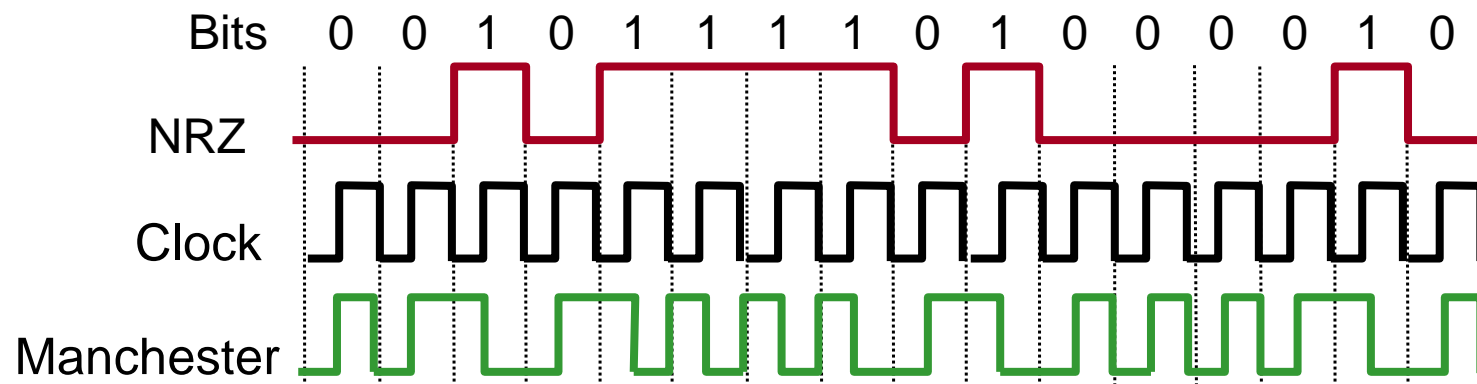
# Non-Return to Zero Inverted (NRZI)

- Signal to Data
  - Transition  $\Rightarrow$  1
  - Maintain  $\Rightarrow$  0
- Comments
  - Solves series of 1s, but not 0s



# Manchester Encoding

- Signal to Data
  - XOR NRZ data with clock
  - High to low transition  $\Rightarrow$  1
  - Low to high transition  $\Rightarrow$  0
- Comments
  - (used by IEEE 802.3—10 Mbps Ethernet)
  - Solves clock recovery problem
  - Only 50% efficient (  $\frac{1}{2}$  bit per transition)



# 4B/5B

- Signal to Data
  - Encode every 4 consecutive bits as a 5 bit symbol
- Symbols
  - At most 1 leading 0
  - At most 2 trailing 0s
  - Never more than 3 consecutive 0s
  - Transmit with NRZI
- Comments
  - 16 of 32 possible codes used for data
  - At least two transitions for each code
  - 80% efficient

# 4B/5B – Data Symbols

At most 1 leading 0

- 0000  $\Rightarrow$  11110
- 0001  $\Rightarrow$  01001
- 0010  $\Rightarrow$  10100
- 0011  $\Rightarrow$  10101
- 0100  $\Rightarrow$  01010
- 0101  $\Rightarrow$  01011
- 0110  $\Rightarrow$  01110
- 0111  $\Rightarrow$  01111

At most 2 trailing 0s

- 1000  $\Rightarrow$  10010
- 1001  $\Rightarrow$  10011
- 1010  $\Rightarrow$  10110
- 1011  $\Rightarrow$  10111
- 1100  $\Rightarrow$  11010
- 1101  $\Rightarrow$  11011
- 1110  $\Rightarrow$  11100
- 1111  $\Rightarrow$  11101

# 4B/5B – Control Symbols

- 11111  $\Rightarrow$  idle
- 11000  $\Rightarrow$  start of stream 1
- 10001  $\Rightarrow$  start of stream 2
- 01101  $\Rightarrow$  end of stream 1
- 00111  $\Rightarrow$  end of stream 2
- 00100  $\Rightarrow$  transmit error
- Other  $\Rightarrow$  invalid

- 
- *Handout: Problem 1*

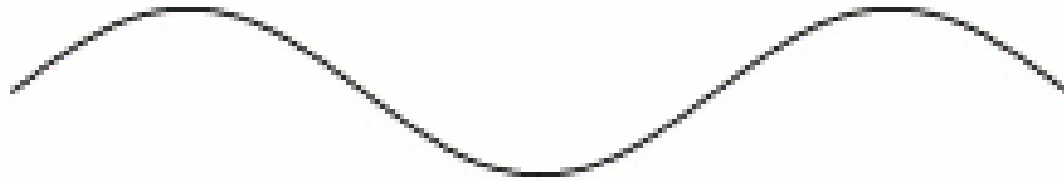
# Binary Voltage Encodings

- Problem with binary voltage (square wave) encodings
  - Wide frequency range required, implying
    - Significant dispersion
    - Uneven attenuation
  - Prefer to use narrow frequency band (carrier frequency)
- Types of modulation
  - Amplitude (AM)
  - Frequency (FM)
  - Phase/phase shift
  - Combinations of these

