# Computer Science 425 <br> Distributed Systems (Fall 2009) 

## Lecture 5

Multicast Communication
Reading: Section 12.4 Klara Nahrstedt

## Acknowledgement

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- Slides prepared by Professors M. Harandi, J. Hou, I. Gupta, N. Vaidya, Y-Ch. Hu, S. Mitra.
- Slides from Professor S. Gosh's course at University o Iowa.


## Administrative

- Homework 1 posted
- Deadline, September 17 (Thursday)
- MP1 posted today
- Deadline, September 25, Friday


## Plan for Today

- Reliable Multicast
- Ordered Multicast
- Total ordering
- Causal ordering
- FIFO ordering


## Reliable Multicast

- Integrity: A correct (i.e., non-faulty) process p in a group( $m$ ) delivers a multicast message $m$ at most once.
- Safety property: any message delivered is identical to the one that was sent
- Validity: If a correct process multicasts (sends) message $m$, then it will eventually deliver $m$.
- Guarantees liveness to the sender.
- Liveness property: any message is eventually delivered to destination
- Agreement: If a correct process delivers message $m$, then all the other correct processes in group( $m$ ) will eventually deliver $m$.
- Property of "all or nothing."
- Validity and agreement together ensure overall liveness: if some correct process multicasts a message $m$, then all correct processes deliver $\boldsymbol{m}$ too.


## Reliable Multicast Algorithm

On initialization
Received := \{\};

reliable unicast

For process $p$ to $R$-multicast message $m$ to group $g$
$B$-multicast $(g, m) ; \quad / / p \in g$ is included as a destination
On B-deliver $(m)$ at process $q$ with $g=\operatorname{group}(m)$
if ( $m \notin$ Received)
then

> Received $:=$ Received $\cup\{m\} ;$
> if $(q \neq p)$ then $B$-multicast $(g, m)$; end if
> R-deliver $m ;$
end if

## Reliable Multicast Algorithm (R-multicast)

On initialization
Received $:=\{ \} ;$
For process $p$ to $R$-multicast message $m$ to group $g$
$B$-multicast $(g, m) ; \quad / / p \in g$ is included as a destination
On B-deliver $(m)$ at process $q$ with $g=\operatorname{group}(m)$
if ( $m \notin$ Received) Integrity
then

$$
\begin{aligned}
& \text { Received }:=\text { Received } \cup\{m\} ; \\
& \text { if }(q \neq p) \text { then } B \text {-multicast }(g, m) \text {; end if Agreement } \\
& \text { R-deliver } m ; \quad \text { Integrity, Validity }
\end{aligned}
$$

end if if some correct process B-multicasts a message $m$, then, all correct processes deliver $m$ too. If no correct process $B$-multicasts $m$, then no correct processes deliver $m$.

## Ordered Multicast

- FIFO ordering: If a correct process issues multicast( $g, m$ ) and then multicast( $g, m^{\prime}$ ), then every correct process that delivers $m$ ' will have already delivered $m$.
- Causal ordering: If multicast(g,m) $\rightarrow$ multicast(g,m') then any correct process that delivers $m$ ' will have already delivered $m$.
- Total ordering: If a correct process delivers message $m$ before $m$ ', then any other correct process that delivers $m$ ' will have already delivered m.


## Total, FIFO and Causal Ordering

-Totally ordered messages $T_{1}$ and $T_{2}$.
-FIFO-related messages $F_{1}$ and $F_{2}$.
-Causally-related messages $C_{1}$ and $C_{3}$

- Causal ordering implies FIFO ordering
- Total ordering does not imply causal ordering.
- Causal ordering does not imply total ordering.
- Hybrid mode: causal-total ordering, FIFO-total ordering.