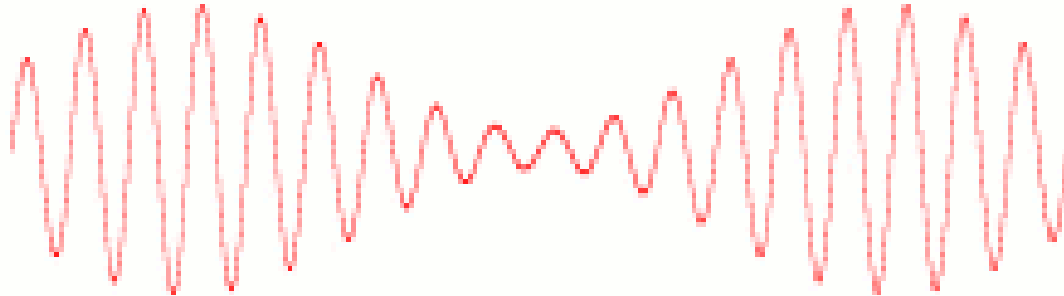


# Example: AM/FM for continuous signal

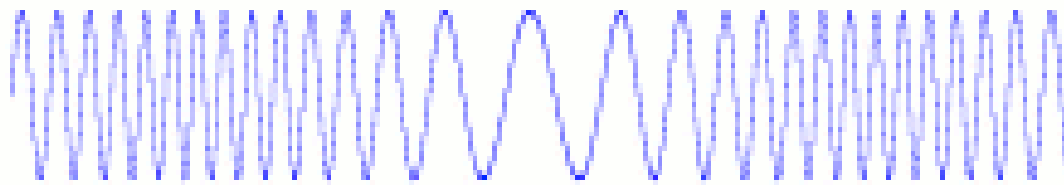
- Original signal



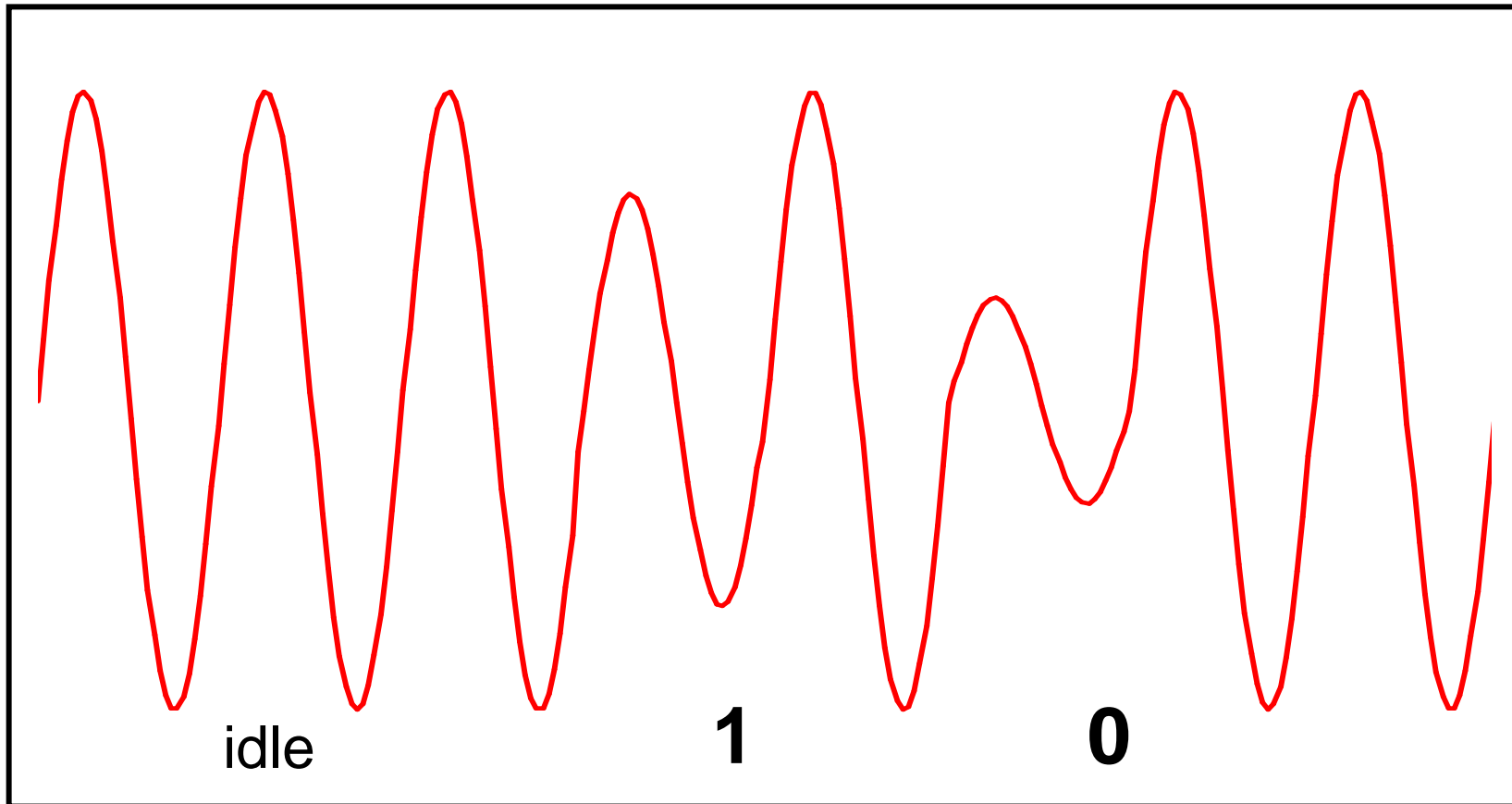
- Amplitude modulation



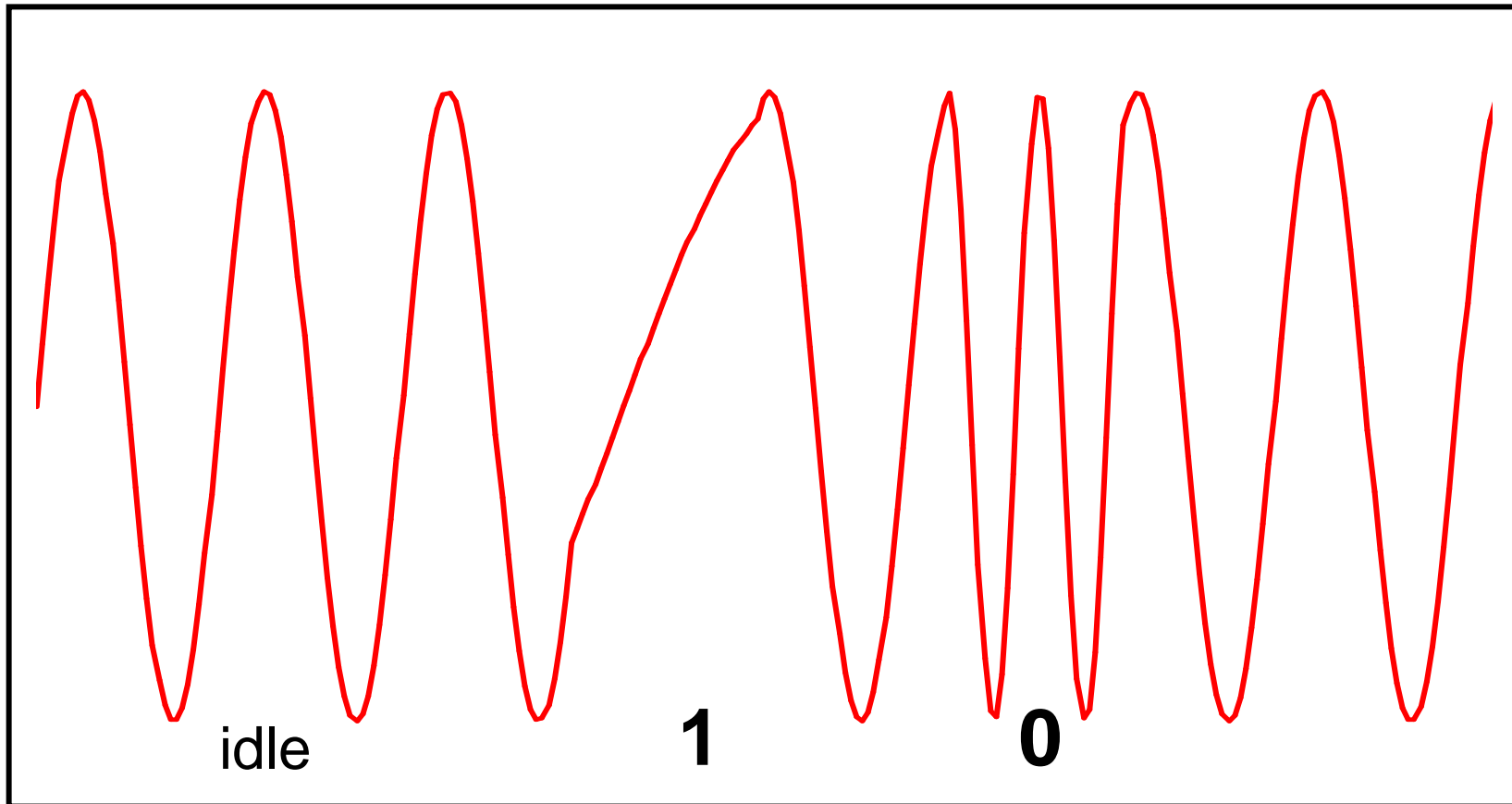
- Frequency modulation



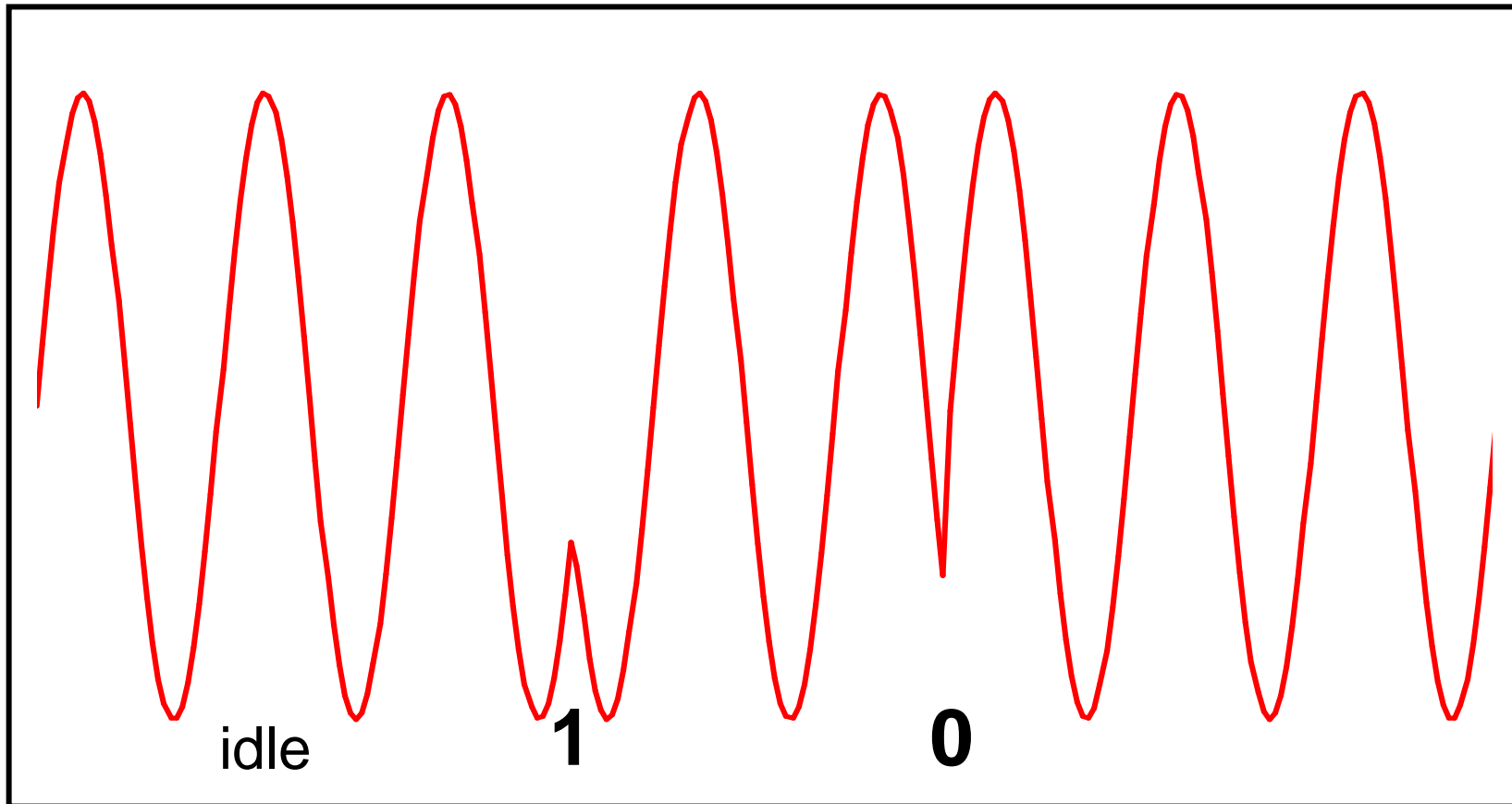
# Amplitude Modulation



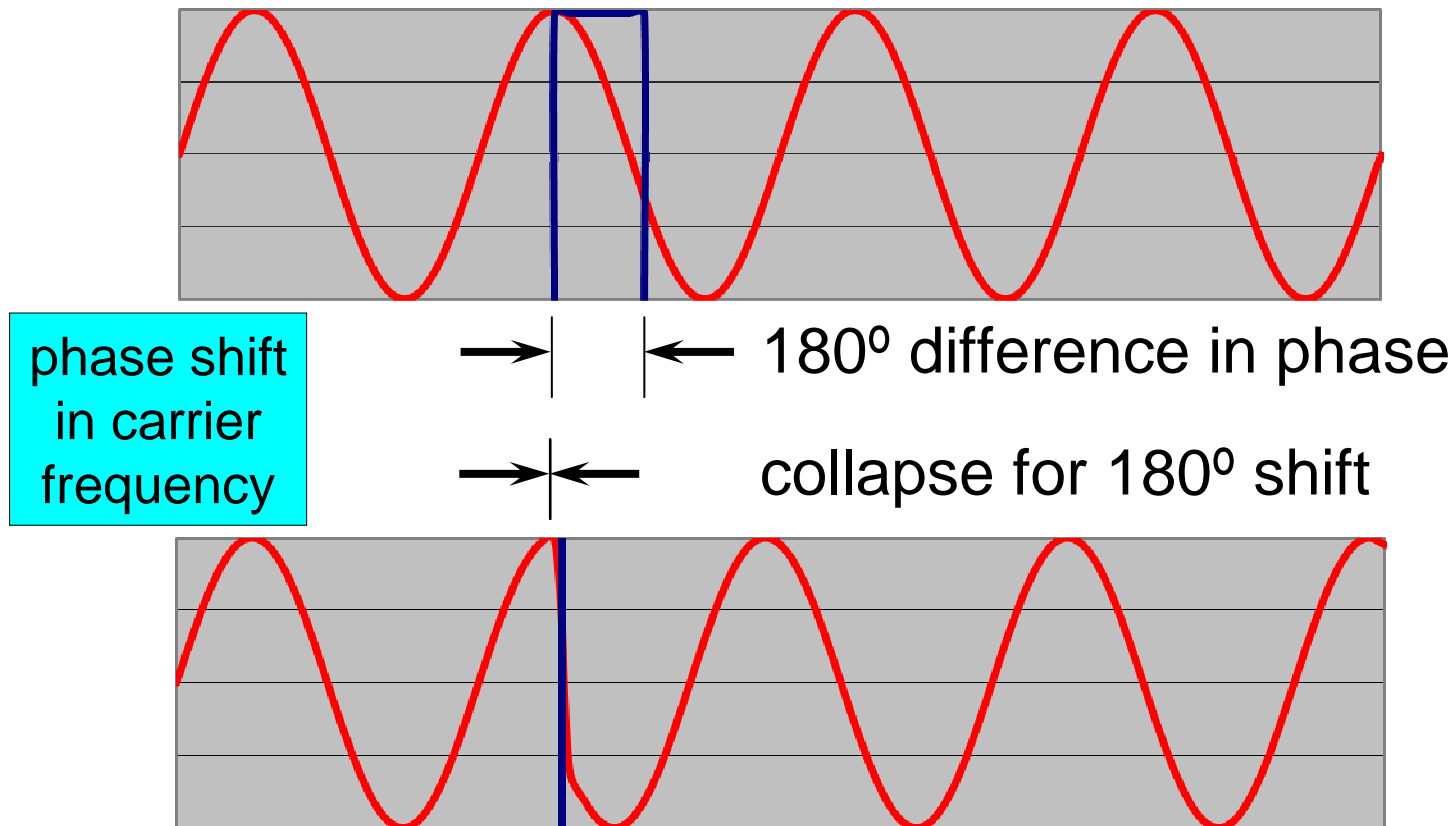
# Frequency Modulation



# Phase Modulation



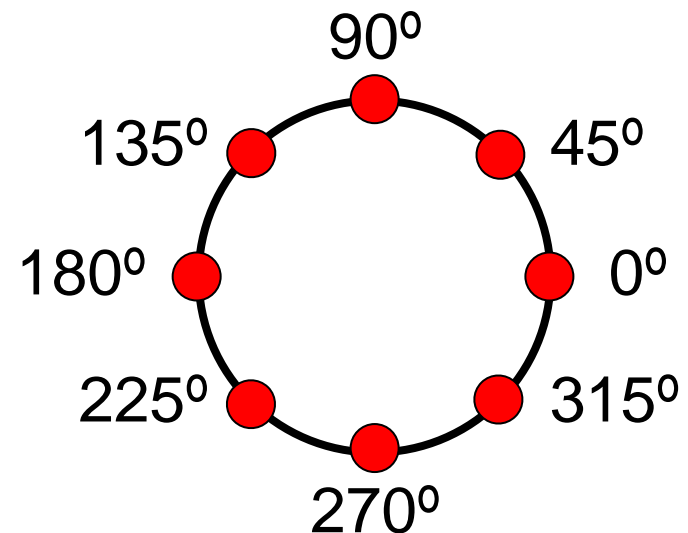
# Phase Modulation



# Phase Modulation Algorithm

- Send carrier frequency for one period
  - Perform phase shift
  - Shift value encodes symbol
    - Value in range  $[0, 360^\circ)$
    - Multiple values for multiple symbols
    - Represent as circle

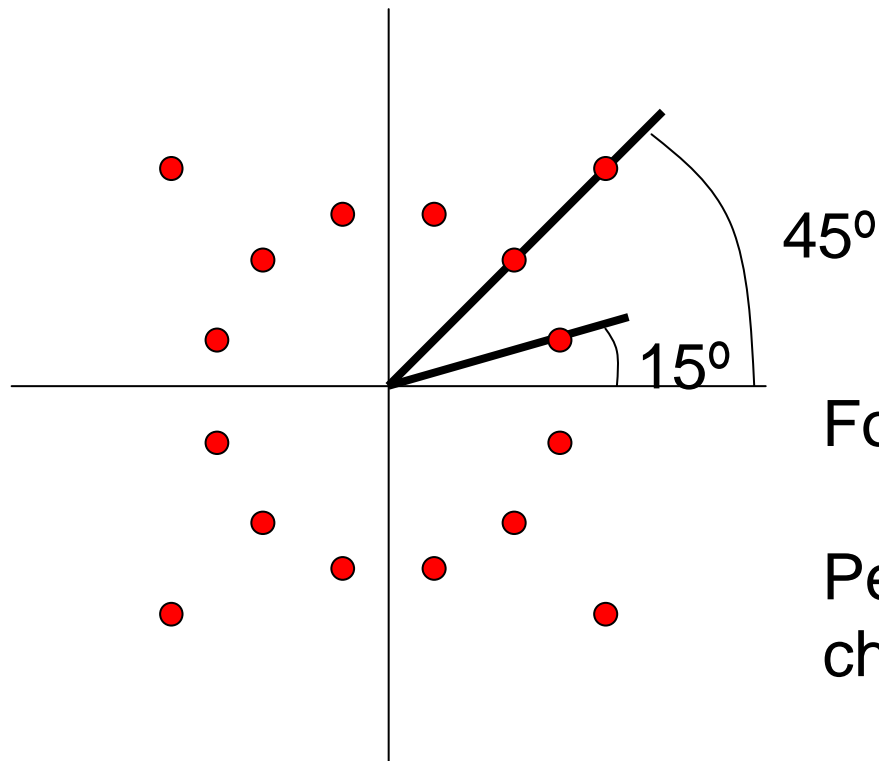
8-symbol  
example



# V.32 9600 bps

- Communication between modems
- Analog phone line
- Uses a combination of amplitude and phase modulation
  - Known as Quadrature Amplitude Modulation (QAM)
- Sends one of 16 signals each clock cycle

# Constellation Pattern for V.32 QAM



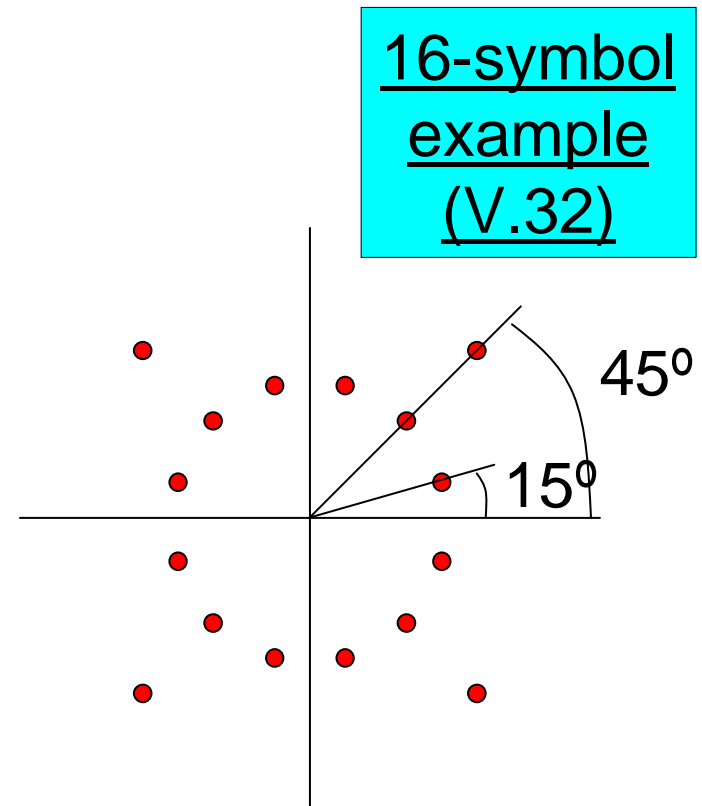
For a given symbol:

Perform phase shift and  
change to new amplitude

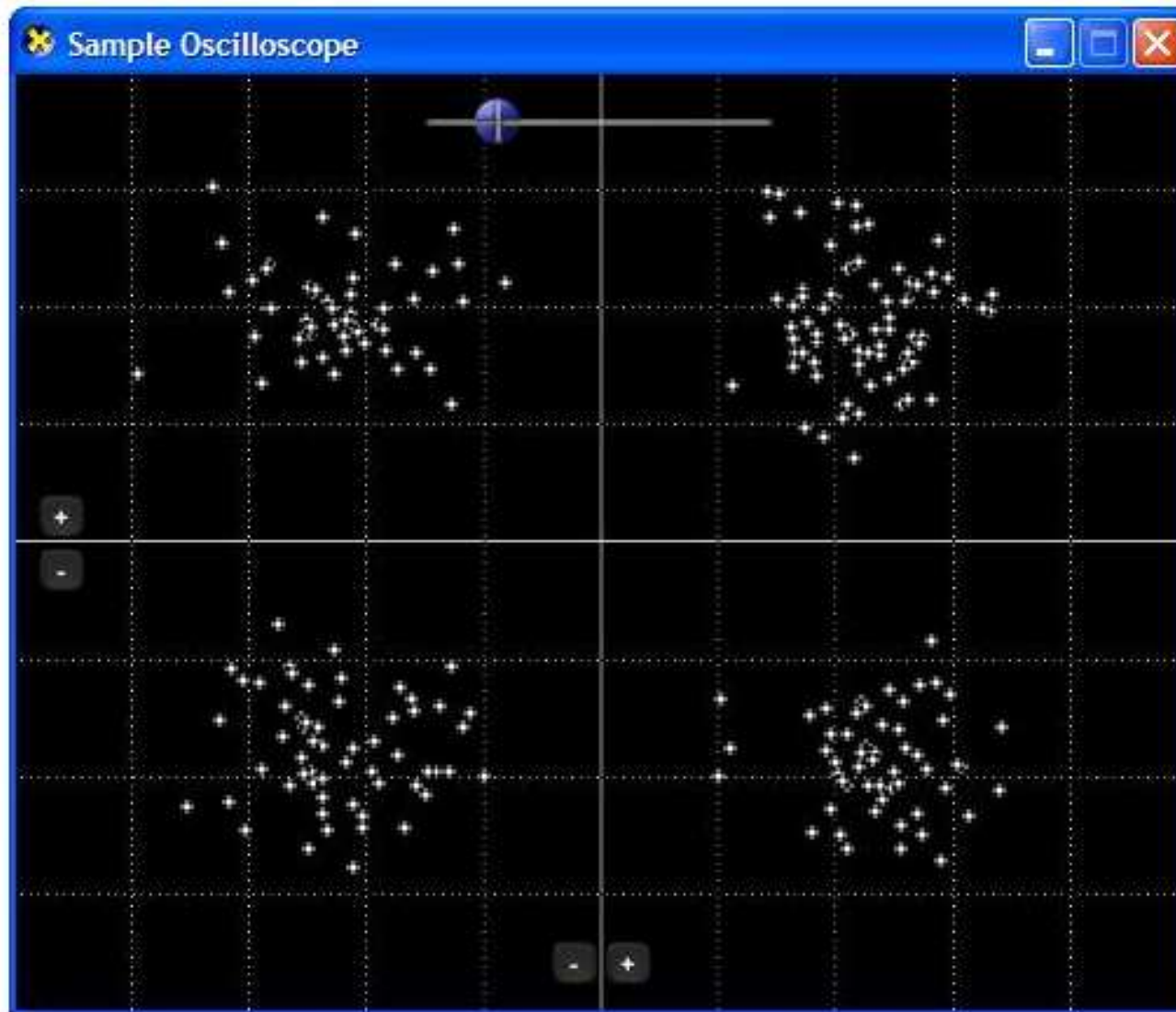


# Quadrature Amplitude Modulation (QAM)

- Same algorithm as phase modulation
- Can also change signal amplitude
- 2-dimensional representation
  - Angle is phase shift
  - Radial distance is new amplitude



# Example constellation



# Comments on V.32

- V.32 transmits at 2400 baud
  - *i.e.*, 2,400 symbols per second
- Each symbol contains
  - $\log_2 16 = 4$  bits
- Data rate
  - $4 \times 2400 = 9600$  bps
- Points in constellation diagram
  - Chosen to maximize error detection
  - Process called trellis coding

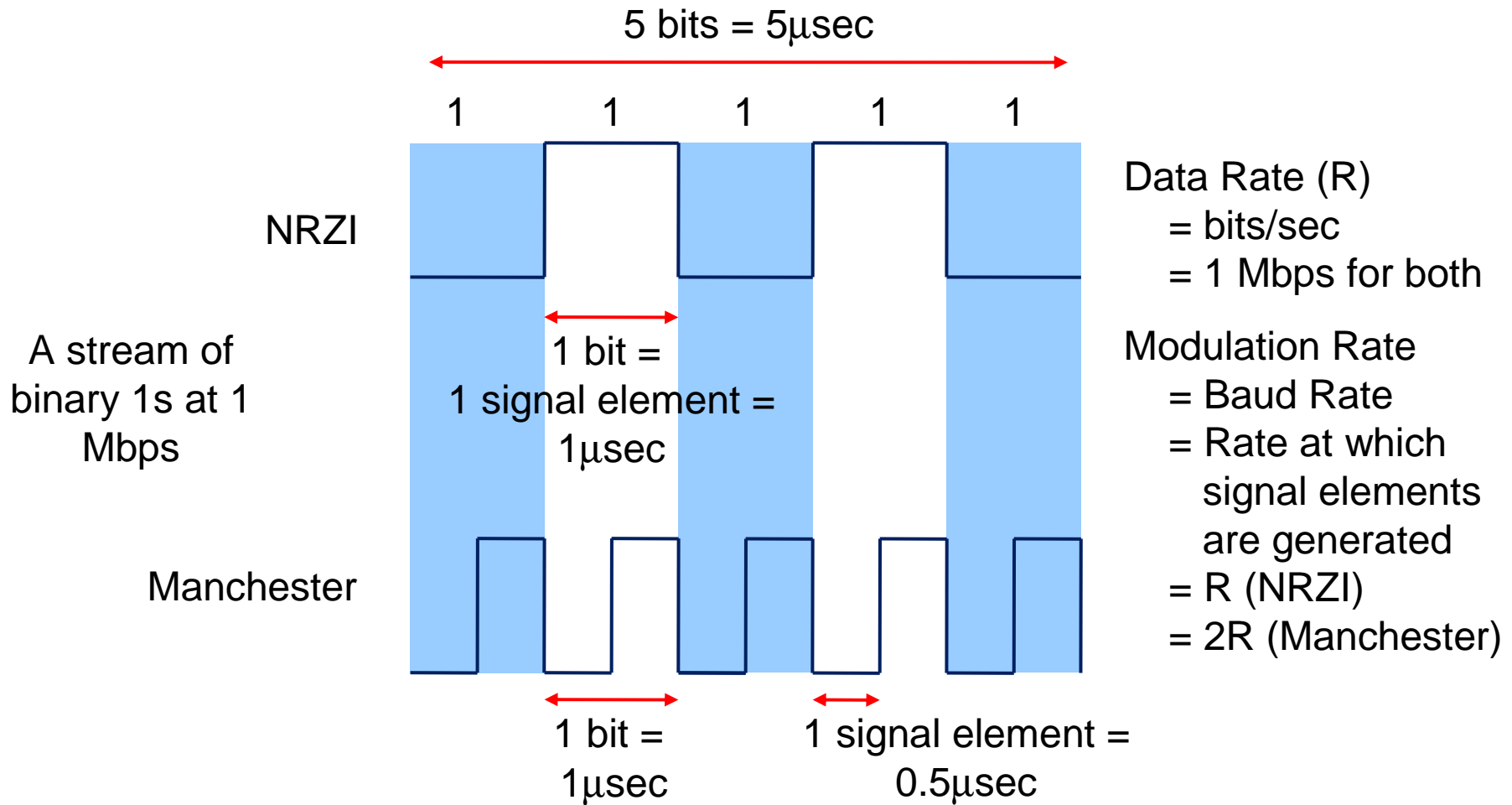
# Generalizing the Examples

- What limits baud rate?
- What data rate can a channel sustain?
- How is data rate related to bandwidth?
- How does noise affect these bounds?
- What else can limit maximum data rate?

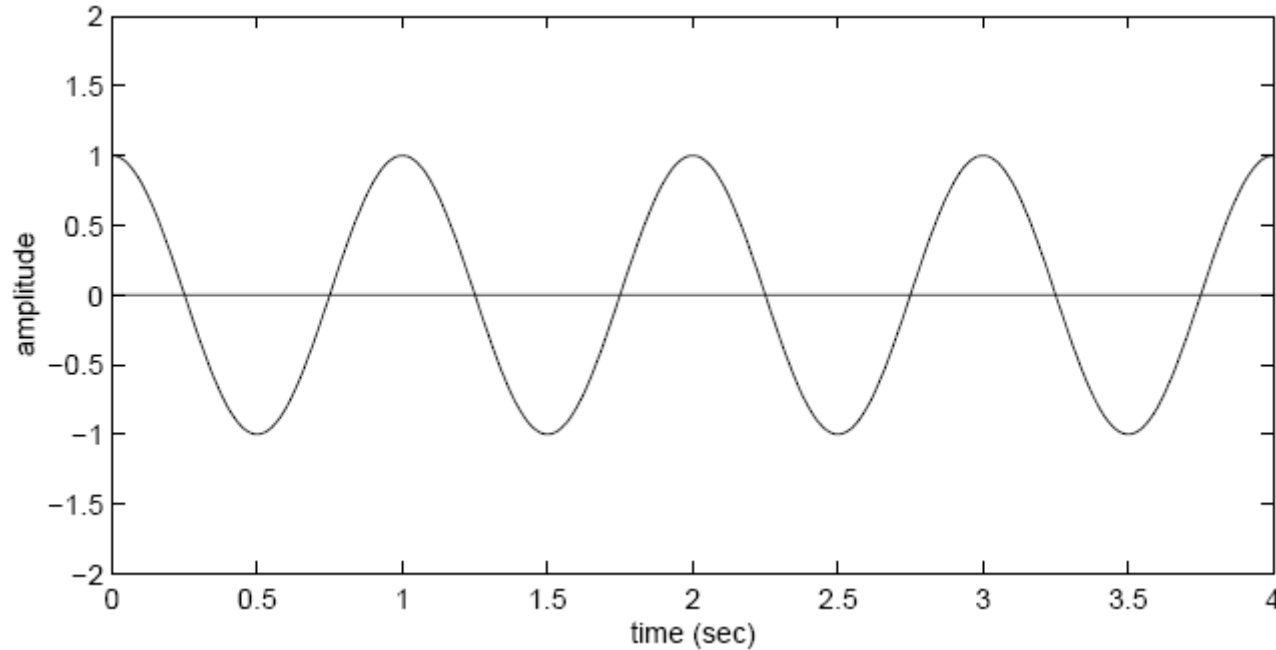
# What Limits Baud Rate?

- Baud rate
  - Typically limited by electrical signaling properties
- Changing voltages takes time
  - No matter how small the voltage or how short the wire
- Electronics
  - Slow compared to optics
- Note
  - Baud rate can be as high as twice the frequency (bandwidth) of communication
  - One cycle can contain two symbols

# Modulation Rate

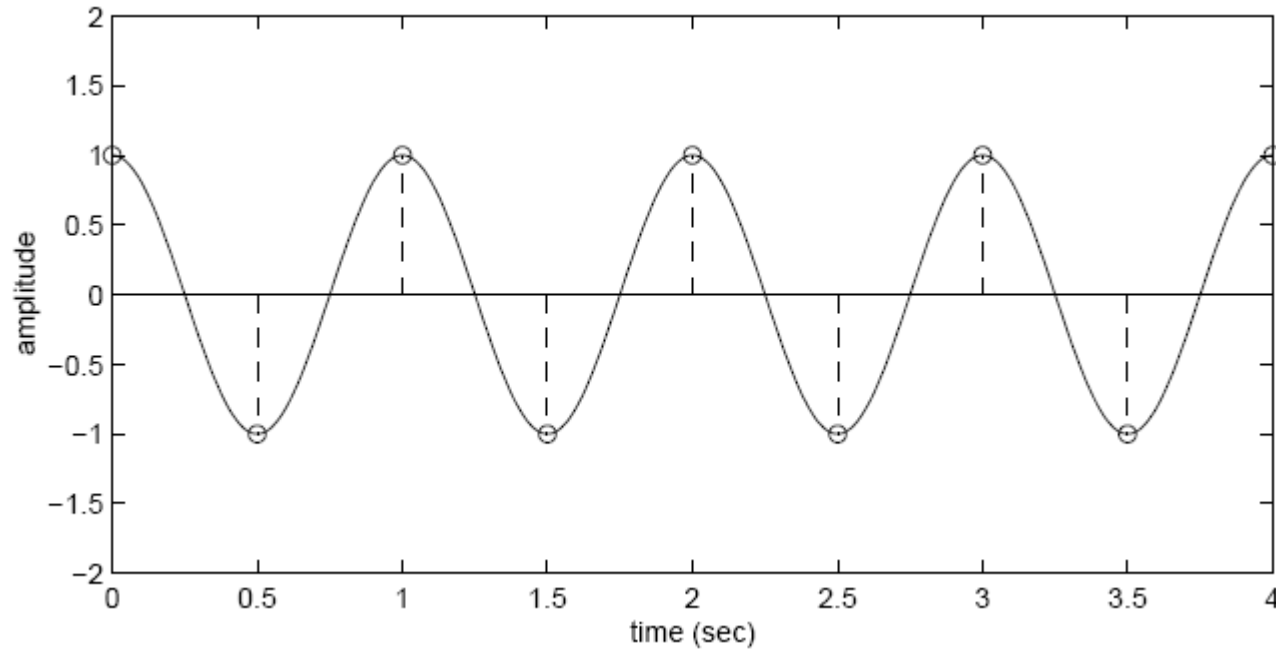


# Sampling



- Suppose you have the following 1Hz signal being received
- How fast to sample, to capture the signal?