## Example: Display From Bulletin Board Program

## User 1



What is the most appropriate ordering for this application?
(a) FIFO (b) causal (c) total

## FIFO-ORDERED MULTICAST

## Providing Ordering Guarantees (FIFO)

Process messages from each process in the order they were sent:

* Each process keeps a sequence number for each other process.
Messages are sent with local sequence number
* When a message is received,
If
Message\#
is $\left\{\begin{array}{l}\text { as expected (next sequence), accept } \\ \text { higher than expected, buffer in a queue } \\ \text { lower than expected, reject }\end{array}\right.$


## Hold-back Queue for Arrived Multicast Messages: received yet undelivered messages

Incoming messages


## Implementing FIFO Ordering (FIFO-ordered multicast)

- $S_{g}^{p}$ : count of messages $p$ has sent to $g$.
- $R_{g}$ : the recorded sequence number of the latest message that $p$ has delivered from $q$ to the group $g$.
- For $\boldsymbol{p}$ to FO-multicast $\boldsymbol{m}$ to $\boldsymbol{g}$
- $p$ increments $S_{g}{ }_{g}$ by 1
- $p$ "piggy-backs" the value $S^{p}{ }_{g}$ onto the message.
- $p$ B-multicasts $m$ to $g$.
- At process $p$, upon receipt of $\boldsymbol{m}$ from $q$ with sequence number $S$ :
- $p$ checks whether $S=R^{q}{ }_{g}+1$. If so, $p$ FO-delivers $m$ and increments $R^{q}{ }_{g}$
- If $S>R^{q}{ }_{q}+1, p$ places the message in the hold-back queue until the intervening messages have been delivered and $S=R^{q}{ }_{g}+1$.
- If $S<R^{q} g+1$, then drop the message (we have already seen the message)


## Example: FIFO Multicast



## CAUSAL-ORDERED MULTICAST

## Causal Multicast

- Let us focus on multicast group $g$
- Each process iєg maintains a vector $V_{i}{ }_{i}$ of length $|g|$ where
- $V_{i} g_{i}[j]$ counts the number of group $g$ messages from $j$ to $i$
- Messages multicast by process $i$ are tagged with the vector timestamp $V^{g_{i}}$
- Recall rule for receiving vector timestamps

$$
v_{\text {receiver }[i]}\left[= \begin{cases}\operatorname{Max}\left(\mathrm{v}_{\text {receiver }[i]}\right], \mathrm{v}_{\text {messagese }}[\mathrm{j}), \text { if } j \text { is not self } \\ \mathrm{v}_{\text {receiverer }[j]}+1 & \text { otherwise }\end{cases}\right.
$$

- i.e. when process $i$ receives a $<m, V_{j}>$ from $j$, then

$$
\begin{array}{ll}
\left.-V_{i}^{g}[k]=\max \left(V_{i}{ }_{[j} k\right], V_{j}[k]\right) & \text { if } k \neq i \\
-V^{g}{ }_{i}[k]=V_{i}^{g}[k]+1 & \text { if } k=i
\end{array}
$$

- Remember $V(a)<V(b)$ iff $a$ happens before $b$


## Causal Ordering using vector timestamps

Algorithm for group member $p_{i}(i=1,2 \ldots, N)$

On initialization

$$
V_{i}^{g}[j] \leftrightarrows=0(j=1,2 \ldots, N)
$$

To CO-multicast message $m$ to group $g$

$$
V_{i}^{g}[i]:=V_{i}^{g}[i]+1 ;
$$

$B$-multicast (g, $<V_{i}^{g}, m>$ );
On B-deliver $\left(<V_{j}^{g}, m>\right)$ from $p_{j}$, with $g=\operatorname{group}(m)$ place $<V_{i}^{g}, m>$ in hold-back queue;

The number of group-g messages
from process $j$ that have been seen at process i so far wait until $V_{j}^{g}[j]=V_{i}^{g}[j]+1$ and $V_{j}^{g}[k] \leq V_{i}^{g}[k](k \neq j)$;
CO-deliver $m$; // after removing it from the hold-back queue $V_{i}^{g}[j]:=V_{i}^{g}[j]+1$;

## Example: Causal Ordering Multicast



## TOTAL-ORDERED MULTICAST

## $1^{\text {st }}$ Method - Using Sequencer

- Delivery algorithm similar to FIFO
- Except that processes maintain group specific sequence number (as opposed to process specific sequence number)
- Sender attaches unique id 'i' to each message $m$ and sends $<m, i>$ to the sequencer $(g)$ as well as to group $g$
- Sequencer maintains group specific sequence number $\mathrm{S}_{\mathrm{g}}$ (consecutive and increasing) and $B$ multicasts order messages to $g$