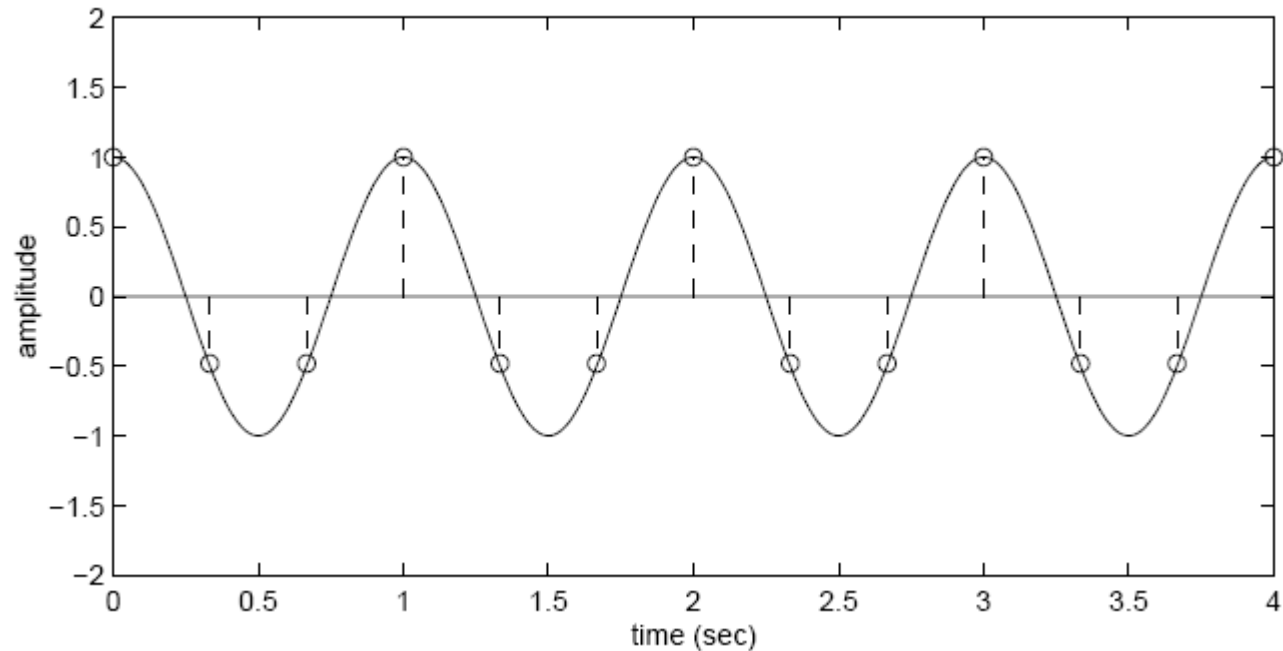
# Sampling



- Sampling a 1 Hz signal at 2 Hz is enough
  - Captures every peak and trough

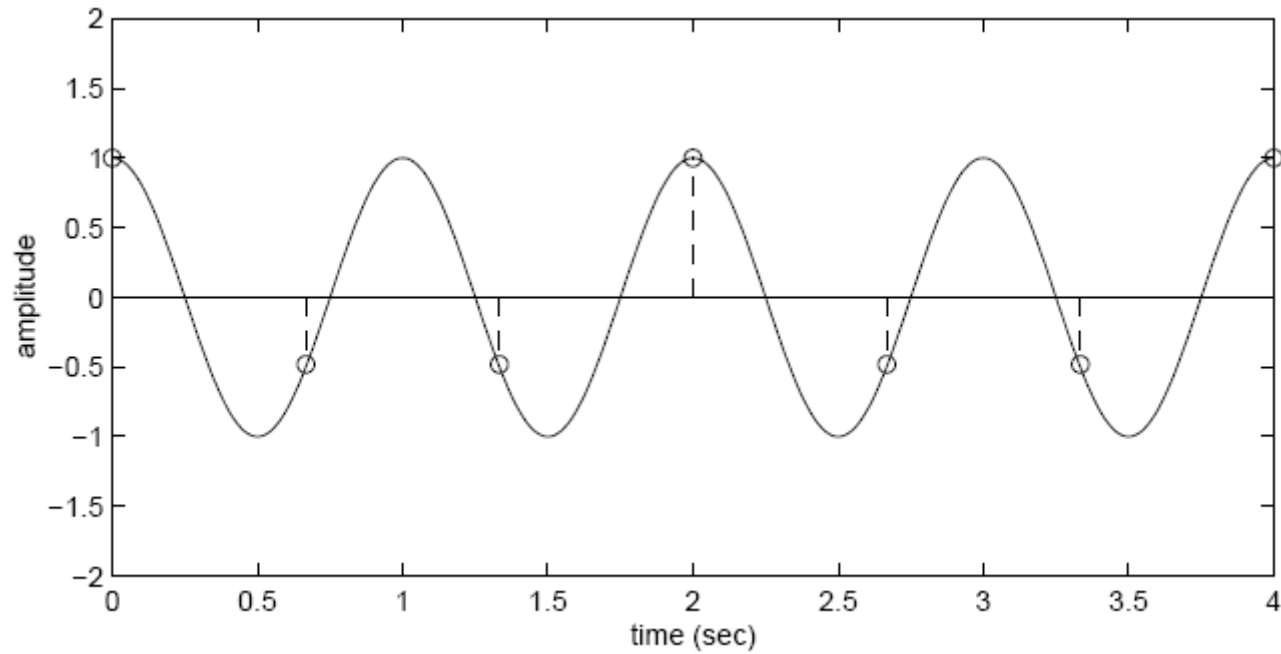


# Sampling

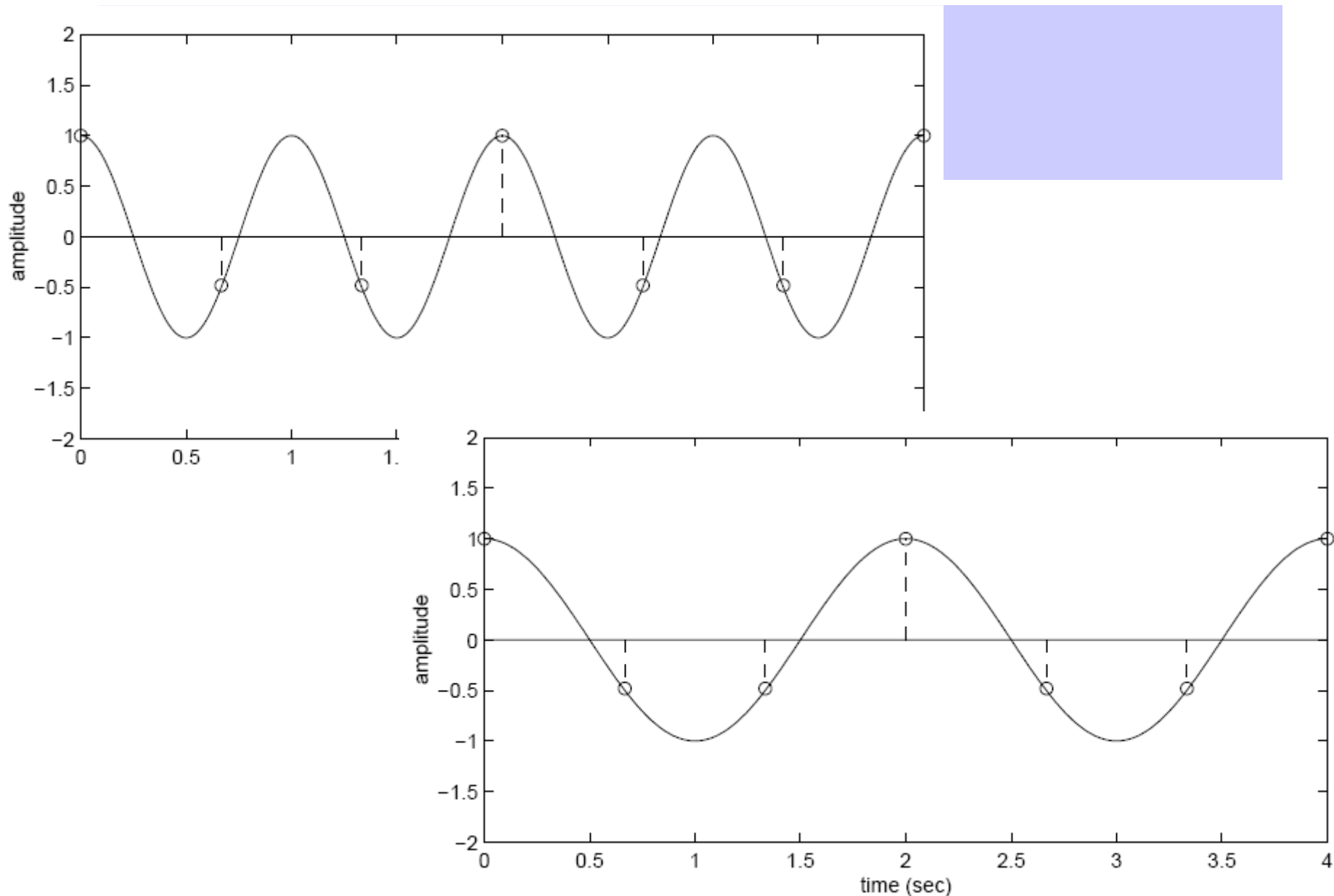


- Sampling a 1 Hz signal at 3 Hz is also enough
  - In fact, more than enough samples to capture variation in signal

# Sampling

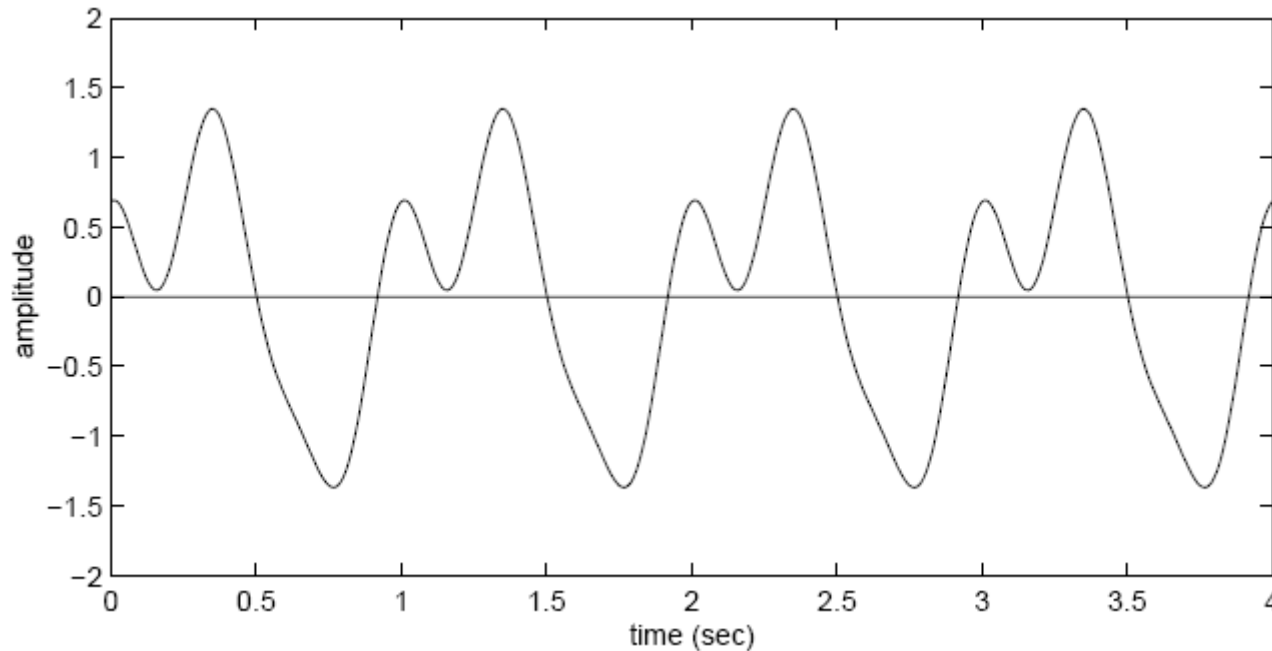


- Sampling a 1 Hz signal at 1.5 Hz is not enough
  - Why?



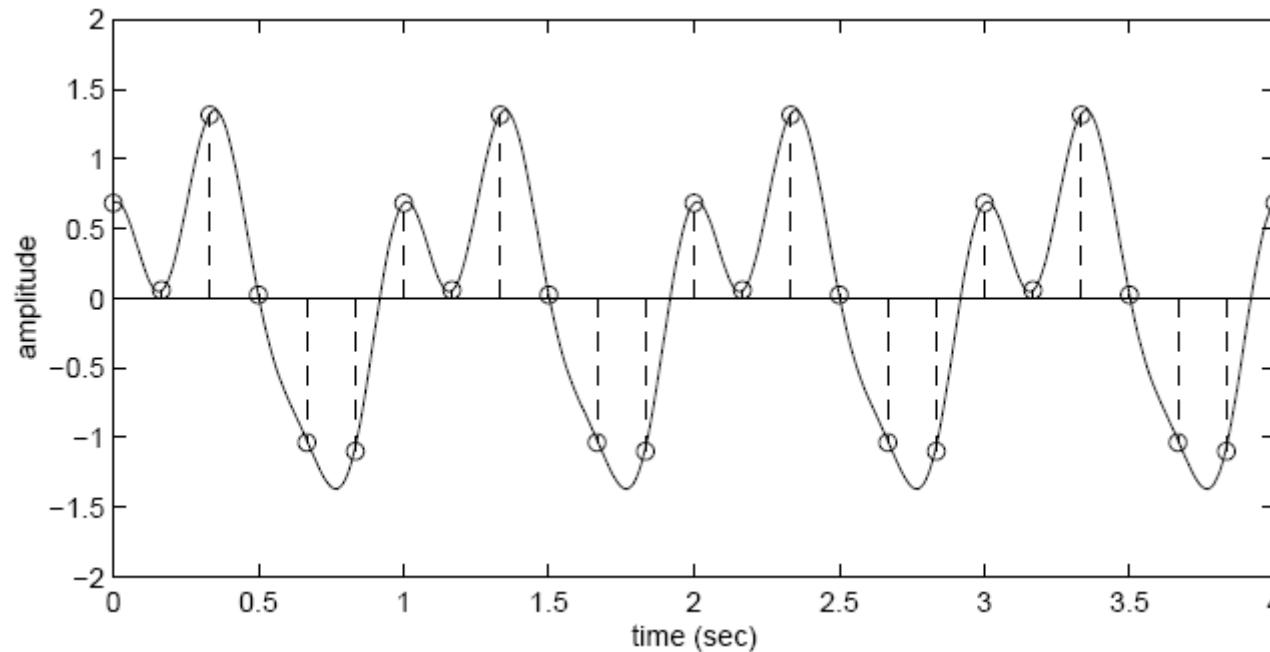
- Sampling a 1 Hz signal at 1.5 Hz is not enough
  - Not enough samples, can't distinguish between multiple possible signals

# What about more complex signals?



- Fourier's theorem: any continuous signal can be decomposed into a sum of sines and cosines at different frequencies
- Example: Sum of 1 Hz, 2 Hz, and 3 Hz sines
  - How fast to sample?

# What about more complex signals?



- Fourier's theorem: any continuous signal can be decomposed into a sum of sines and cosines at different frequencies
- Example: Sum of 1 Hz, 2 Hz, and 3 Hz sines
  - How fast to sample? --> **answer: 6 Hz**

## What Data Rate can a Channel Sustain? How is Data Rate Related to Bandwidth?

- Transmitting  $N$  distinct signals over a noiseless channel with bandwidth  $B$ , we can achieve at most a data rate of

$$2B \log_2 N$$

- Nyquist's Sampling Theorem (H. Nyquist, 1920's)
  - Sampling rate =  $2B$
  - A higher sampling rate is pointless because higher frequency signals have been filtered out

# Noiseless Capacity

- Nyquist's theorem:  $2B \log_2 N$
- Example 1: sampling rate of a phone line
  - $B = 4000$  Hz
  - $2B = 8000$  samples/sec.
    - sample every 125 microseconds
- Example 2: noiseless capacity
  - $B = 1200$  Hz
  - $N =$  each pulse encodes 16 levels
  - $C = 2B \log_2 (N) = D \times \log_2 (N)$   
 $= 2400 \times 4 = 9600$  bps.

# What can Limit Maximum Data Rate?

- Noise
  - E.g., thermal noise (in-band noise) can blur symbols
- Transitions between symbols
  - Introduce high-frequency components into the transmitted signal
  - Such components cannot be recovered (by Nyquist's Theorem), and some information is lost
- Examples
  - Phase modulation
    - Single frequency (with different phases) for each symbol
    - Transitions can require very high frequencies



# How does Noise affect these Bounds?

- In-band (thermal, not high-frequency) noise
  - Blurs the symbols, reducing the number of symbols that can be reliably distinguished.
- Claude Shannon (1948)
  - Extended Nyquist's work to channels with additive white Gaussian noise (a good model for thermal noise)  
channel capacity  $C = B \log_2 (1 + S/N)$

B is the channel bandwidth

S/N is the ratio between

the average signal power and

the average in-band noise power

# Noisy Capacity

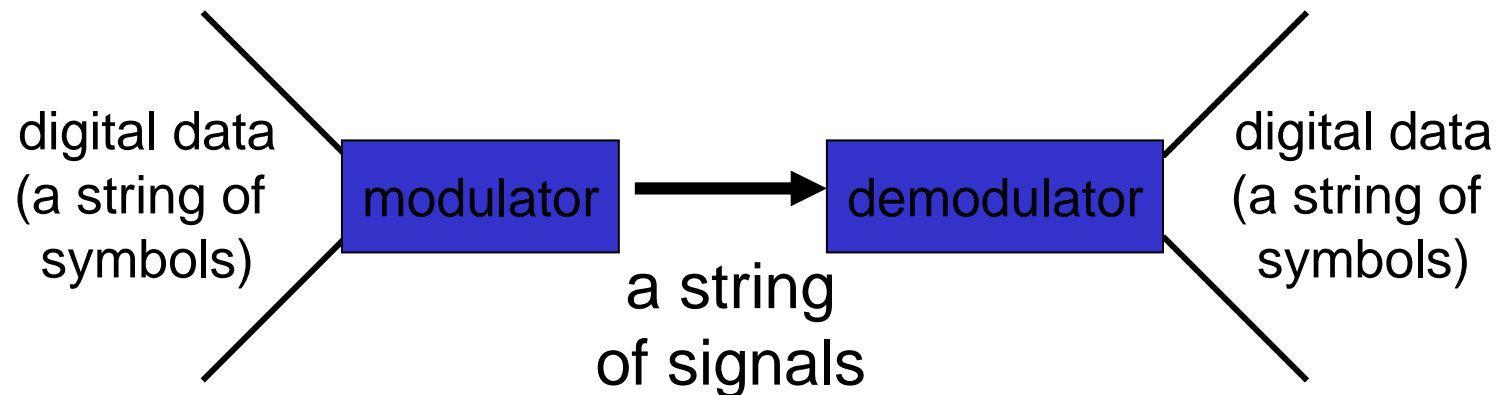
- Telephone channel
  - 3400 Hz at 40 dB SNR
  - $C = B \log_2 (1+S/N)$  bits/s
  - SNR = 40 dB
    - $40 = 10 \log_{10} (S/N)$
    - $S/N = 10,000$
  - $C = 3400 \log_2 (10001) = 44.8$  kbps

$$\text{SNR(dB)} = 10 \log_{10} \left( \frac{P_{\text{signal}}}{P_{\text{noise}}} \right)$$

# Summary of Encoding

- Problems
  - Attenuation, dispersion, noise
- Digital transmission allows periodic regeneration
- Variety of binary voltage encodings
  - High frequency components limit to short range
  - More voltage levels provide higher data rate
- Carrier frequency and modulation
  - Amplitude, frequency, phase, and combinations
  - Quadrature amplitude modulation: amplitude and phase, many signals
- Nyquist (noiseless) and Shannon (noisy) limits on data rates

# Framing



- Encoding translates symbols to signals
- Framing demarcates units of transfer
  - Separates continuous stream of bits into frames
  - Marks start and end of each frame

# Framing

- Demarcates units of transfer
- Goal
  - Enable nodes to exchange blocks of data
- Challenge
  - How can we determine exactly what set of bits constitute a frame?
  - How do we determine the beginning and end of a frame?

# Benefits of framing

- Synchronization recovery
  - Breaks up continuous streams of unframed bytes
  - Recall RS-232 start and stop bits
- Link multiplexing
  - Multiple hosts on shared medium
  - Simplifies multiplexing of logical channels
- Efficient error detection
  - Per-frame error checking and recovery

# Framing

- Approaches
  - Sentinel: delimiter at end of frame
  - Length-based: length field in header
  - Clock based: periodic, time-based
- Characteristics
  - Bit- or byte-oriented
  - Fixed or variable length
  - Data-dependent or data-independent length

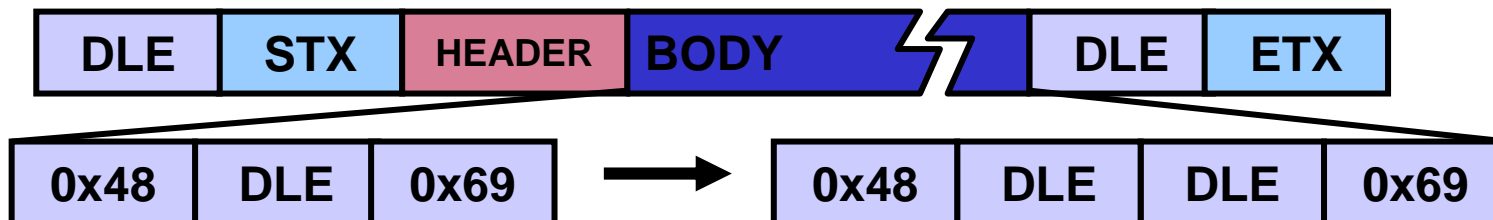
# Sentinel-Based Framing

- End of Frame
  - Marked with a special byte or bit pattern
    - Frame length is data-dependent
  - Challenge
    - Frame marker may exist in data
    - Requires stuffing
- Examples
  - BISYNC, HDLC, PPP, IEEE 802.4 (token bus)



# ARPANET IMP-IMP

- Interface Message processors (IMPs)
  - Packet switching nodes in the original ARPANET
  - Byte oriented, Variable length, Data dependent
  - Frame marker bytes
    - STX/ETX start of text/end of text
    - DLE data link escape
  - Byte Stuffing
    - DLE byte in data sent as two DLE bytes back-to-back



# High-Level Data Link Control Protocol (HDLC)

- Bit oriented, Variable length, Data-dependent
- Frame Marker
  - 01111110
- Bit Stuffing
  - Insert 0 after pattern 011111 in data
  - Example
    - 01111110 end of frame
    - 01111111 error! lose one or two frames
- *Handout: problem 2*

# IEEE 802.4 (token bus)

- Alternative to Ethernet (802.3) with fairer arbitration
- End of frame marked by encoding violation,
  - i.e., physical signal not used by valid data symbol
  - Recall Manchester encoding
    - low-high means "0"
    - high-low means "1"
    - low-low and high-high are invalid
- IEEE 802.4
  - byte-oriented, variable-length, data-independent
- Another example
  - Fiber Distributed Data Interface (FDDI) uses 4B/5B
- Technique also applicable to bit-oriented framing

# Length-Based Framing

- End of frame
  - Calculated from length sent at start of frame
  - Challenge
    - Corrupt length markers
- Examples
  - DECNET's DDCMP
    - Byte-oriented, variable-length
  - RS-232 framing
    - Bit-oriented, implicit fixed-length

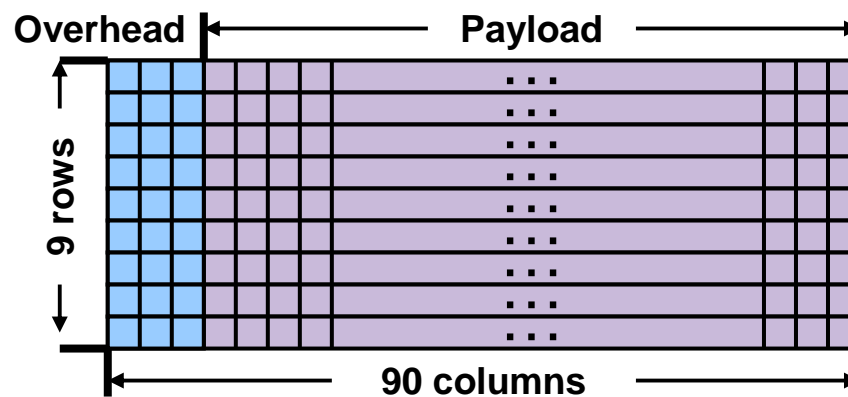


# Clock-Based Framing

- Continuous stream of fixed-length frames
  - Clocks must remain synchronized
- STS-1 frames -  $125\mu\text{s}$  long
  - No bit or byte stuffing
- Example
  - Synchronous Optical Network (SONET)
- Problems
  - Frame synchronization
  - Clock synchronization

# SONET

- Frames (all STS formats) are 125  $\mu$ sec long
  - Ex: STS-1 – 51.84 Mbps = 90 bytes
- Frame Synchronization
  - 2-byte synchronization pattern at start of each frame

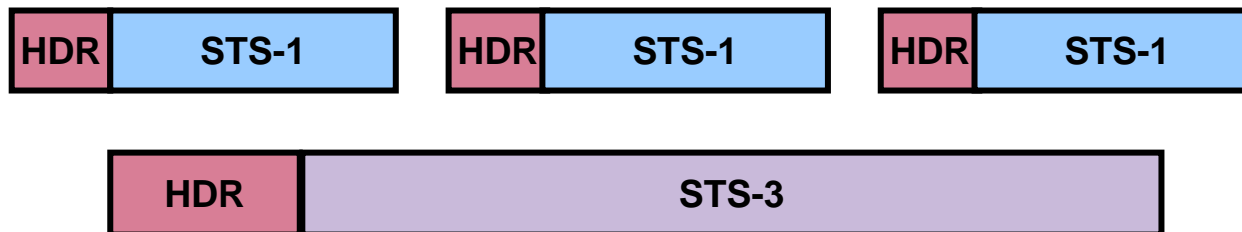


# SONET: Challenges

- How to recover frame synchronization
  - Synchronization pattern unlikely to occur in data
    - Wait until pattern appears in same place repeatedly
- How to maintain clock synchronization
  - NRZ encoding
    - Data scrambled (XOR'd) with 127-bit pattern
    - Creates transitions
    - Also reduces chance of finding false sync. pattern

# SONET

- A single SONET frame may contain multiple smaller SONET frames
- Bytes from multiple SONET frames are interleaved to ensure pacing

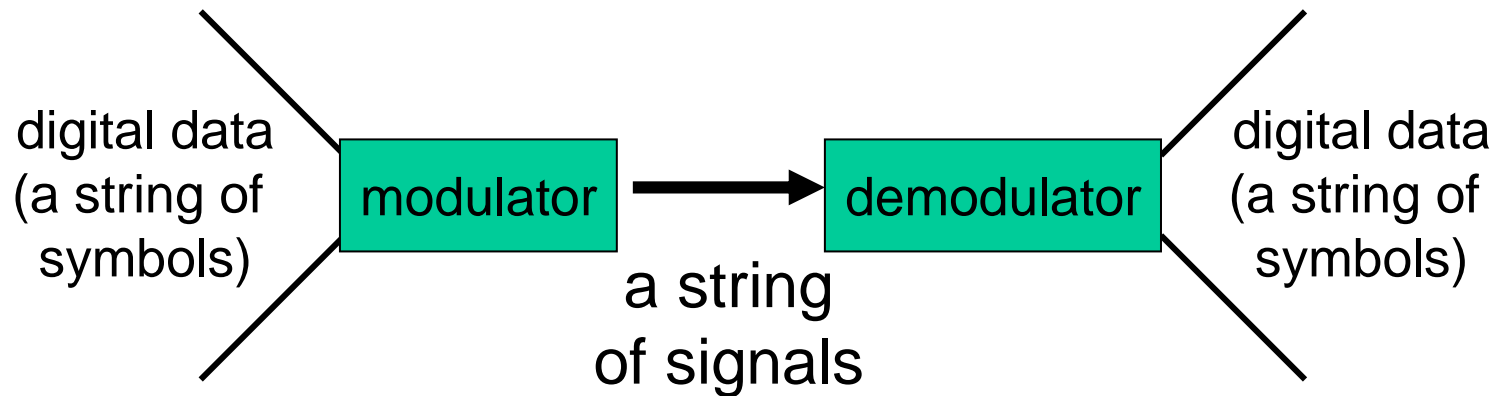




# Framing Summary

- Technique
  - Demarcate units of transfer
- Benefits
  - Synchronization recovery
  - Link multiplexing
  - Efficient error detection
- Approaches
  - Sentinel
  - Length-based      Clock based
- Characteristics
  - Bit- or byte-oriented
  - Fixed or variable length
  - Data-dependent or data-independent length

# Error Detection



- **Encoding** translates symbols to signals
- **Framing** demarcates units of transfer
- **Error detection** validates correctness of each frame

# Error Detection

- Idea
  - Add redundant information that can be used to determine if errors have been introduced, and potentially fix them
- Errors checked at many levels
  - Demodulation of signals into symbols (analog)
  - Bit error detection/correction (digital)—our main focus
    - Within network adapter (CRC check)
    - Within IP layer (IP checksum)
    - Possibly within application as well