## Total Ordering Using a Sequencer (Method 1)

1. Algorithm for group member $p$

On initialization: $r_{g}:=0$;
unique msg id
To TO-multicast message $m$ to group $g$
$B$-multicast $(g \cup\{$ sequencer $(g)\},<m, i>)$;
On B-deliver $(<m, i>)$ with $g=\operatorname{group}(m)$
Place $\langle m, i>$ in hold-back queue;
On B-deliver $\left(m_{\text {order }}=\langle " o r d e r ", ~ i, S>)\right.$ with $g=\operatorname{group}\left(m_{\text {order }}\right)$ wait until $<m, i>$ in hold-back queue and $S=r_{g}$; TO-deliver $m$; // (after deleting it from the hold-back queue) $r_{g}=S+1$;


Group g. P1, P2, P3
Sequencer (g) :

- Single point of failure
- Bottleneck
$B$-multicast ( $g$, <"order", i, $s_{g}>$ );
$s_{g}:=s_{g}+1 ;$


## $2^{\text {nd }}$ Method - ISIS Algorithm

- Processes collectively agree on sequence numbers (priority) in three rounds
- Sender sends message $m$ with its id to all receivers;
- Receivers suggest priority (sequence number) and reply to sender with proposed priority;
- Sender collects all proposed priorities; decides on final priority (breaking ties with process ids), and resends the agreed final priority for message m
- Receivers deliver message $m$ according to decided final priority


## ISIS algorithm for total ordering (Method 2)



## ISIS algorithm for total ordering

1. sender $p B$-multicasts $<m, i>$ with message $m$ and unique id $i$ to everyone.
2. On receiving $m$ (first time)
3. $m$ is added to a priority queue and tagged as undeliverable
4. reply to sender with proposed priority, i.e., a sequence number
» seq number = $1+$ largest seq number heard so far, suffixed with the recipient's process ID
5. priority queue is always sorted by priority
6. Sender
7. collects all responses from the recipients,
8. calculates their maximum, and
9. re-multicasts (B-multicast) original message with this as the final priority for $m$
10. On receiving $m$ (with final priority)
11. mark the message as deliverable,
12. reorder the priority queue, and
13. deliver the set of lowest priority messages that are marked as deliverable.

## Proof of Total Order (By Contradition)

- For $m_{1}$, consider the first process $p$ that delivers $m_{1}$
- At $p$, let $\boldsymbol{m}_{1}$ have the agreed sequence number (finalpriority $\left(m_{1}\right)$ ) and marked deliverable (at the front of the hold-back priority queue)
- Let $\boldsymbol{m}_{\mathbf{2}}$ be another message that has not yet been delivered
" i.e., $m_{2}$ is on the same queue (it has not been assigned its sequence number) or has not been seen yet by $p$
- Then
» finalpriority $\left(m_{2}\right) \geq$ proposedpriority $\left(m_{2}\right)$ due to: "max" operation at sender \&
» proposedpriority $\left(m_{2}\right) \geq$ final priority $\left(m_{1}\right)$ due to: proposed priorities by $p$ only increase ( $m_{1}$ is ahead of the queue)
- Suppose there is some other process $q$ that delivers $m_{2}$ before it delivers $m_{1}$. Then at $q$
- Finalpriority $(m 1) \geq$ proposedpriority $(m 1) \geq$ finalpriority (m2)
- Contradiction!


## Summary

- Multicast is operation of sending one message to multiple processes
- Basic multicast
» Uses reliable unicast
» Guarantees integrity, validity but not agreement
- Reliable multicast
» Uses basic multicast
» Guarantees agreement (no ordering)
- Ordering - FIFO, total, causal
- FIFO-multicast uses sequence number for each process and a queue
- Causal-multicast uses vector time stamps
- Total order- multicast uses a sequencer or agreement on sequence numbers