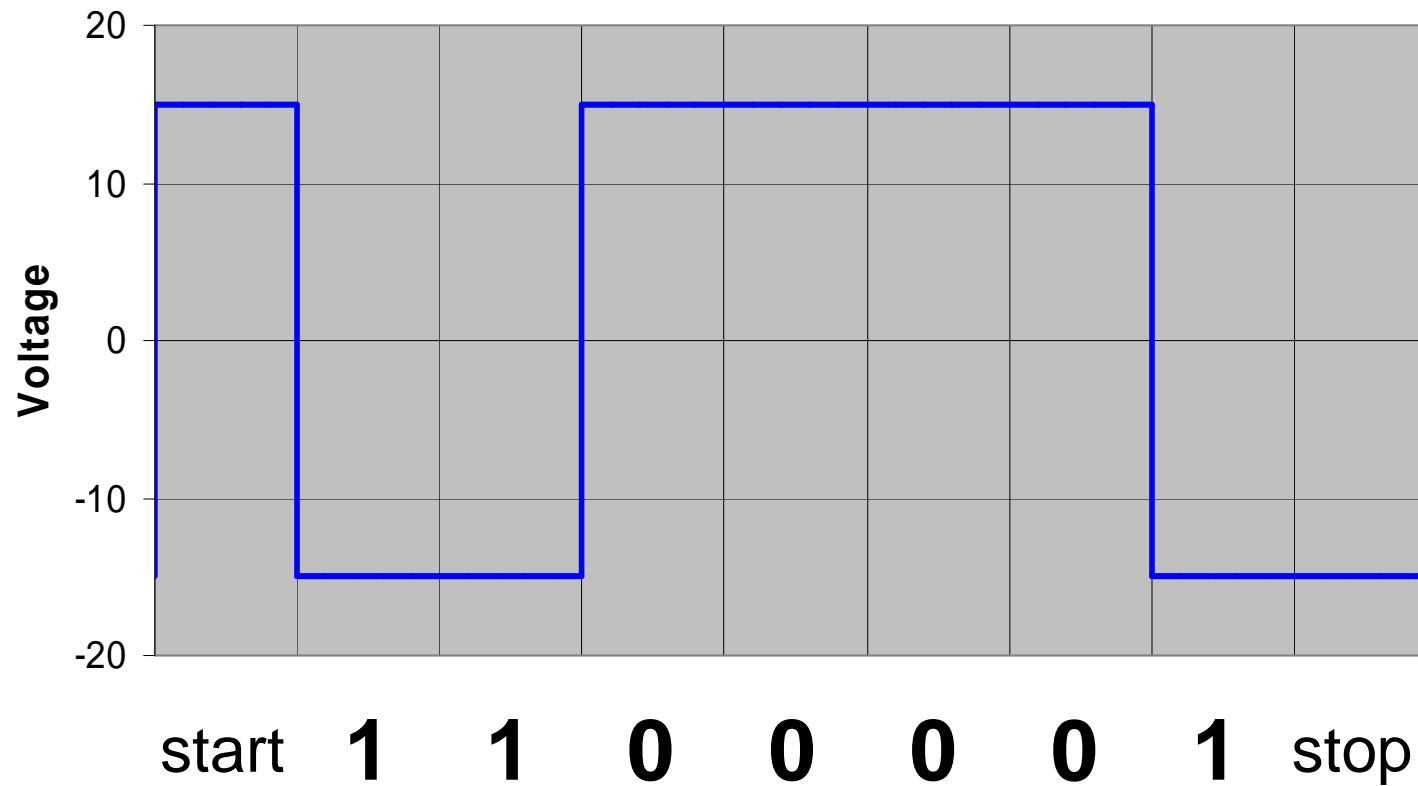
# Error Detection

- Analog Errors
  - Example of signal distortion
- Hamming distance
  - Parity and voting
  - Hamming codes
- Error bits or error bursts?
- Digital error detection
  - Two-dimensional parity
  - Checksums
  - Cyclic Redundancy Check (CRC)

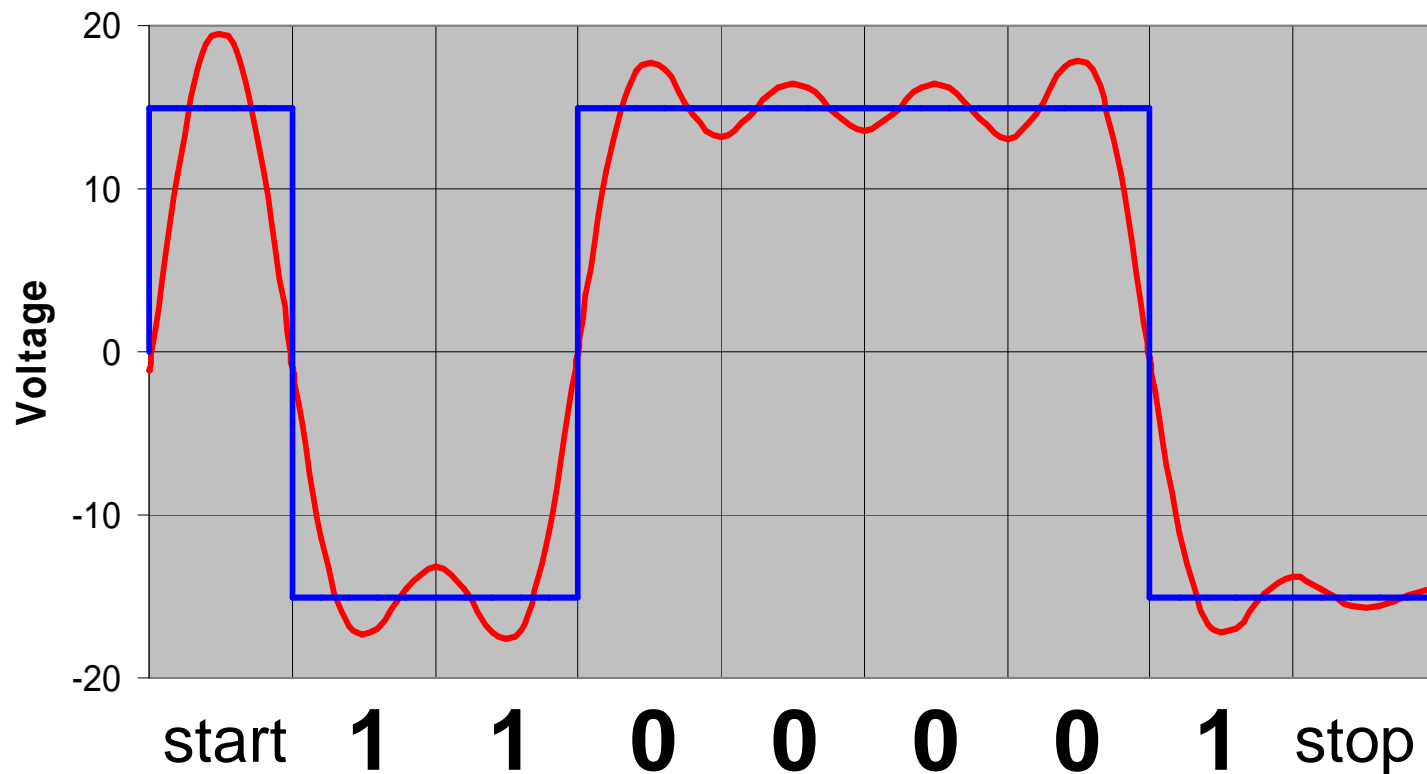
# Analog Errors

- Consider RS-232 encoding of character 'Q'
  - ASCII Q = 1100001
- Assume idle wire (-15V) before and after signal

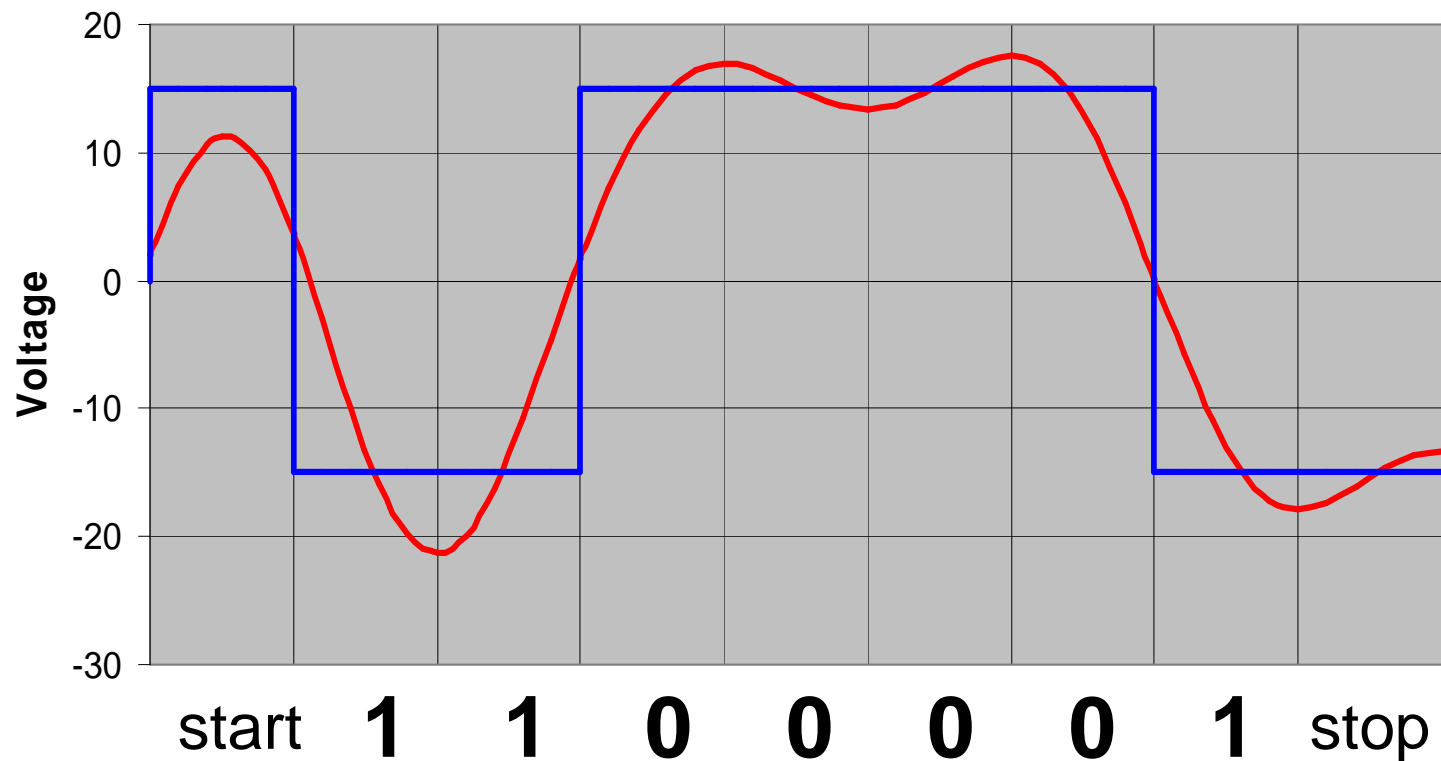
# RS-232 Encoding of 'Q'



# Limited-Frequency Signal Response (bandwidth = baud rate)

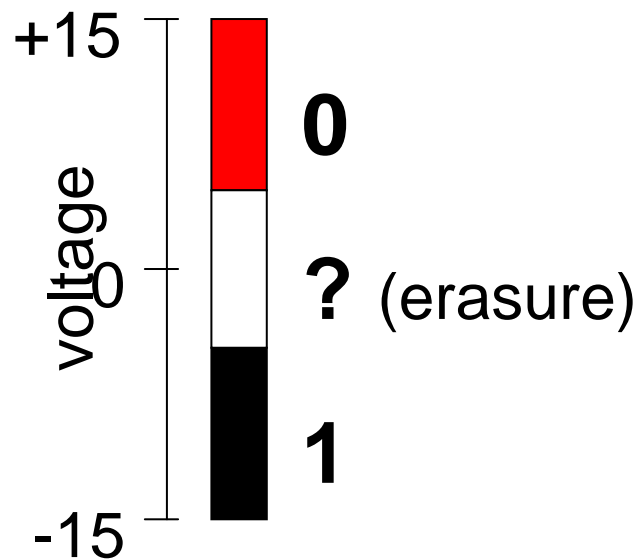


# Limited-Frequency Signal Response (bandwidth = baud rate/2)

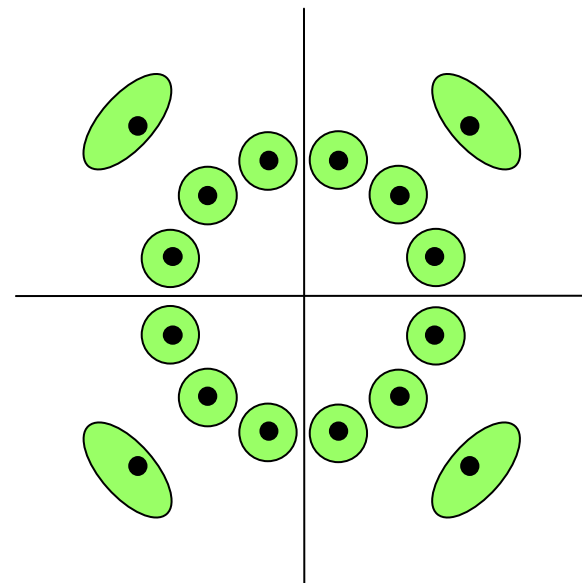




# Symbols



possible binary voltage encoding  
symbol neighborhoods and erasure  
region



possible QAM symbol  
neighborhoods in green; all  
other space results in erasure

# Symbols

- Inputs to digital level
  - valid symbols
  - erasures
- Hamming distance
  - Definition
  - 1-bit error-detection with parity
  - 1-bit error-correction with voting
  - 2-bit erasure-correction with voting
  - Hamming codes (1-bit error correction)

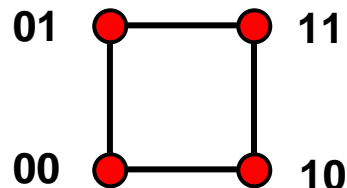
# Hamming Distance

- The Hamming distance between two code words is the minimum number of bit flips to move from one to the other
  - Example:
  - 00101 and 00010
  - Hamming distance of 3

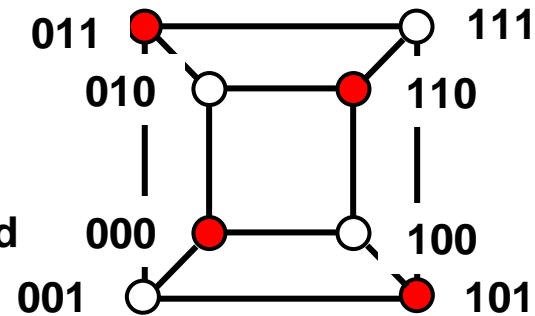
# Detecting bit flips with *Parity*

- 1-bit error detection with parity
  - Add an extra bit to a code to ensure an even (odd) number of 1s
  - Every code word has an even (odd) number of 1s

Valid  
code  
words

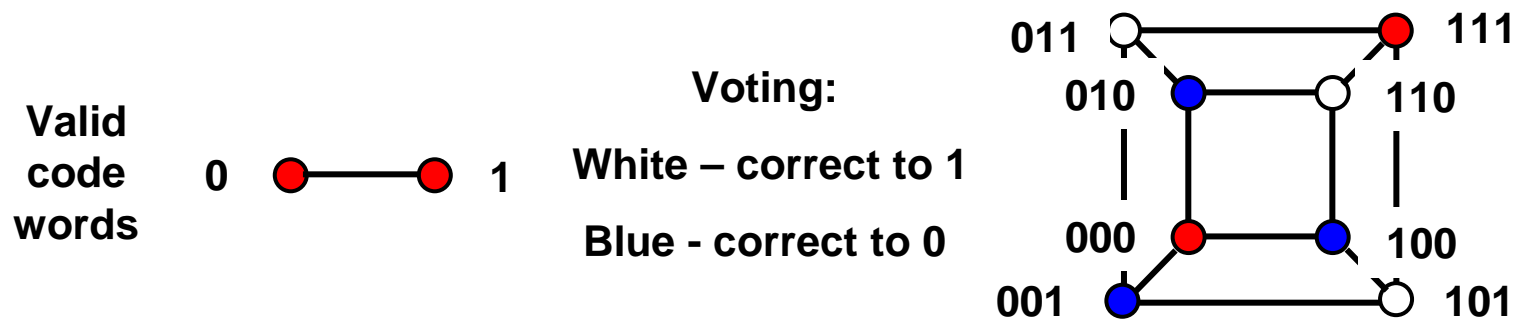


Parity  
Encoding:  
White – invalid  
(error)



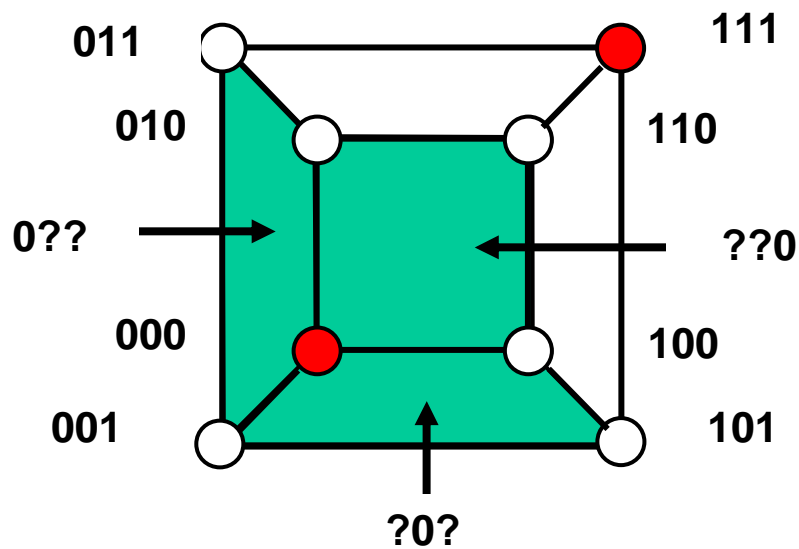
# Correcting bit flips with *Voting*

- 1-bit error correction with voting
  - Every codeword is transmitted n times



# 2-bit Erasure Correction with Voting

- Every code word is copied 3 times



2-erasure planes in green  
remaining bit not  
ambiguous

cannot correct 1-error and  
1-erasure

# Minimum Hamming Distance

- The minimum Hamming distance of a code is the minimum distance over all pairs of codewords
  - Minimum Hamming Distance for parity
    - 2
  - Minimum Hamming Distance for voting
    - 3

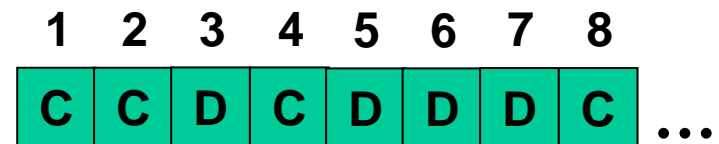
# Coverage

- N-bit error detection
  - No code word changed into another code word
  - Requires Hamming distance of  $N+1$
- N-bit error correction
  - N-bit neighborhood: all codewords within N bit flips
  - No overlap between N-bit neighborhoods
  - Requires hamming distance of  $2N+1$

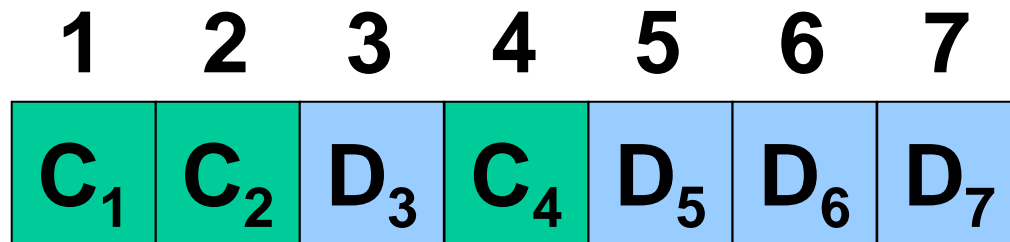


# Hamming Codes

- Linear error-correcting code, Named after Richard Hamming
  - Simple, commonly used in RAM (e.g., ECC-RAM)
- Can detect up to 2 simultaneous bit errors
- Can correct single-bit errors
- Construction
  - number bits from 1 upward
  - powers of 2 are check bits
  - all others are data bits
  - Check bit  $j$  is XOR of all bits  $k$  such that  $(j \text{ AND } k) = j$
- Example: 4 bits of data, 3 check bits



# Hamming Codes

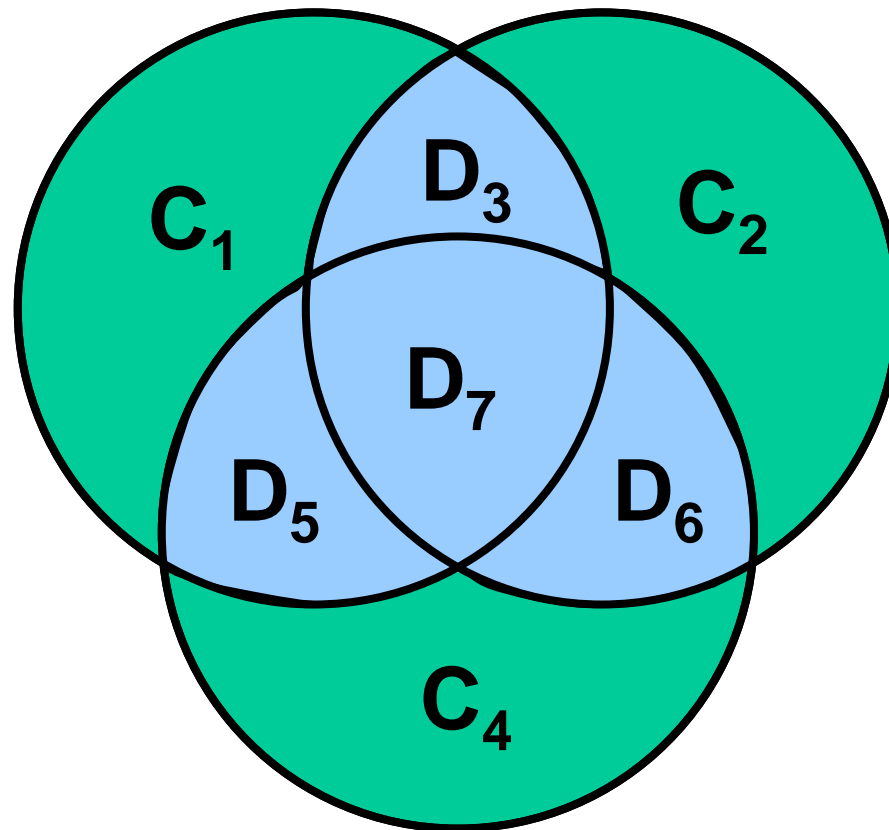


$$C_1 = D_3 \text{ XOR } D_5 \text{ XOR } D_7$$

$$C_2 = D_3 \text{ XOR } D_6 \text{ XOR } D_7$$

$$C_4 = D_5 \text{ XOR } D_6 \text{ XOR } D_7$$

# Hamming Codes



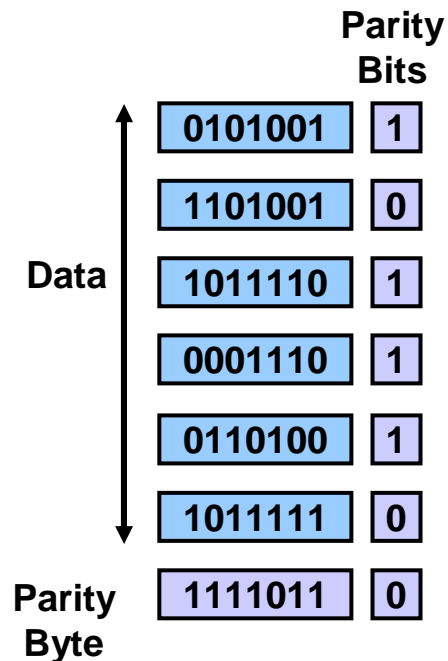
# Error Bits or Bursts?

- Common model of errors
  - Probability of error per bit
  - Error in each bit independent of others
  - Value of incorrect bit independent of others
- Burst model
  - Probability of back-to-back bit errors
  - Error probability dependent on adjacent bits
  - Value of errors may have structure
- Why assume bursts?
  - Appropriate for some media (e.g., radio)
  - Faster signaling rate enhances such phenomena

# Digital Error Detection Techniques

- Two-dimensional parity
  - Detects up to 3-bit errors
  - Good for burst errors
- IP checksum
  - Simple addition
  - Simple in software
  - Used as backup to CRC
- Cyclic Redundancy Check (CRC)
  - Powerful mathematics
  - Tricky in software, simple in hardware
  - Used in network adapter

# Two-Dimensional Parity



- Use 1-dimensional parity
  - Add one bit to a 7-bit code to ensure an even/odd number of 1s
- Add 2nd dimension
  - Add an extra byte to frame
    - Bits are set to ensure even/odd number of 1s in that position across all bytes in frame
- Comments
  - Catches all 1-, 2- and 3-bit and most 4-bit errors

# Two-Dimensional Parity

0	1	0	0	0	1	1	1	0
0	1	1	0	0	1	0	1	0
0	1	1	0	1	1	1	1	0
0	1	1	0	0	1	0	0	1
0	0	1	0	0	0	1	1	1

# Internet Checksum

- Idea: Add up all the words, transmit the sum
- Internet Checksum
  - Use 1's complement addition on 16bit codewords
  - Example
    - Codewords:                   -5       -3
    - 1's complement binary:    1010   1100
    - 1's complement sum        1000
- Comments
  - Small number of redundant bits
  - Easy to implement
  - Not very robust



# IP Checksum

```
u_short cksum(u_short *buf, int count) {
    register u_long sum = 0;
    while (count--) {
        sum += *buf++;
        if (sum & 0xFFFF0000) {
            /* carry occurred, so wrap around */
            sum &= 0xFFFF;
            sum++;
        }
    }
    return ~(sum & 0xFFFF);
}
```

# Cyclic Redundancy Check (CRC)

- Non-secure hash function based on cyclic codes
- Idea
  - Add **k** bits of redundant data to an **n**-bit message
  - **N**-bit message is represented as a **n**-degree polynomial with each bit in the message being the corresponding coefficient in the polynomial
  - Example
    - Message = 10011010
    - Polynomial
$$= \mathbf{1} * x^7 + \mathbf{0} * x^6 + \mathbf{0} * x^5 + \mathbf{1} * x^4 + \mathbf{1} * x^3 + \mathbf{0} * x^2 + \mathbf{1} * x + \mathbf{0}$$
$$= x^7 + x^4 + x^3 + x$$

# CRC Approach

- Given

- Message  $M(x)$                     10011010

- Represented as  $x^7 + x^4 + x^3 + x$

1. Select a divisor polynomial  $C(x)$  with degree  $k$

- Example with  $k = 3$ :

- $C(x) = x^3 + x^2 + 1$

- Represented as 1101

2. Transmit a polynomial  $P(x)$  that is **evenly divisible** by  $C(x)$

- $P(x) = M(x) +$  **k bits**

How can we determine these  $k$  bits?

# Properties of Polynomial Arithmetic

- Divisor
  - Any polynomial  $B(x)$  can be divided by a polynomial  $C(x)$  if  $B(x)$  is of the same or higher degree than  $C(x)$
- Remainder
  - The remainder obtained when  $B(x)$  is divided by  $C(x)$  is obtained by subtracting  $C(x)$  from  $B(x)$
- Subtraction
  - To subtract  $C(x)$  from  $B(x)$ , simply perform an XOR on each pair of matching coefficients
- For example:  $(x^3+1)/(x^3+x^2+1) =$

# CRC - Sender

- Given
  - $M(x) = 10011010 = x^7 + x^4 + x^3 + x$
  - $C(x) = 1101 = x^3 + x^2 + 1$
- Steps
  - $T(x) = M(x) * x^k$  (add zeros to increase degree of  $M(x)$  by  $k$ )
  - Find remainder,  $R(x)$ , from  $T(x)/C(x)$
  - $P(x) = T(x) - R(x) \Rightarrow M(x)$  followed by  $R(x)$
- Example
  - $T(x) = 10011010000$
  - $R(x) = 101$
  - $P(x) = 10011010101$

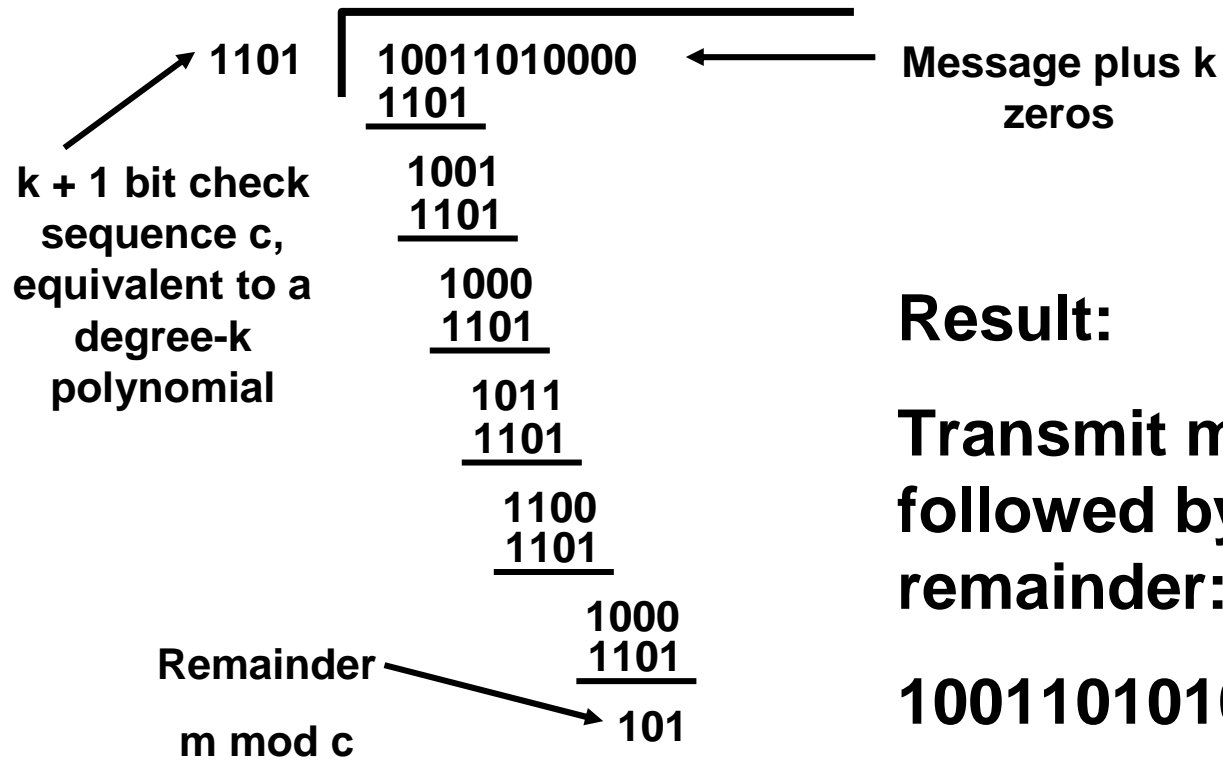
# CRC - Receiver

- Receive Polynomial  $P(x) + E(x)$ 
  - $E(x)$  represents errors
  - (if no errors then  $E(x) = 0$ )
- Divide  $(P(x) + E(x))$  by  $C(x)$ 
  - If result = 0, either
    - No errors ( $E(x) = 0$ , and  $P(x)$  is evenly divisible by  $C(x)$ )
    - $(P(x) + E(x))$  is exactly divisible by  $C(x)$ , error will not be detected

# CRC – Example Encoding

$$C(x) = x^3 + x^2 + 1 = 1101 \quad \text{Generator}$$

$$M(x) = x^7 + x^4 + x^3 + x = 10011010 \quad \text{Message}$$



**Result:**

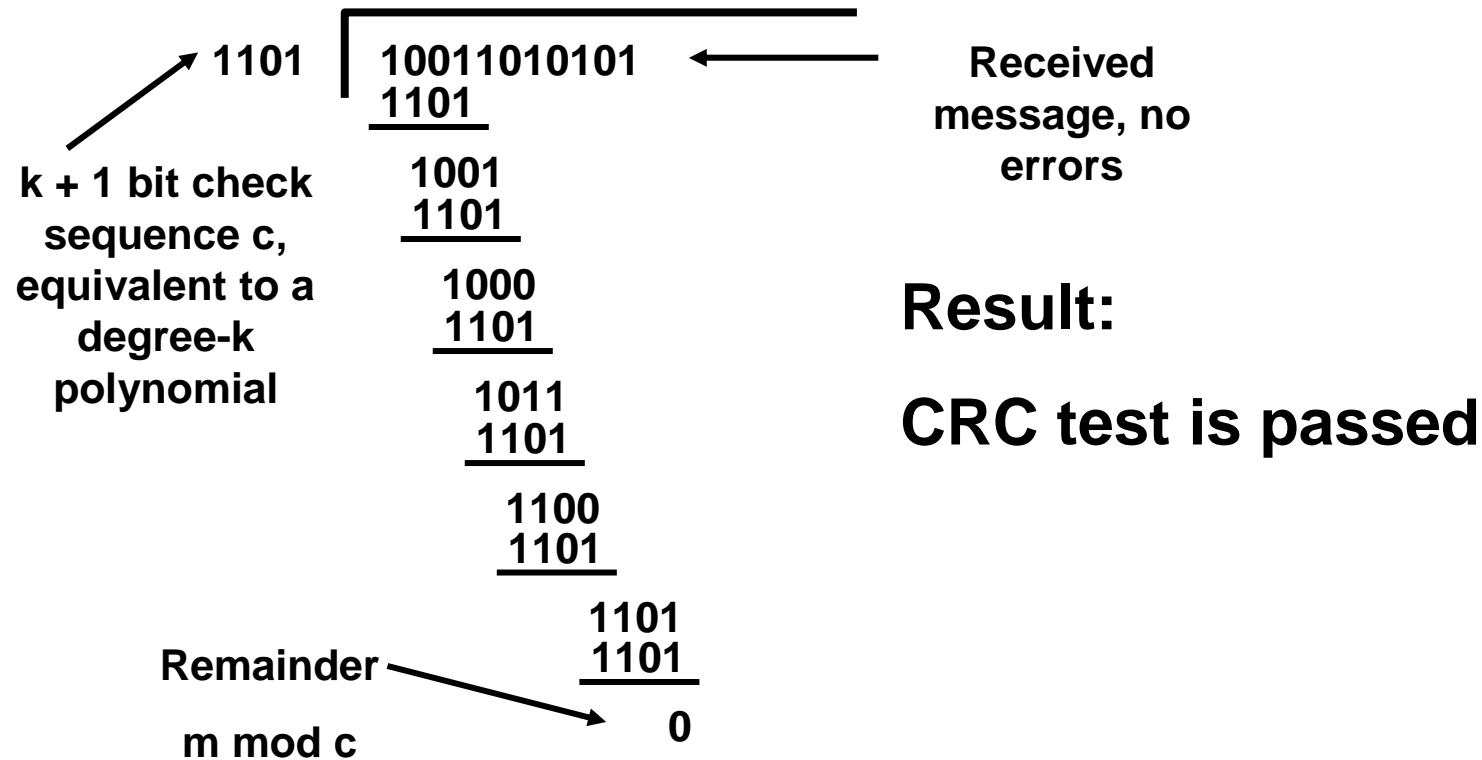
**Transmit message followed by remainder:**

**10011010101**

# CRC – Example Decoding – No Errors

$$C(x) = x^3 + x^2 + 1 = 1101 \quad \text{Generator}$$

$$P(x) = x^{10} + x^7 + x^6 + x^4 + x^2 + 1 = 10011010101 \quad \text{Received Message}$$

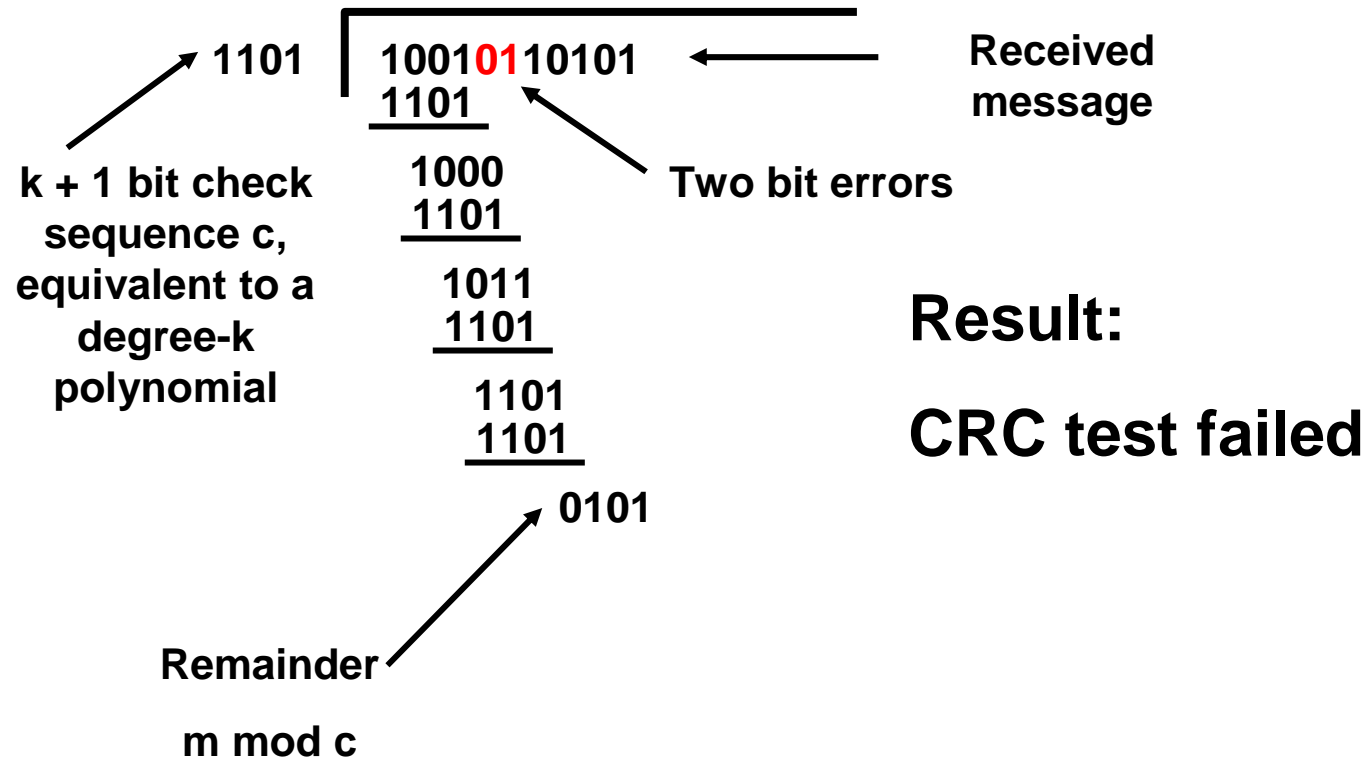




# CRC – Example Decoding – with Errors

$$C(x) = x^3 + x^2 + 1 = 1101 \quad \text{Generator}$$

$$P(x) = x^{10} + x^7 + x^5 + x^4 + x^2 + 1 = 10010110101 \quad \text{Received Message}$$



# CRC Error Detection

- Properties
  - Characterize error as  $E(x)$
  - Error detected unless  $C(x)$  divides  $E(x)$ 
    - (*i.e.*,  $E(x)$  is a multiple of  $C(x)$ )

# Example of Polynomial Multiplication

- Multiply
  - 1101 by 10110
  - $x^3 + x^2 + 1$  by  $x^4 + x^2 + x$

$$\begin{array}{r} 1011 \\ \underline{10110} \\ 1101 \\ 1101 \\ \underline{1101} \\ 0001111110 \end{array}$$

This is a multiple of  $c$ ,  
so that if errors occur  
according to this  
sequence, the CRC test  
would be passed

# On Polynomial Arithmetic

- Polynomial arithmetic
  - A fancy way to think about addition with no carries.
  - Helps in the determination of a good choice of  $C(x)$
  - A non-zero vector is not detected if and only if the error polynomial  $E(x)$  is a multiple of  $C(x)$
- Implication
  - Suppose  $C(x)$  has the property that  $C(1) = 0$  (i.e.  $(x + 1)$  is a factor of  $C(x)$ )
  - If  $E(x)$  corresponds to an undetected error pattern, then it must be that  $E(1) = 0$
  - Therefore, any error pattern with an odd number of error bits is detected

# CRC Error Detection

- What errors can we detect?
  - All single-bit errors, if  $x^k$  and  $x^0$  have non-zero coefficients
  - All double-bit errors, if  $C(x)$  has at least three terms
  - All odd bit errors, if  $C(x)$  contains the factor  $(x + 1)$
  - Any bursts of length  $< k$ , if  $C(x)$  includes a constant term
  - Most bursts of length  $\geq k$

# Common Polynomials for C(x)

CRC	C(x)
CRC-8	$x^8 + x^2 + x^1 + 1$
CRC-10	$x^{10} + x^9 + x^5 + x^4 + x^1 + 1$
CRC-12	$x^{12} + x^{11} + x^3 + x^2 + x^1 + 1$
CRC-16	$x^{16} + x^{15} + x^2 + 1$
CRC-CCITT	$x^{16} + x^{12} + x^5 + 1$
CRC-32	$x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x^1 + 1$

# CRC Error Detection

- Proof of odd-bit detection
  - Assume  $C(x)$  has the form  $C'(x) (x + 1)$
  - Implies  $P(x) = C(x) f(x) = (x + 1) C'(x) f(x)$
  - $P(1) = (0) C'(1) f(1) = 0$
  - What if  $P(x) + E(x)$  received?
    - Detected if  $P(1) + E(1) = E(1)$  is not 0
    - $E(1)$  is 0 iff  $E(x)$  has an even number of terms

# CRC Error Detection

- Proof of burst errors of less than  $k$  bits
  - Assume that  $C(x) = x^k + \dots + 1$
  - Write  $E(x) = x^i (x^{k-1} + \dots + 1)$
  - No power of  $x$  can be factored out of  $C(x)$
  - $C(x)$  is not a factor of  $x^i$
  - $C(x)$  cannot be a factor of polynomial with smaller degree



# Error Detection vs. Error Correction

- Detection
  - Pro: Overhead only on messages with errors
  - Con: Cost in bandwidth and latency for retransmissions
- Correction
  - Pro: Quick recovery
  - Con: Overhead on all messages
- What should we use?
  - Correction if retransmission is too expensive
  - Correction if probability of errors is high